

Experimental study of the stability of pedestrians exposed to urban pluvial flooding

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Abstract: Populations in urban environments are extremely mobile throughout the day and in various weather conditions; accounting for this pedestrian mobility and security becomes of high importance. Research into the security and stability of the pedestrian environment under exposure to critical water flows provides an essential knowledge base with which the associated hazard unto them can be critically evaluated. This research seeks to analyse degrees of hazard in relation to persons exposed to high volume rain events in urban areas. Several human trials of critical urban flows were conducted in order to determine the stability limits of pedestrians, crossing through a water flow in a real scale physic model. Additionally, the critical first step from a dry footpath into fast flowing water is considered and an assessment of the tested subjects' emotional responses when entering and crossing flooded roadways was carried out. Results from this study are compared with various proposed human stability criteria as well as alternatives proposed in other written works. The presented study offers a stability threshold focused on shallow depths and high velocity conditions, the most common urban flooding conditions.

Keywords: Urban flood risk; hazard; drainage system; pedestrian stability.

1 **1. Introduction**

2 Runoff studies of urban networks focus primarily on the rainfall-runoff transformation and subsequent
3 evacuation of flows through drainage networks resulting in an excess overland flow. The removal of
4 surface runoff is assumed as an automatic process based on rating curves and capture potential; once in
5 the stormwater network the flows are no longer considered a risk to pedestrians. However, only a fraction
6 of overland flow can be captured by storm water grates, the rest remains as overland flow, posing a
7 potential hazard to urban constituents. With continued global urbanization, the volume of water and
8 number of persons impacted by uncontrolled storm water is much higher than previously expected
9 (Gómez and Russo 2011). Misunderstanding of the proper implementation of storm water grates has led
10 to improper positioning and spacing of these critical elements. Spatial density criteria of inlets have been
11 evaluated without consideration of inlets spacing's impact on potential flow interception.

12 When designing drainage systems, the dual drainage concept should be considered (Djordjevic
13 et al. 1999; Nasello and Tucciarelli 2005; Concha and Gómez 2009; Nanía et al. 2015). This concept is
14 includes the flow within the drainage pipe network as well as the circulation of runoff on the street level
15 which is dependent on storm grate type and spacing. When optimizing and planning drainage networks,
16 the flood hazard posed by this circulating flow requires careful consideration in order to minimize the
17 total hazard to which the urban population are exposed.

18 A consensus has been reached within the field of urban drainage and storm water management
19 that hazard can be assessed by accounting for the hydraulic variables resulting from storm events,
20 namely, water depth and velocity. To determine the overall risk of an estimated storm event, this hazard is
21 coupled with the vulnerability of exposed elements and the capacity of said element to withstand the
22 hazard. (Sanyal and Lu 2006; Van Drie et al. 2013; Russo et al. 2013b). These hydraulic variables will
23 prescribe a hazard level which can be used to evaluate potential impact on urban elements (i.e.
24 pedestrians, vehicles, properties, etc).

25 The studies of Russo (2009), provide a solid basis for the research addressed in this paper. The
26 study accounted for the hydraulic efficiency of grates to design the first iteration of an experimental
27 campaign to evaluate the stability of pedestrians when attempting to cross a flooded street under various
28 hydrodynamic conditions. These tests aimed to establish general hazard levels (low, medium or high) for
29 pedestrians when attempting a street crossing under various combinations of water depth and velocity.

30 This hazard classification would allow for a threshold to be established. Hydrodynamic conditions which
31 result in a low hazard posed to pedestrians should be allowed to occur in the urban environment while
32 medium and high hazard conditions should be more carefully considered and mitigated if possible.

33 The present research work is a second iteration of the original experiment begun by Russo
34 (2009). Similar trials were conducted as in the first trial but with varied classification of footwear, test
35 subject age and weight, visibility conditions, and use of hands. This study is complemented with surveys
36 completed by tests subjects after experiment participation to evaluate the emotional state and thoughts of
37 the subjects during the test scenarios. Questions were related to the adequacy of the tests from the
38 subject's perspective and to evaluate the perceived state of stability for each of the trials.

39 In order to give context to the research, a review of the state of the art in terms of stability
40 criteria for people exposed to water flows is presented. Secondly, a description of the experimental set-up,
41 including measurement techniques, experimental campaign and protocol adhered to, and variances in the
42 investigated pedestrian characteristics. Finally this paper presents the obtained results and an extensive
43 discussion of them by comparing with the results of other authors which lead to the proposed conclusions.

44 **2. Outline of the state of the art**

45 People's safety can be compromised when they are exposed to flows that impede their ability to remain
46 standing or to securely traverse a street or a natural stream. This issue has been intently studied over the
47 past decades in an attempt to identify the limits of human stability within different flow regimes. Several
48 numerical and laboratory-based experimental studies have been carried out to achieve these aims. There
49 is a broad agreement that the degree of flood hazard for pedestrians (defined as the conditions which
50 cause persons to be swept away) is primarily influenced by hydrodynamic properties, primarily velocity
51 (v) and depth (y). In order to express the hazard level in case of floods, several authors (Abt et al. 1989;
52 Reiter 2000) have proposed different relationships between these two parameters, and generally the
53 product of depth (m) times velocity ($m \cdot s^{-1}$) is in the range of 0.5-1.0. This can be clearly seen from the
54 heavy reliance of pedestrian stability on the relationship between velocity and flow ($v \cdot y$) (Russo et al.
55 2013a) and ($v^2 \cdot y$) (Nanía 1999) products, so it is clear the relevance of the flow velocity parameter on the
56 definition of hazard criteria. It seems that the velocity factor has a considerable influence on the
57 determination of hazard and therefore accurate portrayal of both water depth and velocity is required in
58 the production of meaningful hazard levels and interpretations.

59 However, many of the proposed and explored relationships between velocity and depth focus on
60 scenarios in which the water depth is quite large and velocity of flow is low. This is contrary to the
61 common occurrence in the urban paradigm where the depths are low and the velocities high. Therefore
62 these relations do not prove very useful for critical evaluation of urban pluvial flooding scenarios.

63 Over the last four decades several studies, experimental and theoretical, on flood hazard in
64 relation to the stability of persons have been conducted. The Australian Rainfall and Runoff Revision
65 Project 10: Appropriate Safety Criteria for People report (Cox et al. 2010) reviews and discusses previous
66 experimental investigations of human stability as well as theoretical formulations and safety guidelines. A
67 significant scattering of the data is observed within individual experimental data sets. This scattering and
68 lack of homogeneity in results is further compounded and exacerbated when results from various trials
69 conducted are aggregated.

70 Sliding and toppling are the two primary mechanisms leading to pedestrian instability. Toppling
71 is triggered by the moment induced by oncoming flow applying force to the pedestrian which exceeds the
72 stabilizing moment generated by the weight of the body (Abt et al. 1989). Sliding instability occurs when
73 the drag force induced by the horizontal flow is larger than the frictional resistance supplied by a person's
74 shoe and the ground surface (Nanía 1999).

75 To date, there are only few references regarding flooding hazard in urban areas (Clark County
76 Regional Flood Control District (CCRFCD) 1999; Nanía 1999; Agricultural and Resource Management
77 Council of Australia and New Zealand (ARMC) 2000; Kelman 2002; Russo 2009; Chanson and Brown
78 2013; Russo et al. 2013a; Xia et al. 2014a; Chanson et al. 2014; Xia et al. 2014b; Xia et al. 2015;
79 Chanson and Brown 2015). Generally, references deal only with floods in purely rural or coupled rural
80 and urban basins, thus leaving them unresponsive to the singularities that occur in a purely urban pluvial
81 flooding scenario. However, many of them are just as relevant to urban situations even if the word
82 "urban" is not included

83 A summary of state of the art in regard to studies investigating stability of people exposed to
84 water flows can be found in Tables 1 & 2. The first table is a collection of data from the early human
85 stability testing for children by Foster and Cox (1973) expanding to the latest experimental test carried
86 out by Russo (2009). The second table summarizes proposed stability criteria of some of the most
87 relevant theoretical studies as well as several guidelines and recommendations. The earliest stability
88 criteria presented is the Federal Emergency Management Agency (FEMA 1979) recommendation,

89 maximum depth ($y= 0.91$ m), maximum velocity ($v= 0.61$ m·s⁻¹), and a product ($v\cdot y$) of less than 0.56
90 m²·s⁻¹. The latest stability criteria is obtained from the Australian Rainfall & Runoff Guideline (Cox et al.
91 2010), differentiates the criteria according the characteristics of the population (e.g. for children a
92 maximum water depth of 0.5 and a product ($v\cdot y$) less than 0.4 m²·s⁻¹).

93 **3. Description of the laboratory and the experimental set-up**

94 The outdoor hydraulic laboratory of Technical University of Catalonia contains the physical model
95 implemented by Russo (2009) in the first investigative campaign into pedestrian stability during flood
96 conditions in urban environments. The physical model is of sufficient dimensions so that the scale effects
97 are avoided and test with human subjects can be easily conducted. The cross section of the model is
98 representative of a typical urban street crossing. A typical urban street crossing is classified in this case as
99 1.6 meters in width and length of 5 meters. It is possible to vary the longitudinal slopes of the model from
100 0 to 10% incline and the cross section contains a fixed 2% transverse slope, typical characteristics of
101 streets in most of cities. Aiming to carry out a realistic scenario, a sidewalk street interface was also
102 introduced, allowing for the first step from the sidewalk to the flooded street to be evaluated as well. The
103 inclusion of a curb allows greater realism in the tests and allows for an evaluation of the initial shock
104 registered by subjects when experiencing the sudden introduction of force upon entering the flooded
105 street from a dry sidewalk. Previous studies did not take into consideration this first steep which is often a
106 critical stage in the evaluation of the stability of pedestrians in both the experimental tests and real
107 situations. Figure 1 depicts the model cross section including dimensions; the higher curb is 15 cm depth
108 as is typical of most urban codes.

109 In order to obtain and maintain a steady, uniform flow over the entire cross-section, an upstream
110 regulation tank was constructed. The regulation tank's purpose also was to increase the accuracy of
111 proper depth and velocity measurements as well as recreating an urban runoff environment with as much
112 realism as possible. Figure 2 shows the physical model and a discharge of 300 l·s⁻¹ flowing throughout
113 the model road.

114 **4. Discharges, velocities and water depths on the physical model**

115 The discharges in the physical model are calculated by summing discharges registered at V-notch weirs
116 via the Kindsvater-Shen method (U.S. Department of the Interior. Bureau of Reclamation (USBR) 1997),

117 employing an effective discharge coefficient for partially contracted weirs (1),

$$118 \quad Q = 1.366 \cdot h^{5/2} \quad (1)$$

119 where Q ($\text{m}^3 \cdot \text{s}^{-1}$) is the discharge and h (m) is the hydraulic head over the weir crest.

120 Flows are generated by activation of a series of pumps. The capacity of each of three pumps
121 ranges from 105.49 l/s up to 544.84 l/s reached when all three pumps were activated. Velocity
122 measurement was accomplished via an ADV (Acoustic Doppler Velocimeter – Vectrino sidelooking)
123 device. Detailed velocity measurements were completed in the testing section (where the subjects crossed
124 the model) 74 cm upstream from the model outlet. Five velocity profiles were developed across the model
125 the testing section in order to have a clear longitudinal velocity field along the model. Those five profiles
126 were developed for 16 flow scenarios: result of the combination of 4, 6, 8 and 10% slopes and 300, 375,
127 450 and 550 l/s discharges. Each profile was the result of two minutes averages of instant velocities at
128 points measured every centimetre from the road bottom. An average velocity in the testing section was
129 related to each flow scenario, finally obtaining 16 average velocities. Figure 3 shows the ADV device set-
130 up in the testing section. Water depths were measured with a ruler next to the higher curb of the testing
131 section for each flow scenario. Before carrying out the experiments with the subjects all the
132 measurements (i.e. water depths and velocities) were taken for all the flow scenarios, resulting on 16 pairs
133 of velocities and water depths.

134 **5. Tests & pedestrian profiles**

135 The variables tested for in this experiment are not limited to only environmental parameters described in
136 section 4. They also factor in situational variables such as type of footwear being worn, visibility
137 conditions, and whether or not the pedestrian's hands are occupied. All pedestrian subjects were vested in
138 Gore-Tex survival trousers and a simple T-shirt to provide uniformity in the attire-clothing type thus
139 being excluded as a variable in this research. For every fixed discharge and model slope, each subject had
140 to carry out a testing protocol wearing different kinds of shoes (Figure 4), with the hands busy (Figure 8)
141 or free, and either good or bad visibility conditions (Figure 6c and 8).

142 An experiment was developed in order to determine the coefficient of friction provided by each
143 of the different shoe types used in the test. The trials were executed on the test surface used in the
144 stability experiment; both the shoe and the surface were wetted during the friction testing. Each type of

145 shoe was weighed down on the test surface; subsequently lateral force was applied through the use of a
146 spring weight measure until movement of the shoe was detected (Figure 5). The instant of movement
147 denotes the reached force is the friction force (F_R); consequently it is possible to obtain the friction
148 coefficient (μ) knowing previously the weight of the shoe. Thus the friction coefficient (μ) is obtained by
149 dividing the friction force (F_R) by the gravitational force ($F_g = M_z g$), being M_z the weight of the shoe and
150 g the acceleration of gravity. The results obtained are collected in Table 3.

151 Critical storm events do not occur in a regimented manner, they can occur at any time of day.
152 Further complicating this fact is the high degree of variability with which persons conduct various
153 activities within cities. Urban residents are not always prepared for storm events when they occur and can
154 be conducting themselves in a myriad of way. The combinations represented in this experiment are aimed
155 at representing a slice of the variety inherent in the collective demographic “pedestrian”. Thusly, the
156 differential in shoe types, whether carrying objects or not, and visibility attempts to include some
157 elements of the dynamics into the experiment and allow for discussion on this variability. A positive
158 correlation between the occurrence of unstable situations and the number of additional difficulties the
159 participants is subjected to be expected. Difficulties are considered as low comfort level of footwear, low
160 friction coefficient of footwear, poor visibility, and occupied hands during traversal.

161 In order to restrict the broad number of hydraulic combinations there were only taken into
162 account for discharges above 300 l/s, as well as slopes more than 4%, thus neglecting hydraulic
163 combinations with a low likelihood of instability according to the results of the experimental campaign of
164 Russo (2009). Contemplating finally only four discharges, four longitudinal model slopes and the
165 different wearing considerations (i.e. types of shoes, hands busy or free, and visibility conditions), there
166 were a total of 192 possible combinations for each tested subject. Experimental sessions of 48 tests per
167 each person were carried out, as a result of a fixed longitudinal model slope, four discharges and 12
168 wearing combinations per discharge.

169 The selection of pedestrian candidates focused on two main categories:

- 170 (1) People with physical characteristics (i.e. weight (P) and height (H)) which lead to a higher
171 likelihood of instability situations, according to the results of the first experimental campaign
172 (Russo 2009).

173 (2) Persons offering as broad and representative pedestrian sample as possible (i.e. variety of gender
174 and ages) while focusing on the most susceptible to instability within each demographic
175 delineation.

176 The final pedestrian test sample included 26 persons, constituted by 16 women, 5 men and 5 children
177 (under 15 years of age). The ages were represented from 6 to 55 years, weights from 37 to 71 kg, and the
178 heights from 1.32 to 1.73 m (Table 4).

179 6. Experimental campaign & test protocol

180 In this experimental campaign every test was carried out according to the test protocol proposed by Russo
181 (2009). The tested subject attempted move through the flows in three directions, transverse, diagonal and
182 longitudinal with respect to the main flow direction. Prior to attempting to walk in one of the three
183 directions, the protocol dictates that the subject must enter the flow from the dry sidewalk (0*) to the
184 flooded road (0) and then continuing wading in the three directions according the sketch shown in the
185 Figure 7. The section 0-1, as Figure 7 shows, correspond to the testing section where the water depths and
186 velocities were measured, located 0.74 cm upstream from the outlet of the model.

187 In accordance with Russo (2009), the hazard level classification of every experimental test was
188 carried out adopting the following criteria:

- 189 • **High hazard:** The tested subject lost stability completely.
- 190 • **Medium hazard:** The tested subject showed a great difficulty in carrying out the complete
191 protocol. The subject needed to make a great effort. Slowness, stumbles, slips and a loss of one
192 or both shoes were other issues to consider a case as a medium hazard.
- 193 • **Low hazard:** Small or inestimable instabilities were observed. The tested subject was able to
194 carry out the complete protocol without any inconvenience.

195 When classifying hazard level relative to each of the flow regimes, the subject's personal
196 feelings as to the hazard level were also assessed. The tests were carried out with certain degree of
197 randomness. Not all the test subjects carried out the same number of sessions or the same sequence of
198 discharges. In order to minimize the gaining of experience in manoeuvring through the flow (Abt et al.
199 1989), a reasonable period of time was allowed to elapse before the test subject was invited back for
200 subsequent rounds of testing.

201 **7. Results**

202 In a total of 2345 assessed test cases, the number of high hazard scenarios, determined through a
203 complete loss of stability, amounted to 38, 1.6% of the total cases. This may seem as a very low number
204 of cases, however, the assessment of a high hazard situation was very rigorous and only account for
205 instances where there was a complete loss of stability and fall (Figure 8). There were multiple instances
206 where subjects lost balance but were able to recover their footing and continue without having fallen.
207 These scenarios are classified as medium hazard as no fall occurred. Table 5 summarizes the assessed
208 cases according the level of hazard.

209 A breakdown detailing the conditions under which the High Hazard events occurred can be
210 found in Table 6. After scrutinizing the conditions present when a fall occurred, no clear correlation can
211 be made due to visibility condition, therefore it is assumed this is not a causal factor. The same
212 conclusion was achieved by Russo (Russo 2009). On the other hand, it is observed that most of the
213 instability cases (71.1%) were produced wearing flip-flops, which indicates sliding instability according
214 with the minimum friction coefficient determined experimentally for this kind of shoes ($\mu=0.44$).

215 In turn, the assessment of the level of hazard for every test case was complemented by including
216 surveys on the tested subject regarding their feelings during the tests. The aim was to evaluate the
217 adequacy of the tests and more especially to evaluate the stability feelings of every person under different
218 hydraulic conditions (water depths and velocities). The surveys were carried out immediately after every
219 experimental session for each tested subject through an online questionnaire. The percentage of
220 respondents to the survey was 59% (i.e. 34 answered surveys from a total number of 58 test sessions).
221 The answers regarding the main points are summarized and listed below:

- 222 (1) **Discomfort caused by the safety equipment:** All the respondents agreed that the comfort of the
223 safety equipment was good enough and it did not prevent to them from carrying out the tests
224 naturally.
- 225 (2) **Duration of the tests:** The tests duration was adequate according to most of the respondents,
226 thus the influence of fatigue is discarded.
- 227 (3) **Gaining experience:** All participants agree on the gaining of experience and increased ease of
228 passage as the sense of insecurity wanes in comparison to the first undertaken tests with each
229 subsequent passage.

- 230 (4) **Sense of security in respect of the different shoes, visibility conditions and hands busy or**
231 **free:** There was a great agreement on the flip-flops as the most insecure shoes. Nobody noticed
232 any substantial difference between wearing waterproof boots or flat-soled shoes/heeled shoes.
233 Interestingly, the correspondents placed a higher level of insecurity resulting from low visibility
234 over having their hands occupied holding item.
- 235 (5) **Protocol direction with most and less difficulties:** 100% respondents agree that the transverse
236 direction (0-1 way according Figure 7) was the most difficult to carry out.
- 237 (6) **First impression after entering the first foot into the water:** Nobody expected such a water
238 force even when the lowest of the discharges was flowing through the street model. Therefore,
239 the first step further from the dry sidewalk (0* according Figure 7) to the flooded road (0
240 according Figure 7) is a critical stage when a pedestrian tries to cross a flooded street.

241 8. Discussion & comparison with other authors

242 In this section the obtained results are analysed from the point of view of the stability limit of pedestrians
243 exposed to water flows according the hydraulic variables (i.e. water depth and velocity) of the street flow.
244 Furthermore, in order to analyse the differences between the instability threshold obtained in this
245 experimental campaign and the stability criteria proposed by others authors, both are represented
246 together.

247 In order to be able to perform a higher quality analysis, the results from Russo's (2009)
248 experiment are aggregated along with those obtained through this iteration of the experiment. In fact, one
249 of the driving forces behind this second iteration was to obtain a greater number of instability points,
250 focusing on subjects potentially more instable on the basis of acquired experience in the first campaign.
251 Both sets of points (i.e. pairs of water depth and velocity for every case), represented in the graph of the
252 Figure 9, define a lower limit function $(v \cdot y) = 0.22 \text{ m}^2 \cdot \text{s}^{-1}$. The most conventional stability criteria used in
253 literature $(v \cdot y) = 0.5 \text{ m}^2 \cdot \text{s}^{-1}$ as shown in Figure 9 (based on thresholds defined by Abt et al. 1989; T  mez
254 1992; G  mez 2008) is clearly not adequate. The security threshold obtained in this study $(v \cdot y) = 0.22$
255 $\text{m}^2 \cdot \text{s}^{-1}$ is a more appropriate threshold to assess the stability for pedestrians exposed to water flows in
256 urban areas. The presented study offers a revised limit to depth and velocity relationships for urban area
257 flooding. This revised level is assumed to be a product of the higher frequency of shallow depth and high

258 velocities found in urban zones as opposed to the high depth moderate velocity model which was used to
259 develop the original stability threshold.

260 In order to more clearly see the critical data, Figure 10 depicts the points of high hazard from
261 both the 2009 and current experiment for participants weighing less than 52 kg. The threshold functions
262 proposed by Témez (1992), Nanía (1999) and Gómez (2008) are also represented in the same graph. The
263 first stability criteria (Témez 1992) proposes a maximum velocity of $1 \text{ m}\cdot\text{s}^{-1}$, a maximum depth of 1
264 m and a function limit given by the product $(v\cdot y)= 0.5 \text{ m}^2\cdot\text{s}^{-1}$. The second one (Nanía 1999) is a slipping
265 instability criteria which considers a pedestrian weight of 50 kg and a friction coefficient of $\mu=0.5$, and its
266 function is given by the product $(v^2\cdot y)= 1 \text{ m}^3\cdot\text{s}^{-2}$. The last criteria (Gómez 2008) was carried out through
267 the toppling instability theoretical analysis proposing as a limit function the product $(v\cdot y)= 0.45 \text{ m}^2\cdot\text{s}^{-1}$,
268 and considering again a pedestrian weight of 50 kg.

269 The Témez (1992) criteria is excessively restrictive as all of the point of instability are found
270 considerable distance away from the threshold according to Figure 10. This criterion was originally
271 developed for floodplains where low velocities as 1 m/s, which is the maximum value proposed, are more
272 reasonable. However, in the urban paradigm, this velocity threshold is regularly exceeded reaching
273 greater velocity values. On the other hand, both the Nanía (1999) and Gómez (2008) criteria are not
274 appropriate since instability points are found in their proposed “safety” area (i.e. below limit function).

275 Following Abt *et al.* (1989) and Russo (2009), a specific analysis concerning the relation
276 between subject characteristics and the flow parameters was as well undertaken. Specifically, in the
277 studies of Abt *et al.* (1989) a relationship was developed to approximate the product number at which a
278 human subject would become unstable in flood flow conditions based upon the subject’s height and
279 weight. Twenty human subjects who ranged in weight (P) from approximately 40.9 to 91.4 kg and in
280 height (H) from 152 to 183 cm were tested. Subjects were subjected to flow velocities ranging from 0.36
281 to $3.05 \text{ m}\cdot\text{s}^{-1}$ and flow depths of 0.49 to 1.2 m. The stability tests were carried out over four types of
282 surfaces, concrete, turf, gravel and steel and establishing two flume slopes, 0.5 and 1.5%. As Abt *et al.*
283 (1989) proposed, in the Figure 11 is represented the square root of the product $(v\cdot y)$ versus the product
284 $(H\cdot P)$ for each situation of instability, considering only the minimum $(v\cdot y)$ product for each tested
285 subject. In the same graph the minimum stability point of the subjects tested over a concrete surface by
286 Abt *et al.* (1989) are presented as well. It is possible to observe an evident similarity in both studies
287 regarding the ascending tendency of the square root of the product $(v\cdot y)$ for greater values of the $(H\cdot P)$

288 product (Figure 11). However, the higher values of $(v \cdot y)$ in the study of Abt *et al.* (1989) focus on
289 toppling instabilities (i.e. higher water depths and lower velocities) in contrast to the slipping instabilities
290 of the present study (i.e. higher velocities and lower water depths).

291 Finally a comparison between the AR&R Guidelines stability criteria (Cox *et al.* 2010) and the
292 set of instability points obtained in both herein and Russo (2009) is carried out. This criterion is the result
293 of a review and discussion of previous experimental investigations of human stability (Foster and Cox
294 1973; Abt *et al.* 1989; Takahashi S. *et al.* 1992; Karvonen *et al.* 2000; Yee M. 2003; Jonkman and
295 Vrijling 2008) which instability points obtained in every study are represented in the graph of the Figure
296 12 and 13. In order to define safety limits which are applicable for all persons, hazard regimes are defined
297 for adults ($H \cdot P > 50 \text{ m} \cdot \text{kg}$) and children ($H \cdot P = 25 \text{ to } 50 \text{ m} \cdot \text{kg}$), according the product height (H) x weight
298 (P) of a person. The safety area for children is limited by the function $(v \cdot y) = 0.4 \text{ m}^2 \cdot \text{s}^{-1}$ and a maximum
299 depth of 0.5 m whereas the adults safety area is limited by the function $(v \cdot y) = 0.6 \text{ m}^2 \cdot \text{s}^{-1}$ and a maximum
300 depth of 1.2 m. For both the maximum velocity is $3.0 \text{ m} \cdot \text{s}^{-1}$ at shallow depths.

301 All the instability points from this test program are found in the proposed safety area for adults,
302 and even most of them below the limit function for children $(v \cdot y) = 0.4 \text{ m}^2 \cdot \text{s}^{-1}$. The criteria proposed by
303 the AR&R Guidelines are not so appropriate to evaluate the hazard for pedestrians exposed to common
304 urban pluvial flooding conditions included in this test program.

305 **9. Conclusions**

306 According to the brief review of the state of the present knowledge, a general consensus has
307 been established on the hydraulic variables that define the hazard posed to humans exposed to urban
308 storm flows. These variables are the flow depth and velocity as well as the relation between these two
309 factors through which several thresholds can be formulated.

310 The most common flows, low depth with high velocities, found during urban storm conditions
311 have been reproduced in a controlled laboratory setting through the use of a physical model. A sample of
312 26 subjects has been tested considering different conditions and exposure combinations (i.e. types of
313 shoes, hands busy or free, and visibility conditions). The lower function threshold for all the assessed
314 instability points is given by the product $(v \cdot y) = 0.22 \text{ m}^2 \cdot \text{s}^{-1}$, far below the conventional criterion of $(v \cdot y) =$
315 $0.5 \text{ m}^2 \cdot \text{s}^{-1}$. The representation of all the instability points together with some stability criteria proposed by
316 other authors and guidelines indicates that these criteria are not appropriate to assess the stability of a
317 pedestrian exposed to the typical urban pluvial flooding. The presented study offers a revised stability

318 threshold, which concentrates on acceptable levels when operating under low depth and high velocity
319 conditions, the most common conditions present when operating within the urban environment during
320 storm events. Also, new aspects such as the critical first step from a dry footpath into fast flowing water
321 and the assessment of subjects' emotional response and perceptions have been considered in the hazard
322 analysis.

323 Accounting for these factors, a more restrictive stability criterion for pedestrians in urban
324 paradigms is proposed. These results and recommendations should be taken into account by stakeholders'
325 policy-maker in order to have improved flood risk management in urban areas. In order obtain said
326 criterion, the results of this work will be an asset to urban drainage designers. If put into effects, these
327 limits will be of great importance in the design and re-development of run-off management features
328 within cities and highly developed areas in order to ensure the safety of inhabitants.

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421

422 Table 1. Experimental studies (adapted from Cox *et al.* (2010))

	(Foster and Cox 1973)	(Abt et al. 1989)	(Takahashi S. et al. 1992)	(Karvonen et al. 2000)	(Yee M. 2003)	(Russo 2009)
Year	1973	1989	1992	2000	2003	2009
Setup	Flume	Flume	Funnelled basin	Moving platform through basin	Flume	Platform simulating street channel
Surface	Painted timber	Concrete turf. Gravel and steel	Metal load cell	Steel grating	Painted timber	Concrete
Slope	Horizontal	1(V):115(H) and 1(V):38(H)	Horizontal	Horizontal	Horizontal	0, 2, 4, 6, 8, 10%
Subject Characteristics	Children (9-13 yrs)	Civilian adults with safety equipment	Adults	Rescue workers with safety equipment	Children	Civilian adults and children
Subject Action	Standing walking, turning and sitting	Standing, turning and walking	Standing	Standing, turning and walking	Standing, walking	Standing, walking, turning
Failure mechanism	Subject feels unsafe or loses footing	Subject loses footing	Subject loses footing	Subject loses footing	Subject feels unsafe or loses footing	Subject feels unsafe or loses footing
Number of subjects	6	20	3	7	4	23
Range of (y), (m)	0.09-0.41	0.43-1.2	0.44-0.93	0.4-1.1	0.18-0.53	0.11-0.16
Range of (v), (ms⁻¹)	0.76-3.12	0.82-3.05	0.58-2.0	0.6-2.6	0.89-2.12	1.17-3.17
Range of (v·y), (m²s⁻¹)	0.16-0.52	0.71-2.13	0.64-1.26	0.6-1.3	0.33-0.55	0.09-0.44
Range of (P·H), (kg·m)	32-53.2	62.3-172.8	106.6-133.6	77-195	20.8-32.5	49-104

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433 Table 2. Theoretical studies, recommendations and guidelines.

Source	Reference	y_{\max} (m)	v_{\max} (m/s)	Expression
Federal Emergency Management Agency (FEMA). The floodway: a guide for community permit officials. (USA)	(Federal Emergency Management Agency (FEMA) 1979)	0.91	0.61	$(v \cdot y) \leq 0.56$
Human Stability in a High Flood Hazard Zone. AWRA Water Resources Bulletin. (USA)	(Abt et al. 1989)	1.2	3.05	$0.71 \leq (v \cdot y) \leq 2.13$
Control del desarrollo urbano en las zonas inundables (España)	(Témez 1992)	1.00	1.00	$(v \cdot y) \leq 0.5$
Basin Plan for the hydraulic and hydro-geological protection of the land (Italia)	(Regione Liguria. Autorità di Bacino Regionale. Ambito di Bacino No. 7 1993)	0.30-0.70	1.00 – 2.00	-
Clasificación de presas en función del riesgo potencial (España)	(Ministerio de Medio Ambiente de España 1996)	0.00 – 1.75	0.00 – 7.00	-
Clark County Regional Flood Control District (CCRFCD). Hydrological criteria and drainage design manual. Clark County (USA)	(Clark County Regional Flood Control District (CCRFCD) 1999)	0.30	-	$(v \cdot y) \leq 0.55$
Doctoral Thesis of Nanía, 1999. Technical University of Catalonia.	(Nanía 1999)	-	1.00	-
Agricultural and Resource Management Council of Australia and New Zealand (ARMC). Floodplain Management in Australia (Australia and New Zealand).	(Agricultural and Resource Management Council of Australia and New Zealand (ARMC) 2000)	1.20 – 1.50	1.5	-
EU-Project RESCDAM. Helsinki PR Water Consulting (Finland)	(Reiter 2000)	-	-	$0.25 \leq (v \cdot y) \leq 0.7$
Curso de Hidrología Urbana. Universitat Politècnica de Catalunya.	(Gómez 2008)	-	-	$(v \cdot y) \leq 0.45$
Doctoral Thesis of Kelman. University of Cambridge	(Kelman 2002)	1.25	5.00	-
Flood risks to people: Phase 1. R&D Technical Report FD 2317.				
Flood risks to people: Phase 2. R&D Technical Report FD 2321.	(Ramsbottom et al. 2006)	-	-	$HR = y \cdot (v + 0.5) + DF$
Department of the Environment, Food and Rural Affairs and Environment Agency, London				
Risques Hydro-météorologiques, crues et inondations/ risqué, aléa et	(Belleudy 2004)	0.00 – 1.00	0.25 – 1.00	-

Source	Reference	y_{\max} (m)	v_{\max} (m/s)	Expression
vulnérabilité/ DDS-TUE364/9 (Switzerland)				
Floodplain Development Manual. The management of flood liable land	(Department of Infrastructure Planning and Natural Resources. New South Wales Government 2005)	2.00	2.00	-
PICBA06: Pla Integral de Clavegueram de Barcelona 2006 (España)	(Clavegueram de Barcelona S.A. (CLABSA) 2006)	0.06	1.5	-
Australian Rainfall and Runoff. Project 10. Report for the Appropriate Safety Criteria for People.	(Cox et al. 2010)	1.2 (adults) 0.5 (children)	3.2	$(v \cdot y) \leq 0.40$ (children) $(v \cdot y) \leq 0.60$ (adults)

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435 Table 3. Friction coefficients between shoes soles-terrain obtained experimentally.

Type of shoe	weight (g)	F_R (g)	μ
Heeled	208.0	110.0	0.53
Flip-flop	68.0	30.0	0.44
Flat	270.0	185.0	0.69
Waterproof boot	434.0	250.0	0.58

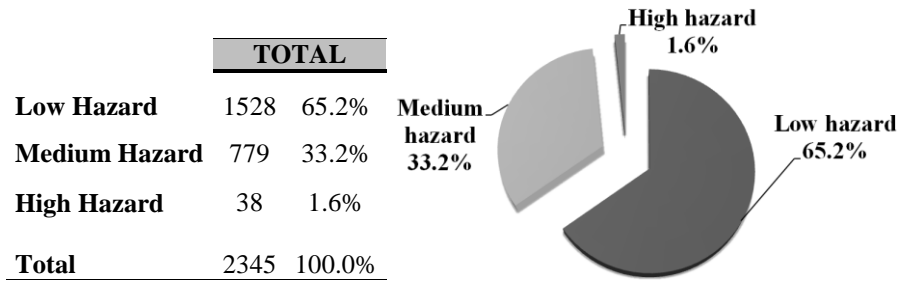
436

437 Table 4. Characteristics of the tested subjects.

Id.	Gender	Age	Mass [kg]*	Height [m]	Mass x Height [kg·m]
1	Female	21	52	1.57	81.64
2	Male	30	56	1.70	95.20
3	Female	33	56	1.59	89.04
4	Female	29	65	1.67	108.55
5	Female	37	61	1.65	100.65
6	Female	30	58	1.69	98.02
7	Female	20	58	1.70	98.60
8	Female	23	61	1.58	96.38
9	Female	21	51	1.61	82.11
10	Female	32	63	1.66	104.58
11	Female	55	65	1.70	110.50
12	Male	30	69	1.65	113.85
13	Male	37	67	1.69	113.23
14	Female	24	53	1.61	85.33
15	Male	15	48	1.69	81.12
16	Male	13	53	1.71	90.63
17	Male	11	68	1.71	116.28
18	Female	24	48	1.65	79.20
19	Male	22	71	1.57	111.47
20	Female	39	55	1.62	89.10
21	Male	9	42	1.49	62.58
22	Male	6	37	1.32	48.84
23	Female	33	61	1.73	105.53
24	Female	29	59	1.68	99.12
25	Male	29	66	1.66	109.56
26	Female	24	52	1.50	78.00

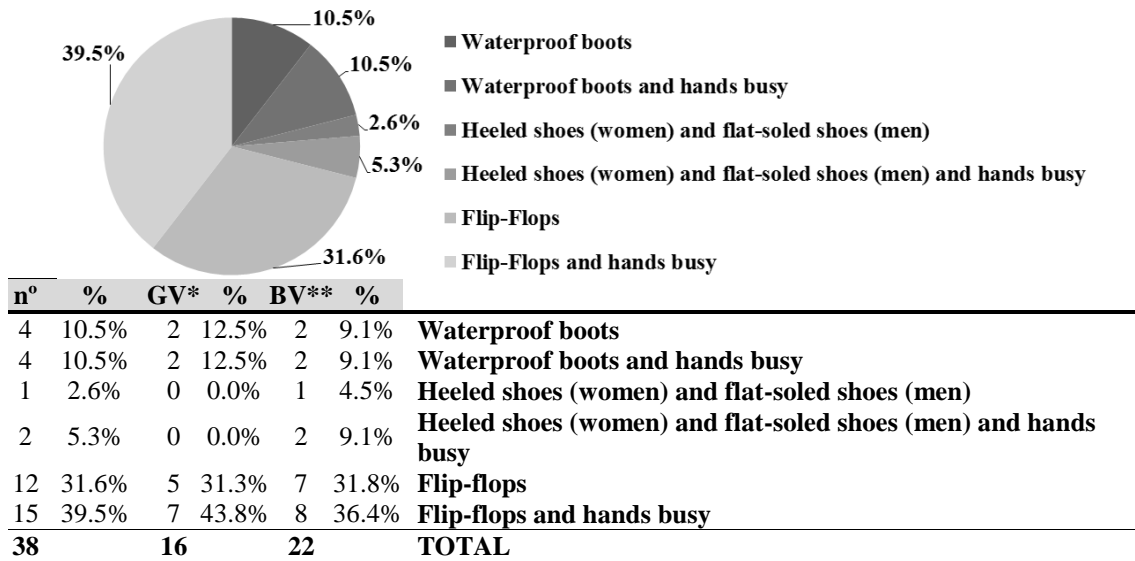
438 *3kg added to the subject's weight because of the security equipment weight

439 Table 5. Experimental tests classification according to the level of hazard.



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441 Table 6. High hazard evaluations divided according study cases.



442 * Good Visibility / ** Bad Visibility

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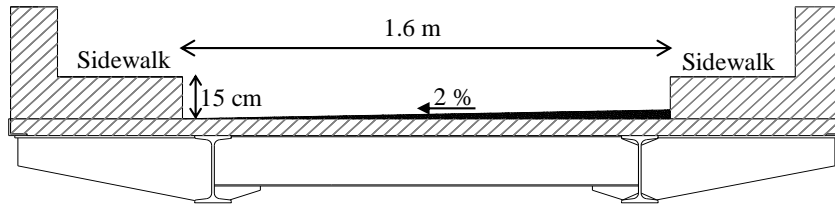
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456 Figure 1. Cross section dimensions of the physical model



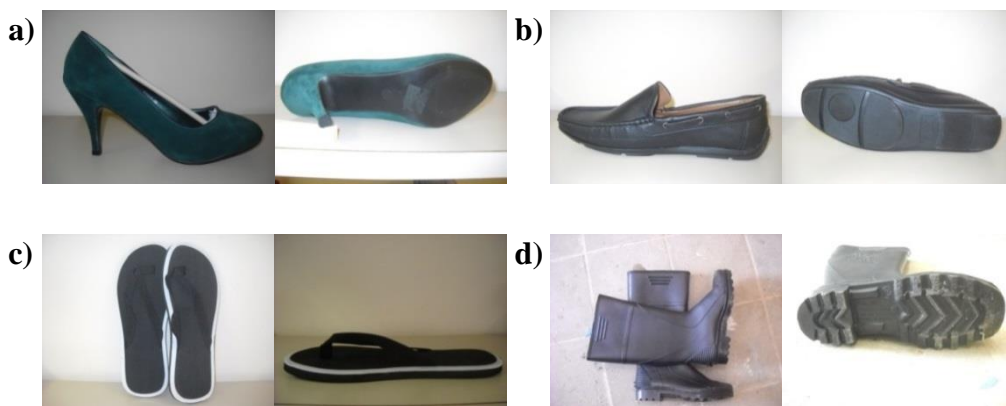
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458 Figure 2. Physical model. Uniform flow entrance with a discharge of 300 l/s.



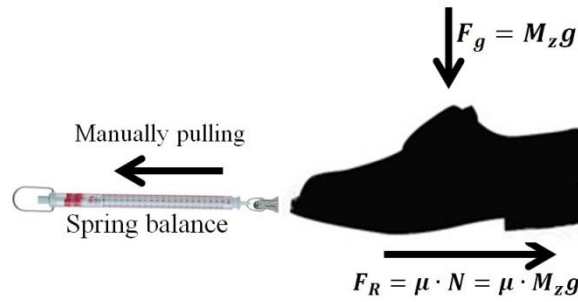
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460 Figure 3. ADV set-up in the physical model



461 Figure 4. Types of shoes employed on the tests; a) heeled shoes (women), b) flat-soled shoes (man), c)

462 flip-flops, d) waterproof boots

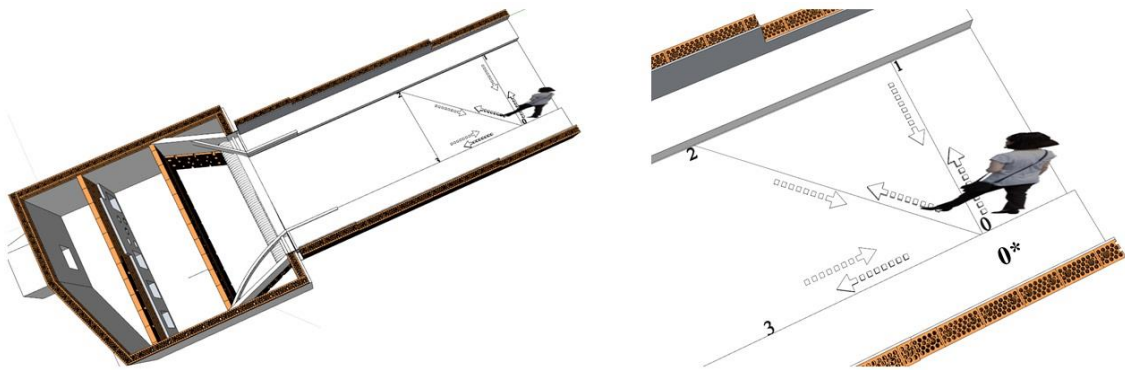


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464 Figure 5. Obtaining the friction coefficient between shoe sole-terrain through a spring balance



465 Figure 6. Elements wore for the tested people; a) safety helmet, b) safety harness and c) glasses to
466 decrease visibility



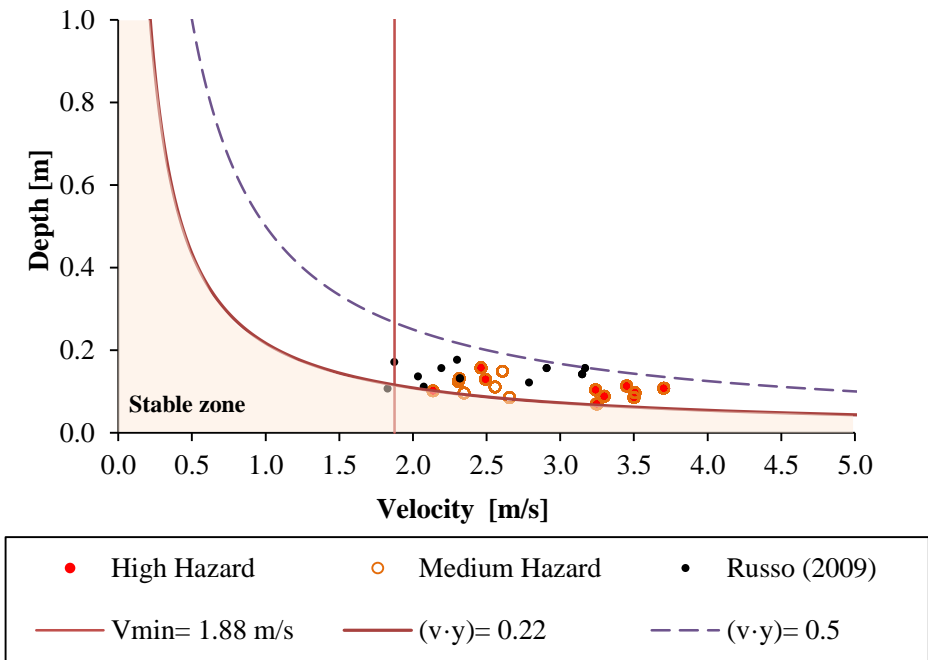
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468 Figure 7. Test protocol sketch

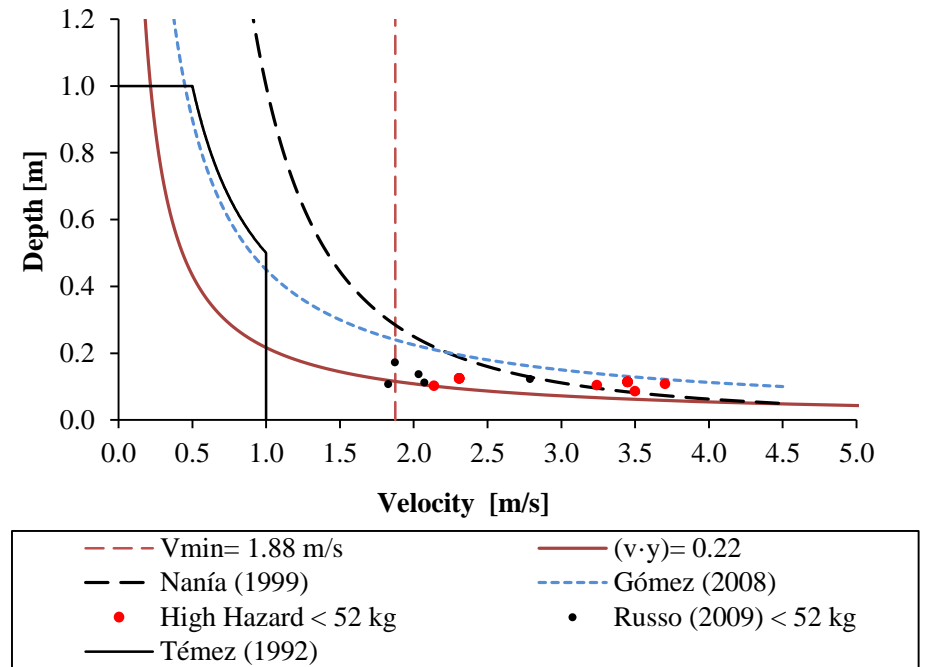


Id:	14
P*H (kg·m):	85.33
Height H (m):	1.61
Mass P (kg):	53
Age (years):	24
Combination:	6
Visibility:	Good
\bar{y} [m]:	0.069
y_{max} [m]:	0.085
v [m/s]:	3.50
Id:	24
P*H (kg·m):	99.12
Height H (m):	1.68
Mass P (kg):	59
Age (years):	29
Combination:	5
Visibility:	Bad
\bar{y} [m]:	0.114
y_{max} [m]:	0.130
v [m/s]:	2.32

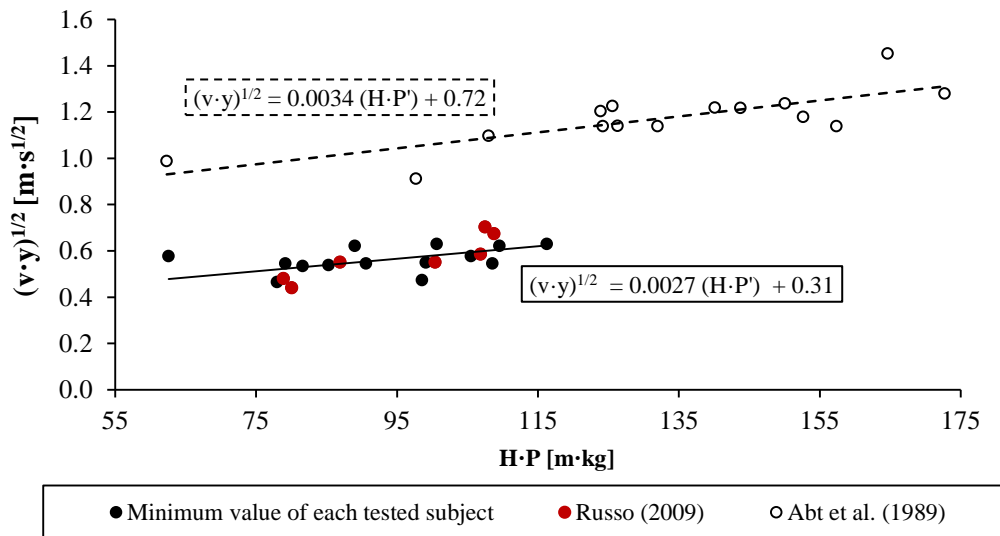
469 Figure 8. Examples of two instability situations. Good visibility, hands busy and wearing flip-flops for
 470 subject Id 14. Bad visibility, hands free and wearing flip-flops for subject Id 24



472 Figure 9. High and medium hazard points representation together with the limit $(v \cdot y) = 0.22 \text{ m}^2 \cdot \text{s}^{-1}$
 473 obtained in the present research and the most habitual limit $(v \cdot y) = 0.5 \text{ m}^2 \cdot \text{s}^{-1}$

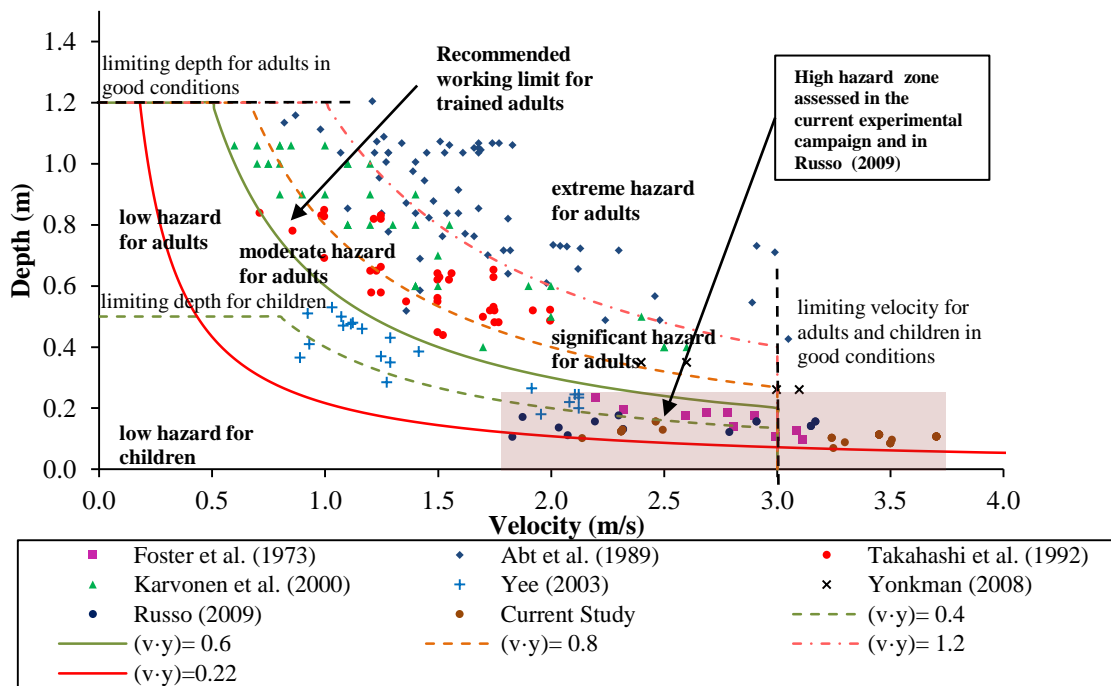


475 Figure 10. High and medium hazard points for weights lower than 52 kg representation together with the
 476 limit $(v \cdot y) = 0.22 \text{ m}^2 \cdot \text{s}^{-1}$ obtained in the present research and other limits proposed by other authors
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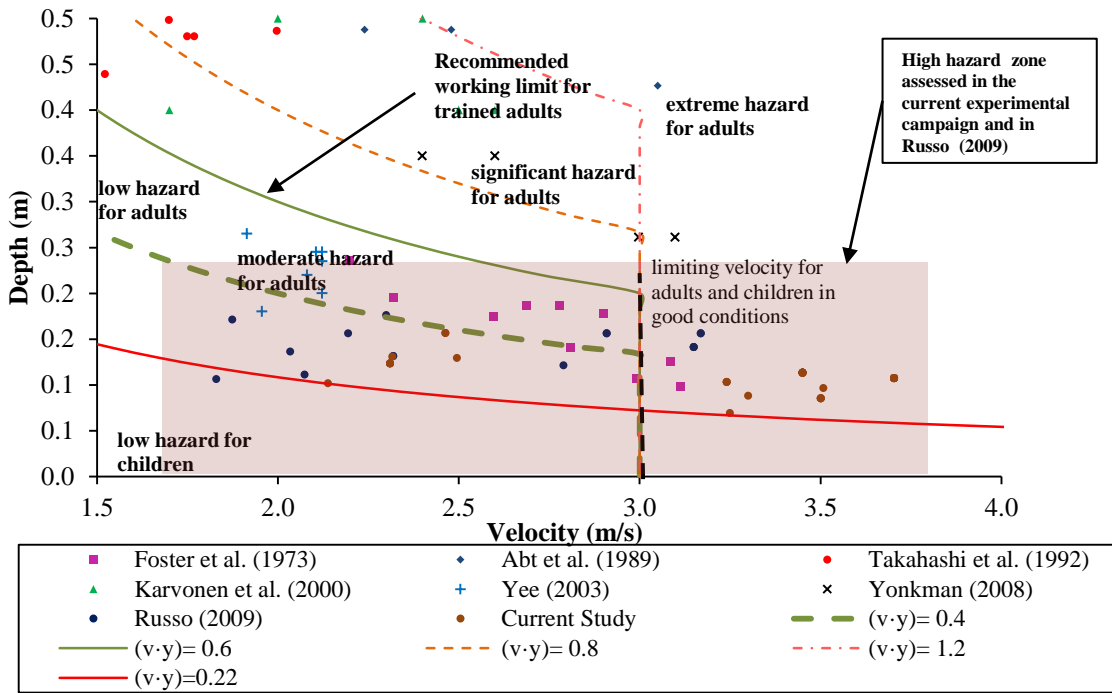
480 Figure 11. Representation of square root of the product $(v \cdot y)$ versus the product $(H \cdot P)$ of the minimum
 481 instability points for each tested subject herein and in Abt *et al.* (1989)
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484 Figure 12. Representation of the AR&R Guidelines stability criteria (Cox et al. 2010) and the instability
 485 high hazard points obtained in this research and in Russo (2009) together with the limit function $(v \cdot y) =$
 486 $0.22 \text{ m}^2 \cdot \text{s}^{-1}$ obtained in the present work

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488

489 Figure 13. High hazard highlighted zone detail of Figure 12

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