

Environmental Monitoring and Assessment

Analysis and measurement of SO_x, CO₂, PM and NO_x emissions in Port auxiliary vessels.

--Manuscript Draft--

Manuscript Number:	EMAS-D-20-03740R1
Full Title:	Analysis and measurement of SO _x , CO ₂ , PM and NO _x emissions in Port auxiliary vessels.
Article Type:	Original Research
Corresponding Author:	Juan Carlos Murcia González, Ph. D. Department of Science and Nautical Engineering, UPC-Barcelona Tech, NT1 Building Barcelona, Barcelona SPAIN
Corresponding Author Secondary Information:	
Corresponding Author's Institution:	Department of Science and Nautical Engineering, UPC-Barcelona Tech, NT1 Building
Corresponding Author's Secondary Institution:	
First Author:	Juan Carlos Murcia González, Ph. D.
First Author Secondary Information:	
Order of Authors:	Juan Carlos Murcia González, Ph. D.
Order of Authors Secondary Information:	
Funding Information:	
Abstract:	<p>The objective of this paper is to provide an estimation of air emissions (CO₂, NO_x, SO_x and PM) released by port assistant vessels at port level. The methodology is based on the "full bottom-up" approach and starts by assessing the fuel consumed by each tug ship during its individual port exercises (movements during docking and undocking of merchant vessels). The scenario selected for the analysis and measurements is one of the most significant Port of the Mediterranean Sea, where seven auxiliary vessels were monitored for 407 calls. The analysis also gathers real-time data from the Automatic Identification System (AIS), tug ship particulars from IHS Sea-web database and factor emissions from their engine certificates. The research findings show that the key indicators are inventory emissions per dock, types of towed vessels and docking and undocking manoeuvres. This paper also presents an action protocol for the assessment of the inventory of emissions produced by the main engines of tug ships operating inside ports, which can be extrapolated to other ports operating with tug ships of the same technical characteristics. Evaluating, therefore, the amounts emitted of nitrogen oxides, sulphur oxides, carbon dioxide and particulate matter.</p>
Response to Reviewers:	<p>This paper evaluates SO_x, CO₂, PM and NO_x contamination emitted by port auxiliary vessels, explicitly by tug ships, because of its particular working specifics; not only tug ships require discontinuous large propulsion power changes, they also have some distinctive technical attributes which sets them apart from other auxiliary vessels, such as large tonnage and high propulsive force.</p> <p>No studies have been found analyzing the pollution emitted by these specific tug ships or methods used to calculate these pollution emissions in harbour areas near urban areas. The only studies found analyze the global general ship emissions inside and outside the harbour.</p>

[Click here to view linked References](#)

1 **Analysis and measurement of SO_x, CO₂, PM and NO_x** 2 **emissions in Port auxiliary vessels.**

3 **Dr. Juan-Carlos Murcia González^{a*}**

4 ^a Department of Science and Nautical Engineering, UPC-BarcelonaTech, NT1 Building,
5 Pla de Palau 18, 08003, Barcelona, Spain.

6 *Corresponding author. Tel.: [(+34)639-331-184]. e-mail: juan.carlos.murcia@upc.edu

7 **Abstract:**

8 The objective of this paper is to provide an estimation of air emissions (CO₂, NO_x, SO_x
9 and PM) released by port assistant vessels at port level. The methodology is based on the
10 "full bottom-up" approach and starts by assessing the fuel consumed by each tug ship
11 during its individual port exercises (movements during docking and undocking of merchant
12 vessels). The scenario selected for the analysis and measurements is one of the most
13 significant Port of the Mediterranean Sea, where seven auxiliary vessels were monitored for
14 407 calls. The analysis also gathers real-time data from the Automatic ship Identification
15 System (AIS), tug ship particulars from IHS Sea-web database (www.maritime.ihs.com)
16 and emission factors established by the International Maritime Organization (IMO). The
17 research findings show that the key indicators are inventory emissions per dock, types of
18 towed vessels and docking and undocking manoeuvres.

19 This paper also presents an action protocol for the assessment of the inventory of emissions
20 produced by the main engines of tug ships operating inside ports, which can be extrapolated
21 to other ports operating with tug ships of the same technical characteristics. Evaluating,
22 therefore, the amounts emitted of nitrogen oxides, sulphur oxides, carbon dioxide and
23 particulate matter.

24 **Keywords.** Air pollution. Ships emissions. Tug ship. Emission inventories. Port-level.

25

26

27 **1. INTRODUCTION**

28

29 Since 70% of the ships' emissions happen within 400 km of land, the emissions that are
30 released to the atmosphere have a significant environmental impact on the local
31 communities (Eyring et al. 2005).

32 Moreover, the urban character of some ports and their populated surroundings are the focus
33 of the negative effects of exhaust pollutants due to the associated local impacts on human
34 health. Thus, the need to control air pollution at ports is widely acknowledged as an active
35 policy issued by various authoritative port associations (IAPH 2007 and ESPO 2003) as an
36 answer of main regulations (IMO 2017, EC 2015, EPA 2016, etc.).

37 The control of emissions not only goes with a proper assessment of the impacts of a
38 growing shipping activity but also with mitigation strategies. Emission inventories (ICF
39 2006) would help the policy makers to develop effective systems in order to control ships'
40 emissions in port (Tzannatos 2010).

41 Noting all the features above, there are currently no studies of the SO_x, CO₂, PM and NO_x
42 emissions caused by different working regimes of power, because engine manufacturers
43 have not measured these emissions across the range of operating power, from 0% to 100%
44 load engine.

45 There's likewise an issue with ports located within urban areas. These cities have the
46 biggest problems caused by pollution emitted from the ports, from the factories that lie
47 within them, from merchant and non-merchant ships constantly docking and undocking and
48 from other auxiliary port vessels working continuously throughout the year

49 These pollutants emitted inside the port can be transported to the city, through the wind and
50 other weather factors and they can also be carried by the particular terrain of each territory,
51 causing environmental and health problems for the resident population.

52 In such a context, the goal of this paper is to develop accurate emission inventories (CO₂,
53 SO_x, NO_x and PM) and emission indicators for ports where ship tug's activities are
54 notorious.

55

56 *1.1 Objectives*

57

58 The goal is to establish the amount of nitrogen oxides, sulphur oxides, carbon dioxide and
59 particulate matter that are emitted by the tug ship manoeuvres performed during docking,
60 undocking and removing of merchant ships, considering the power developed by it and
61 setting, therefore, a relationship between the power developed by the tug ship during the
62 performance of those manoeuvres and the emissions of NO_x, SO_x, CO₂ and PM emitted
63 while on it.

64 The International Maritime Organization (IMO) established the amount of exhaust
65 emissions caused by marine engines using emission models, based on actual emission
66 factors adopted from measurements performed on board engines or theoretical factors
67 deduced from the respective equations of chemical reactions, combined with the actual fuel
68 consumption (based on international maritime statistics of fuel sales). In this study, several
69 factors of NO_x, SO_x, CO₂ and PM, provided by different organizations according to the
70 power and according to the fuel consumption, have been used. By surveying different
71 operations performed by the tug ships in the port and its duration while measuring the
72 power used in each manoeuvre, the NO_x, SO_x, CO₂ and PM emission values are found and

73 analysed. Considering the total number of manoeuvres that tug ships carry in a year (2018)
74 it is possible to find the total of emissions for each power emitted, over a year, by the tugs
75 inside the port.

76 Therefore, it will be determined which power range produces more emissions for these type
77 of ships that work with a large variation of propulsive power. In addition, the total of
78 pollutant emissions per hour of work of the tug ships for the different type of vessels towed
79 will be calculated according to the different docking terminals of these and ways to reduce
80 or significantly mitigate nitrogen oxides, sulphur oxides, carbon dioxide and particulate
81 matter emissions as well as the harmful effects these marine engines cause on the
82 environment and on the health of the population, especially in the port-city area.

83

84 *1.2 Assessment protocol*

85

86 This paper also presents an action protocol for the assessment of the inventory of emissions
87 produced by the main engines of tug ships operating inside ports.

88 From the initial stage, we have posed the following working hypotheses:

- 89 1. The tug ships built after January 2000 but before January 2011, thus belonging to the
90 Tier I group (IMO regulation MARPOL Annex VI 2010). The choosing of this group as a
91 reference for the assessments is set, as they form the major share of the tug ships in the
92 considered Mediterranean Port, 1 tug ship of the year 2005, 1 of 2007, 1 of 2008, 3 of
93 2009 and 2 of 2016 operate. That is, of the 8 tug ships that operate in that port, 6 tug
94 ships are TIER I and only 2 tug ships are TIER III. Additionally, using this group as
95 being the most pollutant one among the three Tiers group, we will obtain the maximum
96 possible NOx pollution, compared with engines complying Tier II or Tier III.
- 97 2. The tug ships have two main engines of 2400kW (each one) to 1000 rpm. The fuel used
98 in tug's normal service is Marine Diesel Oil (MDO) type B with 0.1% of sulphur.
- 99 3. The main engines on board the tug ships have been chosen, avoiding the auxiliary ones
100 and generators. Because of their small size and to the fact that in hoteling mode (when
101 the tug ship is docked waiting to be called for a towing service by the harbour maritime
102 traffic control), the tug ship is plugged to the port electrical shore-connection.
- 103 4. A port in the Mediterranean basin is chosen for the study. A classification of the main
104 berthing docks of merchant ships requiring towing service have been included,
105 grouping them according to the freight type of the ships. Up to 6951 merchant towing
106 services have been carried out in 41 different berths or cargo terminals.
- 107 5. The main characteristics of the Mediterranean port are the following: 1,109.2 hectares
108 (ha) of land area, 23,183 km of wharves and berths, 30 ramps to Roll-on/Roll-off ships
109 (RO RO ramps), 203,304 m² of covered warehousing and 5,023,964 m² of open

110 warehousing, 38 wharf cranes of which 27 are container cranes.

111 Several international container terminals, with an area of more than 3,000 metres of
112 berthing line, up to 16 meters depth for all sort of ships (super-post-panamax) and 17
113 container cranes.

114 Several cruise terminals with the following characteristics: one with a berthing line of
115 1,379 metres without limitation of ship length and 11 meters of draught, and the other
116 with a berthing line of 281 meters (N), 350 meters (S) and 160 meters (E), ship length:
117 169 meters (N), 253 meters (S), 205 meters (E), and draught: 7.3 meters (N), 8 meters
118 (S), 8 meters (E).

119 Several ferry terminals, 2 specialised terminals with daily connections to Balearic
120 Islands: Mallorca, Menorca and Eivissa.

121 One multipurpose terminal for multipurpose ships with handling of containers, vehicles,
122 RO RO cargo and conventional cargo.

123 Several vehicle terminals with two specialised terminal leaders in vehicle traffic in the
124 Mediterranean. The main characteristics are 5 rail cargo tracks, 5 RO RO ramps and
125 several vertical areas with a capacity for 24,000 units, 1,200 linear metres of berthing
126 space and new areas underway for the distribution of cars.

127 Several bulk terminals with more than 17 metres of draught adapted for new generation
128 vessels, warehouses with a capacity of more than 75,000 m³ and segregated tanks for all
129 kind of products, direct connection by rail, road and oil pipeline.

130 6. The cargo terminals have been grouped into 3 different docks of container ships,
131 because there is the highest demand for towing services, 1 RO RO ship dock, 1 LNG
132 ship dock, 1 Ferry ship dock, 1 cargo ship dock (Bulk), 1 Cruise ship dock, 1 VLCC
133 ship dock, 1 car vessel dock, 1 chemical tanker dock and 1 dock for other type of ships.

134 7. Up to 407 towing manoeuvres have been analysed, counting the time and required
135 power to the tug ship (Maximum Continuous Rate) in each manoeuvre. This
136 information is obtained from the orders given by the harbour pilot, keeping in mind the
137 time required at each range of developed power.

138 8. For every merchant vessel arrival, the tug ships' fuel consumption (based on the power
139 consumed) and their corresponding emissions will be estimated for: (a) from the call of
140 maritime traffic controller (start main engines' tug ship in tug ships' dock base) to the
141 arrival of the towing vessel, (b) from the arrival to the towing vessel to the start the
142 towing manoeuvre, (c) from the start of the towing manoeuvre to the end of the towing
143 manoeuvre, (d) from the end of the towing manoeuvre to the arrival to the tug ships'
144 dock base.

145 9. In the incoming manoeuvring, the normal point to start towing is in the Landfall Buoy
146 (the first mark or buoy seen by an observer approaching the harbour from the open sea)
147 and in the outgoing manoeuvring the normal point to finish towing is also in the same
148 point, Landfall Buoy.

149 The present paper proposes a methodology based on the full bottom-up approach and
150 begins by evaluating the fuel consumed by each tug ship based on its individual port-
151 activities (manoeuvring), disregarding hoteling as the harbour tugs have a shore-connection
152 during that time. With this individual fuel consumption we can calculate the pollutants
153 emitted by each tug ship in each manoeuvre (incoming and outgoing manoeuvres). Once
154 these pollutions emitted by each tug ship have been calculated, we can evaluate the total
155 annual pollution of all the tug ships in this Mediterranean port.

156

157 **2. MATERIAL AND METHOD**

158

159 The first step in the emissions evaluation is the estimation of the fuel consumed by the tug
160 ship according to its manoeuvres. The specific fuel consumption (measured in g/kWh) is,
161 therefore, an important contribution to it. Once fuel consumption is obtained, it is possible
162 to use emission factors to estimate the emission of the different pollutants analysed.

163 Furthermore, an extensive study has been conducted of the engine power provided by the
164 tug ship when required manoeuvres in docking, undocking or removal of merchant ships in
165 the Inner Harbour.

166 The methodology used comprised the following steps:

- 167 1. Collection of data from the IHS Sea-web database (particulars of tug ships and their
168 main engines (www.maritime.ihs.com)), real-time data from the Automatic Ship
169 Identification System (AIS) and characteristic curves of tug ship main engines (speed-
170 load, power, specific fuel consumption, fuel rack index, etc.).
- 171 2. Collection of the number of manoeuvres performed by the port tugs during the year
172 2018, the time used to perform them (from AIS) and the number of tugs used in each
173 towing manoeuvre.
- 174 3. From each main engine characteristic curves and depending on the power required by
175 the harbour pilot, we can first obtain the engine fuel rack index (in mm) on the main
176 engines injection pumps and with this, we obtain the specific fuel consumption (SFC, in
177 g/kWh), in the characteristic curves of the main engines, at every power range (%
178 MCR, in kW) supplied by the tug ship in each towing manoeuvre. These characteristic
179 curves that are obtained in the main engines technical manuals relate the engine power,
180 the specific fuel consumption, the engine fuel rack and the engine speed.
- 181 4. With the data obtained during the exhaustive analysis of the 407 analysed towing
182 manoeuvres on board the tug ship, we can obtain the percentage of time required in
183 each of them (% t) based on each power range developed by the tug ship (% maximum
184 continuous rating (MCR)) depending on the orders given by the harbour pilot.
- 185 5. These power-time rates are extrapolated and applied to all towing manoeuvres of the
186 year 2018.

187 6. Knowing the duration of each manoeuvre and the docking / undocking terminal of the
188 towed merchant ship, we can calculate the fuel consumption of the tug ship in each
189 performed manoeuvre:

$$C_P = \sum_{ij} (P_{B_{ij}} t_{ij}) c_e \quad (1)$$

190

191 Where C_P is the amount of fuel consumed by the tug ship main engines during the
192 towing manoeuvre of the vessel. The tug ship travelled distance is i , counted since it
193 receives the call from the maritime traffic controller and starts its main engines to
194 carry out the towing service, until the end of the towing manoeuvre and up to its
195 docking base again.

196

197 The stage of tug ship activity is j (manoeuvres of entry or exit of towed merchant
198 ships); t_{ij} is the time elapsed when carrying out the towing maneuver inside the port
199 (h); c_e is the specific fuel consumption of the main engines (g/kWh) and $P_{B_{ij}}$ is the
200 power developed by the tug ship (kW) during the manoeuvre, which is calculated by
201 extrapolating the time percentages of the tug ship's working range during the 407
202 towing manoeuvres analysed on board, based on the orders given by the harbour
pilot of the towed merchant ship.

203

204 7. By knowing the SFC (g/kWh), obtained from the characteristic curves of the main
205 engines through the fuel rack index of fuel injection pumps in those main engines, with
206 the power supplied in each of the tug's operating ranges (% MCR in kW) during each
207 manoeuvre and applying the emission factors (EF), we can calculate the partial CO₂
emissions, SO_x, PM and NO_x at every power range (g emissions/h).

208

209 8. Then, knowing the time of each manoeuvre, we can assess the pollution emitted by the
210 tug ship at each incoming / outgoing manoeuvre and at each docking / undocking
terminal.

211

212 9. Adding the total towing manoeuvres in the port during the year 2018 we can calculate
213 the total annual pollution emitted by the tug ships in that port. In addition, the pollution
214 emitted in the port incoming manoeuvres and the pollution emitted in the port outgoing
215 manoeuvres are split. It can also be established which types of towing manoeuvres are
216 the ones that pollute the most and least, depending on the type of towed merchant ship
217 and the duration of each manoeuvre, establishing polluting emissions per hour of
operation of its main tug ship engines.

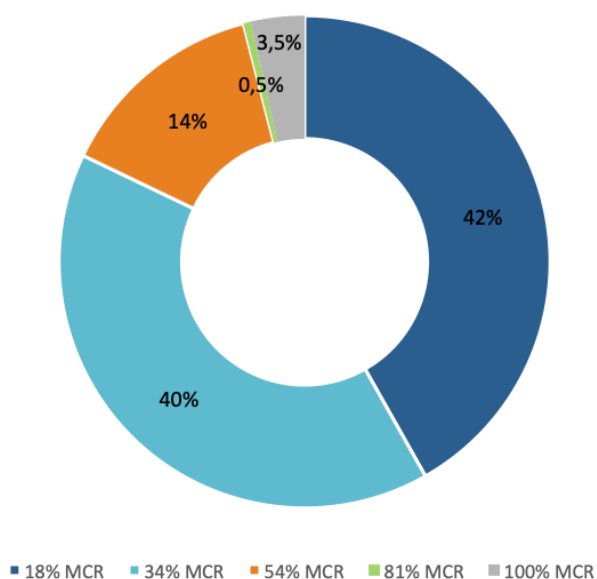
218

219 The 407 analysed towing manoeuvres sum up to 29725 effective minutes and can be broken
down according to the power supplied by the towing vessel (table 1 and figure 2).

MCR	18% MCR	34% MCR	54% MCR	81% MCR	100% MCR
Tugs' Manoeuvres Hours	12429	11978	4125	146	1048

220 **Table 1** Minutes worked according to the power developed by the harbour tug operations
 221

222 There's been computed a total of 12429 minutes at 18% power, 11978 minutes at 34%
 223 power, 4125 minutes at 54% power, 146 minutes at 81% power and 1048 minutes at 100%
 224 power.



225
 226 **Fig. 1** Percentage in minutes of the power developed by the tugs' main engines during manoeuvres
 227
 228

Type of Fuel	EF CO₂	EF SO_x	EF PM
LSFO (HFO -1.5% S)	3.114 g CO ₂ /g fuel	0.030 g SO _x /g fuel	0.00426 g PM /g fuel
MDO/MGO (0.1% S)	3.206 g CO ₂ /g fuel	0.002 g SO _x /g fuel	0.00097 g PM /g fuel

229 **Table 2** Emission Factor (EF) CO₂, SO_x, PM. Data source IMO regulation
 230 Low Sulphur Fuel Oil (**LSFO**), Heavy Fuel Oil (**HFO**), Marine Diesel Oil (**MDO**)/Marine Gasoil (**MGO**),
 231 Sulphur (**S**)
 232
 233
 234

Tier	Year of Ship Construction	EF Limits NO _x (g NO _x /kWh)		
		n<130 rpm	n=130-1,999 rpm	n≥2,000 rpm
N° Tier	Pre-2000	18.1 for HFO	14 for HFO	12.7 for HFO
		17.0 for MGO/MDO	13 for MGO/MDO	12.0 for MGO/MDO
I	1 January 2000	17.0	45·n ^(-0.2)	9.8
II	1 January 2011	14.4	44·n ^(-0.23)	7.7
III	1 January 2016	3.4	9·n ^(-0.2)	2.0

235

236

Table 3 Emission Factor (EF) NO_x. Data source IMO regulation MARPOL Annex VI

237

238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

253 The figure 2 shows the methodological framework considered in this paper, in which step
 254 1, 2 and 4 is related to the input data model and the other steps 3, 5, 6, 7, 8, and 9 are
 255 methodological aspects that are described in Section 2.

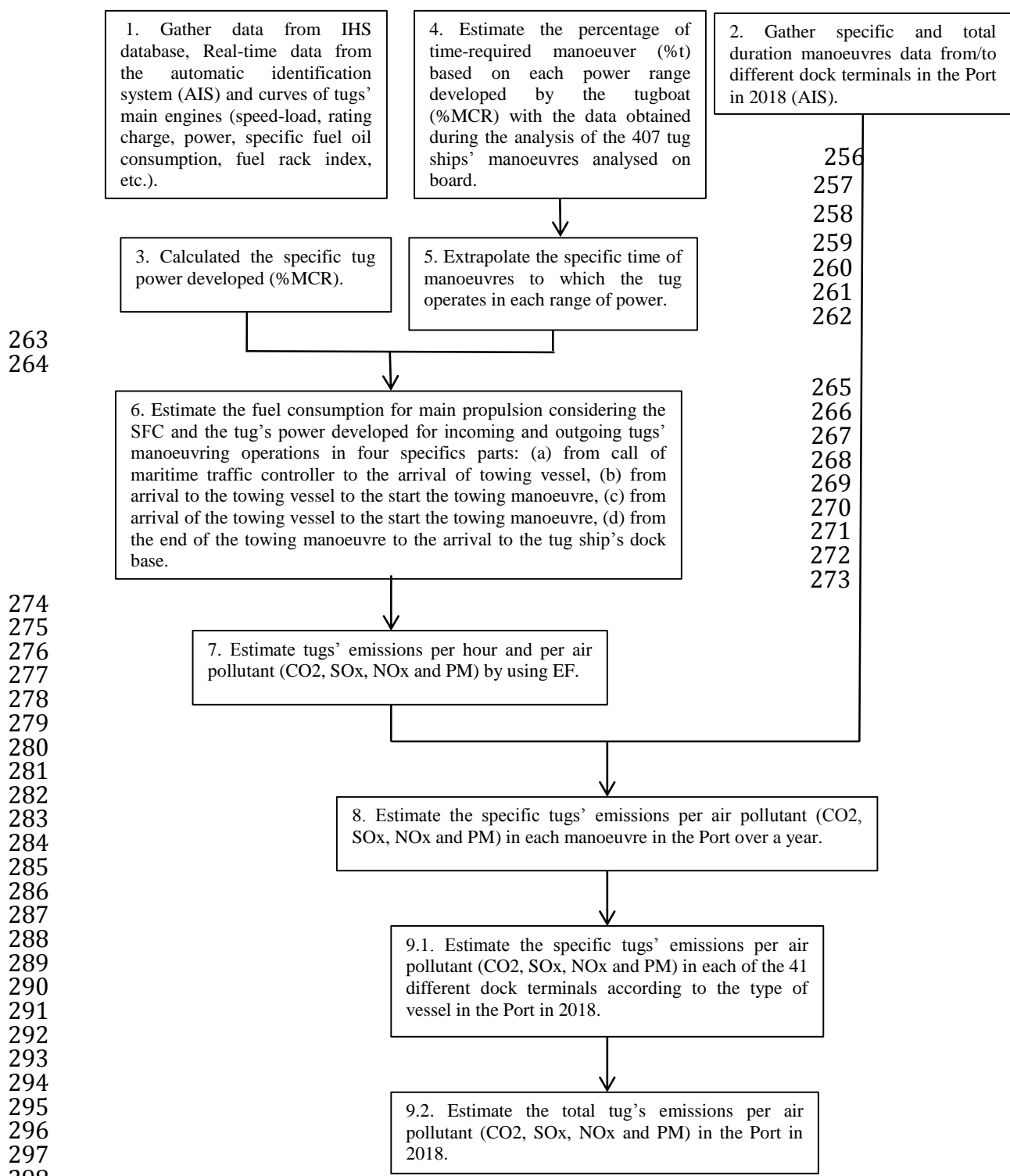


Fig. 2 Methodological framework

300 3. RESULT

301

302 3.1 Data samples

303

304 The data sample for this study ranges 7 tug ships that were monitored during 2018.
305 According to the statistics of the Mediterranean Port, those 7 tug ships accounted for more
306 than 11341 calls which represent 6951 towing services of which 6071 were incoming tug
307 services and 5270 were outgoing tug ship services. These towing services have towed 6951
308 commercial vessels of 9038 number of calls vessels that have entered in the Mediterranean
309 Port (container ships, cargo ships, car carrier ships, LNG ships, bulk carrier ships, chemical
310 ships, etc.).

311

312 In the year 2018 there was a total of 6951 vessel services in 41 different berths or cargo
313 terminals in the Mediterranean Port, 3530 incoming services, and 3421 outgoing services.
314 Of all these services, 11341 tug ships were used, 6071 were incoming tug services, and
315 5270 were outgoing tug services.

316

317 In the incoming tug ship services, the average time was 1.7 hours for each tug ship
318 manoeuvre and a total effective tug time of 10509.6 hours.

319 In the outgoing tug ship services, the average time was 1.5 hours for each tug ship
320 manoeuvre and a total effective tug time of 6821.8 hours.

321 The total annual tug ship services time was the 17331.4 hours, in which the tug ships' main
322 engines are on, emitting greenhouse gas emissions (GHG) inside the port-city.

323 In the next table (table 4) you can see the total number and type of merchant vessel towed
324 by the tug ships that provide the towing service in that Mediterranean port during the year
325 analysed. It must be borne in mind that, for the preparation of this table, the number of
326 incoming manoeuvres and outgoing manoeuvres counted to obtain the total manoeuvres. As
327 an example, it is seen that for the type of LNG ships, in that year, 156 towing manoeuvres
328 were carried out, of which 78 were incoming manoeuvres and 78 were outgoing
329 manoeuvres.

330 On the other hand, the towing services used for each type of towed merchant vessels are
331 counted, that is, the total number of tug ships used to perform these services, considering
332 both, the entry and exit of those merchant vessels.

333 Continuing with the previous example of LNG vessels, it can be observed that a total of
334 509 tug ships have been used to perform the towing services of those 156 towed LNG
335 vessels (293 tug ships in the incoming manoeuvres and 216 tug ships in the outgoing
336 manoeuvres). By dividing the total number of tug ships used to perform those docking and
337 undocking manoeuvres, with the total number of towing manoeuvres, the number of tug
338 ships used in each towing manoeuvres for that type of ship can be obtained (3.8 tug ships
339 used in each incoming manoeuvres and 2.8 tug ships in each outgoing manoeuvres).

340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375

Tugs' Emission inventory	Services towing			Tug ships numbers			Tug ships number per manoeuvre		Manoeuvres Duration (h)						
	I	O	T	I	O	T	I	O	I*	O*	Ti	To	Ti ME On	To ME On	
Berthing dock / Terminal															
Others - Container Ship	142	124	266	152	138	290	1.1	1.2	1.4	1.0	194.8	129.0	209.5	144.9	
Sth. Dock - Container Ship	631	592	1262	1125	926	2051	1.5	1.4	1.6	1.1	1041.4	686.3	1863.4	1078.4	
Prt. Dock - Container Ship	1068	1071	2139	1848	1768	3616	1.7	1.7	1.8	1.5	1904.2	1582.8	3294.9	2612.8	
Prp. Dock - Container Ship	72	75	147	111	111	222	1.5	1.5	1.5	1.2	110.1	88.4	169.7	130.8	
Chemical Carrier	670	659	1442	977	753	1730	1.5	1.2	1.7	1.1	1110.2	722.6	1622.1	823.6	
Car Carrier	421	405	826	758	735	1493	1.5	1.5	1.7	1.3	692.6	543.5	1247.6	987.3	
VLCC Oil Tanker	125	125	250	290	192	482	2.3	1.5	1.9	1.1	236.9	139.9	549.5	214.9	
Cruise	28	24	52	39	32	71	1.4	1.3	1.5	1.2	42.0	29.0	59.6	38.9	
Bulk Carrier	112	113	225	206	188	394	1.9	1.7	1.8	1.3	194.9	139.5	363.5	234.8	
Ferries	35	23	58	38	24	62	1.1	1.0	1.3	1.0	47.3	24.5	51.6	25.7	
LNG	78	78	156	293	216	509	3.8	2.8	2.4	1.5	187.8	114.5	705.4	317.2	
Container RO RO	53	38	91	60	47	107	1.2	1.3	1.4	1.2	79.7	43.3	89.5	53.7	
Others	95	94	37	174	140	314	1.5	1.5	1.7	1.3	153.1	106.2	283.3	158.8	
Total	3530	3421	6951	6071	5270	11341	1.7	1.5	1.7	1.2	6043	4344.1	10509.6	6821.8	

Table 4 Services towing, tug ships numbers used, tug ships number per manoeuvre and manoeuvres duration in Mediterranean Port in the year 2018

Incoming manoeuvres (**I**), Outgoing manoeuvres (**O**), Total manoeuvres (**T**), Time Incoming manoeuvres (**Ti**), Time Outgoing manoeuvres (**To**), Average time Incoming manoeuvres (**I***), Average time Outgoing manoeuvres (**O***), Total hours of running engine in Incoming manoeuvres (**Ti ME On**), Total hours of running engine in Outgoing manoeuvres (**To ME On**).

376 3.2 Annual inventory at port-level

377

378 The total GHG (CO₂) and air pollutant emissions (NO_x, SO_x and PM) for 7 tug ships
 379 during 2018 in the Mediterranean Port (about 11314 vessel calls and 41 different docking
 380 terminals) are estimated in this section.

381 The next graphics (table 5, figure 3, figure 4 and figure 5) show the yearly emission
 382 inventory (CO₂, SO_x, PM and NO_x) within the Mediterranean Port considering the
 383 incoming and outgoing tug services.

384

Tugs' Emission inventory 2018		t CO ₂			t SO _x			t PM			t NO _x		
In Manoeuvres		I	O	T	I	O	T	I	O	T	I	O	T
Tugs' Power MCR	10%	2637.6	1712.1	4349.7	1.6	1.1	2.7	0.8	0.5	1.3	45.0	29.1	74.1
	34%	4500.4	2921.2	7421.6	2.8	1.8	4.6	1.4	0.9	2.3	77.1	49.0	126.1
	54%	2255.1	1463.8	3718.9	1.4	0.9	2.3	0.7	0.4	1.1	40.8	25.9	66.7
	81%	123.4	80.1	203.5	0.1	0.05	0.15	0.04	0.02	0.06	2.3	1.5	3.8
	100%	1254.1	814.1	2068.2	0.8	0.5	1.3	0.4	0.2	0.6	22.9	14.5	37.4
Total	t/year	10770.6	6991.3	17761.9	6.7	4.4	11.1	3.3	2.1	5.4	188.1	120.0	308.1
	kg/h	-	-	1024.8	-	-	0.6	-	-	0.3	-	-	17.8

385

386 **Table 5** Tugs' emission inventory in tons of CO₂, SO_x, PM and NO_x emissions from tug ships depending on
 387 the type of manoeuvres in Mediterranean Port in the year 2018

388

389

390 The highest annual emission of polluting gases is the one corresponding to carbon dioxide
 391 with an annual total of 17761.9 tons of CO₂ followed by nitrogen oxides with 308.1 tons of
 392 NO_x per year, sulphur oxides with 11.1 tons of SO_x per year and finally the least polluted
 393 emission is the one corresponding to particulate matter with an annual total of 5.4 tons of
 394 PM.

395

396 On the other hand, if we analyse the emissions per hour, we observe that the highest
 397 pollutant is the carbon dioxide with an emission of 1024.8 kg of CO₂ per hour, followed by
 398 the nitrogen oxides with 17.8 kg of NO_x per hour, the sulphur oxides with 0.6 kg of SO_x
 399 per hour and finally the particulate matter with 0.3 kg of PM per hour.

400

401

402

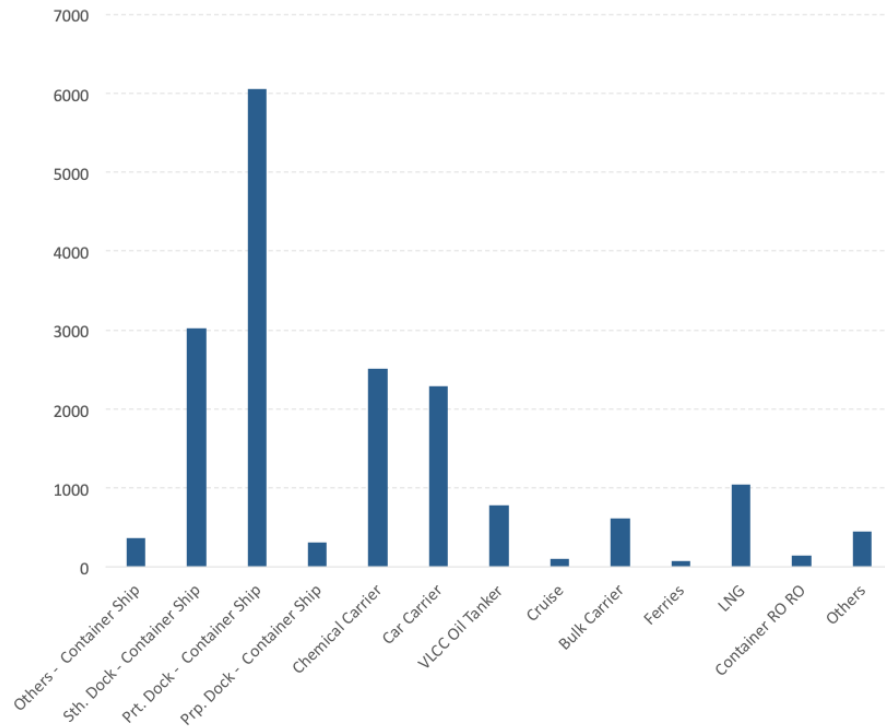


Fig. 3 Total emission inventory of CO₂ in incoming and outgoing manoeuvres (in tons)

403
404
405
406
407

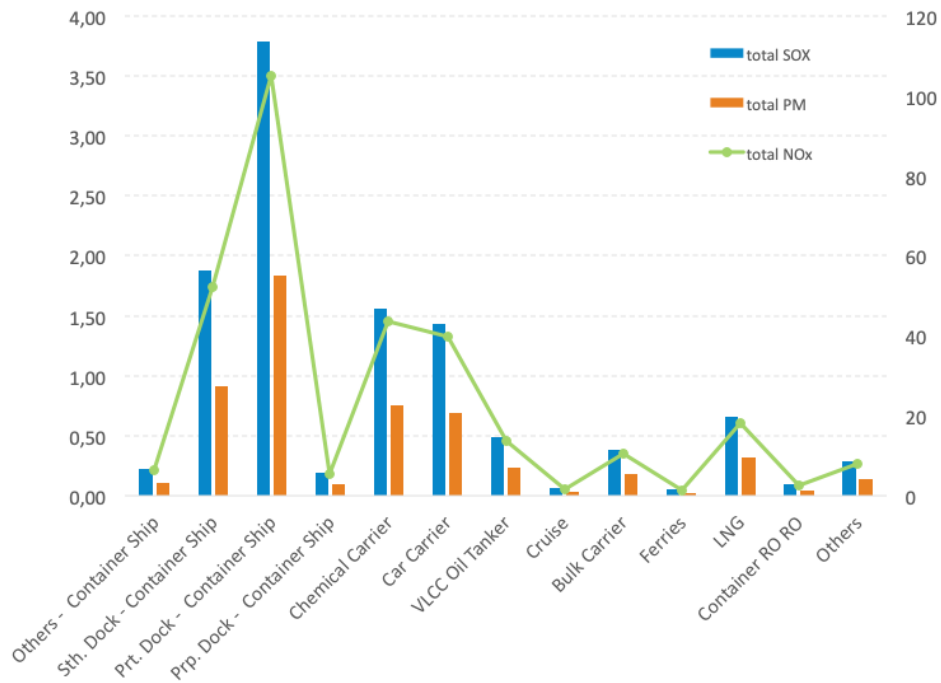


Fig. 4 Total emission inventory of SO_x, PM and NO_x in incoming and outgoing manoeuvres (in tons)

408
409
410
411
412

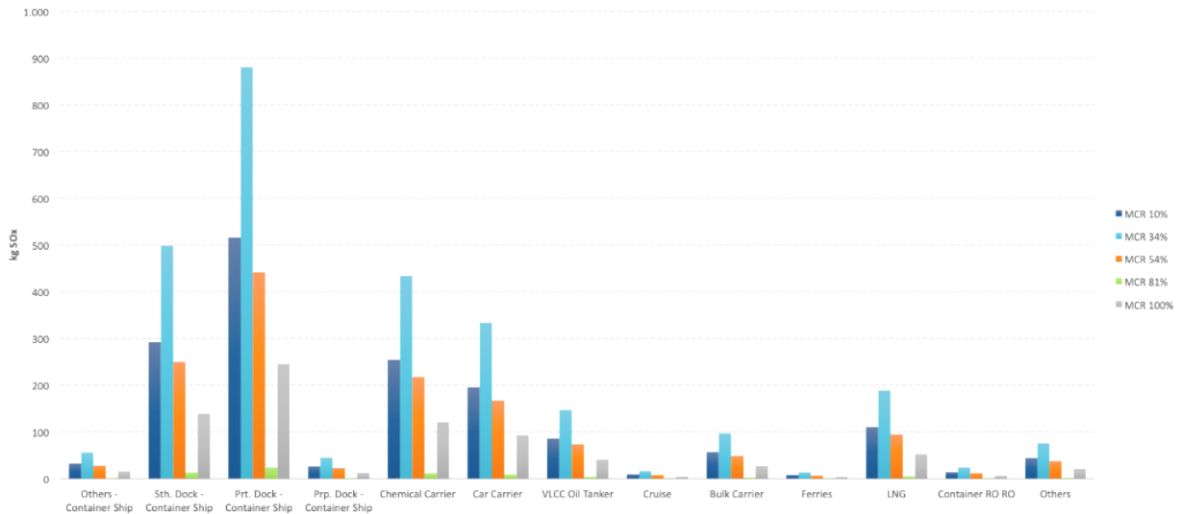


Fig. 5 Example of Tug ships' SOx emissions in the incoming Manoeuvres, across the range of tug ship's operating power (in kg SOx)

413
414
415
416
417
418

4. RESULTS AND DISCUSSION

419
420
421
422
423

No studies have been found analyzing the pollution emitted by these specific tug ships or methods used to calculate these pollution emissions in harbour areas near urban areas. The only studies found analyze the global general ship emissions inside and outside the harbour.

424
425
426
427
428

This paper evaluates SOx, CO₂, PM and NOx contamination emitted by port auxiliary vessels, explicitly by tug ships, because of its particular working specifics; not only tug ships require discontinuous large propulsion power changes, they also have some distinctive technical attributes which sets them apart from other auxiliary vessels, such as large tonnage and high propulsive force.

429

430
431
432
433

The total tugs' emissions in the year 2018 were 17761.9 tons of CO₂, 11.1 tons of SOx, 5.4 tons of PM and 308.1 tons of NOx, with a total annual tug's service time of 17331.33 hours. The average of 1024 kg CO₂ per hour, 0.6 kg of SOx per hour, 0.3 kg PM per hour and 17.8 kg of NOx per hour.

434
435
436
437
438

The maximum pollutant emissions are produced during towing manoeuvres to/from the following dock terminals. First to Prt. Dock, followed by Sth. Dock, both referring container ships. Next are the Chemical Dock, Car carrier Dock, LNG Dock, VLCC Dock and Bulk Carrier Dock.

439

This is mainly due to the fact that in this type of berthing dock or for this type of merchant

440 vessels, a greater number of tug ships are used to perform the towing services, being the
441 duration of the towing manoeuvres longer compared to others types of towed merchant
442 ships as the number of towing services provided is greater.

443 Prt. dock is the dock where more pollution is emitted because there are a greater number of
444 tugs used in incoming and outgoing manoeuvres (1848 and 1768 respectively), more
445 merchant ships towed to/from the docks or cargo terminal (1068 and 1071 respectively) and
446 more hours used in every incoming or outgoing manoeuvre in which the main engines have
447 been on all the time (1904.2 and 1582.8 respectively).

448 Sth. dock is the second dock where more pollution is emitted because there are a large
449 number of tugs used in incoming and outgoing manoeuvres (1125 and 926 respectively),
450 1041.4 and 686.3 hours have been used respectively in each incoming or outgoing
451 manoeuvre in which the main engines have been on all the time, but nevertheless is the
452 third in merchant vessels towed to/from this dock or cargo terminal (631 and 592
453 respectively).

454 Chemical dock is the third dock where more pollution is emitted because there are a large
455 number of tugs used in incoming and outgoing manoeuvres (977 and 753 respectively),
456 1110.2 and 772.6 hours have been used respectively in each incoming or outgoing
457 manoeuvre in which the main engines have been on all the time, but instead it is the second
458 in number of merchant vessels towed to/from this dock or cargo terminal (670 and 659
459 respectively).

460 Car carrier dock is the fourth dock where more pollution is emitted because there are a
461 large number of tugs used in incoming and outgoing manoeuvres (758 and 735
462 respectively), 692.6 and 543.5 hours have been used respectively in each incoming or
463 outgoing manoeuvre in which the main engines have been on all the time and 421 and 405
464 merchant ships have been towed to / from this dock or cargo terminal.

465 LNG dock is the fifth dock where more pollution is emitted because a greater number of
466 tug ships are used per manoeuvre (3.8 in the incoming and 2.8 in the outgoing manoeuvres)
467 and also for the longer duration of their manoeuvres (2.4 hours in the incoming manoeuvres
468 and 1.5 hours in the outgoing manoeuvres).

469 The VLCC dock is the sixth dock where more pollution is emitted mainly due to the
470 number of tugs used in the entry manoeuvres (2.3 tug ships per incoming manoeuvres).
471 Being the second largest number after the LNG ships.

472 The bulk carrier is the seventh dock where more pollution is emitted because the second
473 largest number of tugs per manoeuvre is used (1.9 tugs in the incoming manoeuvres and 1.7
474 tug ships in outgoing manoeuvres).

475

476 The highest pollution emitted by the tug ships is produced at 18% MCR (42% pollution
477 emitted), followed by the produced at 34% MCR (40% pollution emitted), at 54%
478 MCR(14% pollution emitted), at 81% MCR (0.5% pollution emitted) and finally at 100%
479 MCR(3.5% pollution emitted).

480

481 **5. CONCLUSIONS**

482

483 Considering these results obtained, pollution caused by the emission of nitrogen oxides,
484 sulphur oxides, carbon dioxide and particulate matter by tug ships in the harbour areas, can
485 be easily reduced by:

486 Towing's Optimization: 42 per cent of the total time of the operations, the tug ships remain
487 on stand-by (the ship just waits to be required for any work). Regarding the annual statistics
488 of every tug ship, those emissions produced during this power range could be reduced by
489 more than two-thirds. Furthermore, on minimal power (18% Maximum Continuous Rate)
490 the emission of those nitrogen oxides, sulphur oxides, carbon dioxide and particulate matter
491 can also be reduced in half, it could be done by using only one of the two main engines
492 every tug ship has (in standby mode, without both main engines there isn't a reduction in
493 the safety of the tug ship though). This reduction could be possible improving the logistics
494 and the planning of the different manoeuvres.

495 Speed Reduction favours emission reduction: Nitrogen oxide, sulphur oxide, carbon
496 dioxide and particulate matter emissions are proportional to the speed of the ship. Reducing
497 the speed also reduces the fuel consumption by 25 per cent.

498 Reduction of maximum propulsion power in harbour tug ships: With the experience, it can
499 be verified and confirmed that smaller tug ships are sufficient for the different port
500 manoeuvres. Only 3.5 per cent of the total time they are required to give maximum power
501 and only 0.5 per cent of total manoeuvring time, main engines have a Maximum
502 Continuous Rate of 81%.

503 With the experience of the Tug ships Masters, it is confirmed that not as much propulsion
504 power is needed during the manoeuvres of incoming and outgoing of merchant vessels and
505 so smaller tug boats could perform just as efficiently as bigger ones, having the latter the
506 advantage of polluting less than the former.

507 Implementing these measures would significantly reduce the harmful effects of the
508 pollutants emitted from the engines, benefiting the health of the population, especially in
509 port-cities.

510 From a technical point of view, the purpose of reducing SO_x, CO₂, PM and NO_x emissions
511 should be specially considered in areas where ports are located within cities with a high
512 density of population.

513 There's a need though, to keep researching and improving engine designs and technologies
514 to make them more efficient and less polluting. A list of improvements could be:

- 515 – Optimization of indoor and outdoor lighting using LED technology, which would result
516 in a reduction of fuel consumption by the auxiliary engines of the tug ship.
 - 517 – Different designing of the power transmission.
 - 518 – Optimizing the design of the hulls and superstructures.
 - 519 – Cylinder lubrication.
 - 520 – Energy recovering from the propeller using: Propeller nozzle, hull coatings, coaxial
521 contra-rotating propeller (CCRP), integrated unit with rudder and propeller, blade wheel
522 or wheel freewheeling Grim, pre-swirl devices.
 - 523 – Reduce the friction in pumps and pipes.
 - 524 – Optimize coolers, nozzles, turbochargers, ventilation systems of the ship as well as
525 update the current models or use different propulsion technologies.
 - 526 – Using or switching different fuels: Using diesel fuel with low sulphur content, and then,
527 switching it to a very low sulphur fuel (ULSD), or the use of lower fuel-cycle as
528 Biofuels, Liquified Natural Gas (LNG), Liquified petroleum gas (LPG), Propane
529 ethanol blended with diesel fuel, E-diesel, Oxygenated diesel or Synthetic diesel.
 - 530 – Fuel modifications, including fuel-water emulsion and the use of fuel with low nitrogen
531 content.
 - 532 – Reducing the temperature of exhaust gasses using air humidification or exhaust gasses
533 cleaners such as Scrubbers (Scrubber Technology).
 - 534 – For the reduction of NOx emissions, the use of CRS (Catalytic Reduction System).
 - 535 – Modifying the combustion process by advancing the fuel injection or the compression
536 rate.
 - 537 – Some other technologies are being researched as well, to name a few: The cleaner of
538 NOx, NOx absorption traps, Diesel Oxidation Catalysts (DOC), Catalysed filters Wire
539 Mesh (CWMF), and Diesel Particulate Filter (DPF).
- 540

541 All these results can be extrapolated to other ports operating with tug ships of the same
542 technical characteristics. Evaluating, therefore, the amounts emitted of nitrogen oxides,
543 sulphur oxides, carbon dioxide and particulate matter in these.

544

545 **REFERENCES**

- 546 Chang YT, Song Y, Roh Y (2013) Assessing greenhouse gas emissions from port vessel
547 operations at the Port of Incheon. Transportation Research Part D 25: 1–4
- 548 Cooper DA (2001) Exhaust emissions from high-speed passenger ferries. Atmospheric
549 Environment 35: 4189- 4200

- 550 Corbett JJ, Kohler H (2003) Updated emissions from ocean shipping. *Journal of*
551 *Geophysical Research* 108(D20):4650–4666
- 552 Corbett JJ, Wang C, Firestone J (2007) Allocation and forecasting of the global ship
553 emission. In: Proceedings of the Paper presented at Clean Air Task Force and Friends at the
554 Earth International, Boston, MA, USA
- 555 Dalsoren SB, Eide MS, Endresen O, Mjelde A, Gravir G, Isaksen, ISA (2009) Update on
556 emissions and environmental impacts from the international fleet of ships: the contribution
557 from major ship types and ports. *Atmospheric Chemistry and Physics* 9:2171–2194
- 558 Dragovic B, Tzannatos E, Tselentis V, Mestrovic R, Skuric M. (2015) Ship emissions and
559 their externalities in cruise ports. *Transportation Research Part D* (in press)
- 560 ESPO (2003) Environmental code of practice. ESPO, Brussels, Belgium
- 561 Eyring V, Koehler HW, van Aardenne J, Lauer A (2005) Emission from International
562 Shipping: 1. The last 50 years. *Journal of Geophysical Research* 110(D17305):1-12
- 563 Goldsworthy L, Goldsworthy B (2015) Modelling of ship engine exhaust emissions in ports
564 and extensive coastal waters based on terrestrial AIS data – An Australian case study.
565 *Environmental Modelling & Software* 63:45– 60
- 566 IAPH (2007) Resolution on clean air programs for ports. Second plenary session. 25th
567 World Ports Conference of IAPH. Houston, Texas, USA
- 568 ICF (2006) Current methodologies and best practices in preparing port emission
569 inventories. EPA, Fairfax, Virginia, USA. Final report for U.S.
- 570 IMO (2009) IMO GHG Study 2009. Micropress Printers, Suffolk
- 571 IMO (2014) Third IMO GHG Study 2014. Micropress Printers, Suffolk
- 572 Maragkogianni A, Papaefthimiou S (2015) Evaluating the social cost of cruise ships air
573 emissions in major ports of Greece. *Transportation Research Part D* 36:10–17
- 574 Miola A, Ciuffo B, Giovine E, Marra M (2010) Regulating air emissions from ships: the
575 state of the art on methodologies, technologies and policy options. European Commission,
576 Joint Research Centre, Institute for Environment and Sustainability. JRC Reference Reports
577 60732, Luxembourg
- 578 Moreno-Gutiérrez J, Calderay F, Saborido N, Boile M, Rodríguez Valero R, Durán-Grados
579 V (2015) Methodologies for estimating shipping emissions and energy consumption: a

- 580 comparative analysis of current methods. *Energy* 86:603–616
- 581 Schneekluth H, Bertram V (1998) *Ship design for efficiency and economy*. 2nd edition.
582 Elsevier Ltd., Oxford
- 583 Song S (2014) Ship emissions inventory, social cost and ecoefficiency in Shanghai
584 Yangshan port. *Atmospheric Environment* 82:288–297
- 585 Taylor DA (1996) *Introduction to marine engineering*. Elsevier Ltd., Oxford
- 586 Tichavska M, Tovar B (2015) Environmental cost and ecoefficiency from vessel emissions
587 in Las Palmas Port. *Transport Res Part E* 83:126–140
- 588 Tupper EC (2013) *Introduction to naval architecture*. Fifth Edition. Elsevier Ltd, USA
- 589 Tzannatos E (2010a) Ship emissions and their externalities for the port of Piraeus – Greece.
590 *Atmospheric Environment* 44:400–407
- 591 Watson D (1998) *Practical ship design*. Elsevier Ocean Engineering Book Series, Vol 1,
592 Oxford, UK
- 593 Winnes H, Styhre L, Fridell E (2015) Reducing GHG emissions from ships in port areas.
594 *Research in Transportation Business and Management* 17:73-82

The data that support the findings of this study are available on request from the corresponding author JCMG*.

The data are not publicly available due to maritime companies and Port Authority restrictions e.g., “them containing information that could compromise research participant privacy/consent”.

Dr. Juan-Carlos Murcia González*, *Chief Engineer of Spanish Merchant Marine and PhD in Science in Nautical Engineering from the Polytechnic University of Barcelona (UPC).*