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5 **Microalgae recycling improves biomass recovery from wastewater treatment**
6 **high rate algal ponds**

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28 **Abstract**

29 Microalgal biomass harvesting by inducing spontaneous flocculation (bioflocculation) sets an
30 attractive approach, since neither chemicals nor energy are needed. Indeed, bioflocculation may be
31 promoted by recycling part of the harvested microalgal biomass to the photobioreactor in order to
32 increase the predominance of rapidly settling microalgae species. The aim of the present study was
33 to improve the recovery of microalgal biomass produced in wastewater treatment high rate algal
34 ponds (HRAPs) by recycling part of the harvested microalgal biomass. The recirculation of 2% and
35 10% (dry weight) of the HRAPs microalgal biomass was tested over one year in an experimental
36 HRAP treating real urban wastewater. Results indicated that biomass recycling had a positive effect
37 on the harvesting efficiency, obtaining higher biomass recovery in the HRAP with recycling (R-
38 HRAP) (92-94%) than in the control HRAP without recycling (C-HRAP) (75-89%). Microalgal
39 biomass production was similar in both systems, ranging between 3.3 and 25.8 g TSS/m²d,
40 depending on the weather conditions. Concerning the microalgae species, *Chlorella* sp. was
41 dominant overall the experimental period in both HRAPs (abundance >60%). However, when the
42 recycling rate was increased to 10%, *Chlorella* sp. dominance decreased from 97.6 to 88.1%; while
43 increasing the abundance of rapidly settling species such as *Stigeoclonium* sp. (16.8%, only present
44 in the HRAP with biomass recycling) and diatoms (from 0.7 to 7.3%). Concerning the secondary
45 treatment of the HRAPs, high removals of COD (80%) and N-NH₄⁺ (97%) were found in both
46 HRAPs. Moreover, by increasing the biomass recovery in the R-HRAP the effluent total suspended
47 solids (TSS) concentration was decreased to less than 35 mg/L, meeting effluent quality
48 requirements for discharge. This study shows that microalgal biomass recycling (10% dry weight)
49 increases biomass recovery up to 94% by selecting the most rapidly settling microalgae species
50 without compromising the biomass production and improving the wastewater treatment in terms of
51 TSS removal.

52 **Keywords:**

53 Bioflocculation; biomass recovery; microalgae species selection; microalgal biomass production;

55 **1. Introduction**

56 In recent years, much attention has been paid to microalgae-based systems for wastewater treatment
57 and biomass production like high rate algal ponds (HRAPs). In fact, microalgal biomass grown as a
58 by-product of wastewater treatment is nowadays considered as a cost-effective feedstock for
59 bioenergy production. Despite bioenergy production from microalgae has well-known advantages
60 in front of other biomass sources (i.e. fast growth rates and lack of competence for agricultural land
61 or water), each step of the process from microalgae production to bioenergy conversion still has to
62 be improved in order to reduce the operating costs of the entire process (Mehrabadi et al., 2015).

63 Specifically, current biomass harvesting techniques increase the cost of microalgae production,
64 representing about 20-30% of the total cost (Molina-Grima et al., 2003; Zittelli et al., 2006).

65 Recently, life cycle assessments and cost analysis of different harvesting techniques have been
66 conducted to assess the cost-efficiency and environmental impact of the most common harvesting
67 techniques (Udom et al., 2013). Methods commonly employed include the addition of chemicals or
68 the use of mechanical equipment that increase costs (e.g. flocculation induced by chemical addition,
69 filtration, centrifugation, sonication, electro-flocculation). In wastewater treatment, gravity
70 sedimentation is the most common solids separation method, used to clarify large volumes of
71 treated wastewater with reasonable costs (<5% of the total cost) (Metcalf and Eddy, 2003). The
72 biomass grown in HRAPs for wastewater treatment is constituted by mixed populations of
73 microalgae and bacteria which form spontaneous flocs (diameter 50-200 μm) that can partially
74 settle by gravity without chemicals or energy addition (García et al., 2000; Park et al., 2011a;
75 Valigore et al., 2012). Indeed, inside these flocs, microorganisms interaction provides natural
76 occurring processes inducing their spontaneous flocculation (Salim et al., 2011; Golueke and
77 Oswald, 1970).

78 For these reasons, in the last years a niche of research of harvesting techniques has focused on the
79 optimization of spontaneous flocculation and gravity sedimentation (Van Den Hende et al., 2011;
80 González-Fernández et al., 2013). Different methods and strategies to improve microalgal

81 harvesting have shown promising results regarding spontaneous flocculation. Some of these
82 methods are the coprecipitation with ions at high pH (autoflocculation) and release of extracellular
83 polymeric substances, or microalgae-bacteria interaction (bioflocculation) (González-Fernández et
84 al., 2013, Wan et al., 2014). A recently developed strategy consists in promoting the dominance of
85 rapidly settling microalgae species by recycling a small part of the biomass harvested in gravity
86 settlers (Park et al., 2011b). Thus, species that can settle easily are selected competitively against
87 poorly settling species.

88 Following this promising approach, the aim of the present study was to enhance microalgal biomass
89 harvesting efficiency by recycling an increasing amount of harvested biomass and to determine its
90 effect on biomass production, microalgae species evolution and wastewater treatment performance.
91 Recycling rates of 2% and 10% (dry weight) of the microalgal biomass grown in the HRAPs were
92 tested in order to improve the spontaneous flocculation of algae-bacteria biomass in experimental
93 HRAPs treating real urban wastewater. Harvesting efficiency results were evaluated in terms of
94 biomass recovery and microalgal biomass settling velocities distribution.

95

96 **2. Material and Methods**

97 ***2.1 Experimental microalgae-based wastewater treatment system***

98 Two experimental HRAPs located outdoors at the facilities of the Environmental Engineering and
99 Microbiology Research Group (GEMMA) of the Universitat Politècnica de
100 Catalunya-BarcelonaTech (Barcelona, Spain) were used. These HRAPs were continuously operated
101 since 2010 (Passos et al., 2015). For the purpose of this research, the HRAPs were monitored over
102 one year (from March 2014 to March 2015). Raw urban wastewater from a nearby municipal sewer
103 was daily pumped to a homogenisation tank (volume of 1.2 m³) and uninterruptedly pumped to a
104 primary settler with a useful volume of 7 L, a surface area of 0.0255 m² and a hydraulic retention
105 time (HRT) in the range of 0.7-1.4 h. The primary settler effluent (from now on referred to as
106 primary effluent) was discharged into both HRAPs by means of two peristaltic pumps. Both HRAPs

107 operated at the same HRT during the whole experimental period. As suggested by García et al.
108 (2000), the theoretical HRT was modified over the year (8, 6 and 4 days) by regulating the flow
109 rates (120, 78.5 and 60 L/d for 4, 6 and 8 days of HRT, respectively) in accordance with the
110 weather conditions (i.e. solar radiation and temperature). In fact, these systems require longer HRT
111 in cold weather conditions with low solar radiation in order to accomplish wastewater treatment and
112 meet effluent quality requirements for discharge.

113 Each HRAP, built in PVC, had a surface area of 1.54 m², 0.3 m of water depth and a useful volume
114 of 0.47 m³. Continuous stirring of the mixed liquor avoided biomass sedimentation and assured
115 microalgae contact with sunlight. This was achieved by means of two paddle-wheels driven by an
116 engine (5 rpm) reaching a flow velocity of 10 cm/s in the mixed liquor. Biomass growing in the
117 HRAPs was harvested in two secondary settlers (one per each HRAP) with a useful volume of 3.1
118 L, a surface area of 0.013 m² and a critical settling velocity of 0.4, 0.25 and 0.2 m/h (HRT of 0.6, 1
119 and 1.2 h, respectively) depending on the HRT of the HRAPs. Around 1-1.5 L of harvested biomass
120 with a total solids concentration between 1-2% (w/w) (depending on the period of the year) were
121 purged from each settler every weekday.

122

123 **2.2 Biomass recycling**

124 In order to evaluate the influence of biomass recycling on the harvesting efficiency, microalgal
125 biomass production and wastewater treatment, biomass recycling was set-up in one HRAP, while
126 the other one was used as a control (from now on referred to as R-HRAP and C-HRAP,
127 respectively). Figure 1 shows a schematic diagram of the process in the R-HRAP line. In a previous
128 study by Park et al. (2011b), a constant volume of 1 L of harvested microalgal biomass was daily
129 recycled to a 8 m³ HRAP. In this previous study, the constant recirculation volume applied did not
130 take into account the variation of the solids concentration in the HRAP mixed liquor. From the data
131 presented by Park et al. (2011b), a recycling rate between 2-16% (dry weight) of the HRAP
132 microalgal biomass was inferred. Taking this range of values as reference, two different recycling

133 rates (2% and 10% dry weight) were tested in the present study, corresponding to a variable
 134 recycling flow rate. The recycling flow rate was calculated weekly following Eq. (1).

$$135 \quad V_R = \frac{\text{Recyclingrate} * (TSS_{HRAP} * V)}{TSS_{settler}}$$

136 Eq. (1)

137 Where V_R is the volume recycled daily (L); TSS_{HRAP} is the mixed liquor total suspended solids
 138 concentration inside the HRAP (mg/L); $TSS_{Settler}$ is the total suspended solids concentration of the
 139 biomass harvested in the secondary settler (mg/L) and V is the HRAP volume (L).

140 Due to biomass recycling, in the R-HRAP the solids retention time (SRT) was higher than the HRT,
 141 while the SRT and HRT were identical in the C-HRAP. The SRT of the R-HRAP was calculated by
 142 Eq. (2) according to Metcalf and Eddy (2003).

$$143 \quad SRT = \frac{V * TSS_{HRAP}}{(Q - Q_E + Q_P) * TSS_{HRAP} - Q_R * TSS_{Settler}}$$

144 Eq. (2)

145 Where Q is the primary effluent flow rate (L/d); Q_E is the evaporation rate (L/d) and Q_P is the
 146 precipitation rate (L/d); Q_R is the recycled flow rate (L/d); TSS_{HRAP} is the mixed liquor total
 147 suspended solids concentration inside the HRAP (mg/L); $TSS_{Settler}$ is the total suspended solids
 148 concentration of the biomass harvested in the secondary settler (mg/L) and V is the total volume of
 149 the HRAP (L).

150 The evaporation rate was calculated following Eq. 3.

$$151 \quad Q_E = \frac{E_p A}{7}$$

152 Eq. (3)

153 Where A is the surface area of the HRAP (m^2) and E_p is the potential evaporation between weekly
 154 samples (mm) which was calculated from Turc's formula (Eq. 4).

$$155 \quad E_p = a(R + 50) \frac{T}{T + 15}$$

156 Eq. (4)

157 where R is the average solar radiation in a week (cal/cm²d); T is the average temperature in a week
158 (°C); *a* is the dimensionless coefficient which varies depending on the time between samples. The
159 value of *a* for weekly samples is 0.091.

160 Solar radiation, air temperature and precipitation data were obtained from a nearby meteorological
161 station (Department of Astronomy and Meteorology, University of Barcelona,
162 <http://infomet.am.ub.es>).

163 To evaluate the biomass harvesting efficiency, the biomass recovery (%) was calculated following
164 Eq. 5.

$$165 \quad \text{Biomassrecovery}(\%) = \frac{TSS_{HRAP} - TSS_{Effluent}}{TSS_{HRAP}} * 100$$

166 Eq. (5)

167 Where TSS_{HRAP} is the mixed liquor total suspended solids concentration inside the HRAP (mg/L)
168 and TSS_{Effluent} is the total suspended solids concentration of the secondary settler effluent (mg/L).

169 The experiment was divided into four periods characterised by different HRTs (depending on the
170 season) and recycling rates: period 1 (HRT: 8 days, recycling rate: 2%), period 2 (HRT: 4 days,
171 recycling rate: 2%), period 3 (HRT: 6 days, recycling rate: 10%) and period 4 (HRT: 8 days,
172 recycling rate: 10%). The main operational parameters and primary effluent characteristics of the
173 HRAP systems with and without recycling are summarised in Table 1.

174

175 **2.3 Settling velocities distribution of microalgal biomass**

176 The settling velocities distribution of microalgal biomass from both HRAPs was studied by
177 means of a dynamic sedimentation test using a water elutriation apparatus. In this device biomass
178 flocs are washed out according to their relative density, volume and form, under dynamic
179 conditions. Microalgal biomass passes through three settling columns with increasing diameters (50
180 mm, 100 mm and 200 mm of nominal diameter for C1, C2 and C3 settling columns, respectively)
181 interconnected in series. For each test, 25 L of HRAP mixed liquor were poured to a continuously
182 stirred inlet tank (30 L) and then pumped through the columns. The flow rate in these tests was set

183 to 0.21 L/min in order to have a range of critical settling velocities (0.4 - 6.5 m/h) similar to those
 184 used in secondary settlers (0.7 – 1.3 m/h according to Metcalf and Eddy (2003)). The sample
 185 entered each column near the bottom and exited near the top. Note that the critical settling velocity
 186 decreased progressively in successive columns due to their gradual increase in column diameter,
 187 and therefore biomass flocs were retained in the different columns depending on their settling
 188 velocities. Flocs with a settling velocity equal to or higher than the critical settling velocity of a
 189 given column were retained in this column, while flocs with a settling velocity lower than the
 190 critical settling velocity escaped to the next column. Flocs with a settling velocity lower than the
 191 critical velocity of the third column escaped, and were therefore collected in a 30 L outlet tank.
 192 Consequently, the first column retained flocs with a settling velocity ≥ 6.5 m/h, the second one
 193 between 6.5 and 1.6 m/h, and the third one between 1.6 and 0.4 m/h. A detailed description of the
 194 apparatus and of the method can be found in Gutiérrez et al. (2015) and Gutiérrez et al. (2016).
 195 Four dynamic sedimentation tests (one per HRAP) were conducted: two with samples from period 2
 196 (recycling rate) and two (one per HRAP) with samples from period 4 (recycling rate 10%). At the
 197 moment of sedimentation tests in period 2, the total suspended solids concentration were similar for
 198 the R-HRAP and C-HRAP (230 mg TSS/L and 240 mg TSS/L, respectively). In contrast, higher
 199 differences were observed at the time of sedimentation tests in period 4, with solids concentration of
 200 420 mg TSS/L and 130 mg TSS/L for the R-HRAP and C-HRAP, respectively.

201

202 **2.4 Biomass production and characterisation**

203 Biomass production was quantified once a week based on the total suspended solids concentration
 204 (g TSS/m²d) of the mixed liquor collected from the two HRAPs and determined following Eq (6).
 205 Evaporation and precipitation rates were taken into account.

$$206 \quad \text{Microalgal biomass production} = \frac{TSS_{HRAP} \cdot [Q - Q_E + Q_P] - [TSS_{Settler} Q_R]}{A \cdot 1000}$$

207

Eq. (6)

208 where TSS_{HRAP} is the total suspended solids concentration of the mixed liquor HRAP (mg TSS/L);

209 Q is the primary effluent flow rate (L/d); Q_E is the evaporation rate (L/d); Q_P is the precipitation rate
210 (L/d); Q_R is the recycled flow rate (L/d); $TSS_{Settler}$ is the total suspended solids concentration of the
211 biomass harvested in the secondary settler (mg/L) and A is the surface area of the HRAP (m^2). The
212 term in brackets with asterisk was only taken into account for the R-HRAP (in this HRAP biomass
213 production was calculated by subtracting the recycled biomass not to overestimate the TSS
214 concentration of the R-HRAP).

215 To prove the recycling effect on population dynamics, two sampling campaigns were conducted for
216 microorganisms identification. The first campaign was conducted in periods 1 and 2 (2% recycling
217 rate) over 3 months, with 13 samples analysed. The second campaign was carried out in period 4
218 (10% recycling rate) over 3 months, with 11 samples analyzed. During these campaigns, 250 mL
219 samples were taken once a week from the mixed liquor of the HRAPs. From these samples,
220 microalgae species were identified and quantified. Other co-occurred microorganisms (ciliates and
221 rotifers) were also identified. Microalgae identification was carried out by optic microscope
222 examination (Motic BA310E, China), equipped with a camera (NiKon DS-Fi2) using the software
223 NIS-Elements Viewer. Microalgae *genus* were identified from classical specific literature (Palmer,
224 1962; Bourelly, 1966). For microalgae quantification, two replicates of 25 μ L of each well
225 homogenised sample were examined by bright and contrast phase microscopy using a Zeiss
226 microscope Axioskop 40. In each subsample, microalgae were counted *in vivo* at 100 and 400
227 magnification using coverslides of 20 mm side (Salvadó et al., 2004). Different methods were
228 conducted to quantify microalgae depending on the species. *Stigeoclonium* sp. (filamentous
229 microalgae) were quantified according to the intersection method developed by Salvadó (Salvadó,
230 in press). As for *Chlorella* sp. and diatoms, the aggregated flocs of these unicellular species were
231 broken down by means of an ultrasound technique (Abzazou et al., 2015).

232

233 ***2.5 Wastewater treatment efficiency***

234 Wastewater treatment performance was monitored during the whole year. Samples from the mixed

235 liquor of the two HRAPs as well as the primary effluent (influent of the HRAP) were weekly
236 collected and analysed for ammonium nitrogen (N-NH_4^+) and chemical oxygen demand (COD) to
237 evaluate the secondary treatment carried out by the HRAPs.

238 To evaluate the COD removal, samples of the primary effluent were analysed (without filtration)
239 obtaining the total COD (TCOD). On the other hand, samples of the HRAPs mixed liquor were
240 filtrated (glass fiber filters of 47 mm and average pore size 1 μm) in order to avoid the microalgae
241 contribution to the organic matter content, obtaining the soluble COD (SCOD). Total (TCOD) and
242 soluble (SCOD) were analysed according to Standard Methods (APHA-AWWA-WPCF, 2001) and
243 N-NH_4^+ was measured from filtered samples according to the Solorzano method (Solorzano, 1969).
244 All the analyses were undergone in triplicate and results are given as average values.

245

246 ***2.6 Statistical analysis***

247 The effect of biomass recycling on wastewater treatment performance, microalgal biomass
248 production and harvesting efficiency was evaluated by means of the Student's paired t test using
249 Minitab 17.0 software. $p=0.05$ was set as the level of statistical significance.

250

251 **3. Results**

252 ***3.1. Microalgal biomass harvesting***

253 *3.1.1. Biomass recovery*

254 Microalgal biomass concentration in the mixed liquor and in the effluent of secondary settlers from
255 both HRAPs, along with the calculated biomass recovery are shown in Figure 2. Mixed liquor
256 biomass concentration from the HRAPs varied over the year between 83-683 mg TSS/L for the R-
257 HRAP and between 47-489 mg TSS/L for the C-HRAP, respectively. Less variability was observed
258 in effluent concentrations, which varied between 8-54 mg TSS/L for the R-HRAP and between 11-
259 63 mg TSS/L for the C-HRAP. Average values of these concentrations and biomass recoveries for
260 each period are summarised in Table 2. The mixed liquor average biomass concentration in the R-

261 HRAP was higher than in the C-HRAP (30-459 mg TSS/L vs. 144-353 mg TSS/L, respectively).
262 Furthermore, the effluent biomass concentration from the R-HRAP settler (18-30 mg TSS/L) was
263 lower than in the C-HRAP settler (34-54 mg TSS/L), indicating higher average biomass recovery in
264 the R-HRAP (92-94%) than in the C-HRAP (75-89%).

265 When the recycling rate was 2%, the difference between the biomass recoveries of the R-HRAP and
266 C-HRAP decreased from 14% (period 1) to 4% (period 2) (Table 2). However, when the recycling
267 rate was increased to 10%, the difference between biomass recoveries of both HRAPs increased to
268 16% (period 4). Statistical analysis reported significant differences between biomass recoveries
269 ($p < 0.05$), highlighting the great influence of recycling on the harvesting efficiency of microalgal
270 biomass.

271

272 3.1.2. Biomass settling velocities distribution

273 Two sedimentation tests (one for each HRAP) were carried out in period 2 (Fig. 3a) and period 4
274 (Fig. 3b) in order to evaluate the effect of biomass recycling on the settling velocities distribution of
275 microalgal biomass. In Figure 3, each pair of bars refers to the microalgal biomass with a certain
276 settling velocity. By adding the percentages of the first two bars, the amount of biomass with
277 settling velocities ≥ 1.6 m/h was obtained. Results from period 2 (recycling rate: 2%, HRT: 4 days)
278 indicate that 80% of the biomass from the C-HRAP had settling velocities ≥ 1.6 m/h, while this
279 value was increased to 95% in the case of the R-HRAP (Fig. 3a). This means that the amount of
280 rapidly settling biomass increased when biomass recycling was applied. In period 2, the critical
281 settling velocity of secondary settlers of the pilot plant was 0.4 m/h. Therefore, the amount of
282 biomass recovered with settling velocities > 0.4 m/h (sum of the percentages of the first three bars)
283 obtained in the sedimentation test should be similar to the biomass recovery obtained in the
284 secondary settler (Table 2). When the sedimentation test was conducted (last week of June),
285 biomass recoveries of 98% and 90% were achieved in the secondary settler of the R-HRAP and C-
286 HRAP, respectively. Similarly, the microalgal biomass recovered with settling velocities > 0.4 m/h

287 in the sedimentation test was 98% and 93% for the R-HRAP and the C-HRAP, respectively, which
288 was close to the biomass recovery of secondary settlers.

289 On the other hand, sedimentation tests carried out in period 4 (recycling rate: 10%, HRT: 8 days)
290 showed that 86% of the biomass from the R-HRAP had settling velocities > 1.6 m/h, in contrast
291 with only 5% of the biomass from the C-HRAP (Fig. 3b). Considering settling velocities of 0.4 m/h,
292 36% of the biomass from the C-HRAP was recovered in comparison with 92% of the biomass from
293 the R-HRAP. This explains important differences in biomass recovery (16% in average) found in
294 period 4 when the recycling rate was 10%, as compared to period 2 (2% recycling rate) when the
295 difference between the biomass recoveries from both HRAPs was only 4%.

296

297 ***3.2 Microalgal biomass production and characterization***

298 *3.2.1. Microalgal biomass production*

299 Microalgal biomass production in both HRAPs is shown in Figure 4. Average values of biomass
300 production from each period are summarised in Table 2. The biomass production in both HRAPs
301 was not significantly different ($p>0.05$); therefore biomass recycling did not affect biomass
302 production. Seasonal biomass production variations were mostly related to changes in HRT and
303 weather conditions. As expected, higher biomass production was observed in periods with
304 favourable environmental conditions than in periods with adverse conditions. Therefore, in period 2
305 (summer) a high average biomass production of 25.8 g TSS/m²d was reached in both HRAPs, while
306 in period 4 (autumn and winter) the average biomass production decreased to 3.3 g TSS/m²d in the
307 R-HRAP and to 5.5 g TSS/m²d in the C-HRAP.

308 Similar results of biomass production were obtained by Park et al. (2011b) who operated an
309 experimental HRAP (8 m³) treating primary effluent, with recycling rates between 2 and 16% of
310 the HRAP microalgal biomass and CO₂ addition, under similar weather conditions (Hamilton, New
311 Zealand). They reported an annual average biomass production of 9.2 g VSS/ m²d and 10.9 g
312 VSS/m²d for the C-HRAP and the R-HRAP, respectively. Considering that in our study the TSS of

313 the HRAPs mixed liquor were predominantly organic (VSS/TSS ratio of 0.8-0.9), a similar biomass
314 production was attained here, reaching an average value of 10.4 g VSS/m²d (or 13 g TSS/m²d) in
315 both HRAPs. Except for the last period when the lowest production was registered, during the rest
316 of the year the microalgal biomass production ranged between 10.5 and 25.8 g TSS/m²d in both
317 HRAPs, falling into the range of 10 – 35 g TSS/m²d found in outdoor systems dominated by green
318 microalgae (Park and Craggs, 2010; Heubeck et al., 2007).

319

320 **3.2.2. Microalgal biomass characterization**

321 In this study, the most abundant species identified in both HRAPs was the green microalgae
322 *Chlorella* sp. (Figures 5 and 6). The diatoms *Nitzschia* sp. and *Navicula* sp., and the filamentous
323 green microalgae *Stigeoclonium* sp. were also present. Moreover, microalgae grazers like ciliate and
324 flagellate protozoa were continuously observed.

325 Even if the green unicellular microalgae *Chlorella* sp. was the dominant species over the whole
326 experiment (Figure 6), fluctuations in weather conditions (temperature and solar radiation) together
327 with changes of HRT, led to slight variations in microalgae populations abundance.

328 Concerning the influence of recycling on microalgae populations, in periods 1 and 2 (2% recycling
329 rate) the abundance of *Chlorella* sp. and diatoms was slightly different in the two HRAPs (Fig. 6a
330 and 6b), resulting in average values of 97.6% and 98.9% for *Chlorella* sp. and 0.74% and 0.84% for
331 diatoms, in the R-HRAP and the C-HRAP, respectively. On the other hand, *Stigeoclonium* sp.
332 abundance in the R-HRAP (1.64%) was slightly higher than in the C-HRAP (0.31%). When the
333 recycling rate was increased from 2% to 10%, higher differences were observed between systems
334 (Fig. 6c and 6d). Average percentages of *Chlorella* sp. of 88% and 96% were observed in the R-
335 HRAP and C-HRAP, respectively. In the same period, average percentages of diatoms of 7.3% and
336 4.1% were found in the R-HRAP and C-HRAP, respectively. Thus, higher recycling rates decreased
337 *Chlorella* sp. in favour of diatoms. Note that diatoms *Nitzschia* sp. and *Navicula* sp. are benthic
338 organisms linked to flocs; therefore their increase indicates a higher amount of flocs. Moreover,

339 during this period (10% recycling) *Stigeoclonium* sp. was detected only in the R-HRAP, reaching a
340 maximum abundance of 38% at the beginning of period 4.

341

342 **3.3 Secondary wastewater treatment**

343 Despite selecting different HRT according to the season, a high variability was observed in organic
344 matter removal efficiency in both HRAPs (Fig. 7). This was linked to the high variability of the
345 influent COD concentration over the experiment (100-800 mg O₂/L) (Table 1), which did not seem
346 to affect the effluent concentration (ranging between 50 and 70 mg O₂/L). Besides, a similar organic
347 matter removal was registered in both HRAPs. Altogether, COD removal efficiencies were 59-94%
348 for the R-HRAP and 56-93% for the C-HRAP, with an average COD removal of 80% in both
349 systems along the experiment.

350 Similar ammonium nitrogen removal was also observed in both HRAPs over the year (Fig. 8).
351 Influent N-NH₄⁺ concentrations ranged between 26 and 36 mg N-NH₄⁺/L, while effluent
352 concentrations were below 4.7 and 3.8 mg N-NH₄⁺/L in the R-HRAP and C-HRAP, respectively
353 (Table 1). In this case, an average N-NH₄⁺ removal of 95% was registered in periods 1 and 2 in both
354 HRAPs. Such a good performance was even enhanced in periods 3 and 4 with 99% removal in both
355 HRAPs. Statistical analysis showed that COD and N-NH₄⁺ removal efficiencies were not
356 significantly different ($p>0.05$) between the two HRAPs (with $p=0.82$ for COD removal efficiency
357 and $p=0.06$ for N-NH₄⁺ removal efficiency). These results are in accordance with those reported by
358 Park et al. (2011b), who obtained similar ammonium nitrogen removals (86-96%) with and without
359 biomass recycling.

360 In term of TSS concentrations in the HRAPs effluent, recycling had a positive effect due to the
361 higher biomass recovery previously mentioned. Indeed, only the effluent from the R-HRAP settler
362 (18-30 mg TSS/L) met the European urban wastewater treatment 91/271/EEC Directive (Council
363 Directive, 1991); while the C-HRAP (TSS concentrations ranging between 34 and 54 mg TSS/L)
364 always exceeded the limit of 35 mg TSS/L.

365 4. Discussion

366 In the present study, a great influence of biomass recycling on the harvesting efficiency of
367 microalgae was observed. When 2% of the HRAP biomass was recycled, the average increase in
368 biomass recovery was only 9%, with the highest abundance of *Chlorella* sp. (around 98% on
369 average). When recycling was increased to 10% of the HRAP biomass, the difference in biomass
370 recovery between the C-HRAP and R-HRAP increased to 17%, corresponding to 1) lower *Chlorella*
371 sp. abundance (74.7% on average), 2) higher abundance of *Stigeoclonium* sp. (up to 38%) and 3)
372 increase of diatoms abundance (from 0.7 to 7.3%) in the R-HRAP. *Stigeoclonium* sp. formed
373 macroscopical thalli in the form of flocs. Hence, the increase of recycling rate improved the
374 biomass recovery by increasing the presence of microalgae capable of forming macroscopical
375 structures (like *Stigeoclonium* sp.) or microalgae linked to flocs (diatoms). Indeed, the presence of
376 microalgae species with these properties, which settled more easily (e.g. *Stigeoclonium* sp.) has
377 been reported to have a significant influence on the harvesting efficiency (Kim et al., 2014; Van
378 Den Hende et al., 2014). In addition, Park et al. (2013a) studied similar systems with biomass
379 recycling and observed that the harvesting efficiency and biomass production were affected by
380 microalgae species selection and increased floc formation. Other studies also pointed out the
381 influence of specific strains on microalgal biomass harvesting efficiency (Gutiérrez et al., 2016; Su
382 et al., 2012).

383 As stated before, the presence of *Stigeoclonium* sp. (capable of forming macroscopical structures)
384 and diatoms (linked to flocs) led to the formation of larger sized algal colonies and/or algal/bacterial
385 aggregates in the culture, which increased the settling ability of microalgal biomass (Park et al.,
386 2013b). These algal/bacterial aggregates would have a lower surface area to volume ratio, leading to
387 a higher settling velocity. Large microalgal flocs composed by *Stigeoclonium* sp. (around 38%
388 dominance), *Chlorella* sp. and diatoms (>20µm) were identified in the R-HRAP, while less
389 compacted flocs of *Chlorella* sp. and diatoms, and some dispersed cells were observed in the C-
390 HRAP (Fig. 5). From this analysis, it was expected that microalgal biomass from the R-HRAP

391 would form larger algal/bacterial aggregates resulting in higher biomass settling velocities due to
392 the presence of rapidly settling species. Results from the sedimentation test when 10% of the
393 biomass was recycled confirmed this hypothesis. Indeed, it showed that 86% of the microalgal
394 biomass in the R-HRAP had settling velocities higher than 1.6 m/h when rapidly settling microalgae
395 species (e.g. *Stigeoclonium* sp. and/or diatoms) were identified. In contrast, only 5% of the
396 microalgal biomass in the C-HRAP had settling velocities higher than 1.6 m/h, when poorly settling
397 microalgae (e.g. *Chlorella* sp.) were found (Fig. 3b). Biomass recoveries obtained in secondary
398 settlers (0.2 m/h of settling velocity) in this period were 76% and 92% for the C-HRAP and the R-
399 HRAP, respectively, which was the highest difference between the two systems over the year.

400

401 **5. Conclusions**

402 This study showed the effect of two recycling rates of HRAP microalgal biomass (2 and 10% dry
403 weight) on the biomass harvesting efficiency, biomass production, microalgae species evolution and
404 secondary wastewater treatment in HRAPs. The following conclusions can be drawn from the
405 results:

- 406 - Biomass recycling had a positive effect on the harvesting efficiency enhancing the
407 biomass recovery in the R-HRAP to 92-94% (vs. 75-89% in the C-HRAP). Moreover,
408 recycling increased to 95% the amount of biomass with high settling velocities (>1.6
409 m/h).
- 410 - The green microalgae *Chlorella* sp. was the dominant species (>60% abundance)
411 overall the experimental period in the R-HRAP and C-HRAP systems. The highest
412 recycling rate (10%) decreased the dominance of *Chlorella* sp. by increasing diatoms
413 (7.4% on average in the R-HRAP) and *Stigeoclonium* sp. (16.8% on average, only
414 present in the R-HRAP).
- 415 - Biomass production varied within the range of 3.3-25.8 g TSS/m²d in the R-HRAP and
416 5.5-25.7 g TSS/m²d in the C-HRAP. Thus, microalgal biomass production was not

417 affected by recycling.
418 - Average COD and N-NH₄⁺ removals of 80% and 97% were achieved in both HRAPs
419 for secondary treatment of wastewater. However, the higher biomass recovery in the R-
420 HRAP reduced TSS effluent concentration to less than 35 mg/L, generating an effluent
421 suitable for water discharge.

422 On the whole, this study demonstrated that recycling can be an effective strategy to enhance
423 biomass harvesting (up to 94%) by selecting the most rapidly settling microalgae species without
424 compromising biomass production while enhancing the wastewater treatment performance.

425

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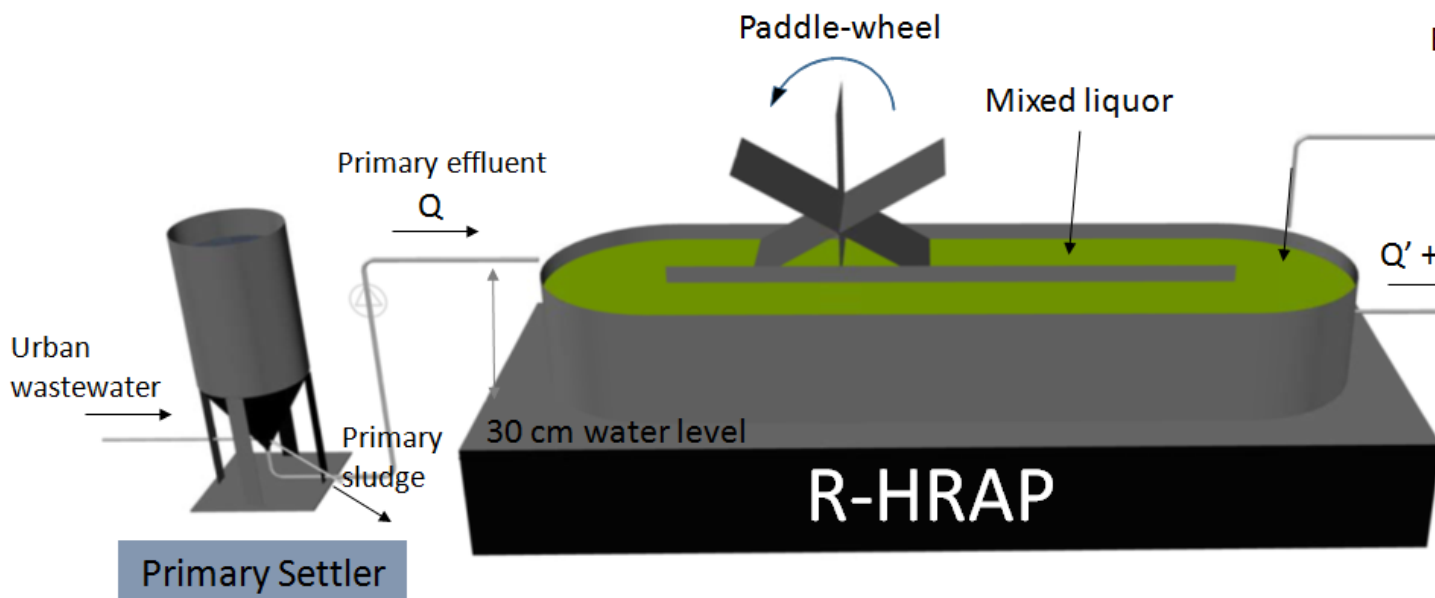
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520 Figure 1. Schematic diagram of the process line with recycling including the primary treatment

521 (primary settler) and the secondary treatment (high rate algal pond with biomass recycling (R-

522 HRAP) followed by a secondary settler). Q is the primary effluent flow rate (L/d), Q' is the flow

523 rate considering evaporation and precipitation (L/d), Q_R is the recycled flow rate (L/d) and Q_w is the

524 harvested biomass flow rate (L/d).

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527 Table 1. Environmental and operation parameters of the high rate algal ponds with and without
 528 biomass recycling. Average values (s.d.) of nutrient and organic matter concentration correspond to
 529 the primary effluent and biomass concentration of HRAPs mixed liquor samples taken at 12 PM.
 530 Temperature and solar radiation are average daily values (s.d.) of the period.

Parameter	Period 1 (Mar-Apr'14) <i>n</i> = 6	Period 2 (May-July'14) <i>n</i> = 12	Period 3 (Aug-Oct'14) <i>n</i> = 8	Period 4 (Nov-Mar'15) <i>n</i> = 13
Solar radiation (W/m ²)	398 (33)	446 (28)	355 (43)	234 (38)
Air temperature (°C)	15.8 (2.1)	22.5 (3.5)	23.7 (1.8)	13.1 (2.4)
Influent flow rate (L/d)*	58.9 (3)	117.3 (5)	78.8 (5)	60.4 (6)
Influent N-NH ₄ ⁺ (mg/L)	30 (7)	33 (5)	36 (9)	26 (6)
Influent COD (mg/L)	381 (150)	463 (200)	318 (181)	363 (190)
HRAP HRT (days)*	8.1 (0.4)	4.2 (0.6)	6 (0.5)	7.8 (0.8)
Recycling rate (%)**	2	2	10	10
SRT (days)**	8.6 (0.5)	4.5 (0.8)	39.9 (3.5)	52.9 (2.0)
Secondary settler HRT (hours)	1.2	0.6	1	1.2
Biomass concentration in the mixed liquor (g TSS/L)**	0.36 (0.12)	0.38 (0.13)	0.46 (0.83)	0.30 (0.11)
Harvested biomass concentration (g TSS/L)**	13.3 (13.3)	20.9 (11.5)	20.2 (7.2)	11.3 (10.6)
Recycled biomass flow rate (L/d)**	0.22 (0.19)	0.20 (0.15)	0.87 (0.16)	0.76 (0.27)

*Calculated considering evaporation and precipitation rates.

** Only for the high rate algal pond with biomass recycling (R-HRAP)

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549 (a)

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552 (b)

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555 Figure 2. Microalgal biomass concentration calculated from total suspended solids (TSS) in the
556 mixed liquor and in the effluent of secondary settlers from the high rate algal ponds with biomass
557 recycling (R-HRAP) (a) and control (C-HRAP) (b) over one year. Biomass recoveries are
558 represented by grey bars.

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560 Table 2. Biomass production and biomass recovery in the high rate algal pond with biomass
 561 recycling (R-HRAP) and the control high rate algal pond (C-HRAP). Average values (s.d.) from
 562 samples taken at 12 PM.

Parameter		Period 1 (Mar-Apr'14) <i>n</i> = 6	Period 2 (May-July'14) <i>n</i> = 12	Period 3 (Aug-Oct'14) <i>n</i> = 8	Period 4 (Nov-Mar'15) <i>n</i> = 13
HRAP mixed liquor	R-HRAP	359 (120)	379 (129)	459 (83)	301 (108)
biomass concentration (mg TSS/L)	C-HRAP	273 (94)	353 (73)	197 (69)	144 (47)
Secondary settler effluent	R-HRAP	23 (12)	25 (14)	30 (14)	18 (8)
biomass concentration (mg TSS/L)	C-HRAP	54 (59)	39 (17)	34 (12)	34 (13)
Biomass recovery (%) ¹	R-HRAP	93.0 (4)	92.6 (3)	94.2 (2)	91.9 (7)
	C-HRAP	78.7 (20)	88.9 (6)	78.9 (8)	75.8 (9)
Difference between the biomass recovery from both HRAPs (%)		14 (19)	4.6 (8)	15.2 (7)	16.0 (11)
Biomass recovery with settling velocities ≥ 0.4 m/h (%)*	R-HRAP	-	98	-	92
	C-HRAP	-	93	-	36
Microalgal biomass production (g TSS/m ² d) ²	R-HRAP	12.5 (3.8)	25.8 (10.7)	10.5 (4.5)	3.3 (1.7)
	C-HRAP	10.4 (3.6)	25.7 (6.9)	10.0 (3.5)	5.5 (1.8)

563 ¹*p*-value of 5exp-7

564 ²*p*-value of 0.909

565 *Biomass recovery from sedimentation tests calculated as the percentage of biomass of the mixed liquor of the R-
 566 HRAP and the C-HRAP with settling velocities ≥ to 0.4 m/h.
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577 (a)

$$v \geq 6.5 \quad 6.5 > v \geq 1.6 \quad 1.6 > v \geq 0.4 \quad v < 0.4$$

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580 (b)

$v \geq 6.5$ $6.5 > v \geq 1.6$ $1.6 > v \geq 0.4$ $v < 0.4$

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583 Figure 3. Settling velocities distribution of microalgal biomass from the high rate algal pond with
584 biomass recycling (R-HRAP) (brown columns) and the control high rate algal pond (C-HRAP)
585 (green columns). Samples from period 2 (2% recycling rate) (a) and period 4 (10% recycling rate)
586 (b).

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590 Figure 4. Microalgal biomass production in the high rate algal pond with biomass recycling (R-
591 HRAP) and the control high rate algal pond (C-HRAP).

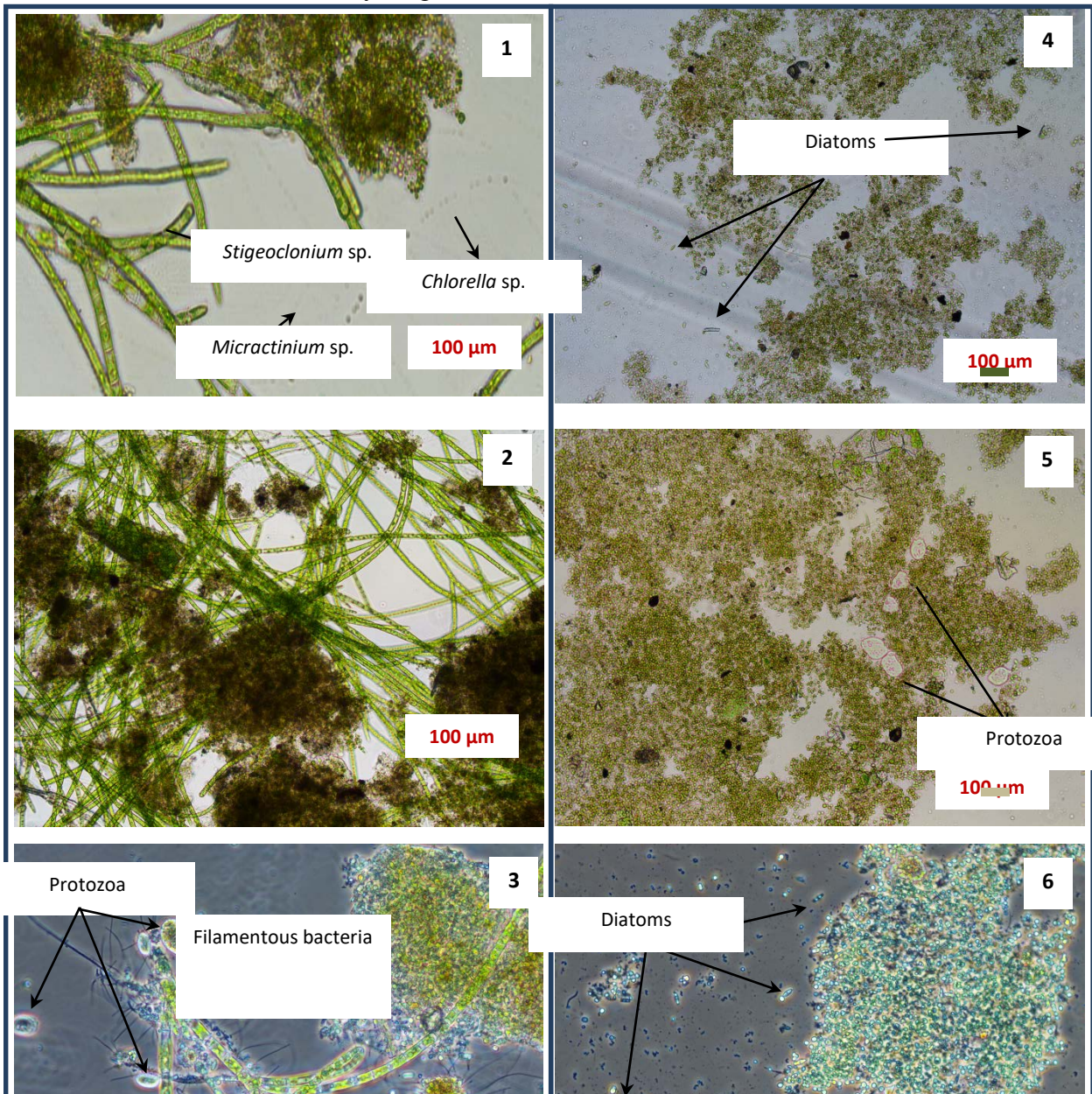
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(a) R-HRAP (with recycling)

(b) C-HRAP (control)



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650 Figure 5. Microscopic populations in the mixed liquor from samples taken in November (Period 4)
651 from the high rate algal pond with recycling (R-HRAP) (a) (1) *Chlorella* sp. (cells immersed in
652 flocs) and *Stigeoclonium* sp. (filamentous microalgae) and *Micractinium* sp. (2) *Chlorella* sp. (cells
653 immersed in flocs) and *Stigeoclonium* sp. (3) *Chlorella* sp. (cells immersed in flocs), *Stigeoclonium*
654 sp., filamentous bacteria and protozoa; and the control high rate algal pond (C-HRAP) (b) (4)
655 *Chlorella* sp. (cells immersed in flocs) and diatoms (5) *Chlorella* sp. (cells immersed in flocs) and
656 protozoa (6) *Chlorella* sp. flocs and some dispersed *Nitzschia* sp. and *Navicula* sp. diatoms.

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658 (c)
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(a)

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(b)

(d)

Figure 6. Dynamics of microalgae populations of the high rate algal pond with biomass recycling (R-HRAP) (a) (c) and the control high rate algal pond (C-HRAP) (b) (d) during 2% recycling rate (a) (b) and 10 % recycling rate period (c) (d).

690 (a)

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693 (b)

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697 Figure 7. COD (a) and N-NH₄⁺ (b) removal (%) in the HRAP with biomass recycling (R-HRAP)

698 and control HRAP (C-HRAP) and primary effluent NH₄⁺ (a) and COD (b) concentration (mg/L).