

On The Spectra of Hypertrees^{*}

L. Barrière¹, F. Comellas, C.Dalfó, and M.A. Fiol

*Departament de Matemàtica Aplicada IV
Universitat Politècnica de Catalunya²*

Abstract

In this paper we study the spectral properties of a family of trees characterized by two main features: they are spanning subgraphs of the hypercube, and their vertices bear a high degree of (connectedness) hierarchy. Such structures are here called binary hypertrees and they can be recursively defined as the so-called hierarchical product of several complete graphs on two vertices.

AMS classification: 05C50, 05C05.

Key words: Graph operation, Hierarchical product, Tree, Adjacency matrix, Spectrum.

1 Introduction

Many networks associated to real-life complex systems have a hierarchical organization which is useful in their communication processes. This hierarchical structure leads very often to the existence of nodes with a relatively high degree (known as hubs) and to a low average distance in the graph. The characterization of graphs with these properties has therefore attracted much interest in the recent literature, see for example [8] and references therein.

Some classical graphs also display a modular or hierarchical structure. Perhaps the best known example is the hypercube or n -cube which has useful

^{*} Research supported by the Ministry of Education and Science (Spain) and the European Regional Development Fund (ERR) under projects MTM2005-08990-C02-01 and TEC2005-03575.

Email addresses: lali@ma4.upc.edu (L. Barrière), comellas@ma4.upc.edu (F. Comellas), cdalfo@ma4.upc.edu (C.Dalfó), fiol@ma4.upc.edu (M.A. Fiol).

¹ Corresponding author. Tel. +34 934 137 217, Fax +34 934 137 007

² Campus Nord UPC - Ed. C3, c. Jordi Girona, 1-3, 08034 Barcelona (Spain)

communication properties: it is a minimum broadcast graph allowing optimal broadcasting and gossiping under standard communication models. However it has a relatively large number of edges and many of them are not used in these communication schemes as the communication paths usually conform to a spanning tree [6]. It is of interest to have graphs operations allowing the construction of these spanning trees. In [1] the authors introduce the hierarchical product of graphs which produces graphs with a strong (connectedness) hierarchy in their vertices. In fact, the obtained graphs turn out to be subgraphs of the cartesian product of the corresponding factors. Some well-known properties of the cartesian product, such as a reduced mean distance and diameter, simple routing algorithms and some optimal communication protocols are inherited by the hierarchical product. When all the factors are the complete graph K_2 , the resulting graph is a spanning tree of the hypercube. Another example of hierarchical product is the deterministic tree obtained by Jung, Kim and Kahng [7] which corresponds to the case when the factors are star graphs.

On the other hand, the study of the spectrum of a graph is relevant for estimating important structural properties, which provide information on the topological and communication properties of the corresponding network [3]. Among these properties, which usually are very hard to obtain by other methods, we have edge expansion and node-expansion, bisection width, diameter, maximum cut, connectivity, and partitions.

In this paper we study the spectral properties of a family of trees, which we call binary hypertrees, characterized by two main features: they are spanning subgraphs of the hypercube, and their vertices bear a high degree of (connectedness) hierarchy. The binary hypertree of dimension m , T_m , is defined as the hierarchical product [1] of m copies of K_2 . Among other properties, the hypertrees are shown to be good examples of graphs with distinct eigenvalues. This fact has some structural consequences, such as the Abelianity of its automorphism group [9]. Indeed, we show that the automorphism group of T_m is the symmetric group S_2 . This, together with the high degree of hierarchy of our family of trees, results in a number of nice properties of their spectra.

More precisely, because of the recurrence relation satisfied by the characteristic polynomial of T_m , every eigenvalue of a hypertree of a given dimension yields two eigenvalues of the hypertree of the next dimension. Consequently, there is a strong relationship between the eigenvalues and the eigenvectors of the hypertrees of different dimensions.

Concerning the eigenvalues of T_m , we study their asymptotic behavior and how they are distributed with respect to some intervals defined by the eigenvalues of $T_{m'}$, for $m' < m$. Finally, by using the techniques in [4,5], we compute the eigenvectors of T_m . The result is based on obtaining a charge distribution on

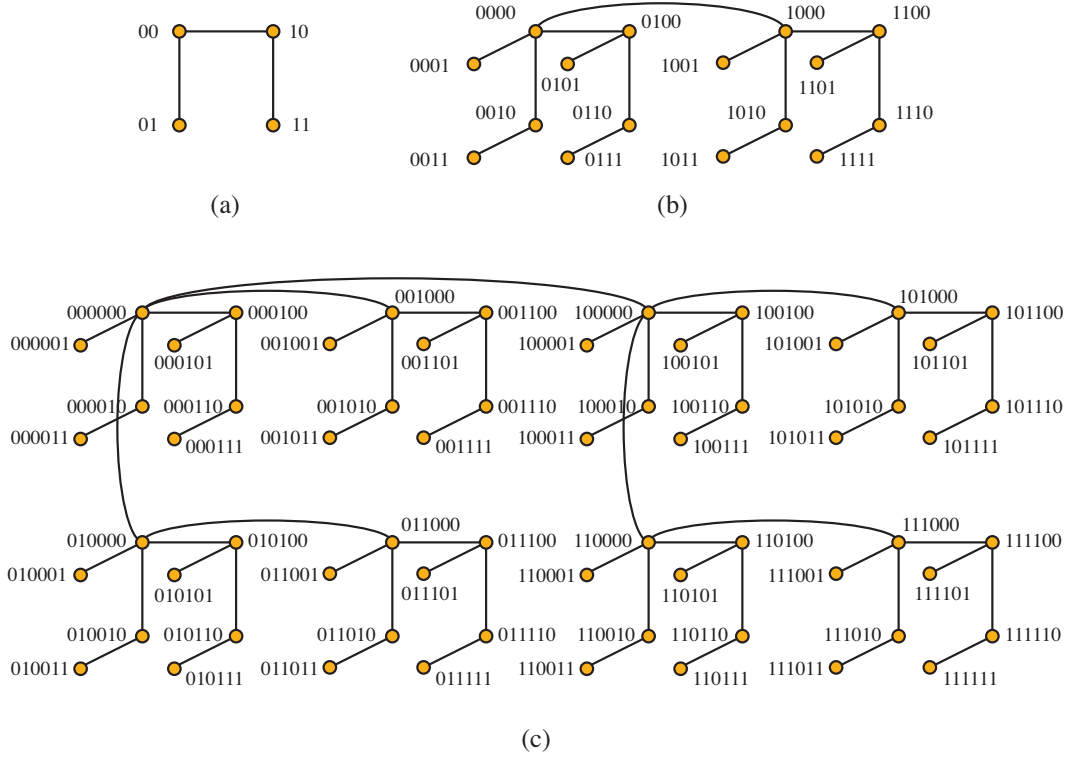


Fig. 1. The hypertrees $T_2 = K_2^2$, $T_4 = K_2^4$ and $T_6 = K_2^6$.

the vertices of T_m from a charge distribution on the vertices of T_{m-1} .

2 Definition and basic properties of the hypertree

A simple definition of the binary hypertree of dimension m , T_m , is as follows.

Definition 1 *Given an integer $m > 0$, T_m is the rooted tree with vertex set \mathbb{Z}_2^m and the adjacencies defined by the following rule: two vertices are adjacent if and only if their labels differ in exactly one position and the maximum common suffix is either empty or it contains only zeroes.*

The root of T_m is $\mathbf{0} = 00\dots 0$. (We naturally take $T_0 = K_1$.)

In fact, this definition is equivalent to consider the hierarchical product of m copies of K_2 . That is, $T_m = K_2^m = K_2 \square \dots \square K_2$, where the operator “ \square ” indicates this kind of product [1]. As an example, Fig. 1 shows the hierarchical products of two, four and six copies of the complete graph K_2 .

Since the graphs obtained from the hierarchical product are spanning subgraphs of the corresponding cartesian products, we have that T_m is a spanning subgraph of the hypercube Q_m . (Recall that Q_m has set of vertices \mathbb{Z}_2^m , and

two vertices are adjacent if and only if they differ in exactly one position.)

Every $\mathbf{i} = i_{m-1} \dots i_1 i_0 \in \mathbb{Z}_2^m$ can be viewed as the expression in base two, with fixed length m , of $i = \sum_{k=0}^{m-1} i_k 2^k$, with $i \in [0, 2^m - 1]$. In particular, we will consider the vertices of T_m labeled $\{0, 1, \dots, 2^m - 1\}$. In this sense, we use $\mathbf{i} = i$, provided that m is fixed. By convention, we take $\mathbb{Z}_2^0 = \{\emptyset\}$.

Let us now recall some basic properties of the hypertree T_m , which are drawn from a previous study of the authors [1] dealing with the hierarchical product.

- For every $m \geq 0$, the hypertree T_m has order $n = 2^m$ and size $2^m - 1$.
- $T_m = T_{m-1} \square K_2$ (as the hierarchical product has the associative property).
- $T_m^* = T_m - \mathbf{0} = \bigcup_{k=0}^{m-1} T_k$.
- $T_m - e$, where e is the edge $\{\mathbf{0}, 10^{m-1}0\}$, is isomorphic to the disjoint union of two copies of T_{m-1} . In fact, such copies of T_{m-1} are the subgraphs induced by the sets of vertices $V_0 = \{0\mathbf{w} | \mathbf{w} \in \mathbb{Z}_2^{m-1}\}$ and $V_1 = \{1\mathbf{w} | \mathbf{w} \in \mathbb{Z}_2^{m-1}\}$.
- T_m has 2 vertices of degree m and 2^{m-j} vertices of degree j , for $1 \leq j \leq m - 1$. Namely,
 - $\delta(\mathbf{0}) = \delta(10^{m-1}0) = m$;
 - $\delta(\mathbf{w}10^{j-1}0) = j$, for every $\mathbf{w} \in \mathbb{Z}_2^{m-j}$, for $1 \leq j \leq m - 1$.

With respect to the symmetries of the hypertrees, we have the following result:

Proposition 2 *For every $m \geq 1$ the automorphism group of T_m is S_2 .*

PROOF. Let $\phi : T_m \rightarrow T_m$ be defined by $\phi(0\mathbf{i}) = 1\mathbf{i}$ and $\phi(1\mathbf{i}) = 0\mathbf{i}$, for every $\mathbf{i} \in \mathbb{Z}_2^{m-1}$. We claim that $\text{Aut}(T_m) = \{Id, \phi\}$. Thus, we have to prove that ϕ is the only non-trivial automorphism of T_m .

Let us first show that ϕ is a T_m -automorphism. From its definition, it is clear that ϕ is an involutive bijection, that is, $\phi(\phi(v)) = v$ for every vertex v of T_m . Now, let u and v be two vertices of T_m . We have to show that if $u \sim v$ then $\phi(u) \sim \phi(v)$. With this aim, assume without loss of generality that u starts by a zero. If $u = \mathbf{0}$ and $v = 10^{m-1}0$, then $\phi(u) = v$ and $\phi(v) = u$. Otherwise, v also starts by a zero. By symmetry, we can take $u = 0\mathbf{w}0^{j-1}0$ and $v = 0\mathbf{w}10^{j-1}0$. In this case, $\phi(u) = 1\mathbf{w}0^{j-1}0$ and $\phi(v) = 1\mathbf{w}10^{j-1}0$, which are clearly adjacent in T_m .

Finally, we prove that ϕ is the only non-trivial T_m -automorphism by using induction on m . For $m = 1$, $T_1 = K_2$, and $\text{Aut}(K_2) = S_2$. Let $m > 1$. As mentioned above, $V_0 = \{0\mathbf{w} | \mathbf{w} \in \mathbb{Z}_2^{m-1}\}$ and $V_1 = \{1\mathbf{w} | \mathbf{w} \in \mathbb{Z}_2^{m-1}\}$ induce two disjoint subgraphs of T_m , isomorphic to T_{m-1} . We denote these subgraphs by $G_0 = G[V_0]$ and $G_1 = G[V_1]$. Assume that $\text{Aut}(T_{m-1}) = \{Id, \phi\}$, and let γ be a T_m -automorphism. Because of the degree sequence of T_m , either

- $\gamma(\mathbf{0}) = \mathbf{0}$ and $\gamma(10^{m-1} \mathbf{0}) = 10^{m-1} \mathbf{0}$, or
- $\gamma(\mathbf{0}) = 10^{m-1} \mathbf{0}$ and $\gamma(10^{m-1} \mathbf{0}) = \mathbf{0}$.

In the first case, γ maps G_0 and G_1 onto themselves. Moreover, the induced automorphisms let the root fixed. Hence, by induction hypothesis, $\gamma = Id$. In the second case, γ maps G_0 onto G_1 and G_1 onto G_0 . For every $\mathbf{i} \in \mathbb{Z}_2^{m-1}$, we define γ_0 and γ_1 in the following way:

- if $\gamma(0\mathbf{i}) = 1\mathbf{v}$ then $\gamma_0(\mathbf{i}) = \mathbf{v}$;
- if $\gamma(1\mathbf{i}) = 0\mathbf{w}$ then $\gamma_1(\mathbf{i}) = \mathbf{w}$.

It can be easily checked that γ_0 and γ_1 are both T_{m-1} -automorphisms that let the root fixed. By induction hypothesis, $\gamma_0 = \gamma_1 = Id$, which implies that $\gamma = \phi$. This completes the proof.

3 Spectral properties

The adjacency matrix of T_m is

$$\mathbf{A}_m = \begin{pmatrix} \mathbf{A}_{m-1} & \mathbf{I} \\ \mathbf{I} & \mathbf{0} \end{pmatrix}, \quad (1)$$

where the dimensions of each block are $2^{m-1} \times 2^{m-1}$, and its characteristic polynomial

$$\phi_m(x) = \det(x\mathbf{I} - \mathbf{A}_m) = \det \begin{pmatrix} x\mathbf{I} - \mathbf{A}_{m-1} & -\mathbf{I} \\ -\mathbf{I} & x\mathbf{I} \end{pmatrix}$$

satisfies the recurrence

$$\begin{aligned} \phi_m(x) &= \det((x^2 - 1)\mathbf{I} - x\mathbf{A}_{m-1}) \\ &= \det(x[(x - \frac{1}{x})\mathbf{I} - \mathbf{A}_{m-1}]) \\ &= x^{\frac{n}{2}} \phi_{m-1}(x - \frac{1}{x}), \end{aligned} \quad (2)$$

where we have used the result in [10]. (Recall that $n = 2^m$.)

3.1 Eigenvalues

Given a graph G on n vertices, we denote by $\text{ev } G$ the set of its eigenvalues in increasing order, say $\text{ev } G = \{\lambda_0, \lambda_1, \dots, \lambda_{n-1}\}$ (notice that this notation presumes that all eigenvalues are distinct). The recurrence equation satisfied by the characteristic polynomial of T_m gives rise to a number of spectral properties.

From (2) we have that, if $\lambda_{\mathbf{i}} \in \text{ev } T_{m-1}$, then both solutions of $x - \frac{1}{x} = \lambda_{\mathbf{i}}$ are in $\text{ev } T_m$. This equation is equivalent to

$$x^2 - \lambda_{\mathbf{i}}x - 1 = 0. \quad (3)$$

A useful notation for these solutions is $\lambda_{0\mathbf{i}}$ and $\lambda_{1\mathbf{i}}$ since, by using the functions

$$f_0(\lambda) := \frac{1}{2}(\lambda - \sqrt{\lambda^2 + 4}), \quad f_1(\lambda) := \frac{1}{2}(\lambda + \sqrt{\lambda^2 + 4}), \quad (4)$$

they can be computed as $\lambda_{0\mathbf{i}} = f_0(\lambda_{\mathbf{i}})$ and $\lambda_{1\mathbf{i}} = f_1(\lambda_{\mathbf{i}})$.

Moreover, by recursively applying these functions starting from $\lambda_{\emptyset} := 0$ (here \emptyset represents the empty sequence), we obtain the whole set of eigenvalues $\text{ev } T_m = \{\lambda_{\mathbf{i}} \mid \mathbf{i} \in \mathbb{Z}_2^m\}$ where, if $\mathbf{i} = i_{m-1}i_{m-2} \dots i_0$, then

$$\lambda_{\mathbf{i}} = (f_{i_{m-1}} \circ \dots \circ f_{i_1} \circ f_{i_0})(0). \quad (5)$$

This presentation provides a natural ordering, in such a way that the higher the number i (whose binary representation of length m is \mathbf{i}), the larger the eigenvalue $\lambda_{\mathbf{i}}$ [1]. As a direct consequence, all the 2^m eigenvalues are different, a property which has some far-reaching consequences. In particular, any automorphism of T_m is involutive [9]. With this regard, we have shown in Proposition 2 that $\text{Aut}(T_m) = S_2$.

As T_m is trivially bipartite, its eigenvalue mesh is symmetric [2] and hence, for every $\mathbf{i} \in \mathbb{Z}_2^m$,

$$\lambda_{\mathbf{i}} = -\lambda_{\bar{\mathbf{i}}}, \quad (6)$$

where $\bar{\mathbf{i}} (= n - i)$ denotes the ones' complement of \mathbf{i} (that is, the bitwise NOT operation). For instance, Fig. 2 shows the spectra of the hypertrees T_m for the cases $0 \leq m \leq 6$ and how every eigenvalue of T_{m-1} gives rise to two eigenvalues of T_m .

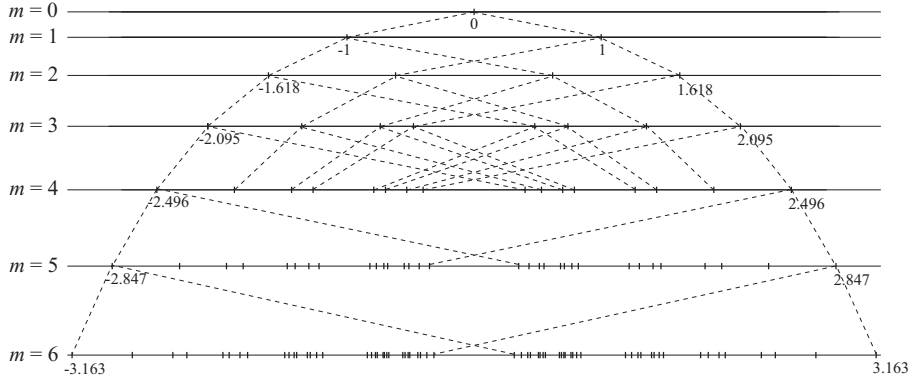


Fig. 2. The eigenvalue meshes of T_m for $0 \leq m \leq 6$.

It is shown in [1] that the asymptotic behaviors of the maximum eigenvalue (spectral radius), $\rho_m = \max_{0 \leq i \leq n-1} \{|\lambda_{\mathbf{i}}|\} = \lambda_{111\dots 1}$, and the minimum positive eigenvalue, $\sigma_m = \min_{0 \leq i \leq n-1} \{|\lambda_{\mathbf{i}}|\} = \lambda_{100\dots 0}$, of the hypertree T_m are:

$$\rho_m \sim \sqrt{2m}, \quad \sigma_m \sim 1/\sqrt{2m}. \quad (7)$$

Let us now consider the eigenvalues of T_m in decreasing order $\lambda_m^{(0)} > \lambda_m^{(1)} > \lambda_m^{(2)} > \dots$. That is, the first (0-th) eigenvalue is $\lambda_m^{(0)} = \lambda_{111\dots 1} (= \rho_m)$, the second (1-st) one is $\lambda_m^{(1)} = \lambda_{111\dots 10}$ and so on. In general, for any fixed integer $r > 0$, consider the r -th largest eigenvalue $\lambda_m^{(r)}$ of T_m , with $m \geq k = \lceil \log_2 r \rceil$. Let \mathbf{r} be the binary representation of r . Then k is the length of \mathbf{r} and $\lambda_m^{(r)} = \lambda_{111\dots 1\bar{\mathbf{r}}}$. In this context, as in the case of the spectral radius, a natural question is to ask about the (asymptotic) behavior of the sequence $\{\lambda_m^{(r)} = \lambda_{111\dots 1\bar{\mathbf{r}}}\}_{m \geq k}$.

Proposition 3 *For every fixed $r > 1$, let γ_m denote the r -th largest eigenvalue of T_m , that is, $\lambda_m^{(r)} = \gamma_m$. Then, the asymptotic behavior of γ_m is:*

$$\gamma_m \sim \sqrt{2m}.$$

PROOF. For $m \geq k$,

$$\gamma_{m+1} = f_1(\gamma_m) = \frac{1}{2}(\gamma_m + \sqrt{\gamma_m^2 + 4}).$$

This function tends to a power law, $\gamma_m \sim \alpha m^\beta$ for $m \rightarrow \infty$, for some constants α and β . Indeed, if we put this expression of γ_m in the equation, we get:

$$\alpha(m+1)^\beta \sim \frac{\alpha m^\beta + \sqrt{\alpha^2 m^{2\beta} + 4}}{2} \Rightarrow \alpha^2(m+1)^\beta [(m+1)^\beta - m^\beta] \sim 1.$$

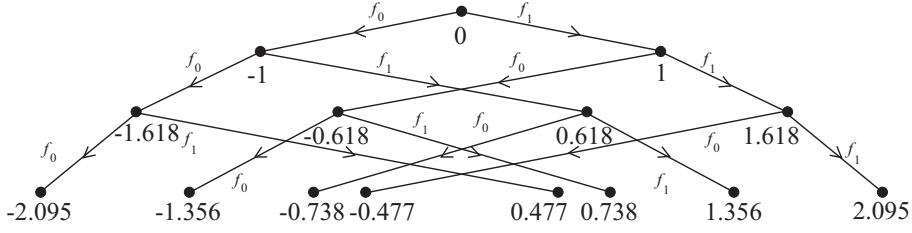


Fig. 3. The digraph of the eigenvalues of T_m .

It is easy to check that $\gamma_m = \sqrt{2m}$ is a solution when $m \rightarrow \infty$ since,

$$2(m+1)^{\frac{1}{2}}[(m+1)^{\frac{1}{2}} - m^{\frac{1}{2}}] = \frac{2(m+1)^{\frac{1}{2}}}{(m+1)^{\frac{1}{2}} + m^{\frac{1}{2}}} \rightarrow 1.$$

This solution corresponds to $\alpha = \sqrt{2}$ and $\beta = \frac{1}{2}$.

The following result is derived from the fact that $\lambda_{0\mathbf{i}}$ and $\lambda_{1\mathbf{i}}$ are the roots of the quadratic polynomial in (3), $x^2 - \lambda_{\mathbf{i}}x - 1 = 0$.

Lemma 4 For every $\alpha \in \mathbb{Z}_2$ and $\mathbf{i} \in \mathbb{Z}_2^{m-1}$,

$$\lambda_{0\mathbf{i}} + \lambda_{1\mathbf{i}} = \lambda_{\mathbf{i}}, \tag{8}$$

$$\lambda_{0\mathbf{i}}\lambda_{1\mathbf{i}} = -1, \tag{9}$$

$$\lambda_{\alpha\mathbf{i}}\lambda_{\alpha\bar{\mathbf{i}}} = 1, \tag{10}$$

$$\lambda_{\alpha\mathbf{i}} = \lambda_{\alpha\bar{\mathbf{i}}} + \lambda_{\mathbf{i}}. \tag{11}$$

PROOF. The two equalities (8) and (9) come from (3). Equality (10) is a consequence of (9) and the ‘‘symmetry property’’ (6).

From (8)–(10) we get

$$\lambda_{\mathbf{i}} = \lambda_{0\mathbf{i}} - \frac{1}{\lambda_{0\mathbf{i}}} = \lambda_{0\mathbf{i}} - \lambda_{0\bar{\mathbf{i}}} \Rightarrow \lambda_{0\mathbf{i}} = \lambda_{0\bar{\mathbf{i}}} + \lambda_{\mathbf{i}},$$

$$\lambda_{\mathbf{i}} = \lambda_{1\mathbf{i}} - \frac{1}{\lambda_{1\mathbf{i}}} = \lambda_{1\mathbf{i}} - \lambda_{1\bar{\mathbf{i}}} \Rightarrow \lambda_{1\mathbf{i}} = \lambda_{1\bar{\mathbf{i}}} + \lambda_{\mathbf{i}}.$$

As an illustration of the equality (8), see Fig. 3 (to be compared with Fig. 2).

In particular, by (11) the maximum $\rho_m = \lambda_{11\dots 1}$ and the minimum $\sigma_m = \lambda_{10\dots 0}$ absolute values in $\text{ev } T_m$ are inverse of each other, that is, $\rho_m\sigma_m = 1$, in agreement with (7).

Moreover, by applying recursively (11) we have that the sum of the first m minimum positive eigenvalues yields the spectral radius of T_m :

$$\rho_m = \lambda_{111\dots 1} = \lambda_{100\dots 0} + \lambda_{11\dots 1} = \dots = \sigma_m + \sigma_{m-1} + \dots + \sigma_1.$$

A more particular example could be the following:

$$\begin{aligned} \lambda_{10110} &= \lambda_{11001} + \lambda_{0110} \\ &= \lambda_{11001} + \lambda_{0001} + \lambda_{110} \\ &= \lambda_{11001} + \lambda_{0001} + \lambda_{101} + \lambda_{10} \\ &= \lambda_{11001} + \lambda_{0001} + \lambda_{101} + \lambda_{11} + \lambda_0. \end{aligned}$$

Now we concentrate on the distribution of the eigenvalues of T_m with respect to some intervals defined by the eigenvalues of $T_{m'}$, for $m' < m$. Let us first prove that all the eigenvalues are distinct, even if they belong to hypertrees of different dimensions.

Lemma 5 *For any pair of binary sequences $\mathbf{i} \in \mathbb{Z}_2^r$, $\mathbf{j} \in \mathbb{Z}_2^s$,*

$$\mathbf{i} = \mathbf{j} \iff \lambda_{\mathbf{i}} = \lambda_{\mathbf{j}}. \quad (12)$$

PROOF. The sufficiency is trivial by (5). With respect to the necessity, assume that we have $\lambda_{\mathbf{i}} = \lambda_{\mathbf{j}}$, for $\mathbf{i} = i_{r-1}i_{r-2}\dots i_1i_0$ and $\mathbf{j} = j_{s-1}j_{s-2}\dots j_1j_0$. Hence,

$$f_{i_{r-1}}(f_{i_{r-2}} \circ \dots \circ f_{i_1} \circ f_{i_0}(0)) = f_{j_{s-1}}(f_{j_{s-2}} \circ \dots \circ f_{j_1} \circ f_{j_0}(0)). \quad (13)$$

Then, as $f_0(x) = \frac{1}{2}(x - \sqrt{x^2 + 4}) < 0$ and $f_1(x) = \frac{1}{2}(x + \sqrt{x^2 + 4}) > 0$ for any x , it must be $i_{r-1} = j_{s-1}$ and hence, $f_{i_{r-2}} \circ \dots \circ f_{i_1} \circ f_{i_0}(0) = f_{j_{s-2}} \circ \dots \circ f_{j_1} \circ f_{j_0}(0)$. Following the same reasoning we get $i_{r-2} = j_{s-2}$ and $f_{i_{r-3}} \circ \dots \circ f_{i_1} \circ f_{i_0}(0) = f_{j_{s-3}} \circ \dots \circ f_{j_1} \circ f_{j_0}(0)$, and so on.

Now, we only need to show that $r = s$. Assume without loss of generality that $r > s$. Then, repeating s times the above process we will have $i_{r-1} = j_{s-1}$, $i_{r-2} = j_{s-2}, \dots, i_{r-s} = j_0$ and $f_{i_{r-s-1}} \circ \dots \circ f_{i_1} \circ f_{i_0}(0) = 0$. This contradicts the fact that, for every x and $i = 0, 1$, $f_i(x) \neq 0$. This completes the proof.

To consider the eigenvalues of the hypertrees of all possible dimensions, we need to consider \mathbb{Z}_2^* , that is, the union of \mathbb{Z}_2^m for all m (or the set of all the sequences over \mathbb{Z}_2).

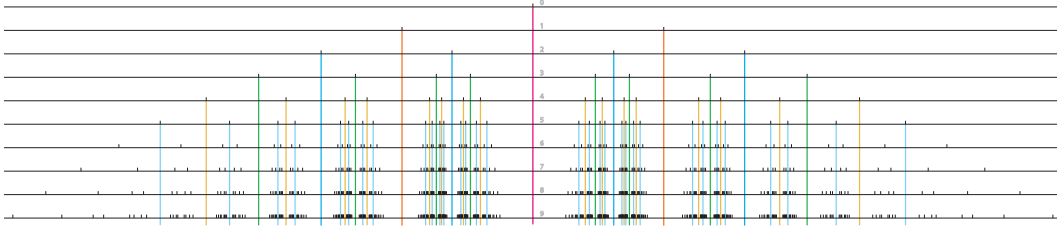


Fig. 4. The distribution of the eigenvalues of T_m , for $m = 0, \dots, 9$.

Definition 6 Let $\mathbf{i}, \mathbf{j} \in \mathbb{Z}_2^*$ and let \mathbf{w} be their (possibly void) maximum common prefix. We say that $\mathbf{i} <_T \mathbf{j}$ if and only if one of the following condition holds:

- (1) $\mathbf{i} = \mathbf{w}0\mathbf{i}_1$ and $\mathbf{j} = \mathbf{w}1\mathbf{j}_1$;
- (2) $\mathbf{i} = \mathbf{w}$ and $\mathbf{j} = \mathbf{w}1\mathbf{j}_1$;
- (3) $\mathbf{i} = \mathbf{w}0\mathbf{i}_1$ and $\mathbf{j} = \mathbf{w}$.

We say that $\mathbf{i} \leq_T \mathbf{j}$ if and only if $\mathbf{i} <_T \mathbf{j}$ or $\mathbf{i} = \mathbf{j}$.

Note that two different binary sequences could represent the same natural number. Hence, the relation $<_T$, which turns out to be a total ordering of \mathbb{Z}_2^* , is not equivalent to the natural order.

Definition 7 For any $\ell \geq 0$ and $\mathbf{w} \in \mathbb{Z}_2^\ell$, the \mathbf{w} -translation, $\tau_{\mathbf{w}}$, is the function

$$\tau_{\mathbf{w}} = f_{w_{\ell-1}} \circ \dots \circ f_{w_1} \circ f_{w_0},$$

where $\mathbf{w} = w_{\ell-1} \dots w_1 w_0$.

The functions f_0 and f_1 are both monotone increasing. This implies that, for every \mathbf{w} , $\tau_{\mathbf{w}}$ is monotone increasing. On the other hand, it is worth mentioning that, since $\tau_{\mathbf{w}}$ does not preserve distances, it is not a translation in a geometric sense.

In addition, we have the following lemma.

Lemma 8 For every $i = 0, 1$ and any arbitrary x, y ,

$$|f_i(x) - f_i(y)| < |x - y|. \quad (14)$$

PROOF. For $i = 1$, we can assume without loss of generality that $x < y$. Then,

$$f_1(x) < f_1(y) \quad \text{and} \quad f_1(y) - f_1(x) = \frac{1}{2} \left(y + \sqrt{y^2 + 4} - x - \sqrt{x^2 + 4} \right).$$

Now, we only need to notice that $\sqrt{y^2 + 4} - \sqrt{x^2 + 4} < y - x$, because

$$\begin{aligned}
(\sqrt{y^2+4}-\sqrt{x^2+4})(\sqrt{y^2+4}+\sqrt{x^2+4}) &= (y-x)(y+x) \\
&< (y-x)(\sqrt{y^2+4}+\sqrt{x^2+4})
\end{aligned}$$

This yields $|f_1(x) - f_1(y)| < |x - y|$. By a similar reasoning we get $|f_0(x) - f_0(y)| < |x - y|$.

We use the \mathbf{w} -translations and Lemma 8 to prove the following result. (See Fig. 4.)

Theorem 9 *The set of all the eigenvalues,*

$$\bigcup_{m=0}^{\infty} \text{ev } T_m = \{\lambda_{\mathbf{i}} \mid \mathbf{i} \in \mathbb{Z}_2^*\}$$

satisfies the following properties.

- (a) *For every $\mathbf{i}, \mathbf{j} \in \mathbb{Z}_2^*$, $\mathbf{i} <_T \mathbf{j}$ if and only if $\lambda_{\mathbf{i}} < \lambda_{\mathbf{j}}$.*
- (b) *The interval determined by two consecutive eigenvalues of dimension m , contains exactly 2^k consecutive eigenvalues of T_{m+k} , for $k \geq 1$.*
- (c) *The two successions $\{\lambda_{\mathbf{w}100.\mathbf{k}.0}\}_{\mathbf{k}>0}$ and $\{\lambda_{\mathbf{w}011.\mathbf{k}.1}\}_{\mathbf{k}>0}$ have both limit $\lambda_{\mathbf{w}}$.*

PROOF. The translation $\tau_{\mathbf{w}}$ maps the set

$$\{\lambda_{\mathbf{i}} \mid \mathbf{i} \in \mathbb{Z}_2^*\} = \{\lambda_{\emptyset}, \lambda_0, \lambda_1, \lambda_{00}, \dots\}$$

onto the set

$$\{\lambda_{\mathbf{w}\mathbf{i}} \mid \mathbf{i} \in \mathbb{Z}_2^*\} = \{\lambda_{\mathbf{w}}, \lambda_{\mathbf{w}0}, \lambda_{\mathbf{w}1}, \lambda_{\mathbf{w}00}, \dots\}$$

preserving the order. This implies that in (a) we can assume that \mathbf{i} and \mathbf{j} have no common prefix. And this together with Lemma 8 implies that in (c) we can assume that $\mathbf{w} = \emptyset$.

(a) If \mathbf{i} and \mathbf{j} have no common prefix, then $\mathbf{i} <_T \mathbf{j}$ if and only if one of the following conditions hold:

- $\mathbf{i} = 0\mathbf{i}_1$ and $\mathbf{j} = 1\mathbf{j}_1$;
- $\mathbf{i} = \emptyset$ and $\mathbf{j} = 1\mathbf{j}_1$;
- $\mathbf{i} = 0\mathbf{i}_1$ and $\mathbf{j} = \emptyset$.

The first condition is equivalent to $\lambda_{\mathbf{i}} < 0$ and $\lambda_{\mathbf{j}} > 0$. The second condition is equivalent to $\lambda_{\mathbf{i}} = 0$ and $\lambda_{\mathbf{j}} > 0$. Finally, the third condition is equivalent to $\lambda_{\mathbf{i}} < 0$ and $\lambda_{\mathbf{j}} = 0$. Hence, we have that if \mathbf{i} and \mathbf{j} have no common prefix, then $\mathbf{i} <_T \mathbf{j}$ if and only if $\lambda_{\mathbf{i}} < \lambda_{\mathbf{j}}$.

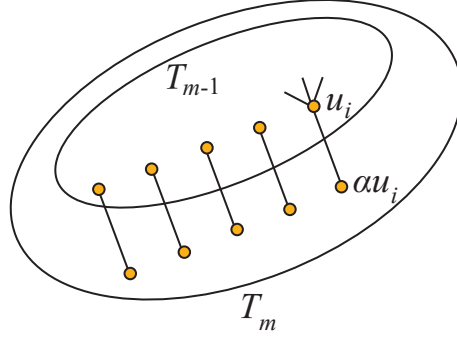


Fig. 5. The construction of the eigenvectors of T_m from the eigenvectors of T_{m-1} .

(b) Let $\mathbf{i} \in \mathbb{Z}_2^m$ and $\mathbf{j} = \mathbf{i} + 1 \in \mathbb{Z}_2^m$. Using (a) we only have to prove that

$$|\{\mathbf{w} \in \mathbb{Z}_2^{m+k} \mid \mathbf{i} <_T \mathbf{w} <_T \mathbf{j}\}| = 2^k.$$

By definition of $<_T$,

$$\{\mathbf{w} \in \mathbb{Z}_2^{m+k} \mid \mathbf{i} <_T \mathbf{w} <_T \mathbf{j}\} = \{\mathbf{i}1\mathbf{i}_1 \mid \mathbf{i}_1 \in \mathbb{Z}_2^{k-1}\} \cup \{\mathbf{j}0\mathbf{j}_1 \mid \mathbf{j}_1 \in \mathbb{Z}_2^{k-1}\}$$

whose cardinality is $2^{k-1} + 2^{k-1} = 2^k$.

(c) The result is a direct consequence of (7) and the fact that $\lambda_{100..k,0} = \sigma_k$, the minimum positive eigenvalue of T_k , and $\lambda_{011..k,1} = -\sigma_k$.

3.2 Eigenvectors

For any (di)graph, it is known that the components of its eigenvalues can be seen as charges on each vertex (see [4,5]). More precisely, suppose that $G = (V, A)$ is a digraph (a graph can be seen as a symmetric digraph where every edge $\{i, j\}$ is represented by two opposite arcs (i, j) , (j, i)) with adjacency matrix \mathbf{A} and λ -eigenvector \mathbf{v} . Then the charge of a vertex $i \in V$ is the corresponding entry v_i of \mathbf{v} , and the equation $\mathbf{A}\mathbf{v} = \lambda\mathbf{v}$ means that

$$\sum_{i \rightarrow j} v_j = \lambda v_i \quad \text{for every } i \in V. \quad (15)$$

That is, each vertex “absorbs” the charges of its out-neighbors to get a final charge λ times the one it had originally.

This approach allows us to compute the eigenvectors of T_m from the eigenvectors of T_{m-1} , as the next result shows.

Proposition 10 *Every $\lambda_{\mathbf{i}}$ -eigenvector $\mathbf{u}_{\mathbf{i}}$ of the hypertree T_{m-1} gives rise to*

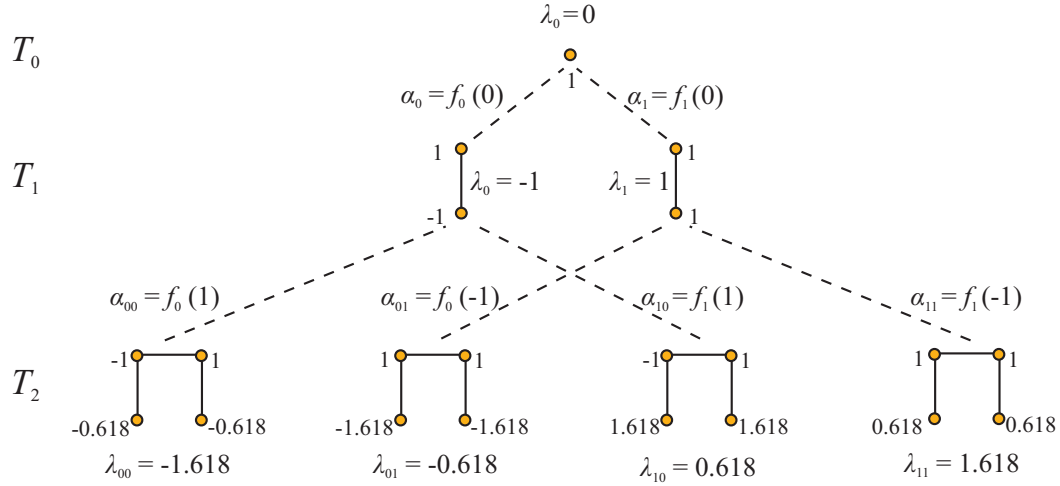


Fig. 6. The eigenvectors of the hypertrees T_0 , T_1 and T_2 .

the following eigenvectors of T_m :

$$\mathbf{u}_{0\mathbf{i}} = (\mathbf{u}_{\mathbf{i}}, \alpha_{0\mathbf{i}}\mathbf{u}_{\mathbf{i}})^\top, \quad \mathbf{u}_{1\mathbf{i}} = (\mathbf{u}_{\mathbf{i}}, \alpha_{1\mathbf{i}}\mathbf{u}_{\mathbf{i}})^\top, \quad (16)$$

where $\alpha_{0\mathbf{i}} = f_0(-\lambda_{\mathbf{i}})$ and $\alpha_{1\mathbf{i}} = f_1(-\lambda_{\mathbf{i}})$, with corresponding eigenvalues $\lambda_{0\mathbf{i}} = \alpha_{0\mathbf{i}}^{-1}$ and $\lambda_{1\mathbf{i}} = \alpha_{1\mathbf{i}}^{-1}$.

PROOF. The basic idea of the proof is shown in Fig. 5. From the eigenvector \mathbf{u} of T_{m-1} , we construct the eigenvector $\mathbf{u}' = (\mathbf{u}, \alpha\mathbf{u})^\top$ of T_m , for some α to be determined. Formally, let \mathbf{A} be the adjacency matrix of the binary hypertree T_{m-1} , such that $\mathbf{A}\mathbf{u} = \lambda_{\mathbf{i}}\mathbf{u}$. Then, the eigenvalue $\lambda'_{\mathbf{i}}$ of T_m corresponding to the eigenvector \mathbf{u}' satisfy

$$\begin{pmatrix} \mathbf{A} & \mathbf{I} \\ \mathbf{I} & \mathbf{0} \end{pmatrix} \begin{pmatrix} \mathbf{u} \\ \alpha\mathbf{u} \end{pmatrix} = \begin{pmatrix} \mathbf{A}\mathbf{u} + \alpha\mathbf{u} \\ \mathbf{u} \end{pmatrix} = \begin{pmatrix} (\lambda_{\mathbf{i}} + \alpha)\mathbf{u} \\ \mathbf{u} \end{pmatrix} = \lambda'_{\mathbf{i}} \begin{pmatrix} \mathbf{u} \\ \alpha\mathbf{u} \end{pmatrix} \quad (17)$$

whence

$$\lambda_{\mathbf{i}} + \alpha = \frac{1}{\alpha} \quad \Rightarrow \quad \alpha - \frac{1}{\alpha} = -\lambda_{\mathbf{i}}. \quad (18)$$

Notice that the last equation in (18) and the first one in (3) coincide except for the sign of $\lambda_{\mathbf{i}}$. Consequently, the possible values of α , denoted $\alpha_{0\mathbf{i}}$ and $\alpha_{1\mathbf{i}}$, are obtained by applying, respectively, the functions f_0 and f_1 in (4) to $-\lambda_{\mathbf{i}}$.

Observe that the above proof is based on obtaining a charge distribution in T_m from a charge distribution in T_{m-1} . Thus, the first equation in (18) corresponds to the two ways (depending on the type of vertex considered) of computing the new eigenvalue λ'_i by using (15).

By way of example, Fig. 6 shows how to obtain the eigenvectors of the (binary) hypertree T_m for $m = 0, 1, 2$.

References

- [1] L. Barrière, F. Comellas, C. Dalfó, and M.A. Fiol, The hierarchical product of graphs, *Discrete Appl. Math.*, submitted.
(Available at <http://hdl.handler.net/2117/672>.)
- [2] N. Biggs, *Algebraic Graph Theory*, Cambridge Univ. Press, Cambridge, 1974, second edition, 1993.
- [3] F.R.K. Chung, Diameters and eigenvalues, *J. Amer. Math. Soc.* 2 (1989) 187–196.
- [4] M.A. Fiol and M. Mitjana, The spectra of some families of digraphs, *Linear Algebra Appl.* 423 (2007) 109–118.
- [5] C.D. Godsil, *Algebraic Combinatorics*, Chapman and Hall, New York, 1993.
- [6] P. Fraigniaud, E. Lazard, Methods and problems of communication in usual networks, *Discrete Appl. Math.* 53 (1994) 79–133.
- [7] S. Jung, S. Kim, B. Kahng, Geometric fractal growth model for scale-free networks, *Phys. Rev. E* 65 (2002) 056101.
- [8] M.E.J. Newman, The structure and function of complex networks, *SIAM Rev.* 45 (2003) 167–256.
- [9] A. Mowshowitz, The group of a graph whose adjacency matrix has all distinct eigenvalues, *in* F. Harary ed., *Proof Techniques in Graph Theory*, Academic Press, New York, 1969, pp. 109–110.
- [10] J. R. Silvester, Determinants of block matrices, *Maths Gazette* 84 (2000), 460–467.