

# Transformation Techniques for OCL Constraints

J. Cabot<sup>a</sup>, E. Teniente<sup>b</sup>

<sup>a</sup>Estudis d'Informàtica i Multimèdia, Universitat Oberta de Catalunya, Rambla del Poblenou, 156 E08018 Barcelona

<sup>b</sup>Dept. Llenguatges i Sistemes Informàtics, Universitat Politècnica de Catalunya, Campus Nord, Ed. Omega, 132, E08034 Barcelona

Constraints play a key role in the definition of conceptual schemas. In the UML, constraints are usually specified by means of invariants written in the OCL. However, due to the high expressiveness of the OCL, the designer has different syntactic alternatives to express each constraint. The techniques presented in this paper assist the designer during the definition of the constraints by means of generating equivalent alternatives for the initially defined ones. Moreover, in the context of the MDA, transformations between these different alternatives are required as part of the PIM-to-PIM, PIM-to-PSM or PIM-to-code transformations of the original conceptual schema.

## 1. INTRODUCTION

Integrity constraints are a fundamental part in the definition of a conceptual schema (CS) [9]. In general, many constraints cannot be expressed using only the predefined constructs provided by the conceptual modeling language and require the use of a general-purpose (textual) sublanguage [6]. In the UML this is usually done by means of invariants written in the OCL [14]. Predefined (graphical) constraints can also be expressed in the OCL [8].

Due to the high expressiveness of the OCL, the designer has different syntactic possibilities to define an integrity constraint. For instance, given the CS in Figure 1, the constraint “the salary of an employee must be higher than the minimum salary of his/her department” may be defined as (among some other options):

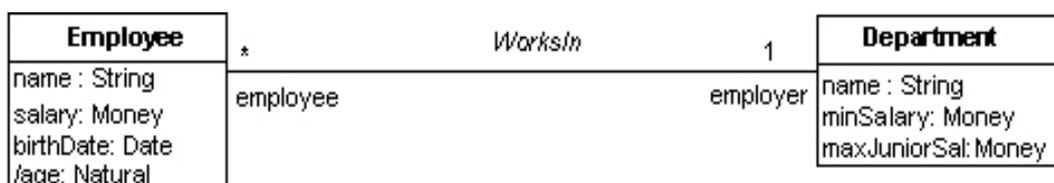


Figure 1. Example Conceptual Schema

1. **context** Department **inv**: self.employee -> forAll (e| e.salary>self.minSalary)
2. **context** Employee **inv**: self.salary>self.employer.minSalary
3. **context** Department **inv**: self.employee -> select(e| e.salary<=self.minSalary)->size()==0

Obviously, designers may not be aware of all different alternatives, and thus, they may just choose the one they care about at the moment of defining the constraint. Many times, this implies that the designer does not define the constraint in the *best* way, where the meaning of *best* may differ depending on the specific goal intended by the designer (for instance understandability or efficiency).

The ability of transforming the initially defined constraints into alternative equivalent representations is also important in the context of the MDA [16]. As an example, in PIM-to-PIM transformations, replacing the constraints with alternative representations is required as part of the refactoring operations at the model level [12]. In PIM-to-PSM or PIM-to-code transformations, aimed at generating a final implementation of the system directly derived from its specification, the generation of alternative representations may be necessary as an intermediate step before producing the actual implementation (for instance, when including the verification of the constraints as part of the operation contracts) or to produce a more efficient implementation [1].

There exist two different ways to generate an alternative representation for a given constraint: we can either replace the body of the constraint with an equivalent one (as it happens between constraints 1 and 3 of the previous example) or rewrite the constraint considering a different context type than the original one (as it happens with 1 and 2).

In this paper we propose several transformation techniques that allow to obtain a set of alternative constraint representations that are semantically equivalent to a given constraint. The replacement of the constraint body is handled by the definition of a set of equivalence rules between the different elements and constructs that may appear in the OCL expression defining the body of the constraint. On the other hand, the redefinition of the constraint using an alternative context is formalized as a path problem over a graph representing the CS. Using this graph we identify which entity types are candidates for acting as a new context type for the constraint and, then, we obtain all the possible redefinitions for each of them. Our proposal allows to generate all alternative redefinitions of the given constraint when using a different entity type as a context type but not all possible equivalent bodies for each alternative because of the huge number of equivalences among the different OCL constructs.

In contrast with the amount of research devoted to model transformations, redefinition of OCL expressions has received little attention in the past. In particular, [11] discusses the advantages of changing the context of a constraint but does not define which are the possible new contexts nor provides a method to generate such redefined constraints. Similarly, [4] proposes the context change as one of the possible refactorings to improve the specified OCL expressions but does not provide any method to automatically generate this context change. [7] provides some rules with the purpose of simplifying the constraints but the rules are not aimed at generating several alternative constraint definitions (and again, context changes are not addressed). [12] mentions context changes but restricts

them to associations with multiplicity 1 on both association ends. Hence, as far as we know, ours is the first method able to deal fully with transformations of OCL constraints.

The structure of the paper is as follows. The next section defines several equivalences between OCL expressions. Then, we propose transformation techniques to change the context of a constraint to a particular entity type (section 3) and we extend them to any type of the CS (section 4). Section 5 discusses some scenarios where the provided transformations are especially helpful. Section 6 presents our tool implementation. Finally, we give our conclusions and point out future work in Section 7.

## 2. EQUIVALENCES BETWEEN OCL EXPRESSIONS

As we said, one of the possible ways to generate an alternative representation for a certain constraint is to replace its body with an equivalent one. We achieve it by means of a set of equivalence rules between OCL expressions, which are described in this section. Each expression on the one side of the equivalence may be replaced with the expression on the other side to generate a new alternative body for the constraint. The set of rules is not exhaustive but it contains those equivalences we believe to be the most usual and/or useful ones according to our own experience.

As an example, assume we define an integrity constraint in the CS of Figure 1 to prevent junior employees (those with an age lower than 25) to earn more than the *maxJuniorSal* value defined for their department. This constraint could be defined in OCL as follows:

**context** *Department inv MaxSalary* : *Department.allInstances()*-> *forall(d|not d.employee->select(e|e.age < 25)->exists(e|e.salary > d.maxJuniorSal))*

Applying the set of equivalences we propose, we could transform the expression defining the previous constraint into the equivalent one:

**context** *Department inv MaxSalary'* : *self.employee->forall(e|e.age >= 25 or e.salary <= self.maxJuniorSal)*.

Note that the meaning of both constraints is exactly the same. However, in this case, the second expression is clearly simpler since the expression is shorter and it uses less operators.

Before applying the rules, we need to unfold the OCL expressions to maximize the number of applicable rules. We say that an OCL expression is unfolded when all references to derived elements, query operations and variables resulting from let expressions are replaced with their definition. To guarantee termination, we restrict recursive derived elements to be unfolded just once. Additionally, we assume that all implicit variables are made explicit.

We have specified the equivalence rules such that when applied in the left-right direction, the equivalences reduce the number of different operations that can appear in an OCL expression. For instance, a left-right application of our rules would allow removing the *exists* operation from any OCL expression. This facilitates the treatment of the OCL expressions by automatic methods since those methods do not need to take into account the full expressivity of the OCL.

On the other hand, when applying some of the rules in the right-left direction we may obtain more understandable expressions since some of them replace a sequence of several operations with a single operator. For instance, as shown in section 2.3, we may replace

a combination of *not* and *or* operators with the *implies* operator.

In general, designers will choose which rules to apply and in which direction depending on their intended goal.

Section 2.1 presents basic equivalence rules. Section 2.2 defines equivalences to remove the *allInstances* operation. Finally, section 2.3 provides equivalences to transform an OCL expression to conjunctive normal form (CNF). Equivalences in sections 2.1 and 2.3 may be applied to any OCL expression, including derivation rules and operation pre and postconditions; section 2.2 is specific for integrity constraints.

### 2.1. Basic equivalences

Tables 1, 2 and 3 define a list of basic equivalence rules. Most of these rules are based on the equivalences defined in the OCL standard itself [14]. We have grouped the equivalences by the type of expressions they affect (boolean, collection or iterator expressions). In the rules, the capital letters  $X$ ,  $Y$  and  $Z$  represent arbitrary OCL expressions of the appropriate type. The letter  $o$  represents an arbitrary object. The expression  $r_1 \dots r_n$  represents a (possibly empty) sequence of navigations.

Table 1

List of equivalences for boolean operators

$X <> Y \leftrightarrow \text{not } X = Y$	$X = \text{true} \leftrightarrow X$
$X = \text{false} \leftrightarrow \text{not } X$	$\text{not false} \leftrightarrow \text{true}$
$\text{not true} \leftrightarrow \text{false}$	$X \text{ and false} \leftrightarrow \text{false}$
$X \text{ and true} \leftrightarrow X$	$X \text{ or false} \leftrightarrow X$
$X \text{ or true} \leftrightarrow \text{true}$	$X > Y \text{ and } X \leq Y \leftrightarrow \text{false}$
$X > Y \text{ or } X \leq Y \leftrightarrow \text{true}$	$X > Y \text{ or } X < Y \leftrightarrow X <> Y$
$\text{not } X \geq Y \leftrightarrow X < Y$	$\text{not } X < Y \leftrightarrow X \geq Y$
$\text{not } X \leq Y \leftrightarrow X > Y$	$\text{not } X > Y \leftrightarrow X \leq Y$
$\text{not } X = 0 \leftrightarrow X > 0$ – when $X$ evaluates to a natural value	$X \leq 0 \leftrightarrow X = 0$ – when $X$ evaluates to a natural value
$X = Y \leftrightarrow (X \text{ and } Y) \text{ or } (\text{not } X \text{ and } \text{not } Y)$ – when $X$ and $Y$ are boolean expressions	

### 2.2. Removing the allInstances operation

*AllInstances* is a predefined feature on classes that gives as a result the set of all instances of the type that exist at the specific time when the expression is evaluated [14]. As an example, a constraint like “all employees must be older than 16” can be expressed as<sup>1</sup>:

**context** *Employee* **inv** *ValidAge*: *Employee.allInstances()*->forAll ( $e \mid e.age > 16$ )

However, since constraints are assumed to be true for all instances of the context type (i.e. for all possible values of the *self* variable that represents any instance of the context type), the previous constraint could also have been specified as:

<sup>1</sup> *Type.allInstances()* can also be written as *Type::allInstances()*

Table 2

Equivalences for collection operators

$X \rightarrow \text{includes}(o) \leftrightarrow X \rightarrow \text{count}(o) > 0$	$X \rightarrow \text{excludes}(o) \leftrightarrow X \rightarrow \text{count}(o) = 0$
$X \rightarrow \text{includesAll}(Y) \leftrightarrow Y \rightarrow \text{forAll}(y1   X \rightarrow \text{includes}(y1))$	$X \rightarrow \text{excludesAll}(Y) \leftrightarrow Y \rightarrow \text{forAll}(y1   X \rightarrow \text{excludes}(y1))$
$X \rightarrow \text{isEmpty}() \leftrightarrow X \rightarrow \text{size}() = 0$	$X \rightarrow \text{notEmpty}() \leftrightarrow X \rightarrow \text{size}() > 0$
$\text{not } X \rightarrow \text{isEmpty}() \leftrightarrow X \rightarrow \text{notEmpty}()$	$\text{not } X \rightarrow \text{notEmpty}() \leftrightarrow X \rightarrow \text{isEmpty}()$
$X \rightarrow \text{excluding}(o) \leftrightarrow X \rightarrow \text{-(Collection}\{o\})$	$X \rightarrow \text{including}(o) \leftrightarrow X \rightarrow \text{union}(\text{Collection}\{o\})$
$X \rightarrow \text{union}(Y).r_1 \dots r_n \rightarrow \text{forAll}(Z) \leftrightarrow X.r_1 \dots r_n \rightarrow \text{forAll}(Z) \text{ and } Y.r_1 \dots r_n \rightarrow \text{forAll}(Z)$	$X=Y \leftrightarrow X \rightarrow \text{includesAll}(Y) \text{ and } Y \rightarrow \text{includesAll}(X)$ – when X and Y are collections of objects
$X \rightarrow \text{last}() \leftrightarrow X \rightarrow \text{at}(X \rightarrow \text{size}())$	$X \rightarrow \text{first}() \leftrightarrow X \rightarrow \text{at}(1)$

Table 3

Equivalences for iterator expressions

$X \rightarrow \text{exists}(Y) \leftrightarrow X \rightarrow \text{select}(Y) \rightarrow \text{size}() > 0$	$\text{not } X \rightarrow \text{exists}(Y) \leftrightarrow X \rightarrow \text{forAll}(\text{not } Y)$
$\text{not } X \rightarrow \text{forAll}(Y) \leftrightarrow X \rightarrow \text{exists}(\text{not } Y)$	$X \rightarrow \text{one}(Y) \leftrightarrow X \rightarrow \text{select}(Y) \rightarrow \text{size}() = 1$
$X \rightarrow \text{reject}(Y) \leftrightarrow X \rightarrow \text{select}(\text{not } Y)$	$X \rightarrow \text{select}(Y) \rightarrow \text{size}() = 0 \leftrightarrow X \rightarrow \text{forAll}(\text{not } Y)$
$X \rightarrow \text{select}(Y) \rightarrow \text{forAll}(Z) \leftrightarrow X \rightarrow \text{forAll}(Y \text{ implies } Z)$	$X \rightarrow \text{select}(Y) \rightarrow \text{exists}(Z) \leftrightarrow X \rightarrow \text{exists}(Y \text{ and } Z)$
$X \rightarrow \text{isUnique}(Y) \leftrightarrow X \rightarrow \text{forAll}(x1, x2   x1 <> x2 \text{ implies } x1.Y <> x2.Y)$	$X \rightarrow \text{any}(Y) \leftrightarrow X \rightarrow \text{select}(Y) \rightarrow \text{asSequence}() \rightarrow \text{first}()$
$X \rightarrow \text{select}(Y) \rightarrow \text{size}() = X \rightarrow \text{size}() \leftrightarrow X \rightarrow \text{forAll}(Y)$	$X.r_1 \dots r_n.Y.attr.Z \leftrightarrow X.r_1 \dots r_n.Y \rightarrow \text{collect}(attr).Z$ – where <i>attr</i> represents an attribute
$X \rightarrow \text{forAll}(Y) \text{ and } X \rightarrow \text{forAll}(Z) \leftrightarrow X \rightarrow \text{forAll}(Y \text{ and } Z)$	$X \rightarrow \text{forAll}(v   Y \text{ [and or] } X \rightarrow \text{forAll}(v2   Z)) \leftrightarrow X \rightarrow \text{forAll}(v, v2   Y \text{ [and or] } Z)$

**context** *Employee inv ValidAge'*: *self.age > 16*

We propose two equivalences to include/remove the *allInstances* operation in the expressions that define the body of an integrity constraint definition. They are applicable when the type over which *allInstances* is applied coincides with the context type (*ct*) of the constraint. They may not be applied if the constraint already contains any explicit or implicit reference to the *self* variable.

- $ct.allInstances()->forAll(v|Y) \leftrightarrow Y'$ , where  $Y'$  is obtained by replacing all occurrences of  $v$  (the iterator variable) in  $Y$  with *self*. As an example, see the previous *ValidAge'* constraint.
- $ct.allInstances()->forAll(v1,v2..vn|Y) \leftrightarrow ct.allInstances()->forAll(v2..vn|Y')$  where  $Y'$  is obtained by means of replacing all the occurrences of  $v_1$  in  $Y$  with *self*.

### 2.3. Transforming to conjunctive normal form

A logical formula is in conjunctive normal form (CNF) if it is a conjunction (sequence of ANDs) of several clauses, each of which is a disjunction (sequence of ORs) of one or more literals, possibly negated. Any logical formula can be translated into a CNF by applying a well-known set of rules.

We propose to apply that set of rules plus an additional rule to deal with the *if-then-else* construct to (de)normalize any boolean OCL expression in order to generate additional equivalent representations which may improve the results obtained by considering the rest of the rules alone. The rules we propose are the following:

1. Eliminate the *if-then-else* construct and the *implies* and *xor* operators:
  - (a)  $X \text{ implies } Y \leftrightarrow \text{not } X \text{ or } Y$
  - (b)  $\text{if } X \text{ then } Y \text{ else } Z \leftrightarrow (X \text{ implies } Y) \text{ and } (\text{not } X \text{ implies } Z) \leftrightarrow (\text{not } X \text{ or } Y) \text{ and } (X \text{ or } Z)$
  - (c)  $X \text{ xor } Y \leftrightarrow (X \text{ or } Y) \text{ and } \text{not } (X \text{ and } Y) \leftrightarrow (X \text{ or } Y) \text{ and } (\text{not } X \text{ or } \text{not } Y)$
2. Move *not* inwards until the negations be immediately before literals by repetitively using the laws:
  - (a)  $\text{not } (\text{not } X) \leftrightarrow X$
  - (b) DeMorgan's laws:  $\text{not } (X \text{ or } Y) \leftrightarrow \text{not } X \text{ and } \text{not } Y$   
 $\text{not } (X \text{ and } Y) \leftrightarrow \text{not } X \text{ or } \text{not } Y$
1. Repeatedly distribute *or* over *and* by means of:
  - (a)  $X \text{ or } (Y \text{ and } Z) \leftrightarrow (X \text{ or } Y) \text{ and } (X \text{ or } Z)$

## 2.4. Rule application

The different alternative representations of a given OCL expression are obtained by means of applying repetitively the previous equivalence rules. Beginning with an expression  $exp$ , the application of a rule  $r$ , transforms  $exp$  into an equivalent expression  $exp'$ . Then, any rule  $r'$  that can be applied over  $exp$  or over  $exp'$  generates a new alternative and so forth.

As an example, from the initial *MaxSalary* constraint definition we may obtain the alternative *MaxSalary'* representation by means of the following sequence of rules:

1. Initial representation:

**context** Department **inv** MaxSalary: Department.allInstances()->forAll(d| not d.employee->select(e|e.age<25)->exists(e|e.salary>d.maxJuniorSal))

2. Removing the *allInstances* operation:

**context** Department **inv** MaxSalary: not self.employee->select( e |e.age < 25)->exists(e|e.salary>self.maxJuniorSal)

3. Removing the *exists* iterator (rule  $not\ X \rightarrow exists(Y) \rightarrow X \rightarrow forAll(not\ Y)$ ):

**context** Department **inv** MaxSalary: self.employee->select(e|e.age<25)->forAll(e| not (e.salary>self.maxJuniorSal))

4. Removing the *select* iterator (rule  $X \rightarrow select(Y) \rightarrow forAll(Z) \rightarrow X \rightarrow forAll(Y\ implies\ Z)$ ):

**context** Department **inv** MaxSalary: self.employee->forAll(e| e.age<25 implies not (e.salary>self.maxJuniorSal))

5. Transforming to CNF:

**context** Department **inv** MaxSalary: self.employee->forAll(e| not (e.age<25) or not (e.salary>self.maxJuniorSal))

6. Removing the *not* operator (rules  $not\ X < Y \rightarrow X \geq Y$  and  $not\ X > Y \rightarrow X \leq Y$ ):

**context** Department **inv** MaxSalary: self.employee->forAll( e| e.age >=25 or e.salary <= self.maxJuniorSal)

Termination and confluence of the transformation process depends on the set of rules (and the direction in which they are applied) chosen by the designer. It is obvious that if the designer allows applying the same rule in both directions, then the generation process may enter into an infinite loop. To ensure termination we must avoid applying a rule when the rule will generate an alternative that has been already generated before. To ensure confluence we should define a total order regarding the selection of the rules to apply over an expression when several rules are applicable.

## 3. CHANGING THE CONTEXT TYPE OF A CONSTRAINT

In general, the designer may choose among several entity types to define the context of a particular constraint. Sometimes it is more useful to use a certain context, together with a corresponding constraint definition, instead of a different one.

Given two different context types  $ct_1$  and  $ct_2$  and a constraint  $c_1$  defined over  $ct_1$ , we show in this section how to automatically obtain a constraint  $c_2$  defined over  $ct_2$  which is semantically equivalent to  $c_1$ . A constraint defines a condition that must be satisfied in all system states. More precisely, when defined in OCL, each constraint must be true for all instances of the context type where it is defined. We can therefore guarantee that two constraints  $c_1$  and  $c_2$  are semantically equivalent when the sets of instances taken into account by both constraints coincide and the condition to be evaluated over them is also the same.

In general, it may happen that several semantically equivalent constraints defined over  $ct_2$  exist. Then, our transformation techniques generate all of them.

We assume in this section that the final context  $ct_2$  is given by the designer (or by an external method). The next section generalizes the process by considering all possible new context types.

Changing the context type of a constraint makes only sense when the constraint is defined using a single instance of the context type (i.e. when the constraint body contains the *self* variable). Otherwise, i.e. when the constraint is defined with the *allInstances* operation, it is not worthy since its body will always be the same regardless the context chosen. Apart from that, the full expressivity of the OCL is allowed in the definition of the constraints.

In section 3.1, we assume that  $ct_2$  is any entity type of the CS related with  $ct_1$  through a sequence of associations. Afterwards, in section 3.2, we allow  $ct_2$  to belong to the same taxonomy as  $ct_1$ . Both alternatives are not exclusive since  $ct_2$  may belong to the same taxonomy as  $ct_1$  and be also related with it.

### 3.1. Changing the Context between Related Entity Types

This section focuses on the transformation of a constraint  $c_1$  with context  $ct_1$  to a semantically equivalent constraint  $c_2$  with context  $ct_2$ , where  $ct_1$  and  $ct_2$  are related through one or more sequence of associations that allow navigating between them.

According to one of the requirements to guarantee the semantic equivalence of  $c_2$  and  $c_1$ , the context change from  $ct_1$  to  $ct_2$  is only possible when there exists at least one sequence of associations  $seq_{as}$  relating both types. Moreover,  $seq_{as}$  has to verify that  $set_{ct_1} = set'_{ct_1}$ ; where  $set_{ct_1}$  is the population of  $ct_1$  (the set of instances that  $c_1$  restricts) while  $set'_{ct_1}$  is the set of instances of  $ct_1$  obtained when navigating from the instances of  $ct_2$  to  $ct_1$  through  $seq_{as}$ . Otherwise, it is not possible to obtain a semantically equivalent constraint  $c_2$  since it would not be possible to verify it over the same set of instances as  $c_1$ . In particular, the set of instances  $set_{ct_1} - set'_{ct_1}$  would not be restricted by  $c_2$ .

We can determine whether  $set_{ct_1} = set'_{ct_1}$  by studying the multiplicity of the associations included in  $seq_{as}$ .

Intuitively, if two entity types  $A$  and  $B$  are related through an association  $AB$  with the multiplicity  $0..*:1..*$  (see Figure 2) it means that each instance of  $A$  is related at least to an instance of  $B$ . Thus, if we navigate from all instances of  $B$  to the related instances of  $A$  we necessarily obtain all  $A$  instances. Therefore, it is possible to change the context of a constraint defined in  $A$  from  $A$  to  $B$ . However, this is not the case from  $B$  to  $A$  because the minimum 0 multiplicity does not guarantee all instances of  $B$  to be related with instances of  $A$ .

For instance, the constraint “**context** *A* **inv**: *self.a1 > 0*” may be translated to: “**context** *B* **inv**: *self.a->forall( a1>0 )*”. On the contrary, the constraint “**context** *B* **inv**: *self.b1<5*” when translated to *A* (**context** *A* **inv**: *self.b->forall(b1<5)*) would not prevent that instances of *B* which are not related to *A* have a value in *b1* lower than 5.

Then, we can state that  $\text{set}_{ct_1} = \text{set}'_{ct_1}$  if the value of all minimum multiplicities of the roles used to navigate from  $ct_1$  to  $ct_2$  through the associations in  $\text{seq}_{as}$  is at least one. This guarantees that the navigation from  $ct_2$  to  $ct_1$  reaches all  $ct_1$  instances. Following with the previous example, we can change the context of a constraint from *A* to *B*, *A* to *C*, *B* to *C* and *C* to *B*, but not from *B* to *A* or *C* to *A*.

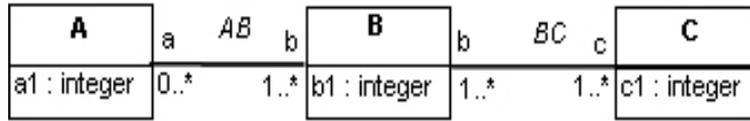


Figure 2. Example of an abstract conceptual schema

Depending on the specific body of the constraint we may be able to relax this multiplicity condition. When the body of  $c_1$  permits to deduce that the constraint only affects those instances of  $ct_1$  related with some instance of  $ct_2$  we can use  $ct_2$  as context of  $c_1$ . Roughly, this may happen when each literal appearing in the body of  $c_1$  includes a navigation to  $ct_2$ . As an example, consider the *MaxSalary* constraint defined in section 2. Even though not all departments have employees assigned, the constraint only affects departments with employees (the others always satisfy the constraint). Thus, we can use *Employee* as an alternative context for the constraint.

Note that, for a given constraint, there may be several different sequences of associations from  $ct_1$  to  $ct_2$  that verify the previous condition. Each different sequence results in a different alternative representation of  $c_1$ .

We formalize the problem of changing the context between two related entity types as a path problem over a graph representing the CS. The next subsections explain how to create the graph, how to find the alternative paths and, for each one of them, how to obtain the new body of the constraint over the new context type.

### 3.1.1. Graph definition

The basic idea to represent the CS by means of a graph is to consider the entity types in the CS as vertices of the graph and the associations as edges between those vertices. Moreover, for our purposes, we want to obtain a graph that satisfies the following condition: if the graph contains a path from a vertex  $v_1$  to a vertex  $v_2$  then constraints defined over  $v_1$  can be redefined using  $v_2$  as a context type.

The graph must be a directed graph (digraph), since being able to change constraints from  $ct_1$  to  $ct_2$  (i.e. from the vertex representing  $ct_1$  to the vertex representing  $ct_2$ ) does not imply that we can also change constraints from  $ct_2$  to  $ct_1$ , the context change is transitive but not symmetric. For instance, consider the graph of Figure 3, which is the

one obtained from the CS of Figure 2. The graph shows that constraints defined over  $A$  can also be expressed over  $B$  or over  $C$ . Constraints defined over  $B$  can be expressed over  $C$  but not over  $A$ . Constraints defined over  $C$  can be expressed over  $B$ .

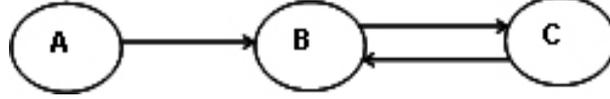


Figure 3. Example graph

Sometimes the graph may also be a multigraph since it may contain two or more edges with the same direction between a pair of vertices. This happens when the two corresponding entity types are related through more than one association.

According to those ideas, we build the graph  $G$  by means of the following rules:

1. All entity types, including reified ones (i.e. association classes), are vertices of  $G$ .
2. For each binary association between two entity types  $A$  and  $B$ , the edge  $A \rightarrow B$  is included in  $G$  if the minimum multiplicity from  $A$  to  $B$  is at least one. The edge  $B \rightarrow A$  is included when the minimum multiplicity from  $B$  to  $A$  is at least one.
3. Given a  $n$ -ary association  $As$  among a set of entity types  $E_1 \dots E_n$  we add an edge from  $E_i \rightarrow E_j$  if we can deduce, from the multiplicities of the roles in  $As$ , that the minimum multiplicity from  $E_i$  to  $E_j$  is at least one. Although these binary multiplicities are usually left unspecified in class diagrams, [13] shows that when the multiplicity of the role next to  $E_j$  is at least one, all the multiplicities from any  $E_i$  to  $E_j$  are at least one, and thus, the edge  $E_i \rightarrow E_j$  is included in the graph.
4. For each vertex representing an association class  $AC$ , we add the edges  $AC \rightarrow E_1, AC \rightarrow E_2, \dots, AC \rightarrow E_n$  where  $E_1 \dots E_n$  are the participants of the association. We add these edges since an instance of an association class is always related to an instance of each participant type. We add the inverse edges depending on the multiplicities of the association. If  $AC$  is the reification of a binary association, we add  $E_1 \rightarrow AC$  if  $E_1 \rightarrow E_2$  exists (and conversely with  $E_2$ ). Similarly, if the association is an  $n$ -ary association, we add  $E_j \rightarrow AC$  if exists an  $E_i$  that verifies  $E_j \rightarrow E_i$ .
5. Since subtypes inherit all the associations of their supertypes, for each edge  $A \rightarrow B$  we add an edge  $A_i \rightarrow B$  for each subtype  $A_i$  of  $A$ . Note that for edges of kind  $B \rightarrow A$  we do not add  $B \rightarrow A_i$  since the fact that each instance of  $B$  is related with an instance of  $A$  does not imply that it is also related with an instance of  $A_i$ .

The graph obtained with these rules is valid for any constraint. Then, if there is a path from  $ct_1$  to  $ct_2$  all constraints defined over  $ct_1$  can be redefined using  $ct_2$  as a context type.

As we have seen before, a context change from  $ct_1$  to  $ct_2$  may also be possible when the body of the original constraint only affects those instances of  $ct_1$  related with instances of  $ct_2$ . To deal with these particular cases, we need to add to  $G$  some edges that are specific for certain constraints. For these reason, those edges are labelled with the name of a constraint and paths including them are only valid for changing the context of that particular constraint.

In Figure 4 we show the CS we will use as a running example in the rest of the paper. It specifies information about departments, their projects and employees and it includes the following six textual constraints. The first two are the constraints *MaxSalary* and *ValidAge* shown in section 2. The others ensure that departments with more than five employees are not managed by a freelance employee (*NotBossFreelance*), that all projects have at least two project managers (*AtLeastTwoProjectManagers*), that each employee assigned to a project finishes his contract after the due date of the project (*PossibleEmployee*) and that the number of hours per week that freelances work lies between 5 and 30 (*ValidNHours*).

- **context** *Department* **inv** *MaxSalary*:  $self.employee \rightarrow forAll( e \mid e.age \geq 25 \text{ or } e.salary \leq self.maxJuniorSal)$
- **context** *Employee* **inv** *ValidAge*:  $self.age > 16$
- **context** *Department* **inv** *NotBossFreelance*:  $self.employee \rightarrow size() > 5 \text{ implies not } self.boss.oclIsTypeOf(Freelance)$
- **context** *Department* **inv** *AtLeastTwoProjectManagers*:  $self.project \rightarrow forAll( p \mid p.employee \rightarrow select( e \mid e.category.name = 'PM') \rightarrow size() \geq 2)$
- **context** *Project* **inv** *PossibleEmployee*:  $self.employee \rightarrow forAll( e \mid e.expirationDate < self.dueDate)$
- **context** *Freelance* **inv** *ValidNHours*:  $self.hoursWeek \geq 5 \text{ and } self.hoursWeek \leq 30$

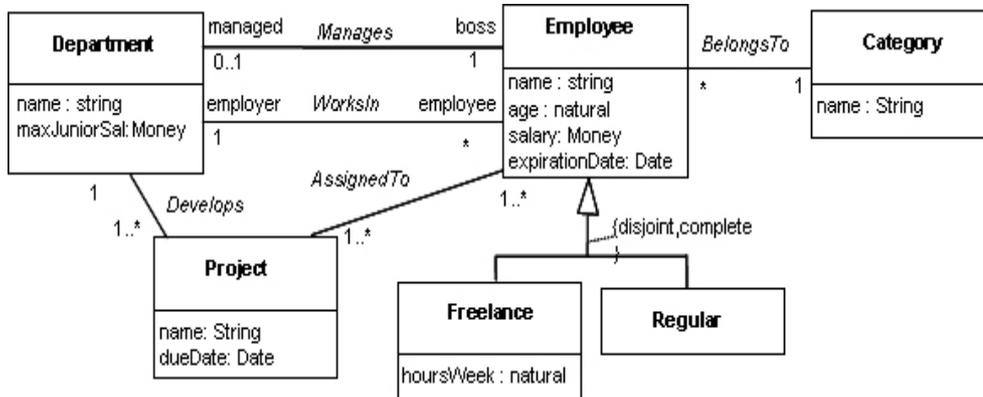


Figure 4. Conceptual schema used as a running example

Figure 5 shows the graph obtained from the previous CS. We can draw from it that constraints over *Project* may be redefined over *Employee*, *Department* and *Category*; constraints over *Employee* can be redefined over *Project*, *Department* and *Category*; constraints over *Category* can not be changed to any other context; etc.

The edge *WorksIn* from *Department* to *Employee* is labelled with the name of the constraint *MaxSalary* because this is the unique constraint that can be changed from *Department* to *Employee* using the association *WorksIn*.

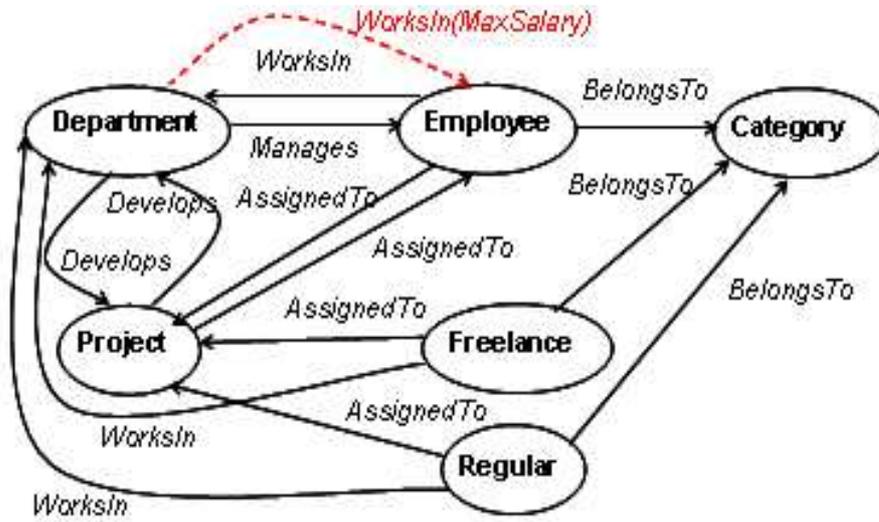


Figure 5. Graph of the conceptual schema

### 3.1.2. Computing alternative paths

Each different path from  $ct_1$  to  $ct_2$  represents a different way to express the original constraint  $c_1$  in terms of the new context  $ct_2$ . To compute all alternative paths from  $ct_1$  to  $ct_2$  we have slightly adapted the depth-first graph searching procedure [10], using  $ct_1$  as initial vertex and terminating the search only after all alternative paths reaching  $ct_2$  have been generated. To avoid cycles, we do not consider as alternative paths those that contain repeated edges.

For instance, alternative paths from *Department* to *Employee* are the following: *Department-Manages-Employee* and *Department-Develops-Project-AssignedTo-Employee*. When looking for alternatives for the constraint *MaxSalary* we can also use the edge *WorksIn* from *Department* to *Employee*, and thus, there is an additional path: *Department-WorksIn-Employee*.

An alternative path may have repeated vertices. However, to simplify our presentation, we will not consider them in the rest of the paper.

### 3.1.3. Redefining the constraint over the new context type

Given a constraint  $c_1$  with a body  $X$  defined over a context type  $ct_1$ , a context type  $ct_2$  and a path  $p = \{e_1, \dots, e_n\}$  (where  $e_1..e_n$  are the edges linking the vertices  $\{ct_1, v_2, \dots, v_n, ct_2\}$ ), the semantically equivalent constraint  $c_2$  defined over  $ct_2$  has the form:

**context**  $ct_2$  **inv**  $c_2$ :  $self.r_1.r_2 \dots r_n \rightarrow notEmpty()$  implies  $self.r_1.r_2 \dots r_n \rightarrow forAll(v|X)$

where all occurrences of  $self$  in  $X$  have been replaced with  $v$  and  $r_1..r_n$  are the roles that allow navigating from  $ct_2$  to  $ct_1$  using the associations appearing in  $p$ . Therefore,  $r_1$  represents the navigation from  $ct_2$  to  $v_n$  using the association  $e_n$ ,  $r_2$  the navigation from  $v_n$  to  $v_{n-1}$  using  $e_{n-1}$ , and, finally,  $r_n$  represents the navigation from  $v_2$  to  $ct_1$ .

Intuitively, it can be seen that  $c_1$  and  $c_2$  are equivalent since both apply the same condition to the instances of  $ct_1$  (the condition  $X$ ) and apply it over the same set of instances (guaranteed by the graph definition process).

For instance, the constraint *MaxSalary* (**context** *Department* **inv**:  $self.employee \rightarrow forAll(e| e.age \geq 25 \text{ or } e.salary \leq self.maxJuniorSal)$ ) may be redefined over *Employee* because of the path  $p = \{WorksIn\}$ . The redefined constraint *MaxSalary'* is:

**context** *Employee* **inv**:  $self.employer \rightarrow notEmpty()$  implies  $self.employer \rightarrow forAll(d| d.employee \rightarrow forAll(e.age \geq 25 \text{ or } e.salary \leq d.maxJuniorSal))$

Since OCL does not define the navigation through n-ary associations, when  $e_i$  represents an n-ary association between  $v_{i+1}$  and  $v_i$ , we must navigate first from  $v_{i+1}$  to the corresponding association class and then from the association class to  $v_i$ .

Moreover, as ensured by the graph definition process, if an edge  $e_i$  links vertices  $v_{i+1}$  and  $v_i$ , there exists the corresponding association between the entity types  $E_{i+1}$  (represented by  $v_{i+1}$ ) and  $E_i$  (represented by  $v_i$ ) or between  $E_{i+1}$  and a supertype of  $E_i$ . In the latter case when navigating from  $E_{i+1}$  to  $E_i$  we need to add “ $select(oclIsKindOf(subtype(E_i)))$ ” (or “ $any(oclIsKindOf(subtype(E_i)))$ ” when the result must be a single object) to the corresponding  $r_i$  to ensure that only the instances of the subtype are retrieved by the navigation. For instance, the constraint *ValidNHours* can be translated from *Freelance* to *Category*. However, in the body of the resulting constraint, when navigating from *Category* to *Employee* we need to select just those employees that are freelances, since these are the only ones affected by the constraint. Then, the final body of *ValidNHours* when redefined over *Category* is the following:

$self.employee \rightarrow select(e| e.oclIsKindOf(Freelance)) \rightarrow forAll(f| f.oclAsType(Freelance).hoursWeek \geq 5 \text{ and } f.oclAsType(Freelance).hoursWeek \leq 30)$

We provide some rules to simplify the body of the new constraint  $c_2$  (the variable  $X$  stands for an arbitrary boolean OCL expression).

1.  $self.r_1 \dots r_n \rightarrow notEmpty() \rightarrow true$ , if the multiplicity of  $self.r_1 \dots r_n$  is at least one, i.e. if all the minimum multiplicities of  $r_1 \dots r_n$  are at least one. In this case, it is sure that the navigation will return a non-empty set and, thus, there is no need to apply the *notEmpty* operation.
2.  $self.r_1 \dots r_n \rightarrow forAll(v|X) \rightarrow X$  (where all the occurrences of  $v$  in  $X$  are replaced with  $self.r_1 \dots r_n$ ), if the multiplicity of  $self.r_1 \dots r_n$  is at most one, i.e. if all the maximum multiplicities of  $r_1 \dots r_n$  are at most one. Then, the *forAll* iterator is no longer necessary.

3.  $self.r_1 \dots r_i.r_j \dots r_n \rightarrow forAll(X) \rightarrow self.r_1 \dots r_{i-1}.r_{j+1} \dots r_n \rightarrow forAll(X)$ , when  $r_i$  and  $r_j$  are the two roles of the same binary association (see Figure 6). When the maximum multiplicity of  $r_j$  is one, the set of objects at  $r_j$  are the same than those at  $r_{i-1}$ , and thus, the navigations  $r_i$  and  $r_j$  are redundant (in this case the rule is applicable even if there is not a *forAll* iterator after  $r_n$ ). Otherwise, we may have more objects at  $r_j$ , and, in general, this entails that these additional objects are not verified in the right hand expression of the rule. However, we can still apply the rule if the minimum multiplicity of all opposite roles from  $r_1$  to  $r_{i-1}$  is at least one, since then, those objects must be related with a (different) instance of the context type, and thus, they will be checked when evaluating that instance. When  $r_i$  may have a zero minimum multiplicity, after the simplification we could be enforcing some objects not affected in the original constraint. Note that in such a case, the *notEmpty* clause of the general transformation rule will not be simplified by rule 1, and thus, we ensure that those objects will never be evaluated.
4.  $self.r_1 \dots r_n \rightarrow forAll(v_1, v_2 | X) \rightarrow self.r_1 \dots r_n \rightarrow forAll(v_2 | X)$  (where all occurrences of  $v_1$  in  $X$  are replaced with *self*), if the type of the objects at  $r_n$  coincides with the type of the *self* variable and all the navigations from  $r_1$  to  $r_n$  are redundant. This rule is similar to the rule to simplify the *allInstances* operation presented in section 2.2. We cannot completely simplify the *forAll* iterator since the constraint requires a comparison between an object of type  $t$  and a set of other objects of the same type  $t$ .
5.  $self.r_1 \dots r_i \rightarrow forAll(v | v.r_j \dots r_n \rightarrow forAll(v_2 | X)) \rightarrow self.r_1 \dots r_i.r_j \dots r_n \rightarrow forAll(v_2 | X)$ , when  $X$  does not contain any reference to  $v$ . The two expressions are equivalent since in both we apply the condition  $X$  over the objects obtained at  $r_n$ . When  $X$  contains references to  $v$  they must be replaced with the expression  $v_2.r_n' \dots r_j'$  where  $r_n' \dots r_j'$  represent the opposite roles of  $r_n \dots r_j$  (for instance,  $r_n'$  is the opposite of  $r_n$ ). Note that when, the multiplicity of some  $r_k$  (where  $j \geq k \leq n$ ) is greater than 1 then the left hand side must be replaced by the expression  $self.r_1 \dots r_i.r_j \dots r_n \rightarrow forAll(v_2 | v_2.r_n' \dots r_j' \rightarrow forAll(v_3 | X))$  where references to  $v$  in the original constraint are replaced with  $v_3$ . This later case only makes sense when  $r_i$  and  $r_j$  are the two roles of the same association, which implies that the new expression can be simplified with rule 3 afterwards.
6. Given a reified entity type *RET* (see Figure 7):  $X.ret.b.Y \rightarrow X.b.Y$ . According to the OCL standard we can navigate to  $B$  either by accessing first the reified type or directly using the role  $b$  of  $B$ . In both cases we obtain the same set of instances.
7. Given a reified entity type *RET*:  $context RET inv: self.a.b.r_1 \dots r_n \rightarrow forAll(X) \rightarrow context RET inv: self.b.r_1 \dots r_n \rightarrow forAll(X)$ . Even though, given an instance  $i$  of the *RET* type, the right hand side expression may verify less entities than the left hand expression (since  $i.b$  may return less entities than  $i.a.b$ ) those objects will be verified when evaluating other instances of *RET*.

All rules can be applied regardless the other subexpressions forming the constraint body except for rule 3 when the constraint body is a disjunction of literals following the pattern:

$self.r_1 \dots r_n \rightarrow forAll(X)$  or ... or  $self.r_1 \dots r_n \rightarrow forAll(Y)$  (where  $r_1 \dots r_n$  represent exactly the same sequence of navigations in the all disjunctions). In this case, only the literal/s affected by the event for which the generated constraint is the appropriate alternative may be simplified. Assuming that the event is included in the  $X$  condition, the simplified constraint would be:  $X$  or  $self.r_1 \dots r_n \rightarrow forAll(Y)$ . Note that the body " $X$  or  $Y$ " would not be a correct solution since the original constraint does not state that all entities at  $r_n$  must satisfy  $X$  or  $Y$ , it states that either all entities at  $r_n$  satisfy  $X$  or all entities satisfy  $Y$ . Then, if an event over an entity  $e$  makes that  $e$  evaluates  $X$  to false, we need to verify that at least all entities (and not just  $e$ ) verify  $Y$ .

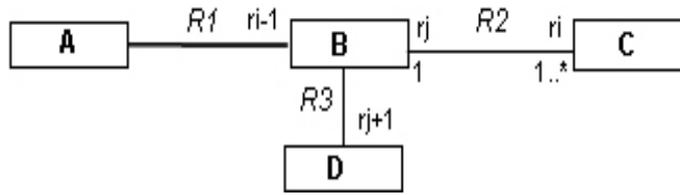


Figure 6. Abstract example schema for rule 3

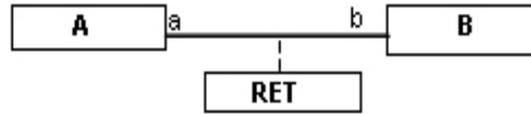


Figure 7. Example of a reified entity type

With the previous transformations, we can simplify the initially obtained *MaxSalary'* constraint as follows:

1. Initial representation after the context change:  
**context** *Employee* **inv**:  $self.employer \rightarrow notEmpty()$  implies  $self.employer \rightarrow forAll(d \mid d.employee \rightarrow forAll(e.age \geq 25 \text{ or } e.salary \leq d.maxJuniorSal))$
2. Removing the *notEmpty* operator (rule 1 plus the rules  $true \text{ implies } X \rightarrow not \text{ true or } X$ ,  $not \text{ true or } X \rightarrow false \text{ or } X$  and  $false \text{ or } X \rightarrow X$ ):  
**context** *Employee* **inv**:  $self.employer \rightarrow forAll(d \mid d.employee \rightarrow forAll(e.age \geq 25 \text{ or } e.salary \leq d.maxJuniorSal))$
3. Removing the first *forall* (rule 2):  
**context** *Employee* **inv**:  
 $self.employer.employee \rightarrow forAll(e.age \geq 25 \text{ or } e.salary \leq self.employer.maxJuniorSal)$

4. Removing the redundant navigation (rule 3):

**context** *Employee inv*:  
*self->forAll( e.age>=25 or e.salary<= self.employer.maxJuniorSal)*

5. Removing the *forAll* iterator (rule 2 again):

**context** *Employee inv*: *self.age>=25 or self.salary<= self.employer.maxJuniorSal*

### 3.2. Changing the context within a taxonomy

Given a constraint  $c_1$  defined over a context type  $ct_1$ , we are now interested to redefine  $c_1$  using  $ct_2$  as a context type, where  $ct_1$  and  $ct_2$  belong to the same taxonomy. This implies that either  $ct_1$  is a subtype of  $ct_2$ , a supertype or both have a common supertype ( $ct_1$  and  $ct_2$  are sibling types).

When  $ct_1$  is subtype of  $ct_2$ , the equivalent constraint  $c_2$  defined over  $ct_2$  has as a body: *self.oclIsTypeOf(ct<sub>1</sub>) implies X*, where  $X$  is the body of  $c_1$ . In this way we ensure that  $c_2$  is only applied over those instances that are instance of  $ct_1$ .

As an example, consider the constraint *ValidNHours*. If we want to move the constraint from *Freelance* to *Employee*, the new constraint would be:

**context** *Employee inv ValidNHours*: *self.oclIsTypeOf(Freelance) implies self.oclAsType(Freelance).hoursWeek>5 and self.oclAsType(Freelance).hoursWeek<30*

Note that, when accessing an attribute of the subtype, we need to use the *oclAsType* operator to do an explicit cast of the supertype variable.

If  $ct_1$  is a supertype of  $ct_2$ , the new constraint  $c_2$  is defined in  $ct_2$  with exactly the same body as  $c_1$ . However,  $c_2$  cannot replace  $c_1$  since in general  $ct_1$  may contain instances not appearing in  $ct_2$ . Thus, both constraints are not semantically equivalent<sup>2</sup>. If the set of generalization relationships between  $ct_1$  and its direct subtypes is covering [15] (also called *complete*)  $c_1$  can be replaced as long as we add a new constraint to each direct subtype of  $ct_1$  with the same body as  $c_1$ . For instance, if we try to change the constraint *ValidAge* from *Employee* to *Freelance* we need to add also *ValidAge* to *Regular* to ensure that all employees have a valid age.

When  $ct_1$  and  $ct_2$  share a common supertype the new constraint  $c_2$  can never replace  $c_1$  since not all instances of  $ct_1$  need to be instances of  $ct_2$ . As in the subtype case, the body of  $c_2$  would be *self.oclIsTypeOf(ct<sub>1</sub>) implies X*.

Before finalizing the context change to a new context entity type  $ct$  we can apply two simplification rules especially useful for this kind of transformations:

1. *self.oclIsTypeOf(ct) → true*
2. *self.oclAsType(ct).X → self.X*

## 4. COMPUTING ALL ALTERNATIVE CONTEXT CHANGES FOR A CONSTRAINT

To compute all possible context changes for constraint  $c_1$ , defined over  $ct_1$ , we need to consider all possible paths between  $ct_1$  and every different type  $E$  appearing as a vertex of the graph.

<sup>2</sup>Except for those constraints where the body is already defined to apply only over the instances of the subtype  $ct_2$ .

In order to increase the number of possible alternatives, we may decide to reify some of the associations appearing in the original CS (especially those with multiplicities greater than one in all their roles). In this way, these reified types become vertices of the graph and turn out to be new candidate context types for the constraint.

In our example, the reification of the *AssignedTo* association implies the inclusion of a new vertex into the graph. Figure 8 shows the updated part of the graph of Figure 5, where new edges for the new vertex *AssignedTo* have been added according to the rules described in section 3.1.1.

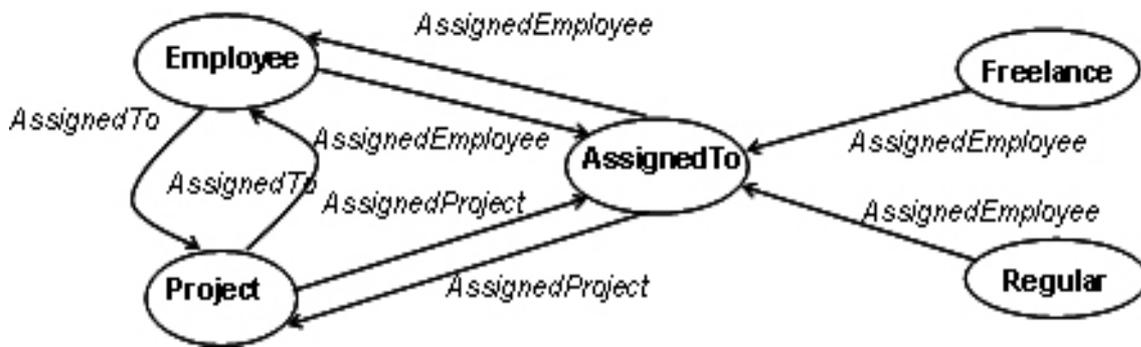


Figure 8. Updated part of the graph

As an example, we obtain sixteen different alternative representations for the constraint *MaxSalary* defined in Figure 4 (one for every path between *Department* and the related types in the graph: *Employee*, *Project*, *Category* and *AssignedTo*). Table 4 shows the list of valid paths (column 2) for each possible final context (column 1).

Obviously, for each path we have a different alternative representation of the original constraint. The body of these new alternatives is computed as explained in section 3. Moreover, for each alternative we can apply the equivalences of section 2 to generate additional alternatives by means of changing the body of the obtained constraints. For paths including vertices representing entity types that participate in a taxonomy we must also consider the possible context changes along the taxonomy.

Nevertheless, we may reduce the search space by just considering the paths including only edges representing associations referred in the body of the original constraint. We can discard the other paths since alternatives obtained with them are surely more complex than the original one. Recall that any alternative constraint representation  $c_2$  for a constraint  $c_1$  obtained using the graph  $G$  initially presents a body consisting in a navigation (extracted from the path) from the context  $ct_2$  of  $c_2$  to the context  $ct_1$  of  $c_1$  followed by the same body as  $c_1$ . Therefore, if no simplifications can be applied,  $c_2$  is more complex than  $c_1$  since its complexity may be regarded as that of  $c_1$  plus that of the navigation from  $ct_2$  to  $ct_1$ . Note that simplifications over  $c_2$  can only be applied when the edges that form the path from  $ct_2$  to  $ct_1$  are also included in the body of  $c_1$ .

Therefore, to obtain the relevant alternative representations for a constraint  $c_1$  it is

Table 4  
Valid paths for *MaxSalary*

<b>Context</b>	<b>Path</b>
Employee	Department – <i>Manages</i> – Employee
	Department - <i>WorksIn</i> –Employee
	Department – <i>Develops</i> – Project – <i>AssignedTo</i> – Employee
	Department – <i>Develops</i> – Project – <i>AssignedProject</i> – <i>AssignedTo</i> – <i>AssignedEmployee</i> – Employee
Category	Department – <i>Manages</i> - Employee - <i>BelongsTo</i> – Category
	Department - <i>WorksIn</i> -Employee - <i>BelongsTo</i> – Category
	Department – <i>Develops</i> – Project – <i>AssignedTo</i> - Employee - <i>BelongsTo</i> – Category
	Department – <i>Develops</i> – Project – <i>AssignedProject</i> – <i>AssignedTo</i> – <i>AssignedEmployee</i> – Employee - <i>BelongsTo</i> – Category
Project	Department – <i>Develops</i> – Project
	Department – <i>Manages</i> – Employee – <i>AssignedTo</i> – Project
	Department – <i>Manages</i> – Employee – <i>AssignedEmployee</i> – <i>AssignedTo</i> – <i>AssignedProject</i> – Project
	Department – <i>WorksIn</i> – Employee – <i>AssignedTo</i> – Project
	Department – <i>WorksIn</i> – Employee – <i>AssignedEmployee</i> – <i>AssignedTo</i> – <i>AssignedProject</i> – Project
AssignedTo	Department – <i>Manages</i> – Employee – <i>AssignedEmployee</i> – <i>AssignedTo</i>
	Department - <i>WorksIn</i> –Employee– <i>AssignedEmployee</i> – <i>AssignedTo</i>
	Department – <i>Develops</i> – Project - <i>AssignedProject</i> – <i>AssignedTo</i>

enough to apply the previous algorithm over the graph  $G'$ , subgraph of  $G$ , that contains the edges of  $G$  representing associations referenced in the body of  $c_1$  along with their vertices and the vertices corresponding to the reified entity types of those edges (plus the edges between the reified type and the other entity types in  $G'$ ).

The subgraph  $G'$  corresponding to the constraint *MaxSalary* is shown in Figure 9. Using  $G'$  we reduce the number of alternative representations from sixteen to only one.

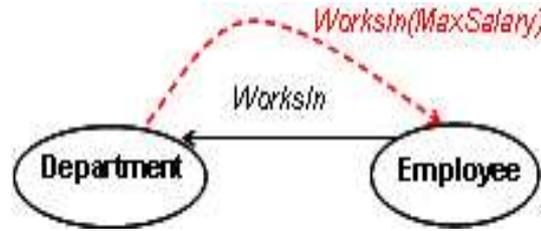


Figure 9. Subgraph for the constraint *MaxSalary*

According to this optimization, Table 4 summarizes the alternative representations (already simplified with the rules of section 3.1.3) for all constraints of our example. Note that for some constraints (as *ValidAge* and *ValidNHours*) the original representation is the only alternative. Notice also that *NotBossFreelance* can be defined over *Freelance* as a subtype of *Employee* because the body of the constraint can only be violated by *Freelance* instances.

For instance, the constraint *PossibleEmployee* over the reified type *AssignedTo* is first defined as:

**context** *AssignedTo* **inv:** *self.project->notEmpty()* implies *self.project->forall(p|p.employee->forall(e|e.expirationDate<self.project.dueDate)*

and then simplified by means of removing the *notEmpty* operator (*self.project* has always a multiplicity value of 1), the first *forall* (for the same reason) and, finally, applying the specific rules proposed for reified types.

## 5. APPLICATION SCENARIOS FOR THE CONSTRAINT TRANSFORMATION TECHNIQUES

The transformation techniques described in this paper are useful in several situations. First of all, at design time, we can use them to assist the designer in the definition of the constraints. With our proposal, designers may be aware of the different existing possibilities when defining each constraint, and thus, they can select the one they prefer. For students, the same transformation process could be useful to improve the learning of the OCL by means of showing them different alternatives for the constraints they define.

Moreover, the techniques are specially useful in several of the transformation scenarios defined in the context of the MDA [16]. In PIM-to-PIM transformations, several refactoring operations (see, [19] or [12] for examples) have been proposed to improve the design and structure of the models. OCL expressions may be affected by these refactorings and,

Table 5

Alternative representations for the example constraints (MS stands for MaxSalary, NFB stands for NotBossFreelance, ALTPM for AtLeastTwoProjectManagers, PE for PossibleEmployee, VA for ValidAge and VNH for ValidNHours)

Constraint	Alternative representations
MS	<b>context</b> Department <b>inv:</b> self.employee->forAll(e e.age>=25 or e.salary<=self.maxJuniorSal)
	<b>context</b> Employee <b>inv:</b> self.age>=25 or self.salary<=self.employer.maxJuniorSal
NBF	<b>context</b> Department <b>inv:</b> self.employee->size()>5 implies not self.boss.ocIsTypeOf(Freelance)
	<b>context</b> Employee <b>inv:</b> self.managed.employee->size()<=5 or not self.ocIsTypeOf(Freelance)
	<b>context</b> Freelance <b>inv:</b> self.managed.employee->size()<=5
ALTPM	<b>context</b> Department <b>inv:</b> self.project->forAll(p  p.employee->select(e  e.category.name='PM')->size())>=2)
	<b>context</b> Project <b>inv:</b> self.employee->select(e  e.category.name='PM')->size())>=2
	<b>context</b> Employee <b>inv:</b> self.project->forAll(p  p.employee->select(e  e.category.name='PM')->size())>=2)
PE	<b>context</b> Project <b>inv:</b> self.employee->forAll(e  self.dueDate<e.expirationDate)
	<b>context</b> Employee <b>inv:</b> self.project->forAll(p  p.dueDate>self.expirationDate)
	<b>context</b> AssignedTo <b>inv:</b> self.project.dueDate>self.employee.expirationDate
VA	<b>context</b> Employee <b>inv:</b> self.age>16
VNH	<b>context</b> Freelance <b>inv:</b> self.hoursWeek>=5 and self.hoursWeek<=30

as a consequence, they need to be transformed to keep the consistency of the evolved model.

As a simple example, when removing a supertype (and moving its properties to the subtypes) we need to redefine the constraints having that type as a context type in terms of its subtypes. That may happen, for instance, if we remove the *Employee* type and move all its attributes and associations to *Freelance* and *Regular*. In this case we also need to change the context of the constraint *ValidAge* from *Employee* to both *Freelance* and *Regular*, and this can be done as explained in section 3.2.

Some other problems caused by refactoring operations are described in [12]. However, to avoid problems with the OCL expressions, in that proposal the refactoring operations are only allowed under certain strong restrictions which are not required in our approach. Therefore, our techniques can complement that method. We can also regard our transformation techniques as refactoring operations that improve comprehensiveness of the OCL expressions. In this sense, we provide more powerful refactorings than the ones proposed in [4].

In PIM-to-PSM and PIM-to-code transformations, the goal is to derive (semi) automatically the final implementation of the system from the initial PIM specification. In this context, the simplicity of the defined constraints has a direct effect on the efficiency of the implementation. Therefore, our techniques can be used to increase the efficiency of the final system by generating equivalent but more efficient constraints than the original ones written by the designer (see [1] for full details of the process).

Additionally, when the final technology platform does not offer a predefined mechanism to implement the constraints (as it happens with object-oriented languages), the constraints need to be implemented using alternative constructs. Typically, constraints are included in the contracts of the system operations that may induce a constraint violation. For instance, in an operation *RaiseSalary* defined in the entity type *Employee*, we need to check that the new salary does not violate the *MaxSalary* constraint. In order to be able to easily include its verification in the contract of *RaiseSalary*, the constraint needs to be defined in terms of the employee instances, and thus, we need, first, to transform *MaxSalary* from its original version (using *Department* as a context type) to a new version defined using *Employee* as a context type.

Our transformation techniques may be useful in schema validation as well, when comparing a set of constraints in search of redundancies among them. For instance, it could help in the detection that two or more constraints are equivalent by means of redefining them over the same context type, processing their body with the rules of section 2 in the left-right direction and, finally, comparing the final resulting expressions. In some cases, just by comparing the final body we could determine if both constraints are equivalent or not (for instance, our techniques are able to detect that the three versions of *MinSalary* shown in the introduction are redundant), but, in any case, our transformation may help existing model checkers to provide better results.

As an additional benefit, preprocessing OCL expressions by means of the equivalence rules of section 2 in the left-right direction facilitates the creation of methods and tools to process OCL expressions (as, for instance, tools to implement transformations defined using OCL or OCL-like languages as in the QVT standard [18]). Thanks to our preprocessing, those methods do not need to address the full expressivity of the OCL, they just

need to consider the simplified grammar resulting from our rules (for instance, they may forget about the iterators *one*, *isUnique*, *reject*, ...). This reduces their complexity.

Similarly, our transformations are also helpful to extend the set of expressions handled for already existing tools. As an example, current OCL-to-Java tools (i.e. tools that translate OCL expressions to Java code, see [2] for a survey) cannot handle expressions that include the *allInstances* operator. Some of those expressions could be simplified with the rules of section 2.2, and thus, they could be processed by the tools. Moreover, some of the tools require constraints to be defined over a type *t* in order to properly verify them after the execution of operations defined in *t*. Here, our context change transformations may be in charge of providing an adequate representation of the constraints using *t* as a context type when possible.

## 6. TOOL IMPLEMENTATION

We have implemented a prototype tool [3] for the transformation techniques presented in this paper. Given an XMI file [17] representing a CS and a set of OCL integrity constraints in textual form (parsed using the Dresden OCL toolkit [5]), our tool generates all possible context changes for those constraints. The generated constraints are shown to the designer and may be stored, if required, in an output text file.

As a first step, the input constraints are preprocessed by means of applying the equivalence rules of section 2 in the left to right direction. Since each rule has been implemented in a separate Java class, our tool could be easily extended with the inclusion or the removal of new equivalence rules according to the designers' interest.

Then, and according to the input CS, the graph representing the CS is created and all possible paths are computed. As an example, we show in Figure 10 the results of processing our running example with the tool.

Finally, the user may select some (or all) the constraints in order to generate their alternative representations following the previous paths and the taxonomic relationships. The generated constraints are simplified (with the equivalences of section 3.1.3) and shown to the user. Along with the final constraints, the tool also provides information about the path and the rules applied to obtain them (see Figure 11).

## 7. CONCLUSIONS AND FURTHER WORK

We have proposed several transformation techniques that allow obtaining a set of semantically equivalent representations for a given OCL constraint. The techniques consider both changes in the body of the constraint as well as the possibility of redefining the constraint using as a context a different entity type of the conceptual schema. As far as we know, ours is the first proposal able to generate all alternative representations of a given integrity constraint in terms of different context types.

Although we have focused on integrity constraints, most of the equivalences of section 2 are useful for any kind of OCL expressions while the context changes of section 3 are partially applicable to pre and postcondition expressions (which, in fact, are represented as stereotyped constraints in the UML metamodel) as well.

The main part of our proposal is formalized as a path problem over a graph representing the conceptual schema. The graph is created in such a way that every path between two

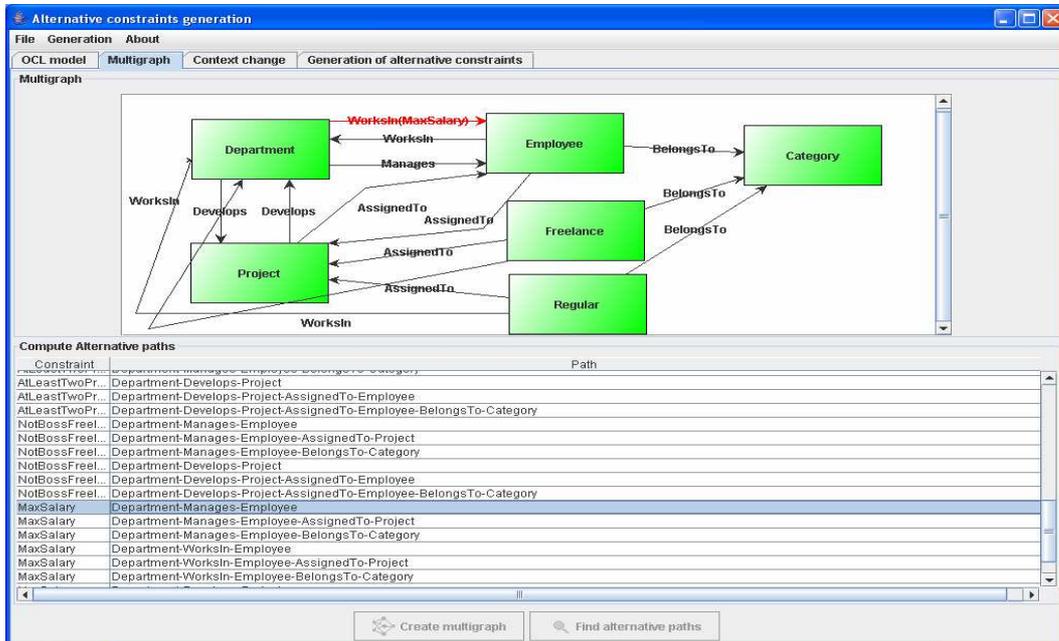


Figure 10. Graph and paths computed by the tool

The screenshot shows the 'Alternative constraints generation' tool interface with the 'Generation of alternative constraints' sub-tab selected. It displays a list of 'New generated OCL constraints' with columns for Name, Context, and the constraint expression. A dialog box titled 'MaxSalary(G)\_3' is open, showing details for a specific constraint. The dialog includes the constraint name, the path used for transformation, and a table of simplification rules used.

ATL	N <sup>o</sup>	Rule	Original Expression	Result Expression
ATL	1	x implies y → (not x) or y	(self.employer->notEmpty()) implies...	(not(self.employer->notEmpty())) or self.employer->forAll(de: Department   de.employe...
ATL	2	self.r1.r2...rn->not(Empty) ...	self.employer->notEmpty()	true
ATL	3	self.r1.r2...rn->forAll(x) → X	self.employer->forAll(de: Departmen...	self.employer.employer->forAll(e: Employee   (e.age >= 25) or (e.salary <= self.emplo...
No	4	not TRUE → FALSE	not true	false
No	5	self.r1.r2...rn->forAll(x) ...	self.employer.employer->forAll(e: E...	self->forAll(e: Employee   (e.age >= 25) or (e.salary <= self.employer.maxJuniorSal))
No	6	FALSE or X == X	false or self->forAll(e: Employee   (e...	self->forAll(e: Employee   (e.age >= 25) or (e.salary <= self.employer.maxJuniorSal))
No	7	self.r1.r2...rn->forAll(x) → X	self->forAll(e: Employee   (e.age >= ...	(self.age >= 25) or (self.salary <= self.employer.maxJuniorSal))

Figure 11. Constraints generated by the tool

vertices corresponds to a different alternative to represent the set of constraints defined over the first vertex (i.e. over the entity type represented by the vertex) by using the second one as a context. Using this graph we are able to compute the different alternative representations of a given OCL constraint.

Thanks to this generation, designers are aware of the different possibilities they have when defining a constraint and may select the best one according to their particular interest (for instance readability, understandability or efficiency). Our techniques are also useful in several transformation scenarios, as, for instance, the implementation of refactoring operations over the CS or the automatic code generation of the constraints in the final technology platform.

Further research may involve looking for additional useful equivalences that may improve further the results of our techniques (for instance, integrating some of the equivalences presented in [7]). Moreover, in many situations, the designer is interested in finding the *best* representation for a constraint among all alternative representations. We would like to define a set of complexity models that, depending on the designers' goal, allow obtaining automatically the *best* representation of a given constraint.

## 8. ACKNOWLEDGEMENTS

We would like to thank J. Conesa, D. Costal, C. Gómez, A. Olivé, A. Queralt, R. Raventós and M. R. Sancho for their many useful comments in the preparation of this paper. Special thanks to R. Solana for his contribution with the tool implementation. This work has been partially supported by the Ministerio de Ciencia y Tecnología and FEDER under project TIN2005-06053.

## REFERENCES

1. Cabot, J., Teniente, E.: Incremental Evaluation of OCL Constraints. In: Proc. 18th Int. Conf. on Advanced Information Systems Engineering, LNCS, 4001 (2006) 81-95
2. Cabot, J., Teniente, E.: Constraint Support in MDA tools: a Survey. In: Proc. 2nd European Conference on Model Driven Architecture, LNCS, 4066 (2006) 256-267
3. Cabot, J., Teniente, E. A tool for the transformation of OCL constraints.[Online]. Available: [www.lsi.upc.edu/~jcabot/research/OCLTransformations](http://www.lsi.upc.edu/~jcabot/research/OCLTransformations)
4. Correa, A., Werner, C.: Refactoring object constraint language specifications. Software and Systems Modeling 6 (2006)
5. Dresden. Dresden OCL Toolkit. <http://dresden-ocl.sourceforge.net/index.html>
6. Embley, D. W., Barry, D. K., Woodfield, S.: Object-Oriented Systems Analysis. A Model-Driven Approach. Yourdon Press Computing Series. Yourdon (1992)
7. Giese, M., Larsson, D.: Simplifying Transformations of OCL Constraints. In: Proc. 8th Int. Conf. on Model Driven Engineering Languages and Systems (MODELS'05), LNCS, 3713 (2005) 309-323
8. Gogolla, M., Richters, M.: Expressing UML Class Diagrams Properties with OCL. In: A. Clark and J. Warmer, (eds.): Object Modeling with the OCL. Springer-Verlag (2002) 85-114
9. ISO/TC97/SC5/WG3: Concepts and Terminology for the Conceptual Schema and Information Base. ISO, (1982)

10. Jungnickel, D.: Graphs, networks and algorithms. Springer-Verlag (1999)
11. Ledru, Y., Dupuy-Chessa, S., Fadil, H.: Towards computer-aided design of OCL constraints. In: CAiSE'04 Workshops Proceedings, Vol. 1. Faculty of Computer Science and Information Technology, Riga Technical University, Riga, Latvia (2004) 329-338
12. Markovic, S., Baar, T.: Refactoring OCL Annotated UML Class Diagrams. In: Proc. 8th Int. Conf. on Model Driven Engineering Languages and Systems (MoDELS'05), Lecture Notes in Computer Science, 3713 (2005) 280-294
13. McAllister, A.: Complete rules for n-ary relationship cardinality constraints. Data Knowl. Eng. 27 (1998) 255-288
14. OMG: UML 2.0 OCL Specification. OMG Adopted Specification (ptc/03-10-14) (2003)
15. OMG: UML 2.0 Superstructure Specification. OMG Adopted Specification (ptc/03-08-02) (2003)
16. OMG: MDA Guide Version 1.0.1. (2003)
17. OMG: XML Metadata Interchange (XMI) Specification v.2.0. OMG Adopted Specification (formal/03-05-02) (2003)
18. OMG: Revised submission for MOF 2.0 Query/Views/Transformations RFP. (ad/2005-03-02) (2005)
19. Sunyé, G., Pollet, D., Traon, Y. L., Jézéquel, J.-M.: Refactoring UML Models. In: Proc. 4th Int. Conf. on the Unified Modeling Language (UML'01), LNCS, 2185 (2001) 134-148