ALE STRESS UPDATE
FOR TRANSIENT AND QUASISTATIC PROCESSES

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ABSTRACT
A key issue in Arbitrary Lagrangian–Eulerian (ALE) non-linear solid mechanics is the correct treatment of the convection terms in the constitutive equation. These convection terms, which reflect the relative motion between the finite element mesh and the material, are found for both transient and quasistatic ALE analyses. It is shown in this paper that the same explicit algorithms can be employed to handle the convection terms of the constitutive equation for both types of analyses. The most attractive consequence of this fact is that a quasistatic simulation can be upgraded from Updated Lagrangian (UL) to ALE without significant extra computational cost. These ideas are illustrated by means of two numerical examples.

KEY WORDS: arbitrary Lagrangian–Eulerian formulation; non-linear solid mechanics; stress update; explicit algorithm

1. INTRODUCTION
The Arbitrary Lagrangian–Eulerian (ALE) formulation of continuum mechanics was initially developed in the context of fluid dynamics (see for instance Reference 1 and references cited therein), with the goal of overcoming the limitations of the Lagrangian and Eulerian formulations. The basic idea is to allow the computational mesh to move in an arbitrary manner, independent of material motion. By doing so, it is possible to avoid the excessive mesh distortion of a purely Lagrangian formulation or the cumbersome boundary tracking of a purely Eulerian description. Various explicit algorithms can be found in the literature for the ALE remeshing (i.e. selection of mesh velocity), see References 2–5.

More recently, the ALE formulation has been extended to non-linear solid mechanics. Compared to ALE fluid dynamics, the main additional difficulty is then the stress update (i.e. the time integration of the constitutive equation). Indeed, the rate-form constitutive equation of ALE non-linear solid mechanics contains a convective term that accounts for the relative motion between mesh and material. Because of this, the stress update cannot be performed at the Gauss-point level, as simply as in a Lagrangian analysis, and more involved procedures are required. In fact, the correct treatment of the convective term in the constitutive equation is a key point in ALE non-linear solid mechanics.

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If a fractional-step method is chosen, the material and convective terms of the governing equations are treated separately. Each time step consists of two phases: a material phase and a convection phase. The basic goal of this paper is to show that the convection phase of the stress update can be performed with the same explicit algorithms for ALE transient analysis (where an explicit time integration for the momentum balance is typically employed) and ALE quasistatic analysis (where implicit time marching is commonly chosen). There is consequently no need to develop specific algorithms for each type of analysis.

An important consequence of this fact is that a quasistatic analysis can be upgraded from an Updated Lagrangian (UL) formulation to an ALE description at (near) zero extra computational cost, because the additional features of the ALE computation with respect to the UL computation (convection phase of the stress update and remeshing) can be performed by means of explicit algorithms, which have a negligible computational cost in the context of implicit time stepping.

The situation is different for transient dynamic analysis, where explicit time marching is often preferred. With respect to an UL computation, the extra computational cost per time step of an ALE computation is no longer negligible. It must be recalled, however, that the ALE formulation allows for larger time steps than the purely Lagrangian formulation, see References 11 and 12, and this can have a crucial impact on the total computational cost.

An outline of this paper is as follows. In Section 2, the basic concepts of the ALE formulation of non-linear solid mechanics are reviewed, with special emphasis on the differences and similarities between transient and quasistatic analyses. Section 3 deals with the ALE stress update. Within the context of fractional-step methods, two explicit algorithms for the convection phase of the stress update are presented and discussed. A comparative assessment of the performance of the algorithms, both for transient and quasistatic processes, can be found in Section 4. Finally, some concluding remarks are presented in Section 5.

2. BASIC ALE EQUATIONS

A detailed presentation of the ALE formulation of continuum mechanics may be found in Reference 1. The specific issues of ALE non-linear solid mechanics are addressed in Reference 11. Only the basic concepts and the notation that will be used throughout the paper are presented here.

2.1. ALE kinematics

Two domains are commonly employed in continuum mechanics: the material domain $R_X$, made up of material particles $X$, and the spatial domain $R_s$, consisting of spatial points $x$. Neither of these two is taken as the reference in the ALE description, so a third domain is needed: the referential domain $R_\zeta$, formed by reference (or grid) points $\zeta$.

One-to-one transformations between the three domains are required. A convenient way to provide them is by means of the equations of material motion $x = x(X,t)$, and mesh motion $x = x(\zeta,t)$, which yield the spatial position $x$ of a material particle $X$ and a grid point $\zeta$, respectively, at time $t$.

If the instant $t_0$ is taken as a reference, material displacements $u$ and mesh displacements $\bar{u}$ can be, respectively, defined as $u(X,t) = x(X,t) - x(X,t_0)$ and $\bar{u}(\zeta,t) = x(\zeta,t) - x(\zeta,t_0)$.

In a similar fashion, material velocity $v$ and mesh velocity $\bar{v}$ are obtained, respectively, by differentiating the equations of material motion and mesh motion presented previously with respect
to time while keeping the particle \( \mathbf{X} \) or the grid point \( \mathbf{\chi} \) fixed:

\[
\mathbf{v} = \left. \frac{\partial \mathbf{x}(\mathbf{X}, t)}{\partial t} \right|_{\mathbf{X}}, \quad \tilde{\mathbf{v}} = \left. \frac{\partial \mathbf{x}(\mathbf{\chi}, t)}{\partial t} \right|_{\mathbf{\chi}}
\]  

In Equations (1), the notation \( \left|_{\mathbf{x}} \right. \) means ‘holding \( \mathbf{x} \) fixed’. The link between material and mesh motion is provided by convective velocity \( \mathbf{c} \)

\[
\mathbf{c} = \mathbf{v} - \tilde{\mathbf{v}}
\]

that is, the velocity of material particles relative to grid points.

Mesh acceleration plays no role in the ALE formulation, so only the material acceleration \( \mathbf{a} \) is needed, which may be expressed in the Lagrangian, Eulerian or ALE formulation, respectively, as

\[
\mathbf{a} = \left. \frac{\partial \mathbf{v}}{\partial t} \right|_{\mathbf{x}} \quad (3a)
\]

\[
\mathbf{a} = \left. \frac{\partial \mathbf{v}}{\partial t} \right|_{\mathbf{x}} + \mathbf{v} \cdot \nabla \mathbf{v} \quad (3b)
\]

\[
\mathbf{a} = \left. \frac{\partial \mathbf{v}}{\partial t} \right|_{\mathbf{\chi}} + \mathbf{c} \cdot \nabla \mathbf{v} \quad (3c)
\]

Since acceleration is the material derivative (i.e. for a fixed particle \( \mathbf{X} \)) of velocity, equation (3a), two terms are needed to represent it in both the Eulerian and the ALE formulations, equations (3b) and (3c): the local acceleration, \( (\partial \mathbf{v}/\partial t)|_{\mathbf{x}} \) or \( (\partial \mathbf{v}/\partial t)|_{\mathbf{\chi}} \), and the convective acceleration, \( \mathbf{v} \cdot \nabla \mathbf{v} \) or \( \mathbf{c} \cdot \nabla \mathbf{v} \). These convective terms reflect the fact that particle \( \mathbf{X} \) is neither attached to spatial point \( \mathbf{x} \) nor to grid point \( \mathbf{\chi} \). One of the benefits of the ALE formulation in fluid dynamics is the reduction of convective terms in the governing equations: if the mesh motion is properly selected, the convective velocity \( \mathbf{c} \) is smaller than the material velocity \( \mathbf{v} \), equation (2), so the ALE convective acceleration \( \mathbf{c} \cdot \nabla \mathbf{v} \) is smaller than the Eulerian convective acceleration \( \mathbf{v} \cdot \nabla \mathbf{v} \).

Equation (3c) is in fact a particular case of the general relationship between material time derivatives and referential time derivatives

\[
\left. \frac{\partial f}{\partial t} \right|_{\mathbf{x}} = \left. \frac{\partial f}{\partial t} \right|_{\mathbf{\chi}} + c_i \frac{\partial f}{\partial x_i} \quad (4)
\]

where \( f \) is any scalar function.\(^1\)

2.2. ALE transient analysis

2.2.1. Conservation laws

Equation (4) is the starting point to deduce the three fundamental conservation laws of continuum mechanics (mass, momentum and energy) in the ALE description. If mechanical effects are uncoupled from thermal effects, then the mass and momentum equations can be solved independently from the energy equation. The ALE version of these two equations is\(^1\)

**Balance of mass:**

\[
\left. \frac{\partial \rho}{\partial t} \right|_{\mathbf{\chi}} + c_j \frac{\partial \rho}{\partial x_j} = -\rho \frac{\partial \mathbf{v}_j}{\partial x_j} \quad (5a)
\]
Balance of momentum:

$$(\rho a_i = \rho \frac{\partial \vec{v}_i}{\partial t} + \rho c_j \frac{\partial \vec{v}_i}{\partial x_j} = \frac{\partial \sigma_{ij}}{\partial x_j} + b_i) \quad (5b)$$

where $\rho$ is the density, $\sigma$ is the Cauchy stress tensor and $b$ is the force per unit volume.

A standard procedure in non-linear solid mechanics consists of dropping the equation of mass balance (5a), which is not explicitly accounted for, thus solving only the momentum balance (5b). In solid mechanics, the domain boundary is typically composed of material surfaces. Since these surfaces are accurately tracked by the Lagrangian description commonly employed in solid mechanics (and also by the ALE description, as commented later), the balance of mass is verified at the global level without explicitly stating it.

Of course, equation (5a) must also hold at the local level. A common assumption is to take the density $\rho$ constant. The mass balance then becomes

$$\frac{\partial v_j}{\partial x_j} = 0 \quad (6)$$

which is the well-known incompressibility condition. This simplified version of the mass balance is also commonly neglected in solid mechanics. This is due to the fact that (i) elastic deformations typically induce very small changes in volume and (ii) plastic deformations are isochoric or volume preserving. This means that changes in density are negligible, and that equation (6) automatically holds to sufficient approximation without need of adding it to the set of governing equations.

In conclusion, if the two common assumptions of (i) uncoupled thermal and mechanical effects and (ii) constant density are made, then the only conservation law that needs to be solved is the momentum balance (5b).

Since the ALE formulation has been chosen, the ALE expression of acceleration, equation (3c), is employed to represent the inertia forces $\rho a$ (which are taken into account in the general, i.e. transient, case). Because of this, equation (5b) contains convective terms associated to the convective velocity $c$. These convective terms are similar to those encountered in the Eulerian formulation and reflect the relative motion between mesh and material.

Equation (5b) must be complemented with appropriate boundary conditions, which are imposed on the spatial domain. A detailed discussion of the implementation of boundary conditions in the ALE formulation can be found in References 14 and 12.

2.2.2. Constitutive equations for non-linear solid mechanics

In non-linear solid mechanics, material behaviour is often described by a rate-form constitutive equation, relating an objective rate of Cauchy stress to stress and stretching (rate-of-deformation), see Reference 15. In the ALE context, referential time derivatives, not material time derivatives, are employed to represent evolution in time. Specializing the general relationship (4) to the stress tensor, the ALE non-linear constitutive equation can be written as

$$\frac{\partial \sigma}{\partial t} \bigg|_X + c_j \frac{\partial \sigma}{\partial x_j} = q \quad (7)$$

The left-hand-side of equation (7) is the material rate of stress $((\partial \sigma/\partial t))_X$ expressed in ALE format. The right-hand side $q$ accounts for both the pure straining of the material and the rotational terms that counteract the non-objectivity of the material stress rate, Reference 12. In the context of this
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Box 1. ALE transient analysis

\[
\frac{\partial \mathbf{v}}{\partial t} + \mathbf{c} \cdot \nabla \mathbf{v} = \frac{\partial \sigma_{ij}}{\partial x_j} + b_i
\]

\[
\frac{\partial \sigma}{\partial t} = \mathbf{q}
\]

paper, the most relevant feature of equation (7) is the convective term, which, as discussed for the momentum balance, reflects the motion of material particles relative to the mesh.

2.2.3. Remeshing

To define completely the problem posed by equations (5b) and (7), it is necessary to take equation (2) into account. Since the mesh moves independently from the material, it is necessary to specify the mesh velocity \( \mathbf{V} \) so that the convective velocity \( \mathbf{c} \) can be determined.

Various remeshing strategies have been proposed\(^1\);\(^2\);\(^4\);\(^5\);\(^9\);\(^12\);\(^16\). The basic common features are: (i) boundary nodes are required to remain in the boundary (i.e. they are forced to move with the material along the normal to the surface, with relative motion allowed along the tangent), thus ensuring an accurate tracking of the boundaries; and (ii) mesh distortion is controlled by moving inner nodes in an appropriate way.

In conclusion, if the density is assumed a constant and the mass equation is neglected, a transient process is modelled by the ALE (transient) momentum balance (5b), and the ALE constitutive equation (7) (see Box 1) complemented with boundary conditions and a remeshing strategy to select the mesh velocity.

2.3. ALE quasistatic analysis

In the previous section the ALE transient analysis has been discussed and the fundamental equations presented. It is very common, however, to do other types of analysis, such as steady state or quasistatic. In this section the quasistatic analysis is discussed and the equations are developed. Moreover, the steady state in an ALE context is also analysed to show that it lacks physical meaning.

A process is termed quasistatic if the inertia forces \( \rho \mathbf{a} \) are negligible with respect to the other forces in the momentum equation (symbolically: \( \rho \mathbf{a} \ll \nabla \cdot \mathbf{\sigma} + \mathbf{b} \)). Inertia forces are negligible for physical reasons, so this must be independent of the kinematic description used. Consequently, one must also have

\[
\rho \mathbf{a} = \rho \frac{\partial \mathbf{v}}{\partial t} = \rho \left( \frac{\partial \mathbf{v}}{\partial t} \right) + \mathbf{v} \cdot \nabla \mathbf{v} = \rho \left( \frac{\partial \mathbf{v}}{\partial t} \right) + \mathbf{c} \cdot \nabla \mathbf{v} \ll \nabla \cdot \mathbf{\sigma} + \mathbf{b}
\]

which is independent of the arbitrarily chosen mesh velocity, and thus of the convection velocity.

Remark 1. Assuming negligible inertia forces does not imply zero accelerations (constant particle velocities). A process may have relevant, non-null local, convective and total accelerations and still be correctly modelled as quasistatic, if stress variations and/or body forces are much larger than inertia forces. This is a common situation in solid mechanics. See, for instance, Reference 12, where
Box 2. ALE quasistatic analysis

\[
\frac{\partial \sigma_{ij}}{\partial x_j} + b_i = 0
\]

\[
\frac{\partial \sigma}{\partial t} + c_j \frac{\partial \sigma}{\partial x_j} = q
\]

the influence of the inertia forces is evaluated in two metal forming examples, or Reference 17 for other examples.

In quasistatic analysis, the momentum balance becomes

\[
\frac{\partial \sigma_{ij}}{\partial x_j} + b_i = 0 \quad (8)
\]

which is a static equilibrium equation where time and velocity play no role. That is, there are no convective terms in the ALE momentum balance for quasistatic processes.

The hypothesis of quasistatic process only concerns the momentum equation. Nothing is said on the constitutive equation. Thus, convective terms are present in the ALE constitutive equation for quasistatic processes.

On the other hand, it is also usual in mechanics to study steady-state processes. Steady means that the partial derivatives with respect to time are zero. This is quite usual in Eulerian formulations and, theoretically, the same concept can be extended to an ALE formulation. An ALE steady analysis would imply that \( \frac{\partial}{\partial t} \big|_x = 0 \). Thus, the momentum and constitutive equations are

\[
\rho c_j \frac{\partial v_i}{\partial x_j} = \frac{\partial \sigma_{ij}}{\partial x_j} + b_i
\]

\[
c_j \frac{\partial \sigma}{\partial x_j} = q \quad (9)
\]

But a steady solution of equation (9) will not verify the same equation if the mesh velocity, \( \hat{v} \) (i.e. the convection velocity \( c \)), is arbitrarily modified. It is important to recall that, on the one hand, the essence of ALE is the arbitrary nature of the mesh velocity (thus the convection), and, on the other, a steady process is dependent on the kinematic formulation employed. Therefore, in spite of Reference 10, since the mesh velocity, \( \hat{v} \), is arbitrary the ALE steady process is physically meaningless!

To conclude, a quasistatic process is modelled by the ALE (quasistatic) momentum balance (8), the ALE constitutive equation (7) (see Box 2) complemented with boundary conditions and the definition of mesh velocity.

3. ALE STRESS UPDATE

3.1. Preliminaries

It can be seen in Boxes 1 and 2 that the ALE momentum balance for transient processes, equation (5b), and for quasistatic processes, equation (8), are different: the former accounts for
inertia forces, while the latter does not (a similar situation is found in the Lagrangian analysis of transient and quasistatic processes). Because of this, radically different techniques are employed for its time integration. The transient momentum balance is typically handled, both in the Lagrangian and ALE formulations, by an *explicit*, velocity-based scheme,\textsuperscript{18,19} where stability requirements impose a tight upper bound on the time step $\Delta t$. The quasistatic momentum balance, on the other hand, is commonly time-integrated with an *implicit*, incremental-iterative, displacement-based algorithm, which allows for larger time steps.

Regarding the ALE constitutive equation (7), it is valid for both transient and quasistatic processes (see Boxes 1 and 2). As previously remarked, the convective term in this equation is needed to represent relative motion between mesh and material, an essential feature of the ALE formulation.

**Remark 2.** The only difference in the ALE constitutive equation for transient and quasistatic cases lies in the meaning of variable $t$. In a transient analysis, $t$ represents physical time, which appears explicitly in the momentum balance (Box 1). For quasistatic analyses, however, physical time is usually not employed as an independent variable, because it is not present in the momentum balance (Box 2). Thus, the variable $t$ in the constitutive equation should be interpreted as the non-physical, pseudo-time parameter typically employed as a load factor in quasistatic simulations.

Since the constitutive equation does not change, it is a natural requirement that the numerical schemes employed for the stress update apply to both transient and quasistatic analyses. It is also reasonable to demand that these schemes be explicit. Indeed, in the transient case an explicit stress update is needed to be consistent with the explicit time integration of the momentum balance: an implicit stress update procedure to be used in every time step within an hydrocode,\textsuperscript{20} would be completely unaffordable. As for the quasistatic case, the stress update should be cheap—which, in practice, means explicit—, if the ALE formulation is to be competitive with alternative techniques, such as a Lagrangian formulation combined with an adaptive remeshing strategy.\textsuperscript{21}

### 3.2. Fractional-step methods

Two different approaches are possible when dealing with the equations in Boxes 1 and 2: (i) solving the fully coupled equations, accounting for the various terms simultaneously or (ii) employing a fractional-step method, to treat material and convective effects separately. This second strategy will be used here.

In the ALE context, the coupled approach or unsplit approach has been adopted in References 8 and 22, among others. After finite element spatial semi-discretization, equations (5b) and (7) are transformed into matrix equations which contain convection terms for momentum and stress, respectively. These equations are then integrated in time by means of an explicit predictor-corrector method. The main advantage of the coupled approach is that there is no need to split the original equations.

If the second approach is taken, see References 7, 3 and 23, every time step is subdivided into two phases: a material (or Lagrangian) phase, where convective effects in the momentum balance (transient process) and constitutive equation are neglected, and a convection (or transport) phase. This operator split is commonly employed in the numerical integration of differential equations.\textsuperscript{24} In exchange for a certain loss of accuracy (with respect to the coupled approach), it offers a generic benefit: simpler equations means simpler, more robust algorithms, specifically designed for each equation. Regarding the ALE equations, an added advantage results: profit is taken from
the experience in solid mechanics and fluid dynamics in handling material and convective terms, respectively.

The simplification associated to a fractional-step approach is especially interesting for the ALE constitutive equation. Indeed, the stress field \( \sigma \) is typically discontinuous across element edges, so its gradient cannot be reliably computed at the element level. Moreover, stress values are known at the Gauss points—where they are needed to compute internal forces—, not at the nodes. These two difficulties are easier to circumvent with a splitting technique.

This splitting technique may be regarded as the natural choice for ALE quasistatic analyses: since the momentum balance has no convective term (Box 2) it is completely handled by the material phase, so the transport phase need only deal with the convective term in the constitutive equation. The implementation of ALE capabilities in a Lagrangian code for quasistatic analysis is then a straightforward matter.25

With any of the two approaches, all the known numerical difficulties associated to convection (typically encountered in computational fluid dynamics) will appear when dealing with the momentum balance (transient analysis) and the constitutive equation (transient and quasistatic analysis). Some kind of upwinding is required to avoid the oscillations of the standard Galerkin method. Several approaches can be found in the literature, such as the Petrov–Galerkin method, the Taylor–Galerkin method,26 the characteristic Galerkin method, and least-squares methods (see Reference 27 for a general review and the references cited therein for each specific method). Various of these techniques have been used in the context of the ALE formulation; see, for instance, References 1, 3, 8, 22 and 23.

3.3. Algorithms for ALE stress update

3.3.1. The material (or Lagrangian) phase

In the first phase within every time step, the convective terms are neglected and only material effects are accounted for. The constitutive equation then reads

\[
\frac{\partial \sigma}{\partial t} |_{x} = q
\]

and it must be integrated in time to update stresses from \(^n\sigma\) (stress at time \(t_n\)) to \(^{n+1}\sigma\) (stress after the Lagrangian phase). Neglecting the convective terms is equivalent to assuming that grid points \(x\) move attached to material particles \(X\). Because of this, the Lagrangian phase can be performed with the same stress update algorithms as used in Lagrangian simulations, which handle the constitutive equation at the Gauss-point level. Various techniques of this type have been employed in this work. All of them preserve the objectivity of the constitutive equation, that is, they treat rigid rotations properly.28, 29

3.3.2. The convection (or transport) phase

The time step is completed with a convection phase, which handles the convective terms not taken into account during the material phase. The constitutive equation is then

\[
\frac{\partial \sigma}{\partial t} |_{x} + \epsilon_{ij} \frac{\partial \sigma}{\partial x_j} = 0
\]

and its time integration is required to update stresses from \(^t\sigma\) to \(^{t+1}\sigma\) (i.e. the stress at time \(t_{n+1}\)).
Remark 3. The fractional-step strategy has resulted in the splitting of the constitutive equation (7) into the parabolic equation (10) of the material phase and the hyperbolic equation (11) of the convection phase. This enables the use of specific algorithms, specially designed to handle each type of equation.

Since it contains a convective term, some type of upwinding is needed to handle equation (11). However, the convective velocity \( c \) is usually moderate (in practice, the mesh velocity \( \bar{v} \) is often selected by modifying the material velocity \( v \) in order to reduce element distortion), so the convective term is not large. In fact, the main source of trouble in the convective term is the stress gradient, not the convective velocity. As remarked previously, the stress gradient cannot be properly computed at the element level.

A possible solution to this problem is that of Reference 7: in the context of ALE quasistatic analysis, a continuous stress field is obtained by least-squares approximation; the gradient of the smoothed, continuous stress field is then employed in equation (11). The main disadvantage of this approach is that it results in an implicit algorithm, which may be acceptable for quasistatic analyses (although explicit schemes are preferred, as commented previously) but not for transient analyses, where the momentum balance is treated explicitly. More recently, various explicit procedures for stress update in ALE transient analysis have appeared.3

In this work, two explicit algorithms to integrate equation (11),3,25,31 will be presented and discussed. To avoid computing gradients of the discontinuous stress field at the element level, two different approaches have been taken: either (i) use an explicit smoothing procedure (Lax–Wendroff update) or (ii) employ an algorithm that circumvents the computation of the stress gradient (Godunov-like technique). In any case, the starting point consists of noting that equation (11) contains a scalar equation for every stress component \( \tau \)

\[
\frac{\partial \tau}{\partial t} + c_j \frac{\partial \tau}{\partial x_j} = 0
\]

In fact, the internal variables commonly employed in nonlinear mechanics must also be convected following equation (12), so \( \tau \) can be any stress-related component (a component of the stress tensor or an internal variable).

Remark 4. The term stress-related component does not imply that only stress measures are employed as internal variables. In isotropic plasticity, for instance, is common to choose the equivalent plastic strain as an internal variable.13 Stress-related component is just a convenient way to refer to all the variables that must be updated according to equation (12).

Algorithm 1: Lax–Wendroff update

As classically done in Lax–Wendroff or Taylor–Galerkin schemes,32,33,26 a Taylor series expansion of equation (12) is followed by substitution of the original equation into the expansion. This yields

\[
\tau_{n+1} = \tau_n - \Delta t \frac{n+1/2}{c_j} \frac{\partial \tau_n}{\partial x_j} + \Delta t \frac{1}{2} c_t \frac{n+1/2}{c_i} c_j \frac{\partial}{\partial x_i} \left( \frac{\partial^L \tau_n}{\partial x_j} \right)
\]

where \( n+1/2 c \) is the convective velocity evaluated at the midstep. It can be checked (see Reference 27) that in this algorithm a correction (with respect to a centred scheme) is
introduced only in the direction of the convection field, like in streamline-upwind methods. It must be remarked, however, that there is no free or adjustable parameter.

For a transient analysis, \( n^{+1/2} c \) is computed directly as the difference of material and mesh velocity,

\[
n^{+1/2} c = n^{+1/2} \mathbf{v} - n^{+1/2} \mathbf{\tilde{v}},
\]

where \( n^{+1/2} \mathbf{v} \) is obtained in the time integration of the momentum balance and \( n^{+1/2} \mathbf{\tilde{v}} \) is provided by the ALE remeshing procedure.\(^{18,19}\)

For a quasistatic analysis, on the other hand, solving the momentum balance yields an increment of material displacements \( \Delta \mathbf{u} \) and the ALE remeshing an increment of mesh displacements \( \Delta \mathbf{\tilde{u}} \).

The convective velocity, which is assumed to be constant within the time step, is then computed as

\[
\mathbf{c} = \frac{\Delta \mathbf{u} - \Delta \mathbf{\tilde{u}}}{\Delta t}
\]

In equation (13), both the stress gradient, which will be denoted by \( \gamma \) (\( \gamma_i = \partial \tau / \partial x_i \)), and its spatial derivatives are required. An explicit interpolation procedure, based originally in a classical least-squares projection, is employed to obtain a smoothed field of stress gradient.\(^3\) The starting point is the integral relation

\[
\int_V f_1 \nabla f_2 \, dV = - \int_V f_2 \nabla f_1 \, dV + \int_{\partial V} f_1 f_2 \mathbf{n} \, dS
\]

where \( f_1 \) and \( f_2 \) are scalar functions defined on volume \( V \), and \( \mathbf{n} \) is the outward unit normal to the boundary \( \partial V \) of volume \( V \). For two-dimensional problems, equation (16) reduces to

\[
\int_{\Omega} f_1 \nabla f_2 \, d\Omega = - \int_{\Omega} f_2 \nabla f_1 \, d\Omega + \int_{\Gamma} f_1 f_2 \mathbf{n} \, d\Gamma
\]

where \( \Omega \) is the two-dimensional domain associated to volume \( V \), and \( d\Gamma \) is its boundary. Equation (17) is valid both for plane stress/strain and axisymmetrical problems.

Equation (17) can be specialized into

\[
\int_{R_e} N_a \gamma \, dV = - \int_{R_e} \tau \nabla N_a \, dV + \int_{\partial R_e} N_a \tau \mathbf{n} \, dS
\]

where \( R_e \) and \( \partial R_e \) represent element \( e \) and its boundary, \( \mathbf{n} \) is the outward unit normal in the current configuration and \( N_a \) is the shape function of node \( a \). The assembly of equation (18) results in the linear set of equations

\[
\mathbf{M} \mathbf{\Gamma} = \mathbf{\Phi}
\]

where \( \mathbf{M} \) is a consistent pseudo-mass matrix, \( \mathbf{\Gamma} \) is a vector of nodal smoothed values of the stress gradient, and the independent vector \( \mathbf{\Phi} = [\mathbf{\Phi}_a] \) is defined as

\[
\mathbf{\Phi}_a = \sum_e \left[ - \int_{R_e} \tau \nabla N_a \, dV + \int_{\partial R_e} N_a \tau \mathbf{n} \, dS \right]
\]

To compute the integrals along element boundaries \( \partial R_e \), the scalar field \( \tau \) is extrapolated from Gauss points to nodes with the aid of Gauss-point shape functions.
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Box 3. Lax–Wendroff stress update

1. Update the mesh configuration from $t_n$ to $t_{n+1}$ taking into account the mesh velocity $\mathbf{u}$
2. Compute convective velocity $\mathbf{c}$ according to equation (14) (transient processes) or equation (15) (quasistatic processes)
3. Compute the lumped mass matrix $\mathbf{M}_l$, equation (21)

FOR EVERY STRESS-RELATED COMPONENT $\tau$:

4. Compute the independent vector $\Phi$, equation (20)
5. Treatment of symmetry: for nodes in an axis/plane of symmetry, set to zero the normal component of $\Phi$
6. Solve the trivial linear set $\mathbf{M}_l \Gamma = \Phi$ (diagonal matrix $\mathbf{M}_l$) to get the vector of smoothed nodal gradients $\Gamma$
7. Interpolate stress gradient $\gamma$ and its spatial derivatives from nodes to Gauss points with the aid of shape functions
8. Employ the one-step Lax–Wendroff method to find $\tau^{n+1}$ at the Gauss-point level, equation (22)

Since an explicit procedure is sought, the consistent matrix $\mathbf{M}$ of equation (19) is substituted by the lumped matrix $\mathbf{M}_l = [\mathbf{M}_l]$], with

$$\begin{align*}
[\mathbf{M}_l] & = \sum \int_{\mathcal{R}_e} N_a \, dV
\end{align*}$$

After doing so, $\Gamma$ is explicitly computed by solving a trivial system of equations, with diagonal matrix $\mathbf{M}_l$. Then equation (13) can be solved. Since $\tau^{n+1}$ is required at the Gauss points, a collocation technique is used to handle the weak form of equation (13). This results in

$$\begin{align*}
\tau^{n+1}(\xi) = \frac{1}{\Delta t} \tau(\xi) - \Delta t \frac{n+1}{2} c_i(\xi) \gamma_i(\xi) + \frac{\Delta t^2}{2} \frac{n+1}{2} c_i(\xi) \gamma_i(\xi) \frac{\partial^2 \gamma_j}{\partial x_i} (\xi) & \quad \text{for each Gauss point } \xi.
\end{align*}$$

A special treatment is required for planes or axes of symmetry. Since the stress-related component $\tau$ is symmetric with respect to an axis or plane of symmetry, the normal component of its gradient $\gamma$ must be set to zero. Since the smoothed nodal gradients are obtained by solving a diagonal set of equations, the cancellation of a certain component $\gamma_i$ is equivalent to the cancellation of the corresponding independent term $\Phi_i$, prior to the solution of the trivial system. This is the approach taken in the algorithm.

A flowchart of the Lax–Wendroff update technique is presented in Box 3.

Algorithm 2: Godunov-like update

The second algorithm for the convection phase is based on Godunov’s method for conservation laws. With the help of the stress-velocity product $Y = \tau \mathbf{c}$ (Reference 8) equation (12) is rewritten as

$$\begin{align*}
\frac{\partial \tau}{\partial t} + \frac{\partial Y_j}{\partial x_j} = \tau \frac{\partial c_j}{\partial x_j}
\end{align*}$$
3.3.3. One-point quadratures

Godunov’s method, which was developed in the context of finite volumes, assumes a piecewise constant field $\tau$. In a finite element framework, this is the situation if one-point quadratures are employed; Godunov’s method is then directly applicable. However, to allow for a subsequent generalization to multiple-point quadratures, a residual weak formulation of the method is preferred. Since the test functions $\omega$ are also piecewise constant, the integral equation is valid at the element level:

$$\int_{R_e} \omega \frac{\partial \tau}{\partial t} \, dV = \int_{R_e} \omega \frac{\partial \tau^e}{\partial x_j} \, dV - \int_{\partial R_e} \omega (\mathbf{Y} \cdot \mathbf{n}) \, dS \quad (23)$$

Since both $\tau$ and $\omega$ are constant within finite element $e$, equation (23) results in

$$\frac{\partial \tau}{\partial t} = -\frac{1}{2V} \sum_{s=1}^{N_s} f_s (\tau^e_s - \tau) [1 - \text{sign}(f_s)] \quad (24)$$

where $\tau$ is the stress-related component of element $e$, which has volume $V$ and $N_s$ faces, $\tau^e_s$ is the stress-related component in the contiguous element across face $s$, and $f_s$ is the flux of convective velocity $c$ across face $s$, $f_s = \int_{S_s} \mathbf{c} \cdot \mathbf{n} \, dS$. Equation (24) corresponds to a full-donor approximation, where full upwinding is achieved by means of $\text{sign}(f_s)$. Contrary to the Lax–Wendroff scheme, however, this upwinding is isotropic and not only along the streamlines. Because of this, the Godunov-like update results in spurious crosswind diffusion and a more overdiffusive behaviour than the Lax–Wendroff update; see Table I in Section 4.1.

For two-dimensional problems, equation (24) can be simplified into

$$\frac{\partial \tau}{\partial t} = -\frac{1}{2A} \sum_{s=1}^{N_s} f_t (\tau^e_t - \tau) [1 - \text{sign}(f_t)] \quad (25)$$

where $N_t$ is the number of edges of element $e$ and $\tau^e_t$ is the stress-related component in the contiguous element across edge $t$. For plane strain and plane stress problems, $A$ is the area of element $e$ and $f_t$ is the flux across edge $t$, $f_t = \int_{S_t} \mathbf{c} \cdot \mathbf{n} \, dS$. For axisymmetric problems, on the other hand, axisymmetry is accounted for by taking $A = \int_{R_t} r \, dS$ and $f_t = \int_{S_t} (\mathbf{c} \cdot \mathbf{n})r \, d\Omega$, where $r$ is the radial co-ordinate.

Explicit time integration of equation (25) yields

$$^{n+1}\tau = ^n\tau - \frac{\Delta t}{2A} \sum_{t=1}^{N_t} f_t (\tau^e_t - \tau) [1 - \text{sign}(f_t)] \quad (26)$$

for each element.

3.3.4. Multiple-point quadratures

Two different strategies have been tested to extend the Godunov-like update to multiple-point quadratures. The first approach is a generalization of the residual formulation of equation (23) which takes into account that the stress-related component $\tau$ and the test function $\omega$ are no longer piecewise constant.

The second approach, which has shown better results than the first one, is an engineering-like extension of the algorithm for piecewise constant fields. The basic idea is to divide every finite element into various subelements, each of them corresponding to the influence domain of a Gauss point. If, for instance, quadrilaterals with $2 \times 2$ integration points are employed, every element

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Figure 1. Subelements for the Godunov-like update

Box 4. Godunov-like stress update

1. Update the mesh configuration from \( t_n \) to \( t_{n+1} \) taking into account the mesh velocity
2. Compute convective velocity \( c \) according to equation (14) (transient processes) or equation (15) (quasistatic processes)
3. Transfer convective velocity from original mesh to auxiliary mesh of subelements
   FOR EVERY SUBELEMENT:
4. Compute area \( A \)
   LOOP ON SIDES OF THE SUBELEMENT
5. Compute the flux of convective velocity across side, \( f_s \)
   LOOP ON STRESS-RELATED COMPONENTS
6. Compute jump across side, \( (\tau^i_s - \tau) \), and add up the RHS of equation (25)
   END OF LOOP ON STRESS-RELATED COMPONENTS
   END OF LOOP ON SIDES OF THE SUBELEMENT
7. Employ Godunov’s method to find \( \tau^{n+1} \) at the subelement level, equation (26)

is divided into four subelements, see Figure 1. In every subelement, \( \tau \) is assumed to be constant, and represented by the Gauss-point value. Because of this, \( \tau \) is a piecewise constant field with respect to the mesh of subelements, and equation (26) can be employed to update the value of \( \tau \) for every subelement. A very simple and efficient algorithm is obtained (see Box 4) because the submeshing of the original mesh into subelements need only be performed once, at the beginning of the analysis.

3.4. Limitations on the time step

The numerical time integration of the momentum balance places a limit on the maximum time step \( \Delta t \). For transient analysis, this limitation is associated to the stability of the explicit algorithm...
employed to integrate equation (5b). For quasistatic analysis, on the other hand, $\Delta t$ is restricted by the convergence properties of the non-linear solver employed for the implicit integration of equation (8).

According to the numerical experimentation in this work, the limitation on time step associated to the numerical time integration of the constitutive equation is not more restrictive than for the momentum balance, in either transient or quasistatic analysis. In other words, the same $\Delta t$ that is used for the momentum balance can then be employed for the stress update, with any of the algorithms of Section 3.3. The basic idea is that, with the typical values of time step $\Delta t$ and convective velocity $c$, the relative motion between the material and the mesh that occurs during the convection phase carries material particles (at most) to a neighbour element but no further. This situation can be symbolically expressed as

$$|c|\Delta t \leq h$$

where $h$ is the element size. In fact, equation (27) is the well-known Courant condition. Since the two algorithms previously presented take into account the stress transport between contiguous elements, they can be used for the stress update in both transient and quasistatic processes.

It must be remarked, then, that the explicit algorithms for the convection phase of Section 3.3 are applicable in combination with either an explicit algorithm for the momentum balance (transient analysis) or an implicit algorithm (quasistatic analysis). In the latter case, there is no need to develop different, implicit stress update procedures for the convection phase. In fact, exactly the same algorithms, without modifications, have been employed for the convection phase of the stress update in the numerical examples of the next section.

If the time integration of the momentum balance is explicit (transient analysis), no iterations are made. Thus, every time step involves performing each of the following tasks once: (1) material phase of the stress update; (2) selection of mesh velocity (i.e., remeshing); (3) convection phase of the stress update. Tasks (2) and (3), which are specific of an ALE analysis and are not present in an UL computation, have a significant impact on the computational cost per time step. It must be recalled, however, that the basic advantage of the ALE formulation it that it avoids element entanglement and keeps element distortion under control. This means that larger time steps than in an UL computation can be used, see Reference 11, and this can have a crucial influence upon the total computational cost.

On the contrary, if the momentum balance is handled by an implicit algorithm (quasistatic analysis), iterations up to equilibrium are required. This means that tasks (1)–(3) must be performed once per iteration, until equilibrium in the ALE mesh is achieved.

An alternative, simplified approach, however, is keeping only task (1) (material phase of the stress update) inside the iteration loop. By doing so, iterations are carried out until equilibrium is verified in the fictitious Lagrangian mesh. After that, tasks (2) and (3) are performed just once, at the end of the time increment (see Box 5). This approach has the advantage that the only overhead cost of ALE quasistatic analysis with respect to an UL analysis is due to the explicit remeshing and the convection phase required at the end of every time step. This overhead cost is negligible when compared to the cost of the implicit time integration of the equilibrium equation. It can be concluded that upgrading a quasistatic analysis from UL to ALE can be done at no (significant) extra computational cost.

It must be noted, on the other hand, that with the simplified approach of Box 5, equilibrium is only achieved in the fictitious Lagrangian mesh, not in the true ALE mesh. Indeed, the convection phase somewhat disrupts equilibrium. Numerical experimentation, however, shows that the spurious
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Box 5. Simplified approach for ALE quasistatic analysis

1. **Iteration loop:** neglect the convective velocity and solve the equilibrium equation (8) complemented with the Lagrangian constitutive equation (10) iteratively, as in a Lagrangian analysis.
2. **Remeshing:** compute the convective velocity $c$ and update the mesh from its fictitious Lagrangian configuration to its true ALE configuration.
3. **Convection:** perform the convection phase of the stress update, equation (11), with any of the algorithms of Section 3.3.

Residual forces associated to the convection phase are small and do not affect the quality of the solution, see the next section and Reference 23.

4. NUMERICAL EXAMPLES

Two numerical examples are presented in this section. The first one is a necking test. Various quasistatic simulations are made to show that the explicit algorithms of Section 3.3 can be employed for the convection phase of the stress update, even in the context of the implicit time-stepping. The second numerical example is a coining test. For this test, both quasistatic and transient simulations are performed, to show that the same ALE stress update strategy can be used for both types of analysis.

4.1. Necking test

The necking problem is a well-known benchmark test in non-linear solid mechanics. A cylindrical bar with circular cross-section, with a radius of 6.413 mm and 53.334 mm length, is subjected to uniaxial extension. A slight geometric imperfection (1 per cent reduction in radius) induces necking in the central part of the bar. Because of symmetry, only a quarter of the specimen is modelled. The elastoplastic constitutive law can be found in Reference 35. Two meshes of eight-noded quadrilaterals with $2 \times 2$ Gauss points are employed for the analysis, a coarse mesh of 50 elements and a fine mesh of 320 elements. Both UL and ALE simulations have been carried out, for comparison purposes. For the ALE cases, the simplified approach of Box 5 has been employed.

Figure 2 shows the whole deformed piece after an axial elongation of 14 mm (7 mm for half the piece, or 26 per cent of initial length). If the UL formulation is employed in combination with the coarse mesh, Figure 2(a), the elements in the neck zone become very distorted, following the large material deformation. As a consequence, a poor definition of the deformed shape of the piece is obtained. The quality of the solution may be improved by performing the UL simulation on the fine mesh, Figure 2(b). An alternative approach, however, is to keep the coarse mesh and use the ALE formulation. A very simple ALE remeshing strategy is suggested by the results of the UL analyses: (1) the upper part of the piece, where strains are not large, remains Lagrangian (that is, convective velocity is set to zero, so there is no need to perform the convection phase of the stress update) and (2) equal height of elements is prescribed in the central part, thus avoiding the excessive distortion of elements near the neck of Figure 2(a). The two update algorithms result in very similar deformed shapes; the output with the Lax–Wendroff update is shown in Figure 2(c).
A more quantitative comparison of the various simulations is offered in Figure 3, which shows the evolution of radius reduction (ratio of current radius to initial radius) to elongation (in mm, for half the specimen). It can be seen that, up to 7 mm elongation, all the curves are very similar and in good agreement with those in Reference 35. If pulling proceeds, however, discrepancies arise between the UL solution with the coarse mesh, on one side, and the UL solution with the fine mesh and the ALE solutions, on the other. With only one row of (very distorted) elements in the necking zone, the UL simulation on the coarse mesh does not fully capture the plastification process, and this results in less necking.

A closer look to this behaviour is presented in Figure 4. Taking the Lagrangian solution with the fine mesh as a reference, the relative error in radius of the simulations with the coarse mesh is plotted versus elongation. After a pull of 7 mm, the UL solution offers an acceptable error of 5 per cent, compared to ALE values of slightly under 1 per cent. If pulling continues up to 8 mm, however, the error for the UL solution grows to 80 per cent while it stays below 5 per cent for the two ALE cases, see Figure 4(a). A zoom focusing on the ALE analyses, Figure 4(b), shows that the two update procedures yield almost identical results.

It is apparent from Figures 3 and 4 that the evolution of necking is properly described by the two ALE stress update algorithms, and that tougher criteria must be employed to assess their relative performance. A possibility is to compare the distributions of a certain stress-related component. Plate 1 shows the profiles of the equivalent plastic strain in the neck zone after an elongation of 7 mm. Again, the UL analysis with the fine mesh is taken as a reference, Plate 1(a).
The UL simulation with the coarse mesh correctly describes the general aspect of the field, see Plate 1(b), but fails to capture the large plastification in the neck and underestimates the peak value of the plastic strain by 23 per cent, see Table I. As for the ALE cases, the Lax–Wendroff update, Plate 1(c), performs slightly better than the Godunov-like update, Plate 1(d), with an underestimation of the peak value of only 4 and 6 per cent, respectively.

A final, qualitative assessment of the various simulations can be made by looking at the distribution of the Von Mises stress. According to some empirical and semianalytical studies, this field is constant in the neck zone, along the \( z = 0 \) plane of symmetry. Plate 2 shows the distribution of the Von Mises stress after an elongation of 7 mm. It can be seen that the UL analysis with the coarse mesh, Plate 2(b), does not provide a constant value along \( z = 0 \). On the other hand, both the UL analysis with the fine mesh, Plate 2(a), and the two ALE computations, Plate 2(c) and (d), yield very similar Von Mises stress profiles, which are constant along \( z = 0 \). Again, the suitability of the explicit algorithms of Section 3.3 for the stress update is illustrated with this qualitative comparison.

### 4.2. Coining test

In this test, a coining process is simulated. A metallic disk, with a radius of 30 mm and a height of 10 mm, is deformed by a punch 12 mm in radius, see Figure 5. The disk is made of an elastoplastic material with elastic modulus \( E = 200 \text{ GPa} \), Poisson’s coefficient \( v = 0.3 \), yield
stress $\sigma_y = 250$ MPa and plastic modulus $E_p = 1$ GPa. Both the punch and the die are rigid. Perfect friction (stick) conditions are assumed in the punch-disk and disk-die interfaces. An axisymmetric analysis is performed to model a 60 per cent height reduction. The finite element mesh is made of $8 \times 20$ eight-noded elements, with $2 \times 2$ Gauss points.

Both quasistatic and transient simulations have been performed, to show that the same explicit algorithms of Section 3.3 can be successfully employed for the convection phase of the stress update in both types of analyses.

Figure 6 shows the output of an UL quasistatic simulation. It can be seen that, at 36 per cent height reduction, the finite elements under the punch corner and in contact with the die become very distorted, and the analysis cannot proceed. The pattern of material flow is also clear from Figure 6: since there is perfect friction in the two interfaces, the material tends to flow outward from the central part of the disk. The outer part of the disk is relatively unaffected by deformation and moves in a rather rigid manner.

With the ALE description, on the contrary, the analysis can be completed. Plate 3 shows the evolution of the ALE mesh and the yield stress. The Godunov-like algorithm is employed for the convection phase of the ALE stress update. A similar result is obtained with the Lax–Wendroff algorithm.

To assess the influence of dynamic effects, various ALE transient simulations of this coining process have been performed, with punch velocities $v$ ranging from 300 to $0.15$ m/s. Plate 4 shows the final ALE mesh and yield stress profile for different values of $v$. As in the quasistatic case,
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![Graph showing relative error in radius reduction vs. bar elongation for different simulations.]

Figure 4(b). Necking test. Relative error in radius reduction vs. bar elongation; zoom

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<th>Max. plastic strain</th>
<th>Relative error</th>
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<td>Reference value</td>
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<tr>
<td>Coarse mesh</td>
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<tr>
<td>Godunov update</td>
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<td>-6%</td>
</tr>
</tbody>
</table>

Table I. Necking test. Maximum plastic strain for various simulations

Similar results are obtained with the two explicit algorithms for the stress update; the Lax–Wendroff update is employed in Plate 4.

It is interesting to note that the output of the quasistatic and transient analyses are in good agreement, in the sense that the last picture of Plate 3 (quasistatic analysis) is the limit case with null inertia effects of the sequence of punch velocities of Plate 4 (transient analysis), both in terms of deformed shape and yield stress profile. This result corroborates the applicability of the explicit algorithms to both ALE quasistatic and transient analysis, in spite of the inherent differences in the time-marching strategy (implicit vs. explicit).
Figure 5. Coining test. Problem statement

Figure 6. Coining test, quasistatic analysis. Distorted mesh in an UL computation
5. CONCLUDING REMARKS

A unified presentation of the Arbitrary Lagrangian–Eulerian (ALE) formulation for quasistatic and transient analysis has been made. In the general (i.e. transient) case, the governing equations have convective terms that reflect the relative motion between mesh and material. In the quasistatic case, on the contrary, there are no convective terms in the momentum balance (because inertia effects associated to the convective acceleration are neglected), but they are still present in the constitutive equation (representing the arbitrary mesh motion which is inherent to ALE kinematics).

Numerical time-integration of the governing equations has been performed by means of fractional-step methods, thus treating material and convection terms in two separate phases. It has been shown that the same explicit algorithms (Lax–Wendroff and Godunov-like) can be employed for the convection phase of the stress update for both quasistatic and transient problems, in spite of the different time-marching strategy (implicit for quasistatic, explicit for transient). This means that there is no need to develop specific algorithms for each type of computation. The most important consequence, however, is that a quasistatic simulation can be upgraded from Updated Lagrangian to ALE without a significant computational overhead, because the additional features of the ALE analysis (convection phase and remeshing) are explicit, while the time-integration of the equilibrium equation is implicit. These ideas are illustrated by means of two numerical examples in nonlinear solid mechanics, a necking test and a coining test.

REFERENCES

Plate 1. Necking test. Equivalent plastic strain in the neck zone. UL formulation: (a) fine mesh; (b) coarse mesh. ALE formulation (coarse mesh): (c) Lax–Wendroff update; (d) Godunov-like update.
Plate 2. Necking test. Von Mises stress in the neck zone. UL formulation: (a) fine mesh; (b) coarse mesh. ALE formulation (coarse mesh): (c) Lax–Wendroff update; (d) Godunov-like update
Plate 3. Coining test, quasistatic analysis. Evolution of the ALE mesh and yield stress