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# On the numerical study of fatigue process in rail heads by means of an isotropic damage based high-cycle fatigue constitutive law

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## Abstract

The fatigue phenomenon has been historically related to the railway industry. Nowadays, thanks to the high quality of the materials used, most of the recorded problems have been overcome although there are still degradation processes which are associated to fatigue and need to be considered for the definition of the maintenance campaigns and the general progress of the sector. In this paper, the latest improvements in the isotropic damage based high-cycle fatigue constitutive law proposed by Oller et al. [1] are presented and the approach is used for the study of two regions of the railway path where fatigue mechanisms are experimentally detected: a straight section and a crossing element. The analysis of the affected areas is performed through a finite element simulation identifying the critical regions liable to the fatigue degradation when the structure interacts with high speed vehicles and predicting the initiation of the degradation at the rail head while capturing the physics of the problem. The potential of the methodology is shown through the case studies and the current shortcomings and the future lines of research are clearly stated.

*Keywords:* railway, finite element method, high-cycle fatigue simulation, isotropic damage, advance in time strategy, pearlitic steel, Hadfield steel

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## 1. Introduction

Since the second half of the 19<sup>th</sup> century, the fatigue phenomenon has been deeply studied, originally associating it to the recurrent deterioration detected in the railway system which led to unexpected failures [2]. In this process the mechanical properties of the material are progressively degraded when cyclic loads below the ultimate material strength are applied [3, 4].

Nowadays, fatigue is determining in the failure of elements and structures in aerospace, naval and car industries as well as in some structures within the civil engineering field [4, 5]. The variety and complexity of the scenarios where these failures take place [6, 7, 8, 9, 10, 11, 12, 13, 14] make it necessary to develop numerical tools that allow for the correct study and prediction of the phenomenon. In this paper, a constitutive law based on the continuum damage mechanics and dedicated to the study of High-Cycle Fatigue (HCF) processes is presented and used for the study of one of these failure scenarios. HCF is a specific typology of fatigue characterized by the large number of cycles that lead to failure ( $10^5 - 10^7$  cycles) and by the conditions at which it takes place. This fatigue process occurs without the presence of plastic deformations and failure takes place in a brittle manner, driven by the coalescence of internal pores [1, 15]. Therefore, the problem has been historically approached from the damage mechanics point of view in several ways [4, 16, 17].

The main objective of the undergone research has been to confront the presented HCF model towards real railway structures where fatigue degradation is detected, in particular it is devoted to study the degradation observed at the wheel-rail interaction region.

The paper is organized in several sections, starting by introducing in Sections 2 and 3 the problem that motivates the undergone research and the methodology applied, respectively. The degradation process, the effect over the rail components and the implications on the structure maintenance are presented in Section 2 while Section 3 is dedicated to review the numerical approach, pointing

30 out its main capabilities and introducing the latest developments with respect  
to the original constitutive model [1]. Section 4 focuses on the pre-processing  
operations that precede the simulations, i.e., the materials characterization is  
described paying special attention to their fatigue response and the built nu-  
merical models are presented including a description of the geometry, the finite  
35 element mesh used and how the train-induced loads are applied. The results  
obtained in the simulations are given in Section 5 and similarities are estab-  
lished between them and literature records. Finally, conclusions are drawn for  
the conducted research and the capabilities and the limitations of the applied  
methodology are stated in order to provide a full review and set the framework  
40 where reliable results can be obtained.

## 2. Problem statement

Fatigue problems associated to railway infrastructure have been numerous  
through the years and these actually control to a certain degree the mainte-  
nance operations at the rail level like grinding or ballast cleaning and replace-  
45 ment due to the unacceptable material degradation caused during the normal  
infrastructure operation [18]. This phenomenon is not exclusive of rails and it is  
convenient to distinguish between the regions where these fatigue problems are  
detected because, although the origin is similar in all the cases, the correspond-  
ing technical and economic consequences are different. Fatigue degradation is  
50 detected in vehicle components, mainly wheels but also engines, axles, body  
shells and suspensions, at subrail elements, including the fastening system, slip-  
pers and foundation, at surrounding infrastructure [19] and in rails, mostly on  
rail heads where contact with wheels takes place. There are many recent pub-  
lications devoted to the study of these fatigue processes, mainly studying the  
55 degradation at the train axles and rails [20, 21, 22, 23, 24, 25, 26, 27, 28], but  
also on the bogies, the chassis and the engine [29, 30, 31], indicating the rele-  
vance of the topic for the railway community. The current research focuses on  
the HCF degradation processes at rail level, where the proposed constitutive law

has been used to reproduce the fatigue developed at two points of the railway  
60 path: a straight section and a crossing of a high-speed track.

### 2.1. *Straight section*

Fatigue degradation on these regions can develop at different points of the  
rail depending on the underlying trigger [18, 32, 33]. Due to the improvements in  
the steel manufacturing process, the degradation induced by internal inclusion  
65 or hydrogen shrinkage defects is not an issue anymore and failure is more related  
now with fatigue evolution on railheads, i.e. the rolling area. The predominant  
phenomenon is known as rolling contact fatigue (RCF) [32, 34, 35] which is pro-  
duced by the normal wheel rolling along the rail. This means that the associated  
degradation can potentially appear at any point of the rail track although those  
70 areas with higher stress concentration, e.g. the inter-space between sleepers,  
sections in turns or welded sections, are the ones which concentrate the major  
part of the cases. This results in a superficial deterioration at the railhead level  
as shown in Figure 1, which can be solved through grinding operations if the  
problem is rapidly detected, otherwise the rail needs to be replaced.

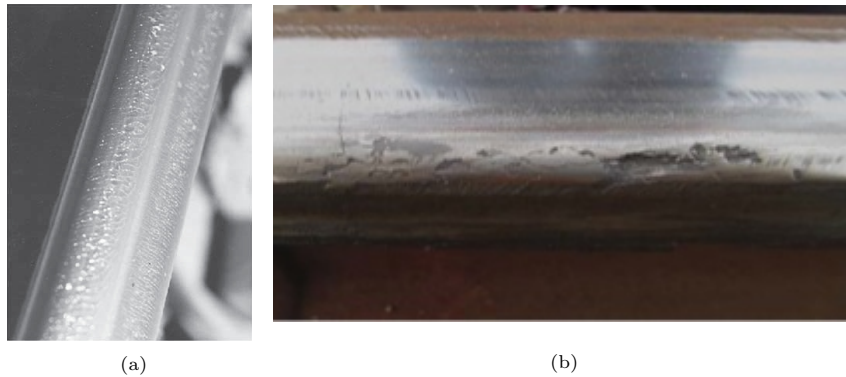


Figure 1: Rolling contact fatigue deterioration reported in bibliography: a) RCF microcracks reported by Kalousek [36] and b) fatigue degradation detected in the Medellín subway reported by Alarcón et al. [34].

75 *2.2. Crossing element*

Crossings are the elements of the railway system that allow switching the trains from one rail to another when required. These are composed by two parts: the first one controls the transition between tracks through switch units that redirect the train movement and the second part takes charge of accom-  
80 modating the train on its transition from one rail to the other. Ensuring the smoothness along this transition is not always possible and this can lead to the fatigue degradation of the metal piece that interacts with the train wheel, the so called frog nose. The deterioration here is similar to the one observed on the straight elements, i.e., a spalled region is formed along the frog nose being  
85 more important on those points where the first contact with the wheel takes place. Figure 2 shows the degradation experienced by a frog nose placed in the Puertollano high-speed railtrack in Spain.



Figure 2: Fatigue degradation detected at the frog nose element placed at the Puertollano line. a) General and b) detail views. Source: CIMNE.

The study undergone for the straight section and the crossing element in this paper focuses on the behaviour of the rail and the frog nose, respectively, while  
90 trains go through. Therefore, the corresponding numerical simulations intend to reproduce the superficial degradation patterns observed along the rail and exemplified on Figures 1 and 2. The spalling observed on the studied elements appears on these simulations as a progressive loss of the material strength and stiffness at those regions where fatigue degradation is predicted, driving the

95 material to a fully fatigued-damaged state with fully dissipated fracture energy. The studied scenarios have been selected for their simplicity compared with other areas of the railtrack where fatigue could appear as a second order effect. Therefore, the study here can be focused on the fatigue phenomenon without major interactions of other wearing processes. Finally, although at this stage  
100 some simplifications have been taken into account, e.g., nor thermal effects, nor plasticity, nor residual stresses, etc. have been taken into account, the present research can be considered as a starting point in the direction of building a tool for the advanced study of fatigue processes at rail level and it can be useful in the planning of the maintenance campaigns.

### 105 3. HCF constitutive law

The HCF constitutive law presented [1] is an extension of the isotropic damage model proposed by Oliver et al. [37]. The underlying model predicts the progressive degradation of the material strength and stiffness once a certain stress threshold is reached.

110 The isotropic damage model is based on the definition of the damage scalar variable  $d$ , which accounts for the stiffness degradation in the material with absence of plastic strains. Therefore, the constitutive response of a material is

$$\boldsymbol{\sigma} = m^0 \frac{\partial \Psi}{\partial \boldsymbol{\varepsilon}} = (1 - d) \mathbb{C}_0 : \boldsymbol{\varepsilon} = \underbrace{\mathbb{C}_0 : \boldsymbol{\varepsilon}}_{\text{predictive stress, } \boldsymbol{\sigma}_0} - \underbrace{d \cdot \mathbb{C}_0 : \boldsymbol{\varepsilon}}_{\text{damage correction}} \quad (1)$$

where  $\boldsymbol{\sigma}$  and  $\boldsymbol{\varepsilon}$  are the stress and strain tensors, respectively,  $\mathbb{C}_0$  is the undamaged constitutive tensor,  $m^0$  is the material density and  $\Psi$  is the free Helmholtz  
115 energy which can be formulated in the reference configuration as a function of strains and the damage internal variable [38].

$$\Psi(\boldsymbol{\varepsilon}, d) = (1 - d) \frac{1}{2m^0} (\boldsymbol{\varepsilon} : \mathbb{C}_0 : \boldsymbol{\varepsilon}) \quad (2)$$

This is a direct constitutive model where  $d$  is explicitly defined for each stress level and no iterative process is required during the calculation at the integration point level. The damage variable takes values  $[0 - 1]$  from intact to fully

120 damaged, remaining constant,  $\dot{d} = 0$ , while the yielding criterion is satisfied,  
i.e.,

$$\mathbb{F}(\boldsymbol{\sigma}_0) = f(\boldsymbol{\sigma}_0) - \mathcal{K} \leq 0 \quad (3)$$

where  $f(\boldsymbol{\sigma}_0)$  is the equivalent predictive stress and  $\mathcal{K}$  the material threshold function which is a historical variable of the maximum stress level reached on the material. The equivalent stress is a uniaxial measure of the tensorial stress state  
125 which depends on the yield surface chosen. For the purpose of this work, the Von Mises yield surface has been adopted for the steel elements and equivalent stresses are computed as

$$f(\boldsymbol{\sigma}_0) = \sqrt{3J_2} \quad (4)$$

where  $J_2$  is the second invariant of the stress deviator tensor [38, 39]. Therefore, the equivalent predictive stress is computed at each integration point of the  
130 structure from the predictive stress  $\boldsymbol{\sigma}_0$  and governs the response of the material along the simulation.

When Eq. (3) is not satisfied, the evolution of the damage internal variable is controlled through an exponential softening function [37, 40]

$$d = 1 - \frac{f^0(\boldsymbol{\sigma}_0)}{f(\boldsymbol{\sigma}_0)} \cdot \exp \left[ A \cdot \left( 1 - \frac{f(\boldsymbol{\sigma}_0)}{f^0(\boldsymbol{\sigma}_0)} \right) \right] \quad (5)$$

where  $f^0(\boldsymbol{\sigma}_0) = \mathcal{K}^0$  is the initial equivalent stress threshold and  $A$ ,

$$A = \left( \frac{g_f \cdot E}{(f^0(\boldsymbol{\sigma}_0))^2} - \frac{1}{2} \right)^{-1} \geq 0 \quad (6)$$

135 is a parameter that guarantees that the available energy for the non-linear process at each Gauss point is equal to the given volumetric fracture energy of the material,  $g_f = \frac{G_f}{l_c} = \int_{t=0}^{\infty} \Psi_0 \dot{d} dt$ , where  $E$  is the Young's modulus,  $G_f$  is the fracture energy,  $l_c$  is the characteristic length of the finite element and  $\Psi_0 = \frac{f^0(\boldsymbol{\sigma}_0)}{2E}$  is the free energy. Figure 3 schematically reflects the main features of this constitutive law in a uniaxial stress-strain chart.  
140



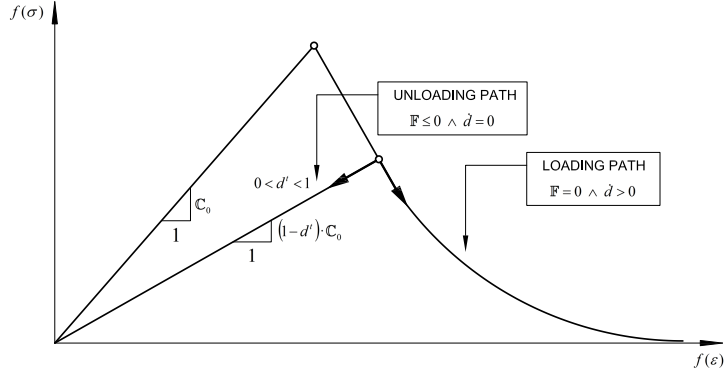


Figure 3: Uniaxial strain-stress scheme of the isotropic damage model.

The absence of plastic strains in HCF processes [1, 15] is the key point in deciding to use the isotropic damage model as the underlying model in the proposed HCF constitutive law. The main modification introduced by this routine affects the isotropic damage yielding criterion definition (Eq. (3)), which

145 is now

$$\mathbb{F}(\boldsymbol{\sigma}_0) = \frac{f(\boldsymbol{\sigma}_0)}{f_{red}(N_c, R, S_{max})} - \mathcal{K} \leq 0 \quad (7)$$

where  $f_{red}$  is the so called *fatigue reduction factor* and ranges from 1 to 0. This parameter takes into account the effect of the acting cyclic load and, consequently, amplifies the stress state depending on the number of cycles applied,  $N_c$ , the reversion factor,  $R = \frac{S_{min}}{S_{max}}$ , and the maximum stress generated by the applied load,  $S_{max}$ . The number of cycles,  $N_c$ , along the simulation is updated based on the evolution of the equivalent stresses at the integration point level, i.e., when maximum and minimum values of the stress are detected this indicates that a new cycle has overcome. Therefore,  $S_{max}$ ,  $S_{min}$  and  $R$  variables are updated at each new cycle, if necessary.

155 Figure 4 compares the performance of the HCF constitutive law assuming that a value of  $f_{red} = 0.8$  has been reached in the material with the isotropic damage prediction.

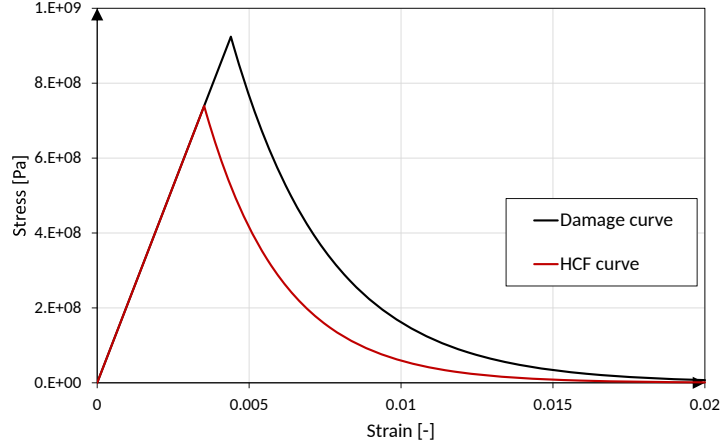


Figure 4: Behaviour at a Gauss Point level with mechanical properties  $f^0(\sigma_0) = 924MPa$ ,  $E = 210GPa$  and  $g_f = 5MJ/m^3$  and fatigue reduction factor,  $f_{red} = 0.8$ .

This example helps to understand the immediate effects of the HCF model over the material behaviour. On one hand, the damage threshold diminishes to a new value  $\mathcal{K}^* = \mathcal{K} \cdot f_{red}$  ensuring that non-linear processes start below the original yield threshold and, on the other hand, the fracture energy is dissipated not only through the damage process but also through the fatigue mechanism. This is captured in Figure 4 as a variation of the enclosed areas at the stress-strain curves,  $w_{max}$ , i.e.,

$$\begin{aligned} \text{Damage: } w_{max} &= g_f \\ \text{HCF: } w_{max} &= g_f \cdot f_{red}^2 \end{aligned} \quad (8)$$

A complete study with the mathematical derivation of the fracture energy dissipated in the HCF process is included in Appendix A.

The definition of the  $f_{red}$  factor in the model is done in such a way that it captures the information provided by the widely used S-N curves [41], i.e. predicts the progressive degradation of the material while a cyclic load is applied, resulting in the material failure. In general, this failure condition is materialized accompanied by a rapid evolution of the damage internal variable,  $d$ . Mathematically, this is achieved in this work through an exponential function of the

form

$$f_{red}(N_c, R, S_{max}) = \exp \left\{ -B_0(R, S_{max}) \cdot (\log_{10} N_c)^{\beta_f^2} \right\} \quad (9)$$

being

$$B_0(R, S_{max}) = \frac{\ln(S_{max}/S_u)}{(\log_{10} N_f)^{\beta_f^2}} \quad (10)$$

175 a coefficient of the model,  $N_f$  the number of cycles to reach failure conditions,  $S_u$  the ultimate strength of the virgin material and  $\beta_f$  a material property to be set according to the S-N curves. Therefore, when a cyclic load induces stresses greater than the fatigue limit and below the yield stress, the proposed approach predicts nonlinearities at the corresponding integration points coming from two  
180 sources: a first one that induces gradual strength and fracture energy reduction due to the evolution of  $f_{red}$  variable and a second one, triggered by the first one, once  $N_c = N_f$ , where damage propagates and the stiffness reduces. In general, the first non-linear process concentrates the major part of the cycles of the material life while the second non-linear process is rapid and failure takes  
185 place soon due to the brittleness of the material at that point. Both of them constitute the whole HCF life and the propagation of this process throughout the numerical model characterizes the degradation mechanism that control the global failure of the studied element.

As stated before, the definition of the  $f_{red}$  variable has been done according  
190 to the S-N curves which can be fitted in a surface of the type [1]

$$S(R, N_c) = S_{th}(R) + (S_u - S_{th}(R)) \cdot \exp \left( -\alpha_t(R) \cdot (\log_{10} N_c)^{\beta_f} \right) \quad (11)$$

where  $S_{th}(R)$  is the fatigue limit function

$$S_{th}(R) : \begin{cases} |R| \leq 1 \Rightarrow S_{th}(R) = S_{th}(R = -1) + (S_u - S_e) \cdot \left( \frac{1+R}{2} \right)^{S_{th,R1}} \\ |R| > 1 \Rightarrow S_{th}(R) = S_{th}(R = -1) + (S_u - S_e) \cdot \left( \frac{1+R}{2R} \right)^{S_{th,R2}} \end{cases} \quad (12)$$

that establishes the minimum stress value below which the cyclic load being applied does not induce any fatigue effect in the material, i.e.,  $\dot{f}_{red} = 0$ ,  $\alpha_t(R)$

is a parameter that depends on the cyclic load being applied

$$\alpha_t(R) : \begin{cases} |R| \leq 1 \Rightarrow \alpha_t(R) = \alpha_f + \left(\frac{1+R}{2}\right) \cdot \text{AUX R1} \\ |R| > 1 \Rightarrow \alpha_t(R) = \alpha_f - \left(\frac{1+R}{2R}\right) \cdot \text{AUX R2} \end{cases} \quad (13)$$

195 and  $S_{th,R1}$ ,  $S_{th,R2}$ ,  $\alpha_f$ , AUX R1 and AUX R2 are material properties to be calibrated according to the S-N curves.

Through this description of the S-N space, an expression for the  $N_f$  function can be obtained, imposing that for any load the  $f_{red}$  function and the normalized expression of the S-N curves,  $S(R, N_c)/S_u$  are equal, i.e.,

$$\left. \begin{aligned} f_{red}(N_f, R, S_{max}) &= \exp \left\{ -\frac{\ln(1/R) \cdot (\log_{10} N_f)^{\beta_f^2}}{(\log_{10} N_f)^{\beta_f^2}} \right\} \\ \frac{S(R, N_f)}{S_u} &= \frac{S_{th}(R)}{S_u} + \left(1 - \frac{S_{th}(R)}{S_u}\right) \cdot \exp \left(-\alpha_t(R) \cdot (\log_{10} N_f)^{\beta_f}\right) \end{aligned} \right\} \Rightarrow \\ \Rightarrow N_f(R, S_{max}) &= 10^{-\frac{1}{\beta_f \cdot \alpha_t(R)} \cdot \ln \left(\frac{S_{max} - S_{th}(R)}{S_u - S_{th}(R)}\right)} \quad (14)$$

200 Therefore, the derived fatigue formulation predicts the material behaviour while the cyclic load is applied and its use requires the calibration of 4 to 6 parameters, i.e.,  $\alpha_f$ ,  $\beta_f$ ,  $S_{th,R1}$  and/or  $S_{th,R2}$  and AUX R1 and/or AUX R2. Mathematical meaning can be given to these parameters through Eqs. 9 to 13;  $\alpha_f$  and  $\beta_f$  fully characterize the S-N curve for  $R = -1.0$  while  $S_{th,Ri}$  and AUX Ri with  $i = 1, 2$  205 define the S-N surface in the remaining  $R$  domain. In particular,  $S_{th,Ri}$  defines the fatigue limit function, i.e., the slice of the S-N surface for high  $N_c$  values.

An approach based on the native fitting functions available in Matlab [42] is used for the calibration of these parameters. These functions are fed with experimental S-N data to fit the mathematical description of the Wöhler surface 210 stated in Eq. 11. The accuracy of this fitting process depends on the amount of available experimental results, being important to have data for different  $R$  and  $S_{max}$  values, covering the whole surface domain. In addition to that, as the underlying constitutive law is dedicated to HCF analysis, experimental data obtained for that regime should be weighted to ensure a better fitting

215 there. Finally, in those scenarios where the amount of experimental data is  
not sufficient for a good calibration in all the domain, e.g., only values for  
one particular  $R$  are available, the calibrated parameters can still be used to  
simulate fatigue processes on the surrounding regions of these *well-fitted* areas.  
The results of the calibration process done for the materials of interest are shown  
220 in Section 4.

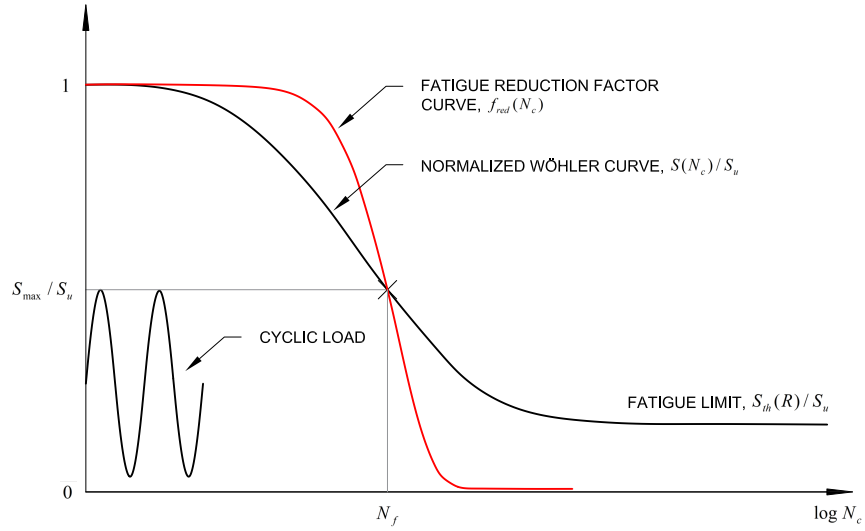


Figure 5: Normalized Wöhler curve and  $f_{red}$  evolution for a generic material and a generic load being applied.

Figure 5 helps to understand the relation between the  $f_{red}$  and the normalized Wöhler curve functions showing their evolution with respect to the number of cycles,  $N_c$ . The normalized S-N curve is generic for the reversion factor,  $R$ , of the cyclic load being applied but the  $f_{red}$  curve plotted is only valid for the  
225  $S_{max}$  level considered. From this sketch, the following relations are deduced

$$\begin{aligned}
N_c < N_f &\Rightarrow f_{red}(N_c, R, S_{max}) > S(R, N_c)/S_u && \text{Fatigue regime} \\
N_c \geq N_f &\Rightarrow f_{red}(N_c, R, S_{max}) \leq S(R, N_c)/S_u && \text{Fatigue+damage regime}
\end{aligned}
\tag{15}$$

which can be extrapolated to the whole reversion factor space.

Finally, this constitutive model takes advantage of the information used to

characterize the fatigue behaviour of metals, i.e., the S-N curves, and predicts the material behaviour during its life before and after reaching  $N_f$ , accommodating if necessary any change in the load that is being applied.

The use of this approach is destined to HCF cases where no plastic deformations are expected but where a high amount of cycles are required before reaching failure conditions ( $10^5 - 10^7$  cycles). This induces high computational costs and prevents the use of this model even for small cases. In order to overcome this issue, the constitutive law works together with an advance in time (AIT) strategy that allows to quickly skip load cycles before and after damage initiation (see Algorithm 1). By using this strategy, the computational costs significantly reduce and the calculation attention is redirected to the non-linear process. The strategy has been updated since its presentation in Barbu et al. [15] by including new stabilization and jump criteria that control the AIT calculation and by adapting it to allow multi-loaded cases where various cyclic loads are applied, defining independent cycle and period counters per integration point. In addition to this, the efficiency has been improved by parallelizing not only the AIT strategy but the whole HCF constitutive law using the OpenMP application.

---

**Algorithm 1:** Advance In Time (AIT) strategy - basic layout

---

```
// Calculation at Gauss Point level,  $i$ .  
 $\eta_{1,i} = \left| \frac{R^{i+1} - R^i}{R^{i+1}} \right|$  // Reversion factor stabilization norm  
 $\eta_{2,i} = \left| \frac{S_{max}^{i+1} - S_{max}^i}{S_{max}^{i+1}} \right|$  // Maximum stress stabilization norm  
if ( $d_i > 0$ ) then  
    | damage = true // Non-linear process has started at any GP  
end  
 $NF_i = N_{f,i} - N_{c,i}$  // Cycles to failure  
// Loop for the elements.  
if ( $\sum_i \eta_{1,i} < tol. \wedge \sum_i \eta_{2,i} < tol.$ ) then  
    | // Stable conditions reached (AIT strategy ON).  
    | if damage == false then  
    |     |  $AIT = \min\{NF_i\} \cdot period$  // Skipping the linear phase  
    | else  
    |     |  $AIT \sim f(\Delta d^*)$  //  $\Delta d^*$  is a user defined variable that  
    |         | controls the advance in time once damage has  
    |         | initiated at any GP (see Appendix B).  
    | end  
    | else  
    |     | // Unstable conditions (AIT strategy OFF)  
    |     |  $AIT = 0$   
    | end  
    |  $time = time + AIT$  // Updating simulation time
```

---

This constitutive law is used in this paper to characterize the behaviour of two types of steels: the pearlitic Grade 900A steel and the Hadfield steel. Pearlitic steels are used in the railway industry due to their high strength, high wear resistance and low cost [43, 44, 45]. In particular, 900A steel is characterized by a high fatigue resistance with a high fatigue limit but a poor fatigue crack growth resistance [43]. This material is used in the construction

of UIC 60 profiles which are used in those tracks with speed limits  $> 160km/h$ , including high-speed rail systems [46]. On the other hand, Hadfield steels are alloys with a high content of manganese. This component provides them with high impact strength [47, 48] and thus these steels have been traditionally used in railway crossings.

#### 4. Computational model and materials characterization

The analysis of the two regions described in Section 2 has been done through the Finite Element Method (FEM). Two models have been created in order to study the HCF effects that the continuous traffic circulation has over the rails. The geometries and the finite element meshes have been created using the pre and post-processor tool GiD [49] and the calculations have been performed using the open-source code Kratos Multi-physics [50] where the HCF algorithm presented in Section 3 has been implemented.

##### 4.1. Straight section

The straight section study has been undergone building the  $3.1m$  long railway straight section shown in Figure 6. The model includes the main elements of a standard high-speed railway track, i.e., the rail, the elastomeric pads, the ties, the ballast and the main sub-structure ground layers. The rail profile corresponds to a UIC 60 defined in the EN 13674-1:2002 [51] and a detailed view of the modelled rail is shown in Figure 7.

The model has been constrained according to the confining conditions of the surrounding soil, i.e., limiting the displacements on the bottom of the subsoil material and on the four sides of the ballast and ground layers, and the same hypothesis has been considered to the rail, where the longitudinal displacement has been blocked on both ends. These boundary conditions are sufficient for the undergone analysis considering the localized effect that the applied loads introduce in the model and because of the assumed simplifications (see Section 2).



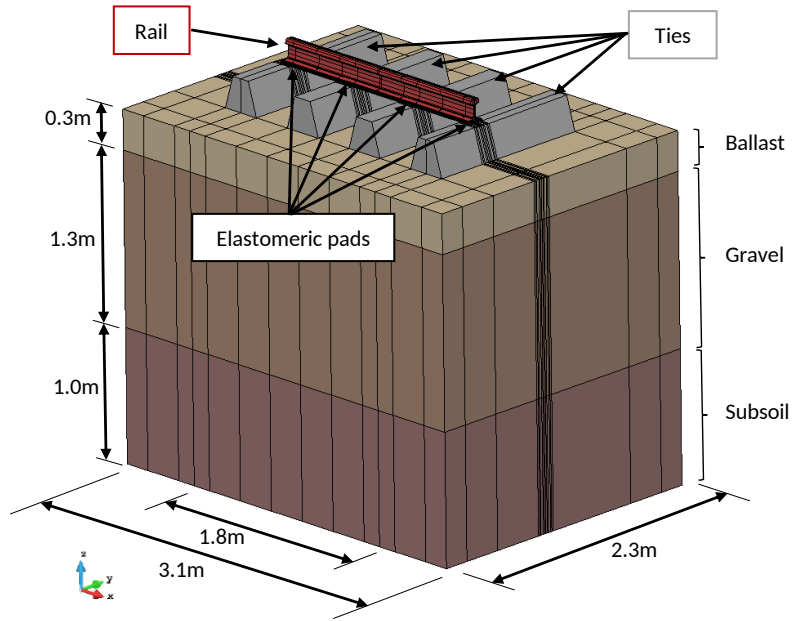


Figure 6: Numerical model of the straight railway section. Main elements and dimensions.

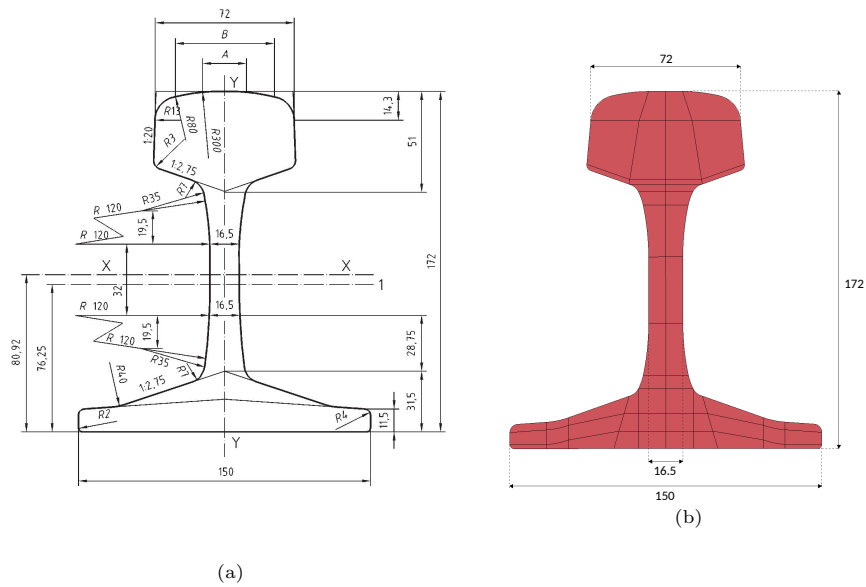


Figure 7: UIC 60 profile view, a) EN standard scheme [51] and b) model detail. Units in [mm].

The mechanical properties used in the simulation to characterize the behaviour of the straight section model are included in Table 1. These basic properties characterize the elastic response of the structure. This has been considered sufficient for all the elements of the structure except for the rail, where considerably higher stresses are concentrated.

Table 1: Mechanical properties of the materials included in the straight section model.

Element	Density [ $kg/m^3$ ]	Young modulus [MPa]	Poisson
<i>Rail UIC60</i>	7850	210000	0.32
<i>Elastomeric pad</i>	1200	100	0.45
<i>Concrete tie</i>	2200	25000	0.32
<i>Ballast</i>	1390	300	0.27
<i>Gravel</i>	1390	280	0.27
<i>Subsoil</i>	1390	2750	0.27

285

Therefore, these properties have been complemented with the fatigue characterization done for the pearlitic Grade 900A steel used in the rail. This calibration of the non-linear response has been done by adjusting the control variables of the model to experimental results, as explained in Section 3. For this material, the  $R = 0.1$  tensile fatigue tests undergone by Christodoulou et al. [43] have been used. The samples tested there were obtained from rail heads in unused conditions and thus the properties exhibited by the material are suitable for the study of the rail fatigue behaviour. Despite this, the effect that the maintenance operations introduce in the rail along its life [52, 53] are not reflected in these original properties and have not been considered in the undergone study.

295

Results of the calibration process are summarized in Table 2 and Figure 8 where the values of the HCF constitutive law control variables and the S-N curves for the reversion factor spectra  $R \in [0 - 1]$  are shown. Considering that the fitting has been done using only values corresponding to  $R = 0.1$ , the use of this calibrated material should be limited to fatigue cases where the reversion factor of the applied cyclic loads is close to the experimental one. This condition is satisfied in the undergone analysis as the oscillating load applied in

300

the straight section model has  $R = 0.0$ .

Table 2: Pearlitic Grade 900A steel fatigue characterization. HCF model variables for the spectra  $R \in [-1; 1]$ .

Variable	Value
$S_u$	924 MPa
$S_{th}(R = -1)$	369.1 MPa
$\alpha_f$	0.0068
$\beta_f$	3.20
$S_{th,R1}$	2.00
$AUX R1$	0.01

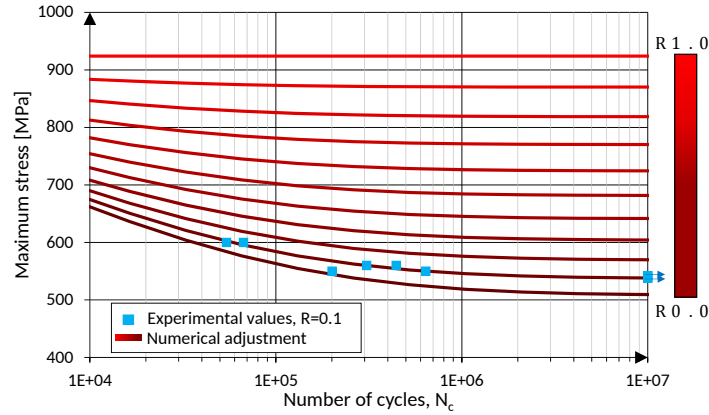


Figure 8: Fatigue calibration of the pearlitic Grade 900A steel according to the experimental results obtained by Christodoulou et al. [43] for  $R = 0.1$ . Run-outs points are highlighted with arrows.

305 The mechanical rolling over effect of the train is considered by imposing  
a cyclic vertical displacement at the mid-span of the space between ties where  
maximum stresses are generated. This displacement has been introduced through  
the model of a train wheel as shown in Figure 9 in order to guarantee the correct  
force distribution over the rail during the simulation. Other actions like thermal  
310 or chemical effects are out of the scope of this research and only a displacement  
which generates a stress state compatible with fatigue is being considered.

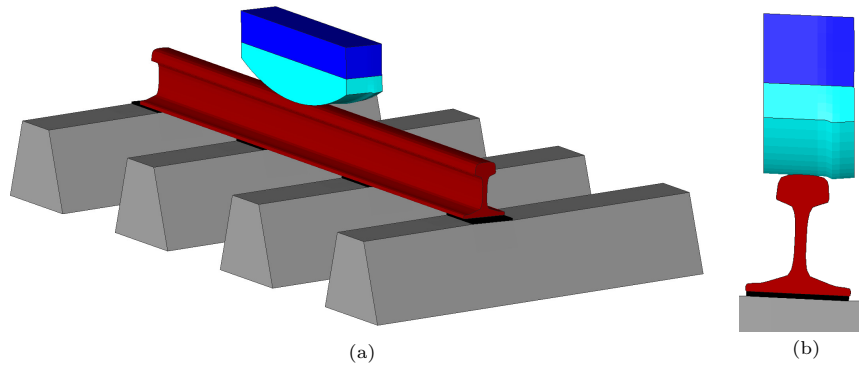


Figure 9: Load application at the straight section model: a) general view and b) section view.

Finally, the finite element mesh of the model has been built using 8-nodded hexahedral elements. Higher mesh density has been provided to the rail element, particularly to the region between ties where the imposed displacement is applied as this is the region where fatigue deterioration is expected. Figure 10 shows this refinement, where 71,400 elements of the total of 142,309 hexahedra are concentrated.

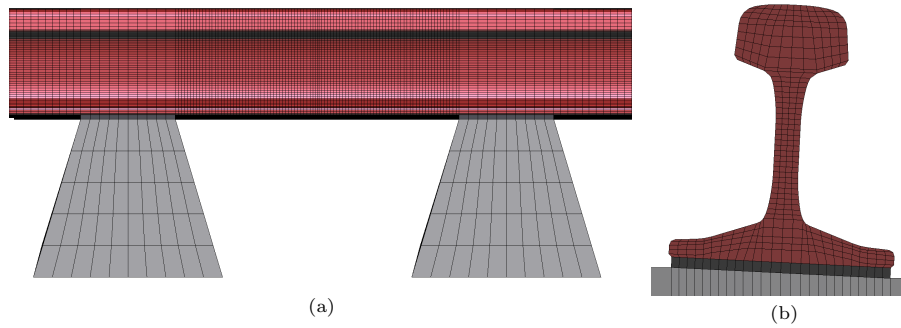


Figure 10: Finite element mesh detail of the rail element, a) elevation view of the refined region between ties where the displacement is imposed and b) section view.

#### 4.2. Crossing element

The crossing element study has been undergone building a  $2.1m \times 2.0m$  model which focuses on the frog nose where fatigue degradation is studied. The model includes the main elements of the crossing system, i.e., elastomeric pads, concrete ties and a  $0.3m$  ballast layer as shown in Figure 11. No sub-ballast

soil layers have been considered for this simulation due to the high localization of the phenomenon as will be seen on the results section. The dimensions of all the elements have been adjusted to the Puertollano line crossing element shown in Figure 2.

The corresponding constrictions have been set according to the confining conditions as in the straight section model however, only the downstream end of the frog nose has its longitudinal displacement blocked, while the tip is free. These boundary conditions are again sufficient for the crossing element model due to the localization of the applied loads and because of the assumed simplifications (see Section 2).

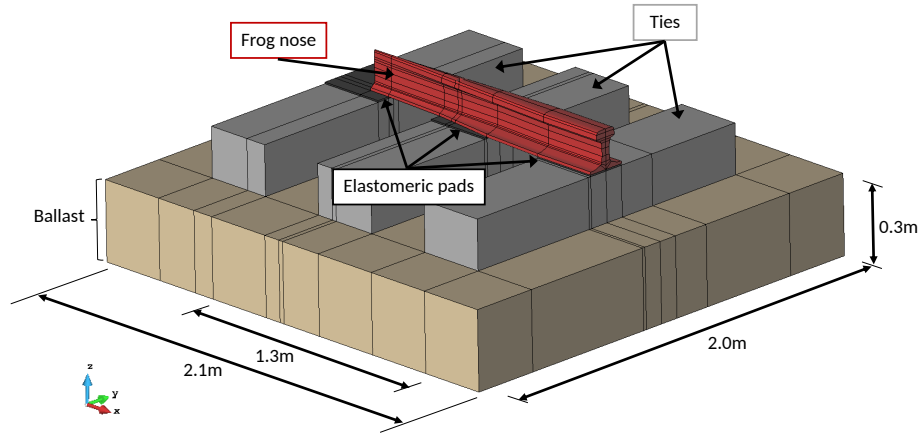


Figure 11: Crossing element numerical model. Main parts and dimensions

The same basic mechanical properties used for the straight section model and shown in Table 1 are used to characterize the materials of the crossing element model and a new calibration of the HCF constitutive law has been done for the manganese steel present in the frog nose. The  $R = 0.1$  bending fatigue results obtained by Kang et al. [48] have been used to do this fatigue characterization, where the differences between tension and bending fatigue [54, 55] have been taken into account by defining a  $R = 0.1$  S-N curve which predicts earlier failure than the ones obtained through the bending experiments. Results of this calibration process are summarized in Table 3 and Figure 12 where the

values of the HCF constitutive law control variables and the S-N curves for the reversion factor spectra  $R \in [0 - 1]$  are shown. As for the straight section case, the use of this calibrated material should be limited to fatigue cases where the applied cyclic loads have reversion factors close to the experimental one, i.e.,  $R = 0.1$ . This condition is satisfied in the undergone analysis as the oscillating load considered in the frog nose model has  $R = 0.0$ .

Table 3: Hadfield steel fatigue characterization. HCF model variables for the spectra  $R \in [-1; 1]$ .

Variable	Value
Density	7850 kg/m <sup>3</sup>
Young modulus	210000 MPa
Poisson	0.30
$S_u$	1100 MPa
$S_{th}(R = -1)$	300 MPa
$\alpha_f$	0.0008
$\beta_f$	4.46
$S_{th,R1}$	1.58
$AUX R1$	-0.00032

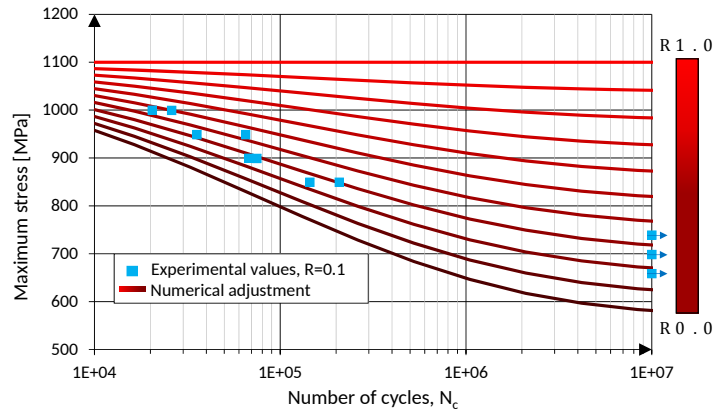


Figure 12: Fatigue calibration of the Hadfield steel used for the frog nose according to the experimental results obtained by Kang et al. [48] for  $R = 0.1$ . Run-outs points are highlighted with arrows.

The interaction between the wheel and the frog nose has proven to take

place at a distance of  $0.47m$  from the tip and it is at this point where the  
350 cyclic action has been applied. The application of this external displacement  
has been performed analogously to the straight section, as shown in Figure 13,  
and neither thermal nor chemical effects have been considered in this case.

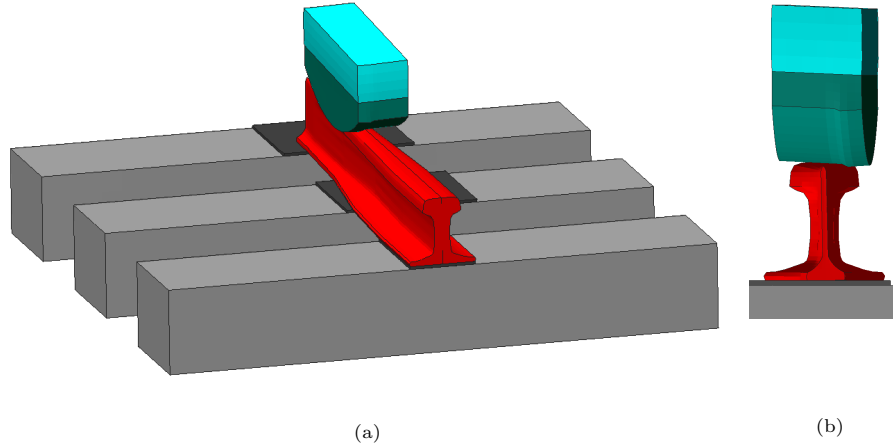


Figure 13: Load application at the crossing element model: a) general view and b) section view.

Finally, the finite element mesh of the model has been build using 8-nodded  
hexahedral elements. Higher mesh density has been provided to the frog nose  
355 element where fatigue study is intended, particularly to the section located at  
 $0.47m$  from the tip where the wheel-rail interaction takes place. Figure 10 shows  
this refined region, where 47,648 elements of the total of 82,686 hexahedra are  
concentrated.

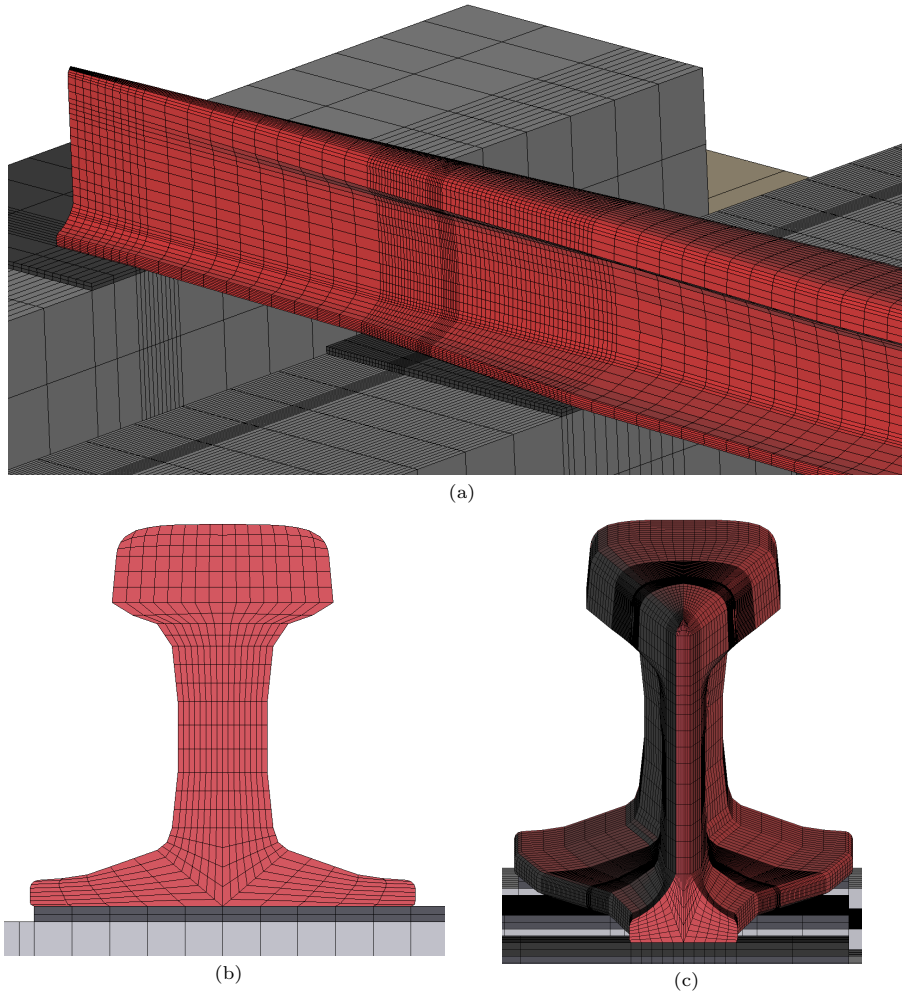


Figure 14: Finite element mesh detail of the frog nose, a) general of the frog nose refined region where the displacement is imposed, b) section view and c) frontal view of the frog nose finite element mesh.

## 5. Results

360 In this section the results obtained for the previous scenarios are presented. A preliminary control case has been included where one of the tensile experiments used on the fatigue characterization of the pearlitic steel is reproduced. The main features of the HCF constitutive law are reviewed through this ini-



365 tial case where result are already known. Figure 15 shows the model built and an overall view of the finite element mesh used. Displacements in one end of the sample have been blocked while on the opposite end a cyclic displacement has been imposed to reproduce the experiment conditions of a normalized axial fatigue test according to ASTM E466 [56].

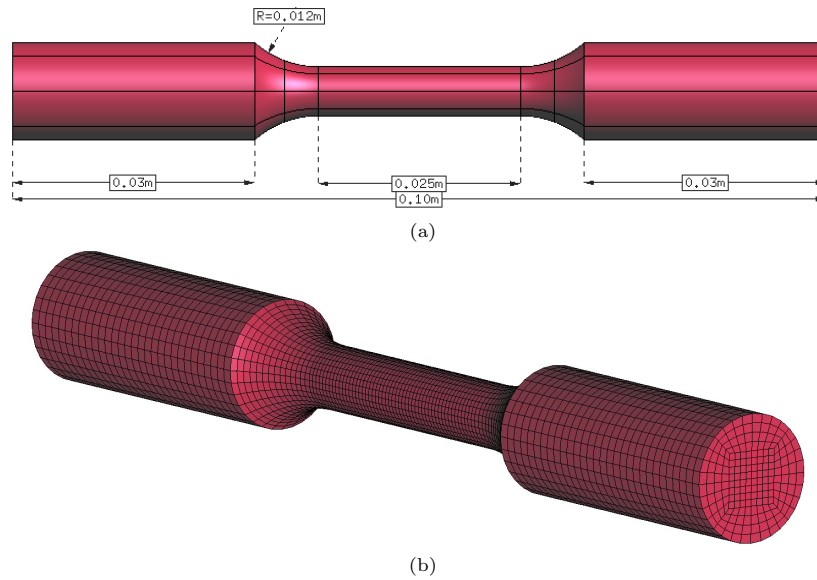


Figure 15: Model of the samples used in the tensile fatigue experiments undergone by Christodoulou et al. [43]: a) geometry with main dimensions and b) finite element mesh made of 29,051 8-nodded hexahedra.

On this simulation stresses oscillate between 55.9 – 559MPa. For this stress  
 370 rate, damage initiates after 318,663 cycles and rapidly evolves and propagates. Damage is triggered by the decrease of the  $f_{red}$  variable on those areas where stress exceeds the fatigue limit. This *susceptible to fatigue* region extends throughout the entire narrowed section as shown in Figure 16, however, two rings showing higher degradation level are recognizable and set the starting  
 375 point for damage propagation.

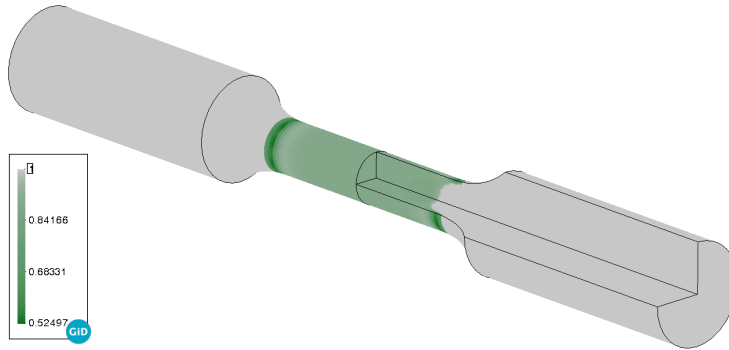


Figure 16: Fatigue reduction factor distribution on the sample when damage is about to start,  $N_C = 318,663$ .

The fracture energy exhaustion caused by the fatigue process generates a fragile fracture, which induces a rapid evolution and propagation of the damage internal variable, as shown in Figure 17. Damage propagates symmetrically on the sample, starting superficially but evolving to the centre and finally creating a full damaged plane across the sample after 1,026 cycles. Note that these  
 380 ing a full damaged plane across the sample after 1,026 cycles. Note that these 318 – 319k cycles to failure are on the same range that the ones obtained experimentally and shown in Figure 8 when a  $R = 0.1$  cyclic load with maximum stress  $\simeq 560\text{MPa}$  is applied.

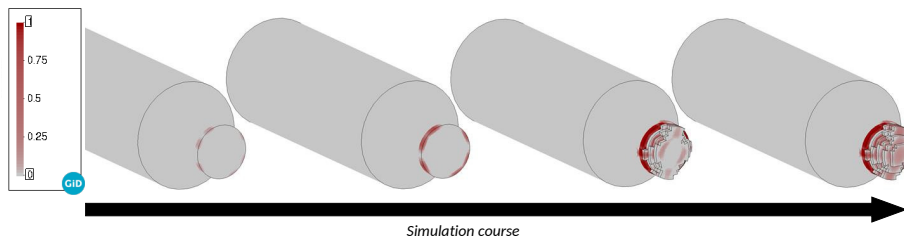


Figure 17: Damage internal variable,  $d$ , evolution along the simulation, from  $N_C = 318,663$  to 319,689.

Now the results for the straight section and the crossing element are presented. The introduced action is an oscillating vertical displacement ( $R = 0.0$ )  
 385 sented. The introduced action is an oscillating vertical displacement ( $R = 0.0$ ) at the rail and the frog nose heads, as stated previously. The way that this external action has been introduced reproduces the physics of the problem but

the magnitude imposed has been defined pursuing the main objective of the paper, i.e., ensuring the fatigue degradation of the structure and studying it  
 390 through the HCF constitutive law presented.

Firstly, the resultant tensile stress distribution obtained by the applied load is presented for both scenarios. These stresses are the ones that induce fatigue on the structure if they surpass the corresponding fatigue limit,  $S_{th}$ , and are pointed out in Figure 18. Some of these areas match with the affected regions  
 395 gathered in literature [33, 34], e.g., the surroundings of the region in contact with the train wheel, the bottom of the rail head or the union between the web and the foot. This fact points out the suitability of the built cases to study the phenomenon of interest.

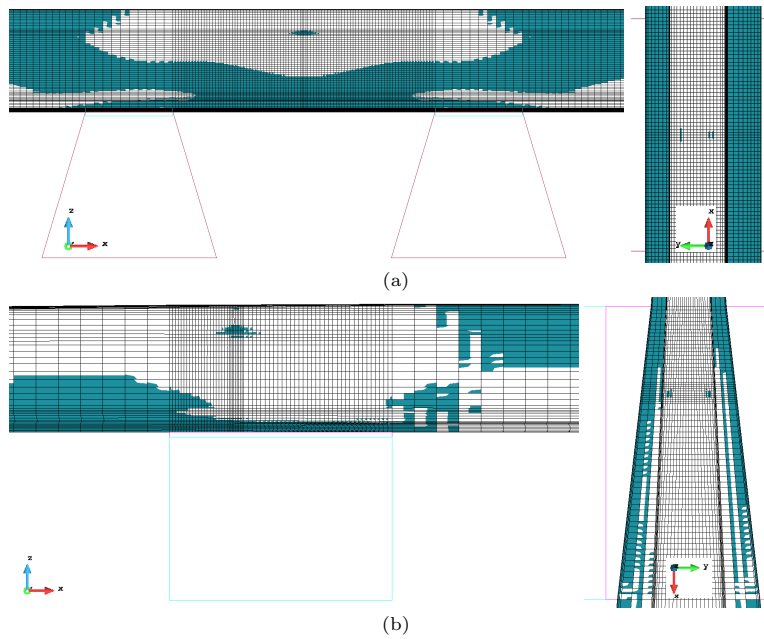


Figure 18: Rail regions working under tension in a) the straight section model and b) the crossing element model.

Maximum stresses are observed on the rail head surface of both models,  
 400 which will lead to superficial damage as the one shown in Figures 1 and 2. The equivalent stress distribution in this area is complex; it is characterized by

a noticeable compressed bulb as consequence of the external load that rapidly dissipates and in the vicinity of this area, small tensed regions appear induced by the shear components of the stress. This behaviour can be observed in Figure 19 where equivalent stresses are plotted for the instant when displacement is maximum in absolute value. The maximum tensile stress obtained in each case surpasses the corresponding fatigue limit, i.e.,  $S_{th}(R = 0) = 508.20\text{MPa}$  in the pearlitic steel and  $S_{th}(R = 0) = 568.51\text{MPa}$  in the manganese steel, but this is exclusive of these superior regions where fatigue degradation will concentrate. Despite this, this can change once damage starts and redistribution on the stress field takes place, potentially creating new fatigued areas.

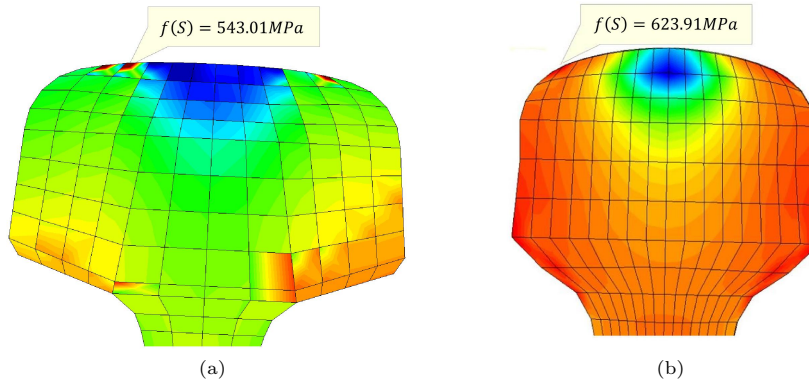


Figure 19: Equivalent stress distribution on for the instant when maximum load is being applied a) on the rail head of the straight section model and b) on the frog nose head.

Figure 20 shows the damage distribution on the straight section and the crossing element models after 264, 408 and 2, 562, 626 cycles, respectively. Beyond this point the degradation stabilizes for the current cases. Despite the simplifications considered for these simulations, the type of degradation observed can explain some of the deterioration patterns registered in literature, reflecting the potential of the applied formulation for the study of the HCF phenomenon.

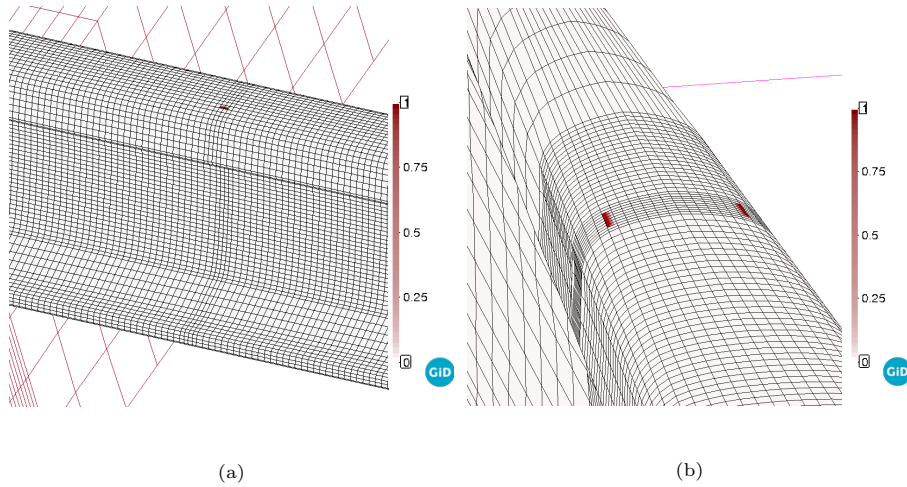


Figure 20: Damage internal variable distribution by the end of the simulation on a) the straight section model and b) the crossing element model.

Finally, the behaviour of the model can be checked by plotting the evolution  
 420 of the problem variables along the simulations as shown in Figure 21. These  
 charts are built using the information from the most stressed integration point  
 in each model. The stress curve intersects the S-N curve at a point quite close to  
 the corresponding fatigue limits, which explains the small degradation observed  
 in Figure 20. Obtaining a generalized fatigued area through the simulation  
 425 would be possible when higher loads take place or by considering the passing-  
 through effect of the train along the rail. Despite this, damage initiation and its  
 rapid evolution due to the fracture energy dissipation motivated by the cyclic  
 load, can be observed in both scenarios once the S-N curve is reached, i.e., after  
 the corresponding  $N_f$  cycles computed as a function of the maximum stress,  
 430  $S_{max}$  and the reversion factor,  $R$ , as stated in Section 3. The swap between the  
 normal advancing mode and the AIT strategy is also noticeable in both cases,  
 allowing to take the problem right to the beginning of the damage non-linearities  
 after only 3 cycles. This reflects the amount of computational-time saved by  
 the use of this technology.

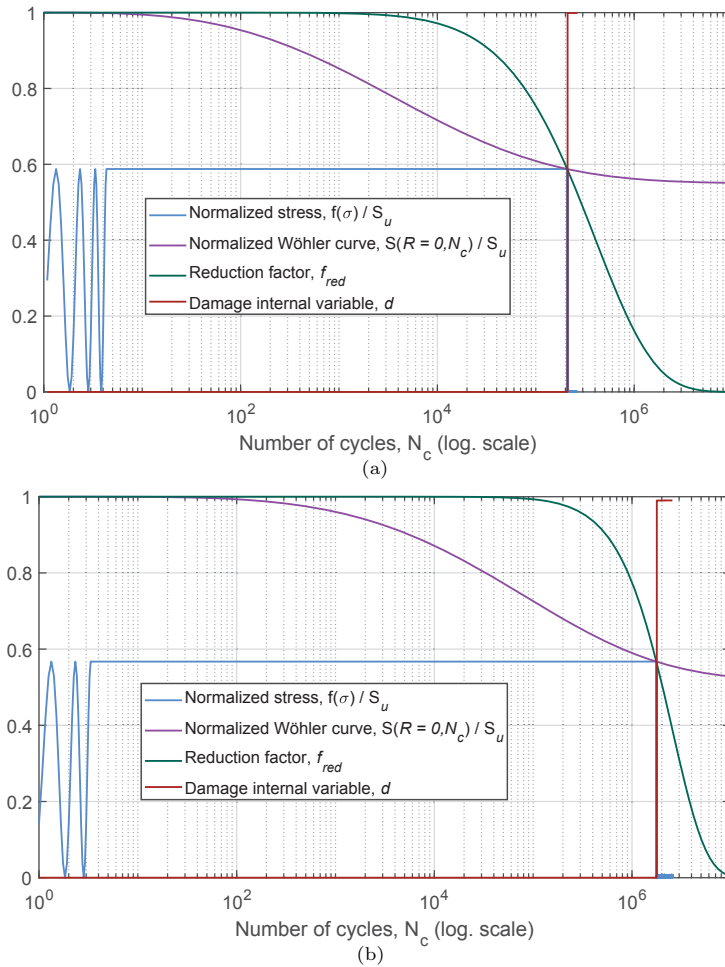


Figure 21: Evolution of the internal variables of the problem on a) the straight section model and b) the crossing element model.

435 **6. Conclusions**

In this research, an isotropic damage based HCF constitutive law has been presented and its performance has been shown through several examples. Although the approach was introduced on previous publications, latest improvements as well as newly relevant demonstrations for the constitutive law are presented. Among others, the code parallelization, the enhancement of the *AIT* strategy and the quantification of the dissipated fracture energy along with the

fatigue process are introduced in the document. In addition to that, the application of the methodology into the railway field has been studied for the first time and the arisen strengths and weakness can be pointed out.

445 Even though several simplifications have been considered for the studied problems, interesting results have been obtained which highlight the main features of the applied methodology. A complete study of the fatigue phenomenon historically observed on railway industry should include among others: plastic behaviour of materials, residual stresses at rails, thermal actions, stochastic imperfections distribution on materials that are responsible of some specific failures, time effects like delayed settlements induced by ballast degradation, effect of the aluminothermic welds on rails, etc. which were out of the scope of the undergone research. On one hand, some of these can be indirectly addressed through the used approach but a wider material characterization would be then  
450 required. This is the case of these phenomena that induce a preexisting quantifiable degradation in the material and reduce their fatigue performance, like the presence of residual stresses. On the other hand, the inclusion of other phenomena necessary depends on the extension of the presented approach which constitutes future lines of work. These include: switching from a deterministic to a stochastic approach in the fatigue analysis by affecting the calibration  
455 process followed in the HCF characterization, or extending the methodology to address coupled thermo-mechanical problems which will increase the added value of the presented methodology on the study of fatigue phenomenon for railway elements.

465 Despite this, at this moment the model is appropriate for the study of a large number of scenarios governed by the HCF phenomenon, allowing to efficiently predict the initiation and propagation of the damage on the model while loads are applied, independently of their typology, i.e., uniform or cyclic and the uniformity, i.e.,  $R \neq const$ . Using the presented approach, the rail regions reported in literature as susceptible to fatigue degradation have been identified,  
470 the degradation observed at the rail head and the frog nose has been simulated and the differed material degradation and the brittle fracture that characterize

the physics of the problem have been captured.

## 7. Acknowledgments

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## 480 Appendix A. Fracture energy dissipation in the HCF process

Here it is derived the expression for the variation of the available fracture energy when the HCF constitutive law is used. The expression for the total dissipated energy in the isotropic damage constitutive law [38] is

$$w_t^{max} = \int_{t=0}^{\infty} \Xi dt = \int_{t=0}^{\infty} \Psi_0 \dot{d} dt = \int_{\bar{\sigma}^0}^{\bar{\sigma}^{max}} \underbrace{\frac{[\bar{\sigma}]^2}{2 \cdot E}}_{\text{free energy}} \frac{\partial \hat{G}(\bar{\sigma})}{\partial \bar{\sigma}} d\bar{\sigma} = g_f \quad (\text{A.1})$$

485 where  $\bar{\sigma} \equiv f(\boldsymbol{\sigma}_0)$  is the predictive equivalent stress,  $\bar{\sigma}^0 \equiv f^0(\boldsymbol{\sigma}_0)$  is the yield stress,  $\bar{\sigma}^{max} \equiv f^{max}(\boldsymbol{\sigma}_0)$  is the predictive stress level when the fracture energy has been fully dissipated,  $E$  is the Young modulus and  $\hat{G} \equiv d$  is the damage internal variable function. The result,  $w_t^{max} = g_f$  is independent of the definition chosen for  $\hat{G}$ . Two cases are studied here: the linear and the exponential descriptions of the damage.

$$\begin{aligned} \text{Linear case:} \quad & d = \left(1 - \frac{\bar{\sigma}^0}{\bar{\sigma}}\right) \cdot \frac{1}{1-A} \quad \wedge \quad A = \frac{(\bar{\sigma}^0)^2}{2g_f E} \\ \text{Exponential case:} \quad & d = 1 - \frac{\bar{\sigma}^0}{\bar{\sigma}} \cdot \exp\left[A \cdot \left(1 - \frac{\bar{\sigma}}{\bar{\sigma}^0}\right)\right] \quad \wedge \quad A = \frac{1}{\frac{g_f E}{(\bar{\sigma}^0)^2} - \frac{1}{2}} \end{aligned} \quad (\text{A.2})$$

490 The  $\bar{\sigma}^{max}$  value reached in each scenario is  $\bar{\sigma}_{lin.}^{max} = \frac{\bar{\sigma}^0}{A}$  for the linear description and  $\bar{\sigma}_{exp.}^{max} = +\infty$  for the exponential case. These stress values are obtained from Eq. A.2 when  $d = 1.0$ .



The extrapolation to the HCF case is done by affecting Eqs. A.1 and A.2 with  $f_{red}$ . This function results in a *reduction* of the normal yield surface of the underlying damage model as a consequence of the fatigue process. Finally,

Linear:

$$\begin{aligned}
w_t^{max, HCF} &= \int_{t=0}^{\infty} \Xi dt = \int_{t=0}^{\infty} \Psi_0 \dot{d} dt = \int_{\bar{\sigma}^0 \cdot f_{red}}^{\bar{\sigma}^0 \cdot f_{red}/A} \frac{[\bar{\sigma}]^2}{2E} \frac{\partial \hat{G}(\bar{\sigma})}{\partial \bar{\sigma}} d\bar{\sigma} = \\
&= \int_{\bar{\sigma}^0 \cdot f_{red}}^{\bar{\sigma}^0 \cdot f_{red}/A} \frac{[\bar{\sigma}]^2}{2E} \frac{\bar{\sigma}^0 \cdot f_{red}}{[\bar{\sigma}]^2 (1-A)} d\bar{\sigma} = \frac{\bar{\sigma} \cdot \bar{\sigma}^0 \cdot f_{red}}{2E \cdot (1-A)} \Bigg|_{\bar{\sigma}^0 \cdot f_{red}}^{\bar{\sigma}^0 \cdot f_{red}/A} = \\
&= \frac{[\bar{\sigma}^0]^2 \cdot f_{red}^2}{2EA} = g_f \cdot f_{red}^2
\end{aligned} \tag{A.3}$$

Exponential:

$$\begin{aligned}
w_t^{max, HCF} &= \int_{t=0}^{\infty} \Xi dt = \int_{t=0}^{\infty} \Psi_0 \dot{d} dt = \int_{\bar{\sigma}^0 \cdot f_{red}}^{+\infty} \frac{[\bar{\sigma}]^2}{2E} \frac{\partial \hat{G}(\bar{\sigma})}{\partial \bar{\sigma}} d\bar{\sigma} = \\
&= \int_{\bar{\sigma}^0 \cdot f_{red}}^{+\infty} \left[ \frac{[\bar{\sigma}]^2}{2E} \frac{A}{\bar{\sigma}} + \frac{f_{red} \bar{\sigma}^0}{[\bar{\sigma}]^2} \cdot \exp \left[ A \cdot \left( 1 - \frac{\bar{\sigma}}{f_{red} \bar{\sigma}^0} \right) \right] \right] d\bar{\sigma} = \\
&= - \frac{f_{red} \bar{\sigma}^0 (2 \cdot f_{red} \bar{\sigma}^0 + A \bar{\sigma}) \cdot \exp \left[ \frac{A \cdot (1 - \bar{\sigma})}{f_{red} \bar{\sigma}^0} \right]}{2AE} \Bigg|_{\bar{\sigma}^0 \cdot f_{red}}^{+\infty} = \\
&= \frac{f_{red}^2 [\bar{\sigma}^0]^2 (A+2)}{2AE} = g_f \cdot f_{red}^2
\end{aligned} \tag{A.4}$$

## Appendix B. Computing the advance in time (AIT) once damage has initiated

In order to smartly advance once the internal damage variable has initiated, i.e.,  $N_f$  has been reached at some GP, the user defines the  $\Delta d^*$ -parameter which controls the AIT. The time increment that analytically induces a variation of the damage internal variable  $\Delta d = \Delta d^*$  is computed through this input. The relation between the time increment and the variation of the damage internal variable is obtained using  $d$  and  $f_{red}$  definitions (Eq. (5), (9)) and that the stress state in the HCF regime is  $\frac{f(\sigma_0)}{f_{red}}$ .

The damage:

Linear case

$$d = \left(1 - \frac{f_{yield}}{f(\sigma)}\right) \cdot \frac{1}{1-A} \Rightarrow f_{red} = [1 - d \cdot (1 + A)] \frac{f(\sigma_0)}{f^0(\sigma_0)} \quad (\text{B.1})$$

Exponential case

$$\begin{aligned} d &= 1 - \frac{f^0(\sigma_0) \cdot f_{red}}{f(\sigma_0)} \cdot \exp \left[ A \cdot \left(1 - \frac{f(\sigma_0)}{f^0(\sigma_0) \cdot f_{red}}\right) \right] \\ \Rightarrow \frac{(1-d) \cdot f(\sigma_0)}{f^0(\sigma_0) \cdot f_{red}} &= \exp \left[ A \cdot \left(1 - \frac{f(\sigma_0)}{f^0(\sigma_0) \cdot f_{red}}\right) \right] \\ \Rightarrow \ln \left( \frac{(1-d) \cdot f(\sigma_0)}{f^0(\sigma_0)} \right) + \ln \left( \frac{1}{f_{red}} \right) &= A \cdot \left(1 - \frac{f(\sigma_0)}{f^0(\sigma_0) \cdot f_{red}}\right) \\ \Rightarrow \frac{A \cdot f(\sigma_0)}{f^0(\sigma_0) \cdot f_{red}} + \ln \left( \frac{1}{f_{red}} \right) &= A - \ln \left( \frac{(1-d) \cdot f(\sigma_0)}{f^0(\sigma_0)} \right) \\ \Rightarrow \exp \left[ \frac{A \cdot f(\sigma_0)}{f^0(\sigma_0) \cdot f_{red}} \right] \cdot \frac{1}{f_{red}} &= \frac{\exp(A) \cdot f^0(\sigma_0)}{(1-d) \cdot f(\sigma_0)} \\ \Rightarrow f_{red} &= \frac{A \cdot f(\sigma_0)}{f^0(\sigma_0)} \cdot \frac{1}{\omega \left( \frac{A \cdot \exp(A)}{1-d} \right)} \end{aligned} \quad (\text{B.2})$$

Where  $\omega(\cdot) = \sum_{n=1}^{\infty} \frac{(-n)^{n-1}}{n!} (\cdot)^n$  is the Lambert function. And the fatigue

510 reduction factor:

$$f_{red} = \exp \left\{ -B_0 \cdot (\log_{10} N_c)^{\beta_f^2} \right\} \Rightarrow N_c = 10 \left[ -\frac{\ln(f_{red})}{B_0} \right]^{1/\beta_f^2} \quad (\text{B.3})$$

Therefore,

$$\begin{aligned} \text{Linear case:} \quad \Delta N_c &= 10 \left[ \frac{\ln \left( \frac{[1 - \Delta d^* \cdot (1 + A)] \cdot f(\sigma_0)}{f^0(\sigma_0)} \right)}{B_0} \right]^{1/\beta_f^2} \\ \text{Exponential case:} \quad \Delta N_c &= 10 \left[ \frac{\ln \left( \frac{A \cdot f(\sigma_0)}{f^0(\sigma_0)} \cdot \frac{1}{\omega \left( \frac{A \cdot \exp(A)}{1 - \Delta d^*} \right)} \right)}{B_0} \right]^{1/\beta_f^2} \end{aligned} \quad (\text{B.4})$$

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