



Block freeze concentration by centrifugation and vacuum increases the content of lactose-free milk macronutrients

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Title: Block freeze concentration by centrifugation and vacuum increases the content of lactose-free milk macronutrients

Associate Editor: Mauer, Lisa

Dear Editor

Firstly, we would like to thank you for the opportunity to reconsider our manuscript for publication in **Journal of Food Science**. We also would like to point out that all the comments made by Reviewers have been taken into account. We believed that all corrections were essential for the improvement of our manuscript. As suggested by Reviewers, changes were made in the manuscript, and the comments have been discussed and incorporated in this enhanced version, which is highlighted in red.

Reviewers' comments:

Reviewer #1:

1. Lump citations such as (Canella et al., 2019a; D. Arend et al., 2022; Demoliner 116 et al., 2020; Sequera et al., 2019) is not recommended. Please specify each liquid food application for each citation/reference.

Author's comments: We agree with the Reviewer. Therefore, we have rewritten these sentences (See Page 7, Lines 166–170).

2. Please state a good reason for the combination of PFC and BFC. State any past research on PFC and BFC and their results, then find the research gap/limitation that leads to the PFC and BFC combination.

Author's comments: Thank you for the observations. Although PFC is not the object of study of this work, we have rewritten the Introduction addressing your comment. Therefore, we have added information related to a good reason for the combination of PFC and BFC, studies on PFC and BFC combination, and gaps related to PFC and BFC combination. To see this information, and also to have a better understanding of our purpose, please read page 7 line 166 until page 9, line 212.

3. Please proofread the paper as there are some words that I think are not suitable to be used. Example: Protein analysis was "realized" according to the Kjeldahl method (line 159). The word "realized" can be replaced with another word.

Author's comments: We have agreed with the reviewer and replaced the word "realized" (See Page 10, Line 231). Thank you for your constructive comment.

4. Define lactose-free milk.

Authors' comments: We agree with the Reviewer and this comment was addressed in the Introduction section (See Page 4, Lines 93–125). We have discussed some details involved in the production and definition of lactose-free milk.

5. Concentrate yield for the effect of centrifugal time is not even higher than 50%. Please add any past researches that did the same method and their results. Please also add a statement on either centrifugal time is an important parameter or not for this study.

Authors' comments: Thank you for the recommendation. We did a broad study on the topic and improved the discussion (See Page 16, Lines 361–399).

6. How this method is applicable to milk manufacturing? Please mention it in the conclusion.

Author's comments: We appreciate your comment. Reviewer 2 also asked us to make changes to the *Conclusion* section. So we have rewritten it.

7. What is the difference amount of concentrate 1 and 2, in mass?

Authors' comments:

| | <u>1st cycle</u> |
|-------------------------------|------------------|
| Initial sample mass (milk) | ~734g |
| Concentrate mass | ~33g |

continuation below

| <u>2nd cycle</u> | |
|------------------------------|-------|
| Initial sample mass (ice) | ~692g |
| Concentrate mass | ~137g |

8. Please mention the analytical equipment used to analyze the products as the authors claim this method can maintain the sensorial characteristic of the milk.

Author's comments: Descriptive analysis presents great applicability for the monitoring and adjustment of sensory characteristics of milk products. This method does not require training, has a low financial impact, and optimizes time and resources in dairy industries. In addition, it gives information highly correlated with traditional methods, providing a total assessment of products and taking all sensory traits into account. Therefore, for a better understanding, the following comment was removed from the manuscript: "Then, it is expected that lactose-free milk submitted to these FC processes may have distinct sensorial characteristics."

9. Do the results from this study compared with the current method used in the industry? Please mention it.

Author's comments: We agree with the Reviewer and this comment was addressed. Therefore, the following information was added in the final version of the manuscript:

"According to Beldie and Moraru (2021), the concentration of milk in the dairy industry is typically achieved by thermal evaporation or membrane technology such as reverse osmosis. The efficiency of these processes is very similar to those obtained by the freeze concentration process used in the present study. In thermal evaporation, the maximum concentration level achievable is 55% for skim milk and 50% for whole milk, while for the membrane process, the maximum concentration varies between 25% and 30% solids. Thermal evaporation is also known to reduce the quality of the final concentrated product due to prolonged exposure to heat, which negatively affects the color, taste, and nutritional value of milk. Furthermore, evaporators are prone to biofilm formation by spore-forming mesophilic or thermophilic bacteria. A major drawback of membrane separation is that the process is significantly affected by membrane fouling,

which leads to flux decline and limits the final achievable concentration of the product (Beldie & Moraru, 2021). Given the above, we can verify that block freeze concentration by centrifugation and vacuum can be used by the industry aiming for the macronutrient concentration of lactose-free milk.” (See Page 21, Lines 484–498)

Reviewer #2:

This research article presents an interesting evaluation of block freeze concentration by centrifugation and vacuum to increase the content of lactose-free milk macronutrients. However, some aspects must be considered in order to improve the manuscript.

Author’s comments: We understand the Reviewer’s point of view and highlight the relevance of the comments.

Line 27: It is alright to use pronouns, but try to limit their usage

Author’s comments: We agree with the Reviewer and this comment was addressed. (See Page 2, Line 36).

Line 28: Don’t have to mention the experimental design in the abstract; it’s alright to give a 2-3 brief intro and the relevance of the current research

Author’s comments: We agree with the reviewer regarding the brief presentation in the Abstract, and therefore, we have made changes in this section (See Page 2, Lines 30–36).

Concerning the mention of the experimental design, our research group doesn't see problems in this sense, since the same approach was used in the abstract of Santana et al. (2020), who performed assays of blueberry juice freeze concentration. Even so, we have suppressed the specific design (2³) (see page 2, lines 36 and 37). We didn't remove more information than this one because the sentences that follow each other would be meaningless or confused.

Reference:

Santana, T., Moreno, J., Petzold, G., Santana, R., & Sáez-Trautmann, G. (2020). Evaluation of the temperature and time in centrifugation-assisted freeze concentration. *Applied Sciences*, 10, Article 9130. <https://doi.org/10.3390/app10249130>

Line 30: “were” analyzed

Author’s comments: We agree with the reviewer, and therefore, we have rewritten the Abstract section (See Page 2, Lines 35–39).

Line 31: Too exaggerated: do not use “greater effect”. What does a greater effect translate to?

Author’s comments: We agree with the reviewer, and therefore, we have rewritten the Abstract section (See Page 2, Lines 39 and 40). The phrase “The centrifugation temperature had a greater effect on the concentrate yield and concentration index” was replaced by “Concentrate yield and concentration index were mainly affected by the centrifugation temperature”.

Line 32: It seems that there is an article usage problem here.

Line 32: The noun phrase significant effect seems to be missing a determiner before it. Consider adding an article.

Author’s comments: We agree with the reviewer, and therefore, we have rewritten the Abstract section (See Page 2, Lines 40–42). The phrase “Regarding **the** efficiency, individual factors did not have **significant effect** on the response, but their interactions did.” was replaced by “On the other hand, individual factors did not have **a significant effect** on the efficiency, only their interactions.”

Line 49: you can also include the sustainability aspect of it.

Author’s comments: We agree with the reviewer and this comment was addressed. (See Page 3, Lines 58–65)

Line 51: The singular verb is does not appear to agree with the plural subject contents. Consider changing the verb form for subject-verb agreement.

Author’s comments: We agree with the reviewer, and therefore, we have rewritten this sentence (See Page 3, Lines 58–60). The phrase “In turn, milk with higher solids contents is gaining in popularity in much research of dairy products” was replaced by “In turn, dairy products that provide a high amount of solids (especially protein) are gaining in popularity among consumers, with consequent interest from researchers”.

Line 54-55: Rewrite

Author's comments: We appreciate your valuable comment and have chosen to remove this sentence, not least because there is a word limit established by the journal for this section.

Line 78: It may be unclear who or what "This" refers to. Consider rewriting the sentence to remove the unclear reference.

Author's comments: We agree with the reviewer, and therefore, we have rewritten the Introduction section (See Page 4, Lines 77–84).

Line 74-97: These two paragraphs can be combined into one rather than being vague in certain lines

Author's comments: Based on your comment, as well as the suggestions of reviewer 1, we have made changes in the Introduction section. As per your suggestion, all content of milk and intolerance was kept in one paragraph. As recommended by reviewer 1, more information about lactose-free milk was added. (See Page 4, Lines 77–125).

Line 87-90: This appears to be a sentence fragment. Consider rewriting it as a complete sentence.

Author's comments: We agree with the reviewer, and therefore, we have rewritten all these sentences (See Page 4, Lines 81–93).

Line 94-97: Not clear what's precisely being conveyed here

Author's comments: We understand the reviewer's point of view, but we need to emphasize that after all the context presented, the sentence itself is clear and understandable.

In the previous sentences, it was discussed what lactose malabsorption is, and all the negative consequences that this situation can lead to human health. In lines 97–105, we reported that despite all these problems, lactose malabsorbers don't need to restrict milk or dairy from their diet, thanks to existing technologies for the production of lactose-free milk and dairy products. Still, our society is constantly changing in terms of the way we feed and nourish ourselves, and as a consequence, the industry tries to keep up with

this movement. The production of concentrated lactose-free milk could support both the industry and consumers. That's because, the consumer can do direct use of this product, depending on its nutritional requirements (related to sports practices, lifestyle, and age), socio-cultural factors, and intrinsic product characteristics. Likewise, from concentrated milk, the industry can innovate in obtaining lactose-free derivatives, as we know that concentration is often the first step in their manufacture. Among the many products that utilize milk or milk components, we can mention supplements for athletes, infant formulas, supplements for the elderly, as well as more common products such as cheeses, concentrated yogurts, ice cream, and dairy beverages.

Line 111: Please elaborate on the previous studies on milk/whey and establish

Author's comments: We agree with the reviewer. The following sentences were added:

“More specifically, Camelo-Silva et al. (2022) freeze concentrated milk until the third stage and used the sample from the first stage for ice cream production (Ice cream 1). This ice cream was contrasted to the ice cream from regular milk (Ice cream 2), and the authors concluded that Ice cream 1 presented good chemical, physical, rheological, and microstructural properties. Likewise, in the approach of Barros et al. (2022), FC was employed as an indirect technology for ice cream manufacture. However, instead of milk, cheese whey was used as a raw material for the FC process, and the concentrate from the second stage was evaluated as a milk substitute in ice cream. After testing 4 replacement levels, the authors concluded that the incorporation of concentrated whey at a 50% substitution level was better in terms of color, flavor, and texture attributes. In addition to the structural characteristics of the final product, the other advantage of this study was the added value to the whey, which is often seen only as a by-product of the cheese industry. De Liz et al. (2020) also utilized freeze concentrated whey in their experiments, but with another proposal: goat's whey freeze concentrate was used as an encapsulant agent for probiotic encapsulation (*Bifidobacterium animalis* ssp. *lactis* BB-12) by spray drying. The powdered probiotic samples from the encapsulation process presented good stability after storage at 4 °C and 25 °C for 40 days ($> 7 \log \text{CFU g}^{-1}$), showing that goat whey concentrates had an excellent behavior as a cell protective material. Also, it was noted that, as a wall material, goat whey concentrate exhibited favorable thermal properties before and after encapsulation. Equally, Machado Canella et al. (2020), worked

with a based-goat raw material. However, their sample did not consist of goat whey, but semi-skimmed goat milk. After optimization of the FC process, it was obtained a concentrate yield of 77.97%. Moreover, the content of total solids changed from 9.94 to 32.87 (g 100 g⁻¹), with an emphasis on protein (from 3.53 to 9.43 g 100 g⁻¹).”

To understand the full context, please read page 6, lines 126–165.

Line 126-132: It’s not evidently established what differentiates your research from that of Dantas et al. (2021); rewrite and introduce your hypothesis here more effectively

Author’s comments: We agree with Reviewer 2 and this comment was addressed. Note that the work realized by Dantas et al. (2021) used a different technique of freeze concentration. This work (Dantas et al., 2021) evaluated the behavior of lactose-free milk when submitted to the Progressive freeze concentration. Given the considerable amount of total solids retained in the solid fraction (ice), this ice was thawed, frozen again, and subjected to the vacuum-assisted BFC. In the present study, it was explored a different technique, i. e., the lactose-free milk was submitted to the centrifugation-assisted block freeze concentration process. In the sequence, as performed by Dantas et al. (2021), the ice fraction was subjected to the vacuum-assisted BFC aiming for the better utilization of the nutrients that were retained there. Finally, as recommended by Reviewer 2 and attending to other suggestions from Reviewer 1, this part was rewritten. (See Page 7, Lines 166–212).

Line 136: Please clearly present the hypothesis and contribution of your study in the Introduction section.

Author’s comments: We agree with the reviewer. Therefore, we have rewritten the Introduction as discussed in the previous comment.

Line 128-131: Rewrite

Author’s comments: We agree with the reviewer.

So, the phrases

“Recent work (Dantas et al., 2021) suggests the combination of progressive FC and BFC supported by vacuum, as a strategy for concentration of lactose-free milk. However, given the interesting results obtained by other authors, when who worked with

centrifugation-assisted BFC, we have realized how valuable it would be if we could expand the study with lactose-free milk through use of this technique. Therefore, the approach of this research provides data of the use of BFC processes to concentrate carbohydrates and protein from lactose-free milk.”

were replaced by:

“From previous studies on centrifugation-assisted BFC (Casas-Forero et al., 2021b; Guerra-Valle et al., 2022; Orellana-Palma et al., 2021), the present work considers the application of this technology at a first moment. Subsequently, as performed by Dantas et al. (2021), an ice fraction was subjected to the vacuum-assisted BFC aiming for better utilization of the nutrients retained in this portion. Therefore, the approach of this research provides data on the use of BFC processes (both centrifugation-assisted BFC and vacuum-assisted BFC) to concentrate carbohydrates and protein from lactose-free milk.”

(See Page 9, Lines 201–208).

Line 133: Don't have to mention the design here. Could this be moved to a separate section under the sub-heading “experimental approach,” and could you detail it for approaches used.

Author's comments: We agree with the reviewer, and therefore we have removed the following sentences:

“For this purpose, a factorial experimental design was employed to investigate the milk concentration by centrifugation-assisted BFC. Aiming to improve the process parameters, a second stage was studied using the vacuum-assisted BFC.”

Line 146: Why was UHT milk considered versus a lactose-free skim milk

Author's comments: This comment is unclear. There were no 2 types of milk to be investigated. All the work was performed with only one type of milk: commercial skim lactose-free milk previously submitted to the ultra-high-temperature processing (UHT).

Taking into account the objective of our work, it was more advantageous to acquire commercial milk already standardized, than to use raw milk, for example, and standardize it. The difficulty in standardization would start with the removal or hydrolysis

of lactose. Our laboratory is not specialized in enzyme kinetics or membrane separation. So, the raw material chosen is the one that best adapts to our conditions and, at the same time, best represents industrial sequencing.

Line 182: How would you justify this with other concentration technologies that are commercially available (from a cost/time manufacturer standpoint)

Author's comments: In the present study, the lactose-free milk was frozen at -20 °C and maintained in a static freezer for 12 hours. In this case, the objective was to form large ice crystals. This is because according to Samsuri et al. (2015), large ice crystals contain smaller amounts of impurities and solids than small ice crystals. Therefore, slow freezing is recommended to obtain a good separation process of the concentrate and ice. For this reason, more information was added to the manuscript. (Please see Page 11, Lines 251–256, and Page 21, Lines 484–498).

Reference cited:

Samsuri, S., Amran, N. A., & Jusoh, M. (2015). Spiral finned crystallizer for progressive freeze concentration process. *Chemical Engineering Research and Design*, 104, 280-286. <https://doi.org/10.1016/j.cherd.2015.06.040>

Line 185: global data respecting?? It's recommended that the manuscript be carefully revised for research writing language.

Author's comments: We have agreed with the reviewer. The word "global" was deleted and the word "respecting" was replaced (See Page 11, Line 259). Thank you for your constructive comment.

Line 191: based on what this was selected/optimized: temperature, centrifuge rotation speed, and time

Author's comments: We agree with the Reviewer and this comment was addressed. (See Page 12, 266–274).

Line 218: Concentrate 1 and Ice 1 (can be abbreviated)

Author's comments: The terms "Concentrate 1" and "Concentrate 2" have been simplified. Please see page 13, line 300, as well as the rest of the document.

Line 285: Needs further explanation

Author's comments: We are grateful for your comment. The explanation was improved accordingly (See Page 16, Lines 361–399).

Line 293-296: Consider rewriting the sentence

Author's comments: Author's comments: We agree with the reviewer. The sentences were rewritten (See Page 17, Lines 402–406).

The phrases

“All individual factors, as well as the interaction between all of them, had a significant negative effect on the *CI*. This reinforces what has been commented above, where, through Table 2, it can be seen that the centrifugation rotation speed also affected the Concentration Index. That is, the lowest *CI* values were found at the highest rotation speed (4500 rpm).”

were replaced by:

“All individual factors, as well as the interaction between all of them, had a significant negative effect on the *CI*. This is in accordance with what was discussed above regarding the time. From Figure 2a and Table 2, it can be seen that the centrifugation rotation speed also negatively affected the *CI*, since the highest values were found at the lowest rotation speed (3500 rpm)”.

Line 313: The use of and/or is severely frowned upon in formal writing. Consider using only one conjunction or rewriting the sentence.

Author's comments: We agree with the reviewer, so we used only one conjunction (See Page 18, Line 423).

Line 323: It appears that you have an unnecessary comma before the dependent clause marker since. Consider removing the comma. Please review throughout the manuscript for similar issues with comma and preposition use

Author's comments: We would like to highlight that the word “nevertheless” in this context is not a preposition (in fact in any context, it would never be a preposition). Current grammarians classify it as a “conjunctive adverb”.

Also, it is important to note that the phrase that follows “Nevertheless,” is an **independent clause**, not a dependent clause. The use of commas after introductory words like *therefore*, *nevertheless*, *instead*, *otherwise*, *furthermore*, etc. is absolutely needed and provided for according to the grammatical rules of the English language.

References:

<https://www.pristineword.com/comma-however-nevertheless-though/#:~:text=At%20the%20start%20of%20a,in%20front%20of%20%22though%22.>

<https://www.aje.com/arc/editing-tip-commas-conjunctive-adverbs/>

<https://www.ndsu.edu/pubweb/~dasulliv/style/conjadv.htm>

<https://onlinewritingtraining.com.au/however-therefore-furthermore/>

<https://www.britannica.com/dictionary/eb/qa/Nevertheless>

Line 355: It seems that the verb outweighs does not agree with the subject. Consider changing the verb form.

Author’s comments:

“...suggesting **the effect** of centrifugation-assisted BFC on the other milk constituents (minor components) outweighs the effect on the macronutrients studied.”

The words “the effect”, as well as the words that follow, were designed to be understood in the singular. That is, the subject of the clause is singular. Look:

the effect... = singular form; it can be replaced by **it**. ➡ suggesting **it outweighs** the effect on the macronutrients studied.

Line 392: More discussion is anticipated

Author’s comments: Thank you for the recommendation. We have added more discussion to point 3.3 *Validation of experimental results*.

Line 394: Rewrite to better reflect the scope of this current study. Also, include a comment indicating the potential use of the proposed method at the industrial level/scale-up challenges/costs, etc.

Author's comments: Thank you for the recommendation. The *Conclusion* was enhanced with additional information.

Figure 1: Redraw this: looks like two different fonts were used/looks skewed.

Author's comments: We agree with the reviewer and appreciate your feedback. The figure was redrawn. Please, see Figure 1.

Finally, we believed that all corrections were essential for the improvement of our manuscript. Thank you for your time and patience.

Best regards,

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1 **Block freeze concentration by centrifugation and vacuum increases the content of**
2 **lactose-free milk macronutrients**

3

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19 **Word count of text:** 8,603 words

20

21 **Short version of title (running head):** Cryoconcentration of lactose-free milk

22 **Choice of journal/topic** where article should appear in *Journal of Food Science*:

23 Food Engineering, Materials Science, and Nanotechnology

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28 Abstract

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30 Lactose-free milk is rising in popularity among consumers due to its claim to be a better
31 digestible product compared to regular fluid milk. For that reason, concentrating this
32 food is a good alternative for increasing its versatility and usability in different dairy
33 industry segments. Block freeze concentration (BFC) is a simple technology used to
34 concentrate liquid foods through ice crystal formation and subsequent removal of
35 water. Thus, this work aimed to test two variants of the BFC technique on lactose-free
36 milk concentration. In the first approach, it was investigated the centrifugation-assisted
37 BFC of skim lactose-free milk by applying a factorial experimental design. Temperature,
38 time, and rotation speed were the factors; and the response variables included the
39 concentrate yield, concentration index, and efficiency of the process. Concentrate yield
40 and concentration index were mainly affected by the centrifugation temperature. On
41 the other hand, individual factors did not have a significant effect on the efficiency, only
42 their interactions. In the case of centrifugation-assisted BFC in a single step, the
43 condition at 40 °C, 70 min, and 4500 rpm was considered the best, given the highest
44 values of efficiency and concentrate yield (80.87 and 67.02, respectively), and still an
45 excellent value for concentration index (2.05). Conversely, the condition at 30 °C, 45
46 min, and 3500 rpm was chosen to integrate a freeze concentration process in two-stage.
47 Then, the ice obtained from the first cycle was subjected to the vacuum-assisted BFC,
48 which consisted in the second cycle. The concentrate obtained from the vacuum-
49 assisted BFC presented contents of total solids, carbohydrates, and protein 2.95, 3.00,
50 and 2.91 times more than the initial lactose-free milk, respectively. Therefore, we

51 believe that the concentrates obtained can be used for the development of innovative
52 lactose-free dairy products.

53

54 **Practical Application**

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56 Using concentration processes in the dairy industry can significantly contribute to
57 enhancing the overall efficiency of milk processing since huge quantities of water from
58 milk can be reduced, increasing the total solids content. In turn, dairy products that
59 provide a high amount of solids (especially protein) are gaining in popularity among
60 consumers, with consequent interest from researchers. In addition, milk concentration
61 shows advantages in terms of processing, packaging, transportation, and handling. Since
62 most changes occur in an aqueous environment, the removal of some part of water
63 results in the preservation of milk. It is noteworthy that dairy industries are concerned
64 principally with food preservation, green technologies, and the production of high-
65 quality products. Thus, concentration processes could favor the development of milk
66 products rich in proteins to meet certain demands on functional and nutritional
67 properties, for example in beverages and formulated food.

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75 1. Introduction

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77 Dairy is an important source of essential nutrients including high-quality
78 proteins, calcium, vitamins (vitamins B12, vitamin A, riboflavin, thiamin), and
79 micronutrients (Mg and K), in many populations. However, milk consumption has
80 reduced over the last few decades, notably in developed countries, where unfavorable
81 gastrointestinal symptoms are a frequent cause for avoidance. In turn, full dairy
82 avoidance from the diet may enhance the risk of nutrient insufficiency and thus
83 contribute to metabolic bone disease, low bone mineral density, or metabolic
84 syndromes (Appleby et al., 2007; Pearlman & Akpotaire, 2019). The presence of the
85 disaccharide lactose in milk is commonly assigned to these dairy restrictions due to a
86 health status known as lactose malabsorption (Shrestha et al., 2021). There are some
87 reasons for the manifestation of lactose malabsorption (such as celiac disease, microbial
88 infections, or malnutrition that damages the intestinal villi), but the main one is the
89 lactase non-persistence (LNP) (OMIM#223100), a phenotypic enzyme deficiency that
90 affects different cultures (EFSA, 2010). The enzyme in question is lactase-phlorizin
91 hydrolase, simply called lactase, which is responsible for hydrolyzing lactose to galactose
92 and glucose, thus facilitating its digestion. In LNP clinical cases, there is a decrease in the
93 lactase activity in the intestinal lumen after weaning (Kuchay, 2020). As a consequence,
94 LNP individuals may experience distinct kinds of systemic and intestinal symptoms:
95 vomiting, nausea, headache, abdominal pain, flatulence, gut distension, constipation,
96 diarrhea, muscle pain, loss of concentration, allergies, mouth ulcers, heart arrhythmia,
97 and increased micturition (Qibtia et al., 2021). Taking into account this entire scenario,
98 it is required to produce innovative and alternative technologies to serve lactose

99 malabsorbers who do not wish to limit dairy products from their diet, given the
100 significance of specific nutrients contained in milk. In this context, fluid lactose-free milk
101 is already a well-established way to help this population, as well as those who are
102 tolerant but also want to avoid lactose for any other reasons (as discussed in the work
103 by Castellini and Graffigna [2022]). Only in the United States, this kind of milk had 201
104 million gallons sold in 2020, experiencing 19% growth on both a dollar and volume basis
105 compared to the previous year (Dairy Foods, 2021). There are two most common forms
106 of industrial production of lactose-free or lactose-reduced milk. The first is lactose
107 enzymatic hydrolysis, via using of β -galactosidase from *Kluyveromyces lactis*,
108 *Kluyveromyces fragilis*, or *Aspergillus oryzae*, releasing galactose and glucose into milk
109 as a result of the reaction (De Oliveira Neves & de Oliveira, 2021; Inanan, 2022). The
110 other technology frequently approached are ultrafiltration and nanofiltration
111 membranes, which mechanically separate out the lactose molecules (Winkless, 2021).
112 Nevertheless, researchers are constantly searching for better filtration performance and
113 separation capacity, for example, the work by Morelos-Gomez et al. (2021), who
114 developed graphene oxide membranes for lactose separation. In any of the procedures,
115 the final product must present a lactose concentration in accordance with the guidelines
116 of the country or region. For instance, according to the European Food Safety Agency
117 (EFSA), final products labeled as “lactose-free” must have a lactose concentration lower
118 than $0.1 \text{ g } 100 \text{ mL}^{-1}$ (EFSA, 2010). This same limit is established by the Brazilian
119 guidelines published by the Health Surveillance Agency (ANVISA) (BRASIL, 2017). In the
120 same way, ANVISA establishes that dairy products classified as “lactose-reduced” or
121 “low-lactose” are those that contain lactose in a range of $0.1\text{--}1.0 \text{ g } 100 \text{ mL}^{-1}$. On the
122 other hand, the Food and Drug Administration (FDA) does not define the terms “lactose-

123 free” or “lactose-reduced”, but suggests that these claims should be truthful and not
124 misleading. These products or those with similar claims are expected to be free of milk
125 allergen, or in this case, free of lactose (FDA, 2022).

126 Freeze concentration (FC) is an environmentally friendly and emerging
127 technology employed to concentrate food solutions with heat-sensitive constituents
128 such as coffee, milk, and fruit juices. Regarding the milk, thermal treatment in a
129 temperature variation from 70 to 100°C may denature the whey protein (e.g., β -
130 lactoglobulin and α -lactalbumin) and provoke the formation of aggregates (Qian et al.,
131 2017). Thus, FC has been revealed to be very efficient in the maintenance of bioactive
132 compounds, volatile compounds, and biological activity (cytotoxic activity and
133 antioxidant) due to the low temperature (below 0 °C) used in the process (Gunathilake,
134 2020). Thereby, the beneficial effects of FC have been newly reported in blueberry juice
135 (Casas-Forero et al., 2020a, b; Casas-Forero et al., 2021a), strawberry juice (Adorno et
136 al., 2017), orange juice (Haas et al., 2022), pineapple juice (Orellana-Palma et al., 2020a),
137 waste potato juice (Kowalczewski et al., 2019), apple juice (Ding et al., 2019; Qin et al.,
138 2019), broccoli extract (Azhar et al., 2020), green tea (Meneses et al., 2021), milk
139 (Camelo-Silva et al., 2022; Machado Canella et al., 2020), and whey (Barros et al., 2022;
140 De Liz et al., 2020). More specifically, Camelo-Silva et al. (2022) freeze concentrated milk
141 until the third stage and used the sample from the first stage for ice cream production
142 (Ice cream 1). This ice cream was contrasted to the ice cream from regular milk (Ice
143 cream 2), and the authors concluded that Ice cream 1 presented good chemical,
144 physical, rheological, and microstructural properties. Likewise, in the approach of Barros
145 et al. (2022), FC was employed as an indirect technology for ice cream manufacture.
146 However, instead of milk, cheese whey was used as a raw material for the FC process,

147 and the concentrate from the second stage was evaluated as a milk substitute in ice
148 cream. After testing 4 replacement levels, the authors concluded that the incorporation
149 of concentrated whey at a 50% substitution level was better in terms of color, flavor,
150 and texture attributes. In addition to the structural characteristics of the final product,
151 the other advantage of this study was the added value to the whey, which is often seen
152 only as a by-product of the cheese industry. De Liz et al. (2020) also utilized freeze
153 concentrated whey in their experiments, but with another proposal: goat's whey freeze
154 concentrate was used as an encapsulant agent for probiotic encapsulation
155 (*Bifidobacterium animalis* ssp. *lactis* BB-12) by spray drying. The powdered probiotic
156 samples from the encapsulation process presented good stability after storage at 4 °C
157 and 25 °C for 40 days ($> 7 \log \text{CFU g}^{-1}$), showing that goat whey concentrates had
158 excellent behavior as a cell protective material. Also, it was noted that, as a wall
159 material, goat whey concentrate exhibited favorable thermal properties before and
160 after encapsulation. Equally, Machado Canella et al. (2020) worked with a based-goat
161 raw material. However, their sample did not consist of goat whey, but semi-skimmed
162 goat milk. After optimization of the FC process, it was obtained a concentrate yield of
163 77.97%. Moreover, the content of total solids changed from 9.94 to 32.87 (g 100 g⁻¹),
164 with an emphasis on protein (from 3.53 to 9.43 g 100 g⁻¹). As well, FC has ever been
165 used to immobilize extracellular ice nucleators (Zhou et al., 2014).

166 Among FC processes, block freeze concentration (BFC) has been studied to
167 concentrate various liquid foods. For example, coffee extract in the work by Sequera et
168 al. (2019), beet (*Beta vulgaris* L.) by-products extract in the work by D. Arend et al.
169 (2022), goat milk by Canella et al. (2019a), sapucaia nut cake milk in the study by
170 Demoliner et al. (2020), and strawberry juice by Jaster et al. (2018). In this technique,

171 the solution to be concentrated is totally frozen, followed by partial gravitational
172 thawing. Thus, the ice block acts as a solid carcass through which the concentrated food
173 traverses (Machado Canella et al., 2018). Aiming to improve separation efficiency, the
174 BFC can be assisted by other techniques, such as ultrasound, centrifugation (Baykal &
175 Dirim, 2019), and vacuum (Orellana-Palma et al., 2017a).

176 The associated use of different concentration methods has also been described
177 in the literature; for example, progressive FC (PFC) of coconut water followed by
178 controlled thawing of ice (Jayawardena et al., 2020), and suspension FC and centrifugal
179 filtration of apple juice (Qin et al., 2021). Thereby, the combination of PFC and BFC has
180 been seen as an interesting opportunity to increase the amount of concentrate
181 extracted from the ice fraction. In addition, the process could reach a higher phase
182 separation than an isolated FC method. Studies addressed by Miyawaki and Inakuma
183 (2021) and Prestes et al. (2022) demonstrated that each method (individually) also
184 presents acceptable extraction results. However, as a complete unit, both methods are
185 enhanced, and in turn, it achieves a more effective extraction, leading to a higher quality
186 in the final concentrate, with excellent process parameters results. In this context, a
187 recent work proposed the combination of PFC and BFC supported by vacuum to
188 desalinize salt solutions (Hernández et al., 2021). These saline solutions simulated
189 seawater fluid, indicating that PFC and vacuum-assisted BFC can have practical
190 applicability. Moreover, Dantas et al. (2021) suggested the combination of PFC and
191 vacuum-assisted BFC as a strategy for the concentration of lactose-free milk, with a
192 significant increase of total solids (from 8.8 to 18.5 g 100 g⁻¹). Firstly, the authors
193 evaluated the behavior of the sample when submitted to the PFC. Then, given the
194 considerable amount of total solids retained in the ice, this fraction was subjected to the

195 vacuum-assisted BFC. In conclusion, despite the potential of PFC and BFC combination,
196 there are still gaps in this separation method, since there are no studies on other liquid
197 foods such as juices or extracts. Also, there is no investigation of effects on the final
198 amount, physicochemical parameters, bioactive components, and antioxidant capacity.
199 And finally, there is no consideration of the initial parameters of the sample (density,
200 viscosity, solutes, among others), or even, the option to use BFC and later PFC.

201 From previous studies on centrifugation-assisted BFC (Casas-Forero et al., 2021b;
202 Guerra-Valle et al., 2022; Orellana-Palma et al., 2021), the present work considers the
203 application of this technology at a first moment. Subsequently, as performed by Dantas
204 et al. (2021), an ice fraction was subjected to the vacuum-assisted BFC aiming for better
205 utilization of the nutrients retained in this portion. Therefore, the approach of this
206 research provides data on the use of BFC processes (both centrifugation-assisted BFC
207 and vacuum-assisted BFC) to concentrate carbohydrates and protein from lactose-free
208 milk. Our general objective is to evaluate the viability of these processes as non-thermal
209 technologies to enhance the content of important nutrients in lactose-free milk. The
210 development of concentrated liquid milk, and its transference to the food industry, is
211 expected to increase the sustainability of the food system (processing), the health of
212 consumer diet, and the food industry competitiveness.

213

214 **2. Material and methods**

215

216 **2.1 Material**

217

218 UHT lactose-free skim milk (CARREFOUR®, Madrid, Spain) from a local supermarket
219 in Barcelona (Spain) was used in the freezing concentration experiments. It contained
220 8.80 g 100 g⁻¹ of total solids, 3.2 g 100 g⁻¹ of proteins, 4.8 g 100 g⁻¹ of carbohydrates
221 (lactose <0.01%), and lipid <0.5 g 100 g⁻¹.

222

223 2.2 Physicochemical analysis

224

225 The total solids content of initial lactose-free milk, concentrated milk fractions,
226 and ice fractions was determined exactly as the protocol described by Dantas et al.
227 (2021). Therefore, a standard curve of total solids content against °Brix readings was
228 plotted employing different concentrations of lactose-free skim milk. Thus, at the end
229 of each freeze concentration test, the °Brix result was converted and expressed as total
230 solids content (g 100 g⁻¹) through a linear regression ($y = 0.8715x - 0.3553$, $R^2 = 0.999$).

231 Protein analysis was performed according to the Kjeldahl method (AOAC, 2005).
232 In turn, galactose, glucose, and lactose were determined following Schuster-Wolff-
233 Bühring et al. (2011), with some modifications. Therefore, the sample (1 mL) was initially
234 diluted in distilled water (8 mL) and mixed. In this solution were added 0.5 mL of Carrez
235 Reagent 1 and 2, which was vortexed for 1 min. Then, after 15 min of rest, a nylon
236 syringe filter (0.45 µm of diameter pore) (Agilent, Santa Clara, California, United States)
237 was used to filter the mixture. Each sample was injected in triplicate onto a carbohydrate
238 column (ION 300) (Interaction Chromatography, San Jose, CA, USA) of an HPLC system
239 (Hewlett Packard Series 1100, Agilent Technologies, Waldbronn, Germany). A refraction
240 index (Detector Beckman 156, San Ramon, California, United States) was employed as

241 detector. The column temperature was maintained at 28 °C, and the mobile phase used
242 was a sulfuric acid solution (0.013 M), with a flow rate of 0.4 mL min⁻¹.

243

244 **2.3 Freeze concentration systems of lactose-free skim milk**

245

246 Two freeze concentration protocols (centrifugation-assisted BFC and vacuum-
247 assisted BFC) were used for the lactose-free milk concentration.

248

249 **2.3.1 Centrifugation-assisted BFC**

250

251 The centrifugation-assisted BFC process was performed in accordance with the
252 methodology proposed by Orellana-Palma et al. (2017b), but with some modifications.
253 Lactose-free milk (45 mL) was placed in centrifugal plastic tubes (internal diameter equal
254 to 27 mm) and frozen in a static freezer (12 h, - 20 °C), **since according to Samsuri et al.**
255 **(2015), this time helps in the formation of large ice crystals, contributing positively to**
256 **the concentration process during the phases separation.** The tubes were previously
257 enveloped with polystyrene foam. After the freezing, the frozen samples were rapidly
258 transferred to a centrifuge (Hettich model Rontanta 460R, Tuttlingen, Germany) with
259 temperature control. The data **from** four tubes were considered as a single batch, that
260 is, 4 tubes were put in the centrifuge and their data were collected together. This
261 procedure was replicated three times for each predetermined condition. Since the
262 samples were centrifuged, the ice fraction was separated from the concentrate fraction
263 using a filter.

264 A completely randomized 2³ factorial design was performed. The independent
265 variables (centrifuge rotation speed, temperature of centrifugation, and assay time) and
266 their respective levels are shown in Table 1. The choice of these parameters was based
267 on preliminary tests, as well as on correlated works. For example, Santana et al. (2020)
268 verified the best separation conditions at longer assay times. Baykal and Dirim (2019),
269 who studied the centrifugal block freeze crystallization of milk with different fat
270 contents, applied 4500 rpm for a time of 35 min in their experiments, which provided
271 values of efficiency of concentration between 63–83%. The preliminary tests were
272 essential for the establishment of centrifugation temperatures since the temperatures
273 generally used in the works (i.e., 15, 20, and 25 °C) did not allow the efficient phase
274 separation of lactose-free milk within the time settled (up to 70 min). The responses
275 (dependent variable) were efficiency (η), concentration index (CI), and concentrate yield
276 (Y).

277 The efficiency of concentration was defined as the increase in the solids
278 concentration of the concentrate fraction relative to the solids content retained in the
279 ice fraction. Thus, this parameter was determined using Equation (1):

280

$$281 \quad \eta (\%) = \left(\frac{C_f - C_i}{C_f} \right) \times 100 \quad (1)$$

282 where C_f is the total solids content of the concentrate fraction ($\text{g } 100 \text{ g}^{-1}$), and C_i is the
283 total solids content ($\text{g } 100 \text{ g}^{-1}$) of the ice fraction.

284 The concentration index was given as described by Moussaoui et al. (2018). So,
285 a ratio between the solids content in the concentrated liquid and the initial milk was
286 calculated using Equation 2.

$$CI = \frac{\text{concentrate fraction total solids (g 100 g}^{-1}\text{)}}{\text{initial milk total solids (g 100 g}^{-1}\text{)}} \quad (2)$$

288

289 The concentrate yield was calculated according to Machado Canella et al. (2020),
290 using Equation (3).

291

$$Y(\%) = \frac{C_f m_f}{C_0 m_0} \times 100 \quad (3)$$

293 where C_f is the total solids amount of the concentrated sample (g 100 g^{-1}), C_0 is the initial
294 total solids amount of milk (g 100 g^{-1}), m_f is the concentrated sample weight (g), and m_0
295 is the initial milk weight (g).

296 After all conditions were evaluated, one of them was considered the best option
297 in the case of single-step freeze concentration. On the other hand, we also elected
298 another condition that can be submitted to a new freeze concentration cycle (second
299 cycle). For this case, the fractions obtained by the centrifugation-assisted BFC were
300 termed **Conc 1 (from concentrated liquid)** and **Ice 1 (from frozen solution)**.

301

302 2.3.2 Vacuum-assisted BFC

303

304 The Ice 1 was used as a feed solution for the second freeze concentration cycle
305 (Figure 1). For this, the ice produced after centrifugation was immediately subjected to
306 the vacuum-assisted BFC. The vacuum was applied on the condition of 10 kPa (absolute
307 pressure) during 50 min. The suction was performed by linking the bottom of the frozen
308 sample to a vacuum pump. The choice of pressure and time was based on preliminary
309 tests and the work of Machado Canella et al. (2020). The fractions obtained from second

310 cycle were denoted as **Conc 2** and Ice 2. Equations 4–7 were used to calculate the *CI* of
 311 second cycle, in terms of total solids, protein, and carbohydrates:

312

$$313 \quad CI = \frac{\text{Conc 2 total solids (g 100 g}^{-1}\text{)}}{\text{Ice 1 total solids (g 100 g}^{-1}\text{)}} \quad (4)$$

314

$$315 \quad CI = \frac{\text{Conc 2 protein (g 100 g}^{-1}\text{)}}{\text{Ice 1 protein (g 100 g}^{-1}\text{)}} \quad (5)$$

316

$$317 \quad CI = \frac{\text{Conc 2 glucose (g 100 g}^{-1}\text{)}}{\text{Ice 1 glucose (g 100 g}^{-1}\text{)}} \quad (6)$$

318

$$319 \quad CI = \frac{\text{Conc 2 galactose (g 100 g}^{-1}\text{)}}{\text{Ice 1 galactose (g 100 g}^{-1}\text{)}} \quad (7)$$

320

321 Efficiency and Concentrate yield were also calculated for vacuum-assisted BFC
 322 from the adaptation of Equations 1 and 3, respectively.

323

324 2.4 Validation of results

325

326 As presented by Machado Canella et al. (2020) and Muñoz et al. (2018), a mass
 327 balance was made and therefore the experimental results were compared to the
 328 theoretical values (Equation 8).

329

$$330 \quad W_{pred} = \frac{\text{initial milk total solids (g 100 g}^{-1}\text{)} - \text{concentrate fraction total solids (g 100 g}^{-1}\text{)}}{\text{ice fraction total solids (g 100 g}^{-1}\text{)} - \text{concentrate fraction total solids (g 100 g}^{-1}\text{)}} \quad (8)$$

331 where W_{pred} is the predicted ice fraction mass ratio (kg ice/kg lactose-free milk).

332 To determine the quality of the fit between experimental and theoretical data,
333 the root mean square (RMS) was calculated using Equation 9, as follow:

334

$$335 \quad RMS (\%) = 100 \sqrt{\frac{\sum \left(\frac{w_{exp} - w_{pred}}{w_{exp}} \right)^2}{N}} \quad (9)$$

336 where W_{exp} is the ratio of experimental ice mass ratio ($mass_{ice} / mass_{initial \text{ lactose-free milk}}$),
337 and N is the number of assay repetitions.

338

339 **2.5 Statistical analyses**

340

341 To evaluate significant differences ($P < 0.05$) between treatments, one-way
342 analysis of variance (ANOVA) and the t -test were used. The software STATISTICA version
343 13.3 (TIBCO Software Inc., Palo Alto, CA, USA) was employed for these statistical
344 analyses, and the results were expressed as mean \pm standard deviation (means found in
345 triplicate).

346

347 **3. Results and discussion**

348

349 **3.1 Experimental design**

350

351 Table 2 presents the results of the response variables investigated, including the
352 efficiency, concentration index, and concentrate yield. As cited above, the
353 centrifugation implementation as a supported technique to the BFC process was tested
354 to improve its separation efficiency. Thus, the parameter efficiency (η , %) reached values

355 of approximately 78%, results that were similar to those found by Casas-Forero et al.
356 (2021a) and Orellana-Palma et al. (2020a) after the first centrifugation-assisted BFC
357 cycle. In turn, Orellana-Palma et al. (2020a), who studied this technique applied to
358 pineapple juice, highlighted that these high values are due to the porous form of the ice
359 fraction since this structure has channels between the ice crystals and permits to extract
360 solutes easier with the centrifugal force.

361 Regarding the Concentration index (CI) and Concentrate yield (Y), the
362 centrifugation time produced an important effect in these responses, with significant
363 differences ($P < 0.05$) for each condition. A gradual increase in Y values was observed as
364 time progressed, since 70 min presented better results than 45 min, with 45% and 29%,
365 respectively, in the condition at 30 °C and 4500 rpm. In contrast, at 45 min of
366 centrifugation, the CI values were higher than those at 70 min, as the same example at
367 30 °C and 4500 rpm. Dantas et al. (2021) found similar results when applying PFC in
368 lactose-free milk: in general, CI was maximum when Y was minimum, and this was
369 associated with the amount of ice produced. In the present work, the condition at 40 °C,
370 3500 rpm, and 45 min ($Y = 45.25\%$, $CI = 3.04$) resulted in an ice fraction of ~82%;
371 meanwhile, at 40 °C, 3500 rpm, and 70 min ($Y = 62.46\%$, $CI = 2.26$), approximately 70%
372 of ice fraction was produced. A smaller amount of ice formed consequently indicates a
373 larger amount of unfrozen solution. Thereby, the longer the assay time, the diluent
374 (water) present in the ice fraction tends to be incorporated into the concentrated
375 fraction, decreasing its solids content. This situation is correlated with the final
376 temperature of the process, since longer rotation times of the centrifuge generate an
377 increase in the rotor temperature, causing that part of the ice to transform into water
378 and be expelled to the outside together with the concentrate, harming the phase

379 separation of the system. Conversely, an insufficient centrifugation time would not
380 achieve an efficient separation, and thus, the process parameters would indicate low
381 concentrate recovery percentages (Santana et al., 2020). Therefore, these results
382 (higher CI values when time is shorter) could be justified due to the ice fraction staying
383 relatively intact (without breaking and/or thawing) employing 45 min as centrifugation
384 time, and thus, only freeze concentrate was extracted from the ice fraction. This pattern
385 was also observed by Orellana-Palma et al. (2020b), who employed centrifugal BFC to
386 fresh calafate juice. On the other hand, a larger amount of unfrozen solution leads to an
387 increase in Y , since this parameter takes into account the final mass of the concentrated
388 fraction (Equation 3). As a result, it was observed that the highest separation conditions
389 presented better results than the lowest centrifugation temperature, time, and rotation
390 speed conditions. Other studies indicated Y values higher than our data. For example,
391 Guerra-Valle et al. (2021) obtained Y values between 70% to 90% in the freeze
392 concentration of Endemic Patagonian berries juices under unidirectional BFC at $-20\text{ }^{\circ}\text{C}$,
393 $20\text{ }^{\circ}\text{C}$ of centrifugal temperature, 20 min of centrifugal time, and 4000 rpm of rotation
394 speed. In the same way, Orellana-Palma et al. (2017b) achieved a Y value close to 75%
395 under unidirectional BFC at $-20\text{ }^{\circ}\text{C}$, $20\text{ }^{\circ}\text{C}$ for 15 min at 4000 rpm to concentrate
396 blueberry juice. Therefore, apart from the centrifugal time, the different Y results can
397 also be explained by the separation technique and the multiple conditions applied to
398 the sample, i.e., the freezing conditions (axial or unidirectional), rotation speed, initial
399 sample amount, in addition to the nature of the sample.

400 Figure 2 shows the effects of temperature, centrifuge rotation speed, and time
401 on the three responses studied using Pareto diagrams. Figure 2a illustrates the effects
402 of these three factors on CI . All individual factors, as well as the interaction between all

403 of them, had a significant negative effect on the *CI*. This is in accordance with what was
404 discussed above regarding the time. From Figure 2a and Table 2, it can be seen that the
405 centrifugation rotation speed also negatively affected the *CI*, since the highest values
406 were found at the lowest rotation speed (3500 rpm). In opposition, interactions
407 between two factors were non-significant and had a positive effect on the response.
408 Concerning the Efficiency (Figure 2b), the individual factors had no significant effect,
409 only two interactions (time:temperature:rotation speed and time:rotation speed).
410 Santana et al. (2020) also calculated the concentration efficiency for blueberry juice
411 when subjected to the centrifugation-assisted freeze concentration, and likewise,
412 individual factors did not affect this response. A different result was noted for *Y* (Figure
413 2c), where it was observed that all individual factors and two interactions had a
414 significant effect on the response.

415 In view of all the above, the experimental condition at 40 °C, 70 min, and 4500
416 rpm (Treatment 8) was considered ideal for the freeze concentration in a single step,
417 given the highest values of efficiency and concentrate yield (80.87% and 67.02%,
418 respectively), and still an excellent value for concentration index (2.05). Dantas et al.
419 (2021), who used progressive FC combined with vacuum-assisted BFC to concentrate
420 lactose-free milk, found an estimated *CI* value of 1.42. On the other hand, this present
421 work proposes a one-step approach, with a highest value of *CI*. Therefore, these findings
422 contribute to its industrial application and process agility. The variations in process
423 parameter terms and in final solute concentration can be explicated by the ice front
424 expanse for each FC technology. Centrifugation-assisted BFC exhibited lower solute
425 retention and better final concentration in C_i than progressive FC due to a phenomenon
426 denominated constitutional supercooling, i.e., the ice growth rate is determined by the

427 heat transfer area and the cooling temperature, which is a relevant factor in the design
428 of FC apparatus (Orellana-Palma et al., 2020a). The concentrated lactose-free milk from
429 Treatment 8 presented the following values ($\text{g } 100 \text{ g}^{-1}$) for protein, lactose, glucose, and
430 galactose, respectively: 6.50 ± 0.26 , 0.27 ± 0.01 , 5.53 ± 0.07 , and 5.27 ± 0.06 . The initial
431 values for each of these components are shown in Table 3. Thus, it is clear that the
432 obtained amounts were at least 2-fold higher when compared to the initial milk sample.

433 Nevertheless, we also investigated the FC in two steps in order to further
434 improve the results and decrease the total solids in the frozen matrix, since it was noted
435 that Treatment 1 had the highest content of retained solids in the ice ($7.28 \text{ g } 100 \text{ g}^{-1}$).
436 Thus, we are interested in the recovery of these solids. As already mentioned, the
437 second cycle was performed using another methodology (vacuum-assisted BFC). In this
438 case, the vacuum was applied to the ice immediately after the centrifugation step. The
439 integrity of the ice in the condition at $30 \text{ }^\circ\text{C}$, 45 min, and 3500 rpm was also decisive in
440 the choice of this treatment for the application of vacuum.

441

442 **3.2 Vacuum-assisted BFC**

443

444 As enlightened by the maker, the lactose-free skim milk employed in this work
445 was lactose-free due to prior enzymatic hydrolysis with β -galactosidase. Thus, the
446 resulting milk presented large quantities of the monosaccharides galactose and glucose
447 (about $2.4 \text{ g } 100 \text{ g}^{-1}$ of each). Therefore, the contents of glucose, galactose, lactose, and
448 protein of two cycles were measured and are shown in Table 3. A high separation of
449 solids content ($CI = 3.57$ for the concentrate) was obtained using vacuum-assisted BFC.
450 Carbohydrates and protein contents were also maximized using a second cycle. In

451 addition, the solids content verified in Ice 2 was reduced considerably. Machado Canella
452 et al. (2020) stated that this behavior is expected because the natural division of
453 gravitational thawing is improved due to external driving force (vacuum). Equally, $\eta(\%)$
454 and $Y(\%)$ values were improved when vacuum-assisted BFC was performed (91.20 ± 0.91
455 and 71.50 ± 1.31 , respectively).

456 While investigating the values more deeply, the results suggest that
457 centrifugation and vacuum have different effects on milk components. This is because
458 the *CI* of **Conc 1** for protein was equal to 3.66, while the *CI* of **Conc 2** was equal to 3.97.
459 Similar behavior occurred for carbohydrates (average of galactose and glucose values):
460 *CI* of **Conc 1** was equal to 3.49, while the *CI* of **Conc 2** was equal to 3.76. That is, vacuum-
461 assisted BFC seems to have a slightly better performance compared to centrifugation-
462 assisted BFC in terms of these macronutrients. However, when analyzing the total solids
463 (*CI* of **Conc 1** = 4.06, *CI* of **Conc 2** = 3.57), we noticed an inverse behavior, suggesting the
464 effect of centrifugation-assisted BFC on the other milk constituents (minor components)
465 outweighs the effect on the macronutrients studied. Kawasaki et al. (2006) developed a
466 work that can help to understand these results. They studied PFC of multicomponent
467 solutions, and found that the small molecular mass solutes concentrated and separated
468 more satisfactorily than the higher molecular mass solutes. This coincided well with the
469 magnitude of the diffusion coefficient of each solute. Likewise, Nakagawa et al. (2010)
470 noted that phenol (M.M = 94 g mol⁻¹) was more concentrated in the liquid zone than
471 dye (M.M = 993 g mol⁻¹). So, the dissimilarities found between total solid solids content
472 vs. carbohydrates and proteins could be explained due to the difference in the mobility
473 when the substances meet the freezing front. The molecular size and the concentration
474 affect the mobility of the solutes. Therefore, as a suggestion for future research on

475 lactose-free milk FC, we propose an extensive investigation of mineral and vitamin
476 contents, in addition to specific determinations of the protein fraction (for instance, α 1,
477 α 2-, β -, and κ -casein), given the increasing demand for kinds of milk that offer
478 additional advantages over common milk in terms of digestive health. In this regard,
479 Canella et al. (2019b), who freeze concentrated skim goat milk by BFC, found the content
480 of important minerals (such as magnesium and calcium) can be enhanced by the
481 increasing freeze concentration cycles. Moreover, it was discussed that a substantial
482 increase in the flavor and taste of food products can be verified when unitary operations
483 based on vacuum and low temperatures are used (Sun & Zheng, 2006).

484 According to Beldie and Moraru (2021), the concentration of milk in the dairy
485 industry is typically achieved by thermal evaporation or membrane technology such as
486 reverse osmosis. The efficiency of these processes is very similar to those obtained by
487 the freeze concentration process used in the present study. In thermal evaporation, the
488 maximum concentration level achievable is 55% for skim milk and 50% for whole milk,
489 while for the membrane process, the maximum concentration varies between 25% and
490 30% solids. Thermal evaporation is also known to reduce the quality of the final
491 concentrated product due to prolonged exposure to heat, which negatively affects the
492 color, taste, and nutritional value of milk. Furthermore, evaporators are prone to biofilm
493 formation by spore-forming mesophilic or thermophilic bacteria. A major drawback of
494 membrane separation is that the process is significantly affected by membrane fouling,
495 which leads to flux decline and limits the final achievable concentration of the product
496 (Beldie & Moraru, 2021). Given the above, we can verify that block freeze concentration
497 by centrifugation and vacuum can be used by the industry aiming for the macronutrient
498 concentration of lactose-free milk.

499

500 3.3 Validation of experimental results

501

502 Table 4 shows the values of W_{exp} , W_{pred} , and RSM. The results were similar to
503 those found by Orellana-Palma et al. (2017b) (0.6–0.9 for ice mass ratio, W_{exp} or W_{pred})
504 in the cryoconcentration of blueberry juice. They noted a decrease in values as the
505 freeze concentration cycles increased, and attributed this to the increase in the
506 percentage of concentrate over consecutive stages. Our results showed analogous
507 behavior, since, when analyzing the first and second cycle, the W_{pred} values were 0.95
508 and 0.79, respectively. Similarly, Orellana-Palma et al. (2017c) noticed a decrease in W
509 values as the test time increased, and this was also attributed to the increase in the
510 percentage of concentrate over time. Likewise, our results showed this trend, such as
511 Treatments 2 and 4, where conditions were kept the same except for the increase in
512 time. By comparing these treatments, we observed a significant decrease in W_{exp} and
513 W_{pred} values, as well as Treatments 5 and 7. Additionally, the RMS values fluctuated
514 between 1.0% and 3.7%, indicating an excellent fit, since a bad fit corresponds to RSM
515 values over 25% (Lewicki, 2000). Therefore, the experimental conditions used to
516 concentrate lactose-free milk macronutrients were ideal. Moreover, the RMS values
517 were lower than the values obtained by Petzold et al. (2015) and Orellana-Palma et al.
518 (2017b) (4.9%, and 8.7%, respectively).

519

520 4. Conclusions

521 The results of this study suggest that it is possible to obtain an interesting
522 concentrated sample without damaging its initial properties, obtaining a final product
523 post BFC process with a high content of macronutrients. While investigating the effect
524 of process parameters on centrifugation-assisted BFC of lactose-free milk, we observed
525 that all individual factors presented a significant effect on concentrate yield and
526 concentration index. On the other hand, the same did not occur to efficiency, where it
527 was observed that only two interactions had a significant effect on this response, which
528 in turn presented values from 78% to 81%. To obtain concomitantly the maximum
529 concentrate yield and efficiency values, the operating condition can be set at a time of
530 70 min, centrifugation temperature of 40 °C, and rotation speed of 4500 rpm. The
531 results of this approach were promising, considering the simplicity and ease of the
532 procedure. Nonetheless, a second stage for the freeze concentration process was
533 proposed (by vacuum-assisted BFC), which also demonstrated to be an efficient method
534 to concentrate skim lactose-free milk. In this system, the protein was well concentrated
535 in the liquid phase (~3.97-fold higher than Ice 1), as well as the carbohydrates (glucose
536 and galactose) (~3.7-fold higher than Ice 1). These findings support the idea that the
537 concentration of lactose-free milk by centrifugation-assisted BFC jointly with an
538 additional separation by vacuum-assisted BFC could be considered an innovative
539 technology to obtain a liquid sample rich in macronutrients. Either concentrate 1 or
540 concentrate 2 could be used for the development of new lactose-free dairy products. In
541 this sense, future studies must be carried out to evaluate the feasibility of scaling the
542 BFC system from laboratory scale to pilot plant scale, aiming the production on a mass
543 scale. Thus, this technique would be an attractive alternative for dairy industries.
544 Furthermore, an interesting challenge is the application of the two stages-BFC in other

545 liquid food matrices, such as juices and/or extracts, and to study its effect on different
546 properties. For instance, some properties currently valued are the presence of bioactive
547 components and the antioxidant capacity.

548

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560

561 **Conflict of interest**

562 The authors declare that they have no conflict of interest.

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569 **References**

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672 [collection-and-analysis-domestically-manufactured-dairy-free-dark-chocolate-](https://www.fda.gov/food/sampling-protect-food-supply/fy1819-sample-collection-and-analysis-domestically-manufactured-dairy-free-dark-chocolate-products)
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Tables

Table 1 Factors values at the low (– 1) and high (+ 1) levels studied.

| | Factors | | |
|-----|---------------------------------|----------------------|---------------------------|
| | Centrifugation temperature (°C) | Rotation speed (rpm) | Centrifugation time (min) |
| – 1 | 30 | 3500 | 45 |
| + 1 | 40 | 4500 | 70 |

Table 2 Results of efficiency, concentration index, and concentrate yield.

| Treatment | Independent variables | | | Responses | | |
|-----------|---------------------------------|----------------------|------------|--------------------------------|------------------------------|--------------------------------|
| | Centrifugation temperature (°C) | Rotation speed (rpm) | Time (min) | Efficiency (η) (%) | Concentration index | Concentrate yield (%) |
| 1 | 30 | 3500 | 45 | 79.41 \pm 0.62 ^{ab} | 4.06 \pm 0.04 ^a | 18.09 \pm 0.44 ^e |
| 2 | 30 | 4500 | 45 | 79.54 \pm 1.30 ^a | 3.61 \pm 0.29 ^a | 29.77 \pm 2.87 ^d |
| 3 | 30 | 3500 | 70 | 78.76 \pm 1.26 ^{ab} | 3.74 \pm 0.32 ^a | 23.39 \pm 2.68 ^{de} |
| 4 | 30 | 4500 | 70 | 78.51 \pm 1.20 ^{ab} | 2.82 \pm 0.01 ^b | 45.11 \pm 3.62 ^c |
| 5 | 40 | 3500 | 45 | 79.56 \pm 1.11 ^a | 3.04 \pm 0.15 ^b | 45.25 \pm 3.41 ^c |
| 6 | 40 | 4500 | 45 | 78.23 \pm 0.82 ^{ab} | 2.36 \pm 0.02 ^c | 56.42 \pm 0.83 ^b |
| 7 | 40 | 3500 | 70 | 76.65 \pm 0.08 ^b | 2.26 \pm 0.04 ^c | 62.46 \pm 0.25 ^{ab} |
| 8 | 40 | 4500 | 70 | 80.87 \pm 0.93 ^a | 2.05 \pm 0.06 ^c | 67.02 \pm 2.11 ^a |

^{a,b,c,d,e} Within a column, different superscript lowercase letters denote significant differences ($P < 0.05$) between the treatments.

Table 3 Carbohydrate and protein content (mean \pm standard deviation) of concentrates and ice fractions from first and second freeze concentration cycles.

| | Lactose-free milk | Conc 1 | Ice 1 | Conc 2 | Ice 2 |
|---------------------------------------|-------------------|-------------------|-------------------|-------------------|-----------------|
| Total solids (g 100 g ⁻¹) | 8.8 | 35.38 \pm 0.31 | 7.28 \pm 0.18 | 26.02 \pm 0.25 | 2.29 \pm 0.22 |
| Protein (g 100 g ⁻¹) | 3.2 | 11.71 \pm 0.31 | 2.35 \pm 0.35 | 9.34 \pm 0.09 | 0.83 \pm 0.08 |
| Glucose (g 100 g ⁻¹) | ~ 2.4* | 8.64 \pm 0.32 | 2.02 \pm 0.02 | 7.62 \pm 0.02 | 0.64 \pm 0.01 |
| Galactose (g 100 g ⁻¹) | ~ 2.4* | 8.14 \pm 0.29 | 1.91 \pm 0.02 | 7.18 \pm 0.04 | 0.63 \pm 0.01 |
| Lactose (g 100 g ⁻¹) | < 0.01 | 0.523 \pm 0.005 | 0.174 \pm 0.001 | 0.448 \pm 0.001 | < 0.01 |

Conc 1 and Ice 1 were fractions obtained by centrifugation-assisted freeze concentration at 30 °C, 45 min, and 3500 rpm. Conc 2 and Ice 2 are fractions obtained by vacuum-assisted block freeze concentration from Ice 1. *The manufacturer does not provide data for monosaccharides, but only for total carbohydrates (4.8 g 100 g⁻¹). Therefore, glucose and galactose contents were expressed as approximate values, as discussed in section 3.2 *Vacuum-assisted BFC* of this work.

Table 4 Experimental results validation for three different factors.

| Treatment | Centrifugation temperature (°C) | Rotation speed (rpm) | Time (min) | W _{pred} | W _{exp} | RSM (%) |
|-----------|---------------------------------|----------------------|------------|----------------------------|----------------------------|---------|
| 1 | 30 | 3500 | 45 | 0.95 ± 0.01 ^a | 0.94 ± 0.01 ^a | 1.39 |
| 2 | 30 | 4500 | 45 | 0.91 ± 0.02 ^a | 0.89 ± 0.02 ^b | 1.77 |
| 3 | 30 | 3500 | 70 | 0.93 ± 0.02 ^a | 0.92 ± 0.01 ^{ab} | 1.48 |
| 4 | 30 | 4500 | 70 | 0.82 ± 0.01 ^b | 0.82 ± 0.02 ^c | 1.00 |
| 5 | 40 | 3500 | 45 | 0.84 ± 0.03 ^b | 0.82 ± 0.02 ^c | 2.32 |
| 6 | 40 | 4500 | 45 | 0.736 ± 0.003 ^c | 0.743 ± 0.001 ^d | 1.02 |
| 7 | 40 | 3500 | 70 | 0.73 ± 0.01 ^c | 0.70 ± 0.01 ^e | 3.66 |
| 8 | 40 | 4500 | 70 | 0.63 ± 0.02 ^d | 0.65 ± 0.02 ^f | 2.76 |

^{a,b,c,d,e} Within a column, different superscript lowercase letters denote significant differences ($P < 0.05$) between the treatments.

Figure Legends

Figure 1: Freeze concentration schematic diagram of lactose-free milk in two steps.

Figure 2: Effect of temperature, rotation speed, and time on the (a) Concentration Index, (b) Efficiency, and (c) Concentrate Yield.

For Peer Review

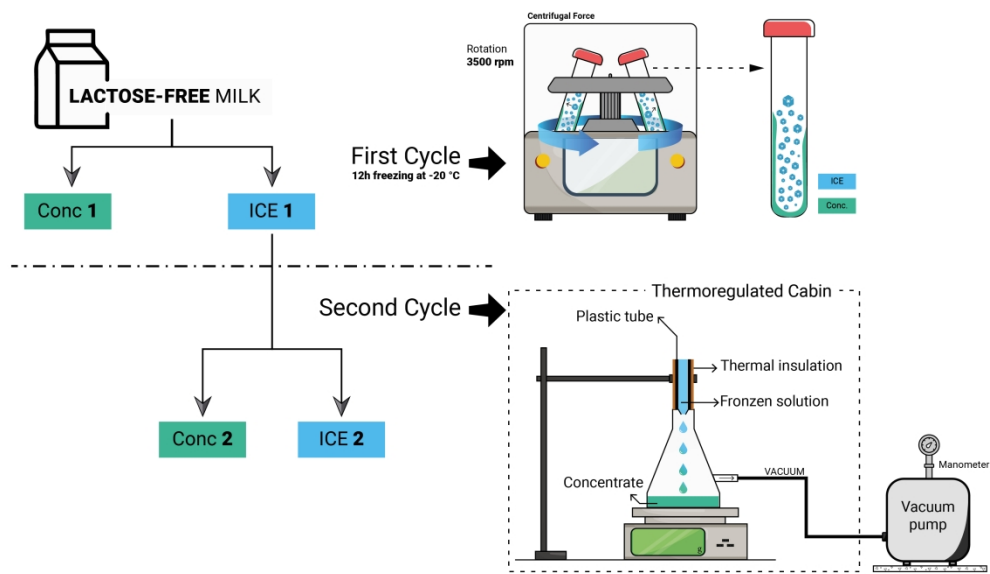
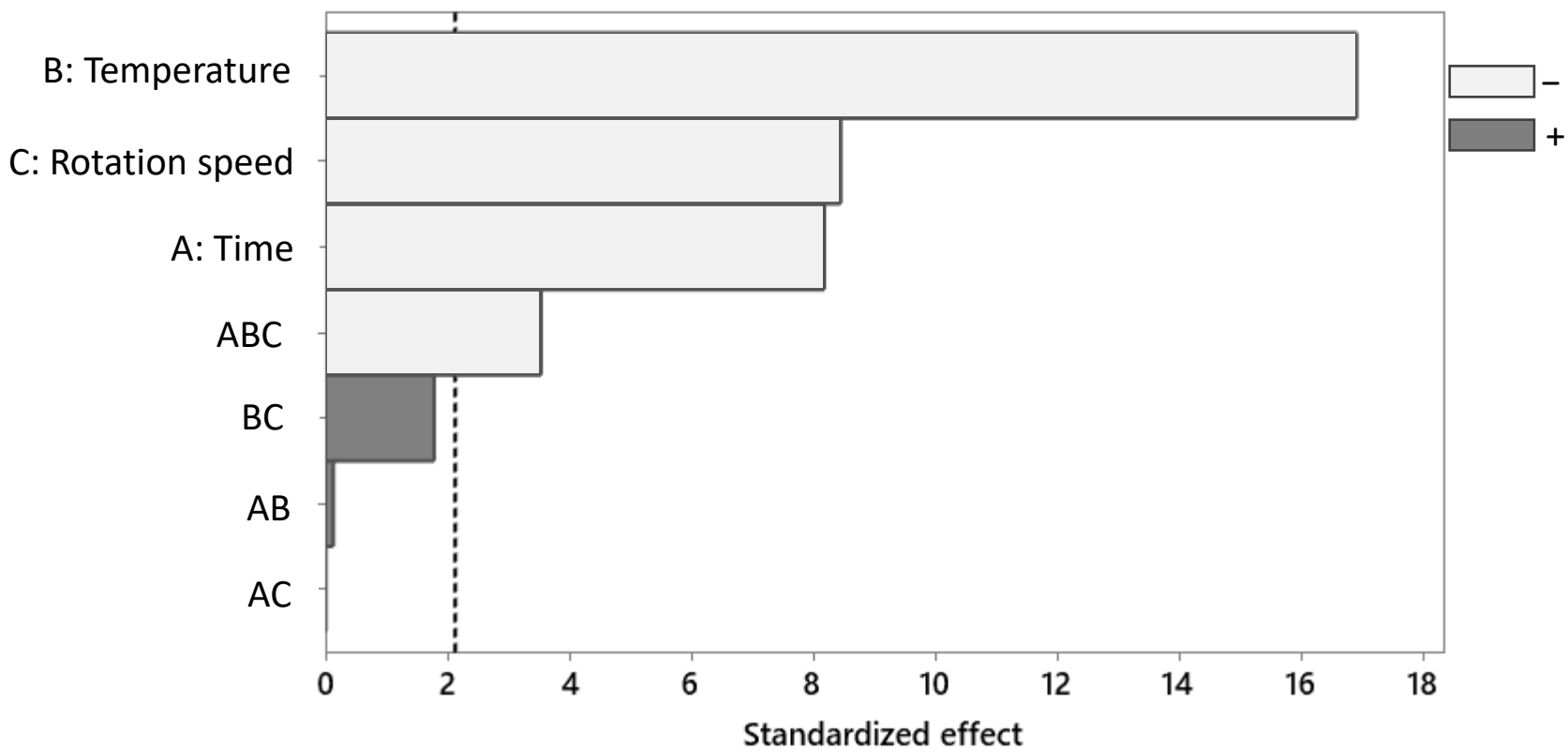


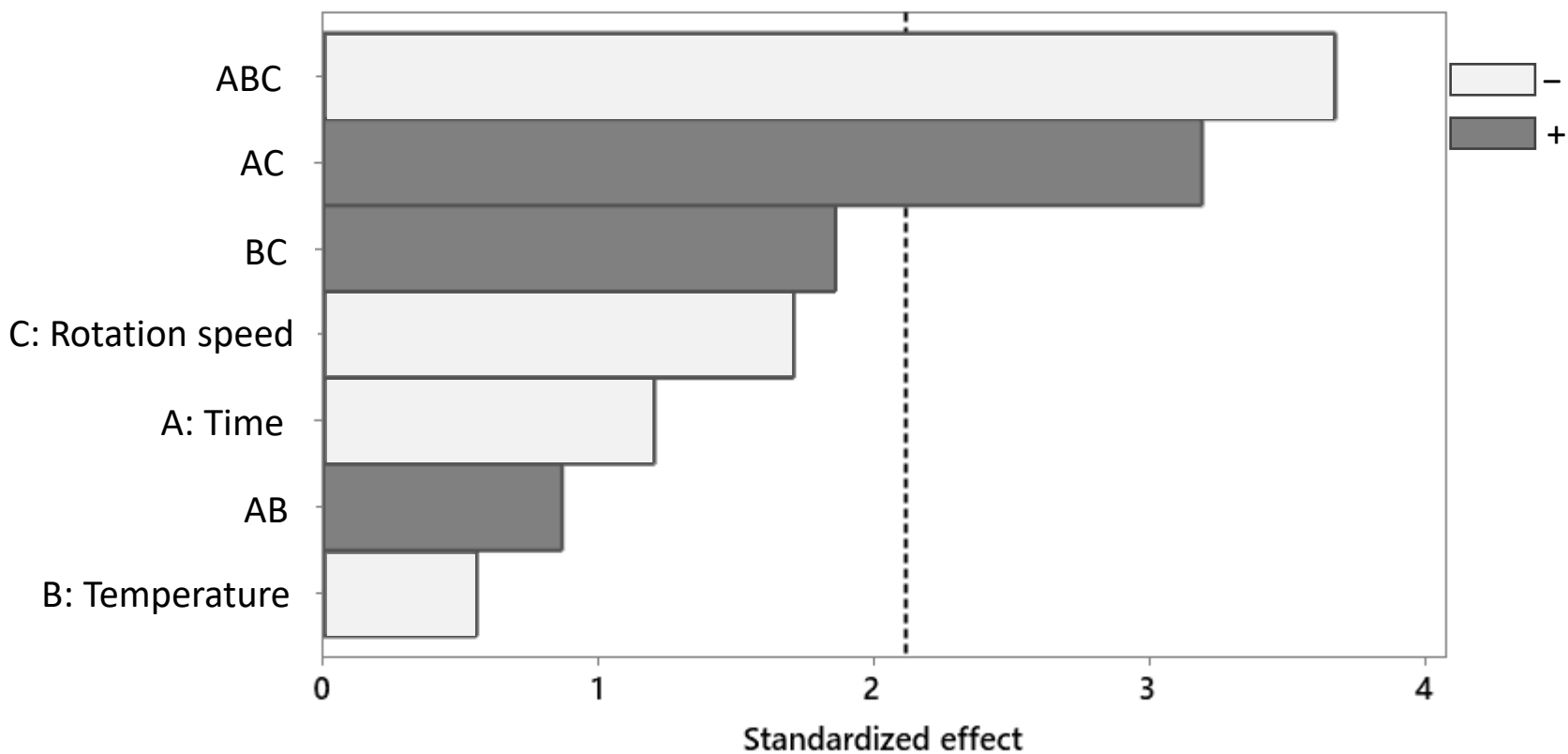
Figure 1: Freeze concentration schematic diagram of lactose-free milk in two steps.

828x506mm (118 x 118 DPI)

(A) Standardized Pareto Chart for Concentration Index



(B) Standardized Pareto Chart for Efficiency



(C) Standardized Pareto Chart for Concentrate Yield

