



## 24 1. INTRODUCTION

25 In the global analysis of structures, there are two key types of nonlinearity to consider: (1)  
26 geometric nonlinearity, also referred to as second order effects, and (2) material nonlinearity,  
27 also referred to as yielding or plasticity. The influence of both forms of nonlinearity have been  
28 extensively studied in isolation, but their interaction at system level has been less widely  
29 examined [1]; this is therefore the focus of the present paper, with an emphasis on stainless  
30 steel structures.

31 The influence of global second order effects is assessed in the Eurocode framework, based on  
32 the critical load factor of the system  $\alpha_{cr}$ , which is the factor by which the applied loading would  
33 need to be increased to cause elastic instability of the frame in a global sway mode. Second  
34 order effects are deemed to be sufficiently small to be ignored when the amplification of the  
35 internal forces and moments due to sway second order effects is no more than 10% of the  
36 original internal forces determined according to first order theory – for elastic analysis, this  
37 corresponds to the requirement of  $\alpha_{cr} > 10$  for second order effects to be neglected. Frames that  
38 experience plasticity suffer reduced stiffness and, therefore, have greater susceptibility to  
39 second order effects. This is accounted for in EN 1993-1-1 [2] by defining a stricter limit of  
40  $\alpha_{cr} > 15$  for second order effects to be ignored in plastically designed frames, but it was  
41 concluded in [3,4] that the use of a single limit is overly-simplistic and cannot reflect the  
42 behaviour of all structures, no matter the structural system or degree of plasticity. A new  
43 methodology to account for the degree of stiffness degradation in the assessment of second  
44 order effects was therefore proposed [3,4]. This initial research is further developed herein and  
45 extended to cover all three main families of stainless steel as well as a range of structural  
46 systems.

47 2. EUROCODE 3 DESIGN PROVISIONS

48 EN 1993-1-1 [2] allows for the use of elastic global analysis in all cases. It is deemed sufficient  
49 to carry out a first order analysis, ignoring the influence of second order effects, if the  
50 amplification of the internal forces and moments due to sway second order effects is no more  
51 than 10% of the original internal forces. This assessment of the stability of structural frames is  
52 made based on the critical load factor  $\alpha_{cr}$ . For elastic analysis, this corresponds to a limit of  $\alpha_{cr}$   
53  $\geq 10$ , while for plastic analysis, a stricter limit of  $\alpha_{cr} \geq 15$  is required allowing for the influence  
54 of plasticity and hence reduced stiffness on the development of second order effects. When  $\alpha_{cr}$   
55 is less than these limits, global second order effects must be considered. As a simplified  
56 approach, for elastic analysis, if  $\alpha_{cr} > 3$  an amplified first order analysis using the amplification  
57 factor  $k_{amp}$ , given by Equation (1), may be carried out.

$$k_{amp} = \frac{1}{1 - \frac{1}{\alpha_{cr}}} \quad (1)$$

58 EN 1993-1-4 [5] provides supplementary rules for the design of stainless steel structures that  
59 extend and modify the design rules given for carbon steel in EN 1993-1-1 [2]. No further  
60 guidance is provided in EN 1993-1-4 for the global analysis of stainless steel structures, except  
61 to state that the use of plastic global analysis is not permitted. This restriction is due to be  
62 relaxed though for austenitic and duplex stainless steel in the upcoming revision to EN 1993-  
63 1-4, following the findings presented in [6,7].

64 A revised approach to the assessment of second order effects when a plastic analysis is  
65 performed is included in the upcoming version of prEN 1993-1-1 [8] based on research carried  
66 out by Wood [9]. In this approach, a reduced critical load factor to account for the increased  
67 susceptibility to second order effects due to plasticity is calculated by carrying out a linear  
68 buckling analysis of the elastic system, but with hinges at the locations of the plastic hinges.

69 The limit on  $\alpha_{cr}$  of 10 from elastic analysis is retained for plastic analysis. The number and  
70 location of the hinges to be considered correspond either to (1) the plastic hinges formed just  
71 prior to reaching a collapse mechanism (i.e. when the penultimate plastic hinge forms), or,  
72 more accurately, to (2) the plastic hinges formed at the load level of interest [4].

73 The provisions of prEN 1993-1-1 [10] for assessing second order effects in the plastic regime  
74 only apply to plastic hinge analysis. However, idealised plastic hinges do not provide an  
75 accurate reflection of the development of plasticity in stainless steel structures owing to the  
76 rounded stress-strain response, which contrasts the sharply-defined yield point that is  
77 characteristic of hot-rolled carbon steel [1]. Consequently this method is not well suited to  
78 structural stainless steel design. Additionally, the approach can result in very conservative  
79 predictions since it assumes that the stiffness reduction due to the formation of plastic hinges  
80 begins from the onset of loading [4].

### 81 3. FINITE ELEMENT MODELLING

82 Finite element (FE) modelling is undertaken in order to investigate the influence of geometric  
83 and material nonlinearities on the behaviour and design of stainless steel frames. Figure 1  
84 illustrates the comprehensive set of frames considered in this study, while Table 1 reports the  
85 boundary conditions, horizontal load cases, storey heights and bay widths considered for each  
86 of the frame cases analysed. In total, 279 frames were modelled (93 austenitic, 93 duplex and  
87 93 ferritic stainless steel frames) to cover a full range of boundary conditions, load cases and  
88 frame geometries. Geometrically and materially nonlinear analysis with imperfections  
89 (GMNIA) allows for accurate predictions of the full global behaviour of a structure and is used  
90 herein to calculate the benchmark failure load for each frame  $\alpha_u$ , as outlined in Section 3.3.  
91 Additionally, first (MNA) and second (GMNA) order plastic analyses (i.e. without member

92 imperfections) are utilised to isolate the influence of the geometric and material nonlinearities,  
93 as outlined in Section 3.4.

94 All models were developed using the general-purpose FE software ABAQUS [11]. The  
95 assessed frames were formed from welded stainless steel I-sections with the cross-section  
96 geometry of a standard European HEB 340 cross-section. This cross-section is Class 1 for all  
97 stainless steel grades and loading conditions considered herein; it is therefore able to reach and  
98 maintain its full plastic moment capacity. All members in the frames were connected via fixed  
99 multi-point constraint ties to provide full continuity, and the systems were fully restrained out-  
100 of-plane such that only in-plane major axis bending/buckling was considered. 100 B31OS  
101 beam elements were used to model each of the members [3,12] and the modified Riks method  
102 [11] was used to trace the full load-deformation response of the frames. For each frame, the  
103 elastic critical load factor  $\alpha_{cr}$  was determined by performing linear buckling analysis at the load  
104 level corresponding to failure of the system  $\alpha_u$ , as calculated in Section 3.3.

### 105 3.1. Material modelling

106 Stainless steel alloys present a rounded stress–strain curve, which can be described by the two-  
107 stage Ramberg–Osgood material model [13–16]. This model is given by Equations (2) and (3),  
108 and is due to be included in prEN 1993-1-14 [17], where  $\varepsilon$  and  $\sigma$  are the strain and stress  
109 respectively,  $f_y$  is the yield (0.2% proof) stress,  $E$  is the Young’s modulus,  $f_u$  is the ultimate  
110 stress,  $E_y$  is the tangent modulus at the yield (0.2% proof) stress, defined by Equation (4),  $\varepsilon_{0.2}$   
111 is the total strain at the 0.2% proof stress, equal to  $0.002 + f_y/E$ ,  $\varepsilon_u$  is the ultimate strain, and  $n$   
112 and  $m$  are the strain hardening exponents.

$$\varepsilon = \frac{\sigma}{E} + 0.002 \left( \frac{\sigma}{f_y} \right)^n \quad \text{for } \sigma \leq f_y \quad (2)$$

$$\varepsilon = \varepsilon_{0.2} + \frac{\sigma - f_y}{E_y} + \left( \varepsilon_u - \varepsilon_{0.2} - \frac{f_u - f_y}{E_y} \right) \left( \frac{\sigma - f_y}{f_u - f_y} \right)^m \quad \text{for } f_y < \sigma \leq f_u \quad (3)$$

$$E_y = \frac{E}{1 + 0.002n \frac{E}{f_y}} \quad (4)$$

113 The standardised material properties for numerical parametric studies defined by Afshan et al.  
 114 [18] for the three main families of stainless steel used in construction – austenitic, duplex and  
 115 ferritic – were employed in this study; the key material parameters adopted for each stainless  
 116 steel family are summarised in Table 2.

### 117 3.2. Geometric imperfections and residual stresses

118 An initial member out-of-straightness in the form of a half-sine wave and with a magnitude of  
 119 1/1000 of the member length was modelled for all columns, while the initial frame out-of-  
 120 plumbness was applied as an equivalent horizontal force equal to 1/200 times the vertical  
 121 loading [2] at each storey load.

122 The residual stress distribution for welded stainless steel I-sections proposed by Yuan et al.  
 123 [19] was incorporated into the benchmark FE models through the SIGINI user subroutine [11].  
 124 The flanges and web of the cross-section were each assigned 41 section points across their  
 125 width to ensure that the residual stress distribution was accurately represented.

### 126 3.3. Benchmark failure loads $\alpha_u$

127 In this study, benchmark failure loads were calculated through second order inelastic analysis  
 128 (i.e. geometrically and materially nonlinear) with imperfections (GMNIA), performed using  
 129 beam finite elements. Strain limits, determined from the Continuous Strength Method (CSM)  
 130 [20–23], were applied to the outer-fibre compressive strains  $\varepsilon_{Ed}$  of each element in the frame,  
 131 to simulate cross-section, and hence structural, failure [20]. The benchmark failure load  $\alpha_u$ , was  
 132 defined as the load level at which the CSM strain limit was reached or, in stability governed

133 cases, as the peak load reached during the GMNIA analysis, whichever occurred first [3]. This  
 134 method of design by second order inelastic analysis is due to be included in the upcoming prEN  
 135 1993-1-4 [10], prEN 1993-1-14 [17] and AISC 370 [24].

136 For the global analysis of stainless steel structures, utilising the Ramberg–Osgood material  
 137 model, the CSM strain limits are calculated using Equations (5) and (6) for stocky and slender  
 138 cross-sections, respectively:

$$\frac{\varepsilon_{\text{csm}}}{\varepsilon_y} = \frac{0.25}{\bar{\lambda}_p^{3.6}} + \frac{0.002}{\varepsilon_y} \quad \text{but } \leq \Omega \text{ for } \bar{\lambda}_p \leq 0.68 \quad (5)$$

$$\frac{\varepsilon_{\text{csm}}}{\varepsilon_y} = \left(1 - \frac{0.222}{\bar{\lambda}_p^{1.05}}\right) \frac{1}{\bar{\lambda}_p^{1.05}} + \frac{0.002(\sigma/f_y)^n}{\varepsilon_y} \quad \text{for } 0.68 < \bar{\lambda}_p \leq 1.0 \quad (6)$$

139 where  $\bar{\lambda}_p$  is the local cross-sectional slenderness defined in Section 3.3.1,  $\sigma$  is the maximum  
 140 compressive stress at the considered cross-section,  $n$  is the strain hardening exponent defined  
 141 in Section 3.1,  $\varepsilon_y$  is the yield strain equal to the yield (0.2% proof) stress  $f_y$  divided by the  
 142 Young's modulus  $E$ , and  $\varepsilon_u$  is the ultimate strain, estimated as  $\varepsilon_u = 1 - f_y/f_u$  for austenitic and  
 143 duplex stainless steels and as  $\varepsilon_u = 0.6(1 - f_y/f_u)$  for ferritic stainless steels, where  $f_u$  is the ultimate  
 144 stress [25,26]. The limit of  $\Omega$  defines an upper bound to the normalised CSM strain limit and  
 145 was taken as equal to 15 in this study [21].

146 To account for the positive influence of local moment gradients, the CSM strain limit was  
 147 applied to an average strain obtained over a characteristic length along the members. This  
 148 characteristic length was taken equal to the elastic local buckling half-wavelength of the cross-  
 149 section  $L_{b,cs}$ , as discussed in Section 3.3.2. The instantaneous CSM strain limit  $\varepsilon_{\text{csm}}$ , based on  
 150 the instantaneous stress distribution within the section under consideration at each loading  
 151 increment of the global structural analysis, was used as the limiting strain throughout this study.  
 152 Note that since forces and moments within a system are redistributed during loading due to

153 both member buckling and/or the spread of plasticity, the stress distribution across the cross-  
154 sections may change as the load level increases and hence, the location of the critical cross-  
155 section may also change throughout the loading history of the structure. It is therefore necessary  
156 to assess all cross-sections in the structure at each loading step.

### 157 3.3.1. Cross-section slenderness $\bar{\lambda}_p$

158 The cross-section slenderness  $\bar{\lambda}_p$  is calculated using Equation (7) and quantifies the  
159 susceptibility of a cross-section to local buckling, where  $\sigma_{cr,cs}$  is the local elastic critical  
160 buckling stress of the full cross-section.

$$\bar{\lambda}_p = \sqrt{\frac{f_y}{\sigma_{cr,cs}}} \quad (7)$$

161 The elastic critical buckling stress  $\sigma_{cr,cs}$  can be calculated using numerical methods, such as  
162 the finite strip method utilised in CUFSM [27], or alternatively approximate analytical  
163 expressions [28,29]; CUFSM was employed in the present paper.

### 164 3.3.2. Local buckling half-wavelength $L_{b,cs}$

165 The CSM strain limit is applied to an average strain obtained over the local buckling half-  
166 wavelength  $L_{b,cs}$  [21] in order to take account of the beneficial effects of local moment  
167 gradients. As for the elastic critical buckling stress  $\sigma_{cr,cs}$ , the elastic local buckling half-  
168 wavelength  $L_{b,cs}$  may be determined numerically or according to the expressions defined in  
169 [30]; in this study, CUFSM was used to estimate  $L_{b,cs}$ , with a value of  $L_{b,cs} = 580$  mm  
170 determined for the studied cross-section under pure bending.

### 171 3.4. First and second order plastic collapse load factors

172 The first order plastic collapse load factor  $\alpha_{p1}$  is calculated through a first order plastic (or  
173 materially nonlinear) analysis (MNA), while the second order plastic collapse load factor  $\alpha_{p2}$



174 is calculated through a second order plastic (geometrically and materially nonlinear) analysis  
175 (GMNA). As for the benchmark ultimate loads in Section 3.3, the CSM strain limits were used  
176 to define cross-section failure. Note that for the first order analyses, since global instability  
177 effects are not captured, the strain limits govern failure of the frames in all cases.

#### 178 4. INFLUENCE OF ROUNDED STRESS-STRAIN RESPONSE ON INTERNAL FORCES 179 AND MOMENTS

180 As discussed in Section 2, the current frame stability design provisions for stainless steel follow  
181 those for carbon steel, with elastic global analysis allowed in all cases. While this is appropriate  
182 for carbon steel, which is accurately characterised by an elastic, perfectly plastic stress–strain  
183 response, it is less suitable for stainless steel, owing to the rounded nature of the stress–strain  
184 curve. The guidance on material nonlinearities in EN 1993-1-1 is based predominately on the  
185 occurrence of idealised plastic hinges. Again, while this is appropriate for carbon steel  
186 structures, in stainless steel structures, due to the rounded stress–strain response, idealised  
187 plastic hinges do not occur and instead, zones of plasticity with gradually reducing stiffness  
188 are displayed.

189 The degradation of stiffness due to material nonlinearity, which occurs at relatively low stress  
190 levels for stainless steel, can significantly affect the behaviour of a structural system and  
191 consequently, the distribution of internal forces and moments [4]. It is therefore important that  
192 an elastic global analysis is only permitted when all members contributing to the global stability  
193 of the structure remain predominately elastic under the design loading and when the loss of  
194 stiffness due to material nonlinearity has a negligible effect on the internal forces. In cases  
195 where the stiffness reduction due to the material nonlinearity of stainless steel increases the  
196 action effects significantly or modifies significantly the structural behaviour, it is necessary to  
197 perform a plastic zone analysis.

198 Figure 2 shows the ratio of bending moments obtained from first ( $M_{LA}$ ) and second order  
199 ( $M_{MNA}$ ) plastic zone analyses using the two-stage Ramberg–Osgood material model [13] of an  
200 example austenitic stainless steel single-bay single-storey portal frame with fixed-ended  
201 support conditions plotted against the ratio of the secant modulus  $E_s$  to elastic modulus  $E$  of  
202 the most heavily stressed point of the frame under increasing load levels. Depending on the  
203 location of the analysed cross-section within the frame and the design load level, ignoring  
204 material nonlinearity can result in both over-estimations ( $M_{MNA}/M_{LA} < 1$ ) and under-  
205 estimations ( $M_{MNA}/M_{LA} > 1$ ) of internal bending moments (and internal forces). When the ratio  
206 of  $E_s/E$  is less than 0.2, these differences approach 10%; the simplification of assuming that the  
207 behaviour of the structure remains elastic at all stress levels is not appropriate (taking 10% as  
208 the approximate acceptable error threshold, as for the case of second order effects) beyond this  
209 corresponding stress level. Although the percentage error will vary between frames and load  
210 combinations, as seen in Figure 3, which shows the maximum ratio of internal moments  
211 obtained from a first order plastic (MNA) and elastic (LA) analysis for 21 austenitic stainless  
212 steel portal frames (Frame case 1a), there is clear justification for the need to consider material  
213 nonlinearity in the global analysis of stainless steel frames to avoid unsafe predictions of  
214 internal forces and moments. It is recommended herein that if Equation (8) – also shown in  
215 Figures 2 and 3 – is satisfied, then an elastic analysis is acceptable; if Equation (8) is not  
216 satisfied, Equation (9) applies and the effects of material nonlinearity are significant enough to  
217 require a plastic zone analysis.

$$\text{If } \frac{E_s}{E} > 0.2, \text{ elastic analysis is acceptable} \quad (8)$$

$$\text{If } \frac{E_s}{E} \leq 0.2, \text{ plastic zone analysis is required} \quad (9)$$

218 In Equations (8) and (9),  $E_s$  is the secant modulus corresponding to the maximum stress  $\sigma_{Ed}$ ,  
 219 obtained from a first order elastic analysis in the cross-section of any member contributing to  
 220 the global stability of the structure at the design load level, as calculated using Equation (10).

$$E_s = \frac{E}{1 + 0.002 \frac{E}{\sigma_{Ed}} \left( \frac{\sigma_{Ed}}{f_y} \right)^n} \quad (10)$$

## 221 5. INFLUENCE OF PLASTICITY ON SECOND ORDER EFFECTS

222 In the elastic regime, second order effects may be approximately accounted for by either  
 223 amplifying the internal moments or by reducing the ultimate load of a first order analysis. The  
 224 amplification factor  $k_{amp}$ , as given by Equation (1), may be used to amplify horizontal loads to  
 225 provide an estimate for the influence of second order effects, while the reduction factor  
 226  $(\alpha_{e2}/\alpha_{e1})$ , as defined by the Merchant–Rankine formula [31,32] given by Equation (11), may be  
 227 used to reduce the failure load factor obtained from a first order elastic analysis and design  
 228 checks  $\alpha_{e1}$  to allow for second order effects to give  $\alpha_{e2}$ .

$$\left( \frac{\alpha_{e2}}{\alpha_{e1}} \right) = \frac{\alpha_{cr} - 1}{\alpha_{cr}} \quad (11)$$

229 As concluded in [3,4], in the elastic regime, these expressions apply at all load levels and  
 230 accurately relate the results of first and second order analyses. However, in the plastic regime  
 231 these expressions are no longer sufficient and must instead be based on a reduced critical load  
 232 factor. This is illustrated in Figures 4 and 5 for the 279 frames assessed herein. In Figure 4, the  
 233 amplification factors  $k_{amp}$  for each frame are plotted against the elastic buckling load factors  
 234  $\alpha_{cr}$ , as well as the expression for predicting the amplification of the horizontal loads given by  
 235 Equation (1). Note that the amplification factors  $k_{amp}$  for the frames were calculated by  
 236 determining the magnitude of the amplification of the horizontal loading in a first order plastic  
 237 analysis (MNA) required to align the sway deflections to those in a second order plastic

238 analysis (GMNA) at the benchmark ultimate load factor  $\alpha_u$ , following the procedure detailed  
239 in [3]. The results in Figure 4 do not match well with the elastic amplification factor and lie on  
240 the unsafe side of the curve (by 15% on average and by up to almost 80% for particular cases).  
241 In all cases, at the limit of  $\alpha_{cr} = 15$ , where second order effects are currently deemed in EN  
242 1993-1-1 [2] (and by extension in EN 1993-1-4 [5]) to be sufficiently small to ignore, the  
243 amplification of the internal forces and moments due to sway second order effects is  
244 significantly more than 10% of the internal forces according to first order theory. Similar results  
245 can be seen in Figure 5, which shows the ratios of the second order plastic (GMNA) collapse  
246 load factor  $\alpha_{p2}$  to the first order plastic (MNA) collapse load factor  $\alpha_{p1}$ , alongside the Merchant–  
247 Rankine formula (Equation (11)), against the elastic critical load factors  $\alpha_{cr}$ . The FE results do  
248 not match well with the reduction factor predicted by Equation (11) and the majority of the  
249 points lie on the unsafe side relative to the Merchant–Rankine formula, with an average value  
250 of  $(\alpha_{p2}/\alpha_{p1})/((\alpha_{cr}-1)/\alpha_{cr}) = 0.95$  and a minimum value of 0.72. The results shown in these two  
251 figures clearly illustrate the need for the definition of a modified elastic buckling load factor  
252  $\alpha_{cr,mod}$  to account for the loss of stiffness due to material nonlinearities and second order effects.  
253 The influence of material nonlinearity on the sway stiffness of frames may be considered  
254 through the modified elastic buckling load factor  $\sigma_{cr,mod}$ , as derived in [3,4], and given by  
255 Equation (12), where  $\alpha_{cr}$  is the elastic buckling load factor, calculated through a linear buckling  
256 analysis at the applied load level and  $K_s/K$  is the ratio of the secant lateral stiffness  $K_s$  at the  
257 design value of the loading on the structure (as obtained from a first order plastic zone analysis)  
258 to the initial lateral stiffness  $K$  of the structure. As discussed in [3,4], it is not possible to predict  
259 from a first order analysis whether, at a given load level, additional plastification will occur  
260 due to second order effects. Therefore, as well as the secant stiffness reduction factor, an  
261 additional factor  $Y$  is needed to approximate the further loss of stiffness due to second order  
262 effects.

$$\alpha_{cr,mod} = Y \frac{K_s}{K} \alpha_{cr} \quad (12)$$

263 Based on this modified load factor  $\alpha_{cr,mod}$ , a modified amplification factor  $k_{amp,mod}$ , as given by  
 264 Equation (13), and a modified reduction factor  $(\alpha_{p2}/\alpha_{p1})_{mod}$ , as given by Equation (14) may be  
 265 defined for use in the plastic regime, where  $\alpha_{p2}$  is the predicted second order plastic (GMNA)  
 266 collapse load factor and  $\alpha_{p1}$  is the first order plastic (MNA) collapse load factor.

$$k_{amp,mod} = \frac{1}{1 - \frac{1}{\alpha_{cr,mod}}} \quad (13)$$

$$\left(\frac{\alpha_{p2}}{\alpha_{p1}}\right)_{mod} = \frac{\alpha_{cr,mod} - 1}{\alpha_{cr,mod}} \quad (14)$$

267 By accounting for the influence of plasticity on second order effects through the reduction of  
 268 the critical load factor, as in prEN 1993-1-1 [8,9], the limit of 10 may be used for plastic  
 269 analysis, as for elastic analysis. When  $\alpha_{cr,mod} \geq 10$ , it may be assumed that second order effects  
 270 are sufficiently small to be ignored and a first order analysis is adequate, while for  $\alpha_{cr,mod} < 10$ ,  
 271 second order effects must be considered in the analysis, as they may be significant.

272 For multi-storey structures, the effects of material nonlinearity on the reduction in global sway  
 273 stiffness should be assessed on a storey-by-storey basis, as illustrated in Figure 6. The storey  
 274 that gives the greatest secant stiffness reduction (i.e. the lowest value of  $K_s/K$ ) should be taken  
 275 as the most critical storey and used to represent the overall frame; in Figure 6, this is the bottom  
 276 storey. This prevents the deleterious influence of plasticity on frame stability from being  
 277 ‘averaged out’ through the inclusion of the displacements of the storeys in which less plasticity  
 278 occurs, thereby ensuring safe sided estimates of  $\alpha_{cr,mod}$ .

279 Table 3 presents the  $Y$  factors derived in this study for the 279 austenitic, duplex and ferritic  
 280 stainless steel frames assessed herein. The lower values of  $Y$  for austenitic stainless steel,

281 increasing for duplex and ferritic stainless steels reflects the greater degree of roundedness of  
282 the stress-strain response and hence the earlier material softening and greater second order  
283 effects. The lower  $Y$  values for the more complex frames reflect the fact that with increased  
284 complexity the potential for more plasticity and redistribution, at a given load level, between a  
285 first order and second order analysis, is greater. The  $Y$  factors proposed for ferritic stainless  
286 steel alloys in Table 3 are equal to the factors derived in [3,4] for carbon steel frames; this  
287 reflects the fact that ferritic stainless steel has the least rounded stress-strain response among  
288 the considered stainless steel families and most closely matches the behaviour of carbon steel.  
289 It is also worth noting that the proposed  $Y$  factors for single storey frames for the different  
290 stainless steel families are similar to the ratio of the 0.05% proof stress  $\sigma_{0.05}$  to the 0.2% proof  
291 (or yield) stress  $f_y$ , noting that the  $\sigma_{0.05}/f_y$  ratio is linked to the degree of roundedness of the  
292 stress-strain curve, with lower  $\sigma_{0.05}/f_y$  values signifying greater roundedness; the 0.05% proof  
293 stress corresponds to the stress at which a relatively small plastic strain of 0.05% is reached, so  
294 represents approximately the limit of proportionality in stainless steels [13]. The  $\sigma_{0.05}/f_y$  ratios  
295 (based on the material properties selected herein, as reported in Table 2) are equal to 0.81 (=   
296 250/310), 0.86 (= 456/530) and 0.92 (= 295/320) for the austenitic, duplex and ferritic grades,  
297 respectively.

298 Figures 7 and 8 show the amplification factors  $k_{amp}$  and ratios of the second order plastic  
299 (GMNA) collapse load factor  $\alpha_{p2}$  to the first order plastic (MNA) collapse load factor  $\alpha_{p1}$ ,  
300 respectively, now plotted against the proposed modified elastic buckling load factor  $\alpha_{cr,mod}$ ,  
301 calculated from Equation (12), with the  $Y$  factors reported in Table 3 for all frames considered  
302 in this study. Good agreement is seen between the results and the amplification factor and  
303 reduction factor, respectively. Note that the anomalous results in Figure 7 that lie substantially  
304 above the curve are due to the non-sway effects being significant; when non-sway effects are

305 significant, amplifying the horizontal loads in a first order plastic analysis will not result in the  
306 same forces and moments in a corresponding second order plastic analysis [4,33].

307 The proposed modified critical load factor  $\alpha_{cr,mod}$  for assessing the severity of second order  
308 effects on global stability provides accurate results and, through the secant stiffness reduction  
309  $K_s/K$ , allows a rational assessment of the influence of material nonlinearity to be performed on  
310 a frame-by-frame basis depending on the level of plastic deformation under the applied load  
311 level. When  $\alpha_{cr,mod} \geq 10$ , the amplification of the internal forces and moments due to sway  
312 second order effects (with suitable allowance for plasticity) is no more than 10% of the original  
313 internal forces according to first order theory, and a first order plastic analysis may be carried  
314 out. When  $\alpha_{cr,mod} < 10$ , second order effects (with suitable allowance for plasticity) significantly  
315 modify the structural behaviour and a second order plastic analysis must be carried out.

## 316 6. EXPERIMENTAL VALIDATION OF PROPOSED ASSESSMENT METHOD

317 Validation of the proposed method for assessing the influence of second order effects in the  
318 plastic domain against four full-scale stainless steel frame tests [34,35] is presented in this  
319 section. The tests were performed on austenitic stainless steel single-bay portal frames with  
320 rectangular hollow section members. The four frames had the same overall geometry (spans  
321 equal to 4 m and column heights equal to 2 m) but comprised different cross-sections, ranging  
322 from Class 1 to Class 4, and had varying boundary conditions at the supports, to allow for the  
323 assessment of different levels of interaction between second order effects, material nonlinearity  
324 and local buckling effects.

325 The frames were subjected to varying ratios of static horizontal-to-vertical loading throughout  
326 the tests. The loading was introduced in a two-step process: first the vertical loading was  
327 applied, then, while the vertical loading remained constant, the horizontal loading was  
328 increased. Consequently, the susceptibility of the frames to second order effects also varied as

329 the horizontal loading was introduced. The effect of this variation in the loading ratio on the  
330 behaviour of the structure was investigated in [34,35] by considering two different ratios of  
331 loading in the calculation of the critical load factor: (1) the vertical load plus half of the  
332 maximum recorded horizontal load ( $F_{v,max}+0.5F_{h,max}$ ), and (2) the vertical load plus the  
333 maximum recorded horizontal load ( $F_{v,max}+1.0F_{h,max}$ ). The results in [34,35] showed that  
334 varying the horizontal-to-vertical load ratio had little effect on the calculated critical load  
335 factors. Therefore, only the results corresponding to the maximum horizontal loading scenario  
336 ( $F_{v,max}+1.0F_{h,max}$ ) have been considered in the present paper.

337 To assess the accuracy of using the elastic critical load factor  $\alpha_{cr}$  (as currently employed in EN  
338 1993-1-1 [2] and EN 1993-1-4 [5]) to predict the amplification of internal moments (through  
339 the  $k_{amp}$  factor given by Equation (1)) and the reduction in ultimate load from a first order  
340 plastic analysis (through the  $\alpha_{p2}/\alpha_{p1}$  factor given by Equation (11)) due to second order effects,  
341 the experimental results, based on the maximum applied loads reported in [34,35], have been  
342 plotted in Figures 4 and 5. Note that, since some of the frames were made up of members with  
343 slender cross-sections, and consequently failure was dominated by local buckling effects, the  
344 first order plastic collapse loads were calculated using a shell FE second order analysis but with  
345 a horizontal force applied in the counter direction to remove the influence of global second  
346 order effects. In general, it can be seen that the experimental results show a similar trend to the  
347 FE data and both the  $k_{amp}$  and  $\alpha_{p2}/\alpha_{p1}$  predictions generally lie on the unsafe side. However,  
348 when  $\alpha_{cr,mod}$  is used in place of  $\alpha_{cr}$ , as shown in Figures 7 and 8, considerably better agreement  
349 between the experimental results and the predictive expressions – Equation (13) for  $k_{amp,mod}$   
350 and Equation (14) for  $(\alpha_{p2}/\alpha_{p1})_{mod}$  – is achieved, as found for the numerical results. Thus, it can  
351 be concluded that use of the proposed modified critical load factor  $\alpha_{cr,mod}$  enables the accurate  
352 assessment of the interaction of geometric and material nonlinearities (i.e. second order effects  
353 and plasticity) in stainless steel frames.



## 354 7. CONCLUSIONS

355 Degradation of stiffness due to material nonlinearity, which occurs at relatively low stress  
356 levels for stainless steel, can significantly affect the distribution of internal forces and moments  
357 in a structural system. It is therefore important that an elastic global analysis is only permitted  
358 when all members contributing to the global stability remain predominantly elastic under the  
359 design loading and when the loss of stiffness due to material nonlinearity has a negligible effect  
360 on the distribution of internal forces. A limit is proposed herein, expressed through the secant-  
361 to-Young's modulus ratio ( $E_s/E$ ), to determine whether an elastic analysis is acceptable or the  
362 effects of material nonlinearity are significant enough to require a plastic zone analysis.

363 A second consequence of the degradation of stiffness due to material nonlinearity is enhanced  
364 second order effects. The influence of global second order effects is assessed in the Eurocode  
365 framework on the basis of the critical load factor of the system  $\alpha_{cr}$ . A modified critical load  
366 factor  $\alpha_{cr,mod}$  is proposed herein to assess the severity of second order effects on the global  
367 stability of stainless steel frames in the plastic regime. Through a secant stiffness reduction  
368 factor, the proposal allows a rational assessment of second order effects to be performed on a  
369 frame-by-frame basis depending on the level of plasticity experienced at the design load level.

370 An additional factor  $Y$  accounts for the varying influence of material nonlinearity depending  
371 on the frame type and stainless steel family, with lower values (i.e. greater reductions in  
372 stiffness) employed for more complex frames and more rounded stress-strain curves. Second  
373 order effects are deemed to be sufficiently small to be ignored for cases in which the modified  
374 critical load factor  $\alpha_{cr,mod} > 10$  i.e. the same limit as used for elastic analysis is retained. The  
375 applicability and accuracy of the proposed method is demonstrated through comparisons with  
376 numerical results on a comprehensive series of stainless steel frames, as well as test results  
377 from [34,35]. The findings and resulting proposals are consistent with those made in [4] for

378 carbon steel frames and the proposed design method is due to be included in the upcoming  
379 version of prEN 1993-1-4 [10].

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Table 1: Frame cases considered for each stainless steel family

Frame case No.	No. of frames	Boundary conditions	Horizontal loading $H$	Storey height(s) $h$ [m]	Bay width(s) $L$ [m]
1	21	Fixed	0.05V, 0.2V, 0.5V	5, 6, 7, 8, 9, 10, 15	10
2	7	Pinned	0.2V	5, 6, 7, 8, 9, 10, 15	10
3	6	Fixed	0.05V, 0.2V, 0.5V	5, 10	10
4	12	Fixed	0.05V, 0.2V, 0.5V	5, 8, 10, 15	10
5	3	Fixed	0.05V, 0.2V, 0.5V	5	10
6	3	Fixed	0.05V, 0.1V, 0.2V	5	10
7	6	Fixed	0.05V, 0.2V, 0.5V	5, 10	10
8	7	Fixed	0.2V	5, 6, 7, 8, 9, 10, 15	10
9	3	Fixed	0.1V, 0.2V, 0.41V	10	10
10	1	Fixed	0.2V	5	10
11	6	Fixed	0.05V, 0.2V, 0.5V	5, 10	10 - 5
12	6	Fixed	0.05V, 0.2V, 0.5V	5, 10	5 - 10
13	1	Fixed	0.13V	10	10
14	1	Pinned	0.13V	10	10
15	6	Fixed	0.13V, 0.2V	5, 8, 10	10
16	2	Fixed	0.13V, 0.27V	5	10
17	2	Fixed	0.2V, 0.5V	5	10

Table 2: Definition of material properties for parametric studies

Stainless steel family	$E$ [N/mm <sup>2</sup> ]	$f_y$ [N/mm <sup>2</sup> ]	$f_u$ [N/mm <sup>2</sup> ]	$\epsilon_u$ [mm/mm]	$n$	$m$
Austenitic	200000	310	670	0.54	6.3	2.6
Duplex	200000	530	770	0.30	9.3	3.6
Ferritic	200000	320	480	0.16	17.2	2.8

Table 3: Proposed  $Y$  factors to account for the additional loss in stiffness

Stainless steel family	For single storey portal frames	For all other frames
Austenitic	0.80	0.55
Duplex	0.85	0.60
Ferritic	0.90	0.65

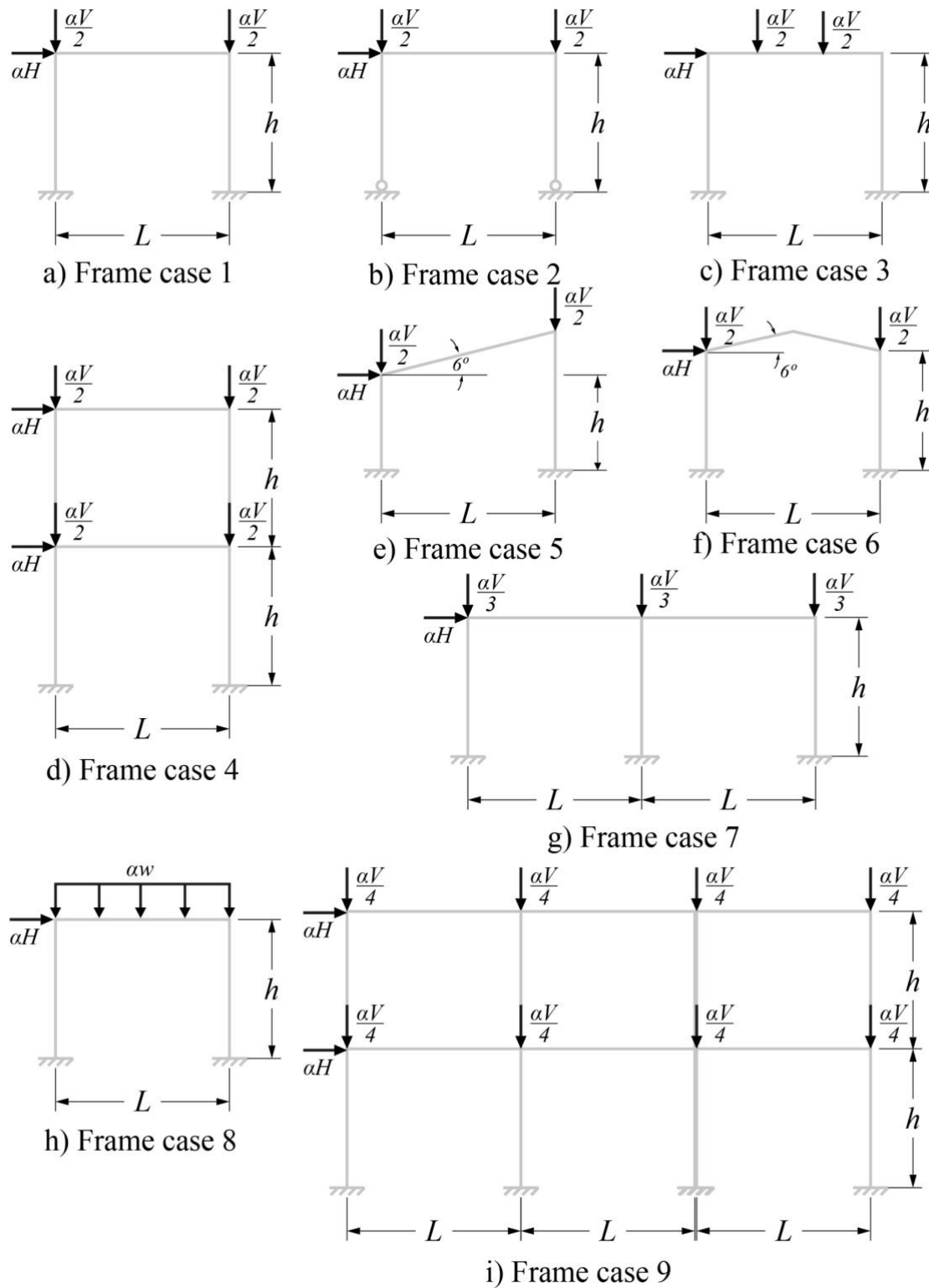


Figure 1: Details of modelled frames, where  $\alpha$  is the load factor.



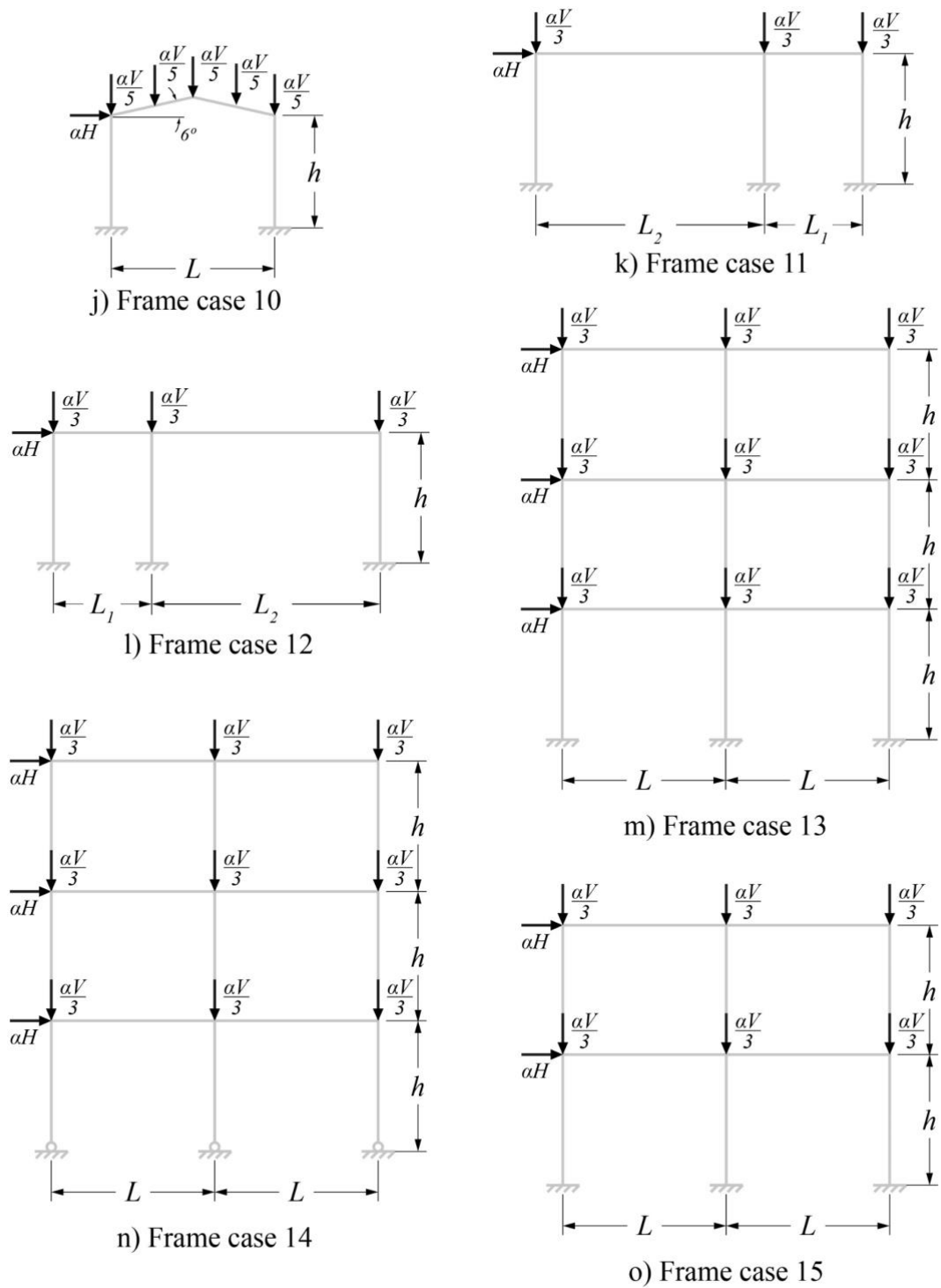


Figure 1 (cont.): Details of modelled frames, where  $\alpha$  is the load factor.

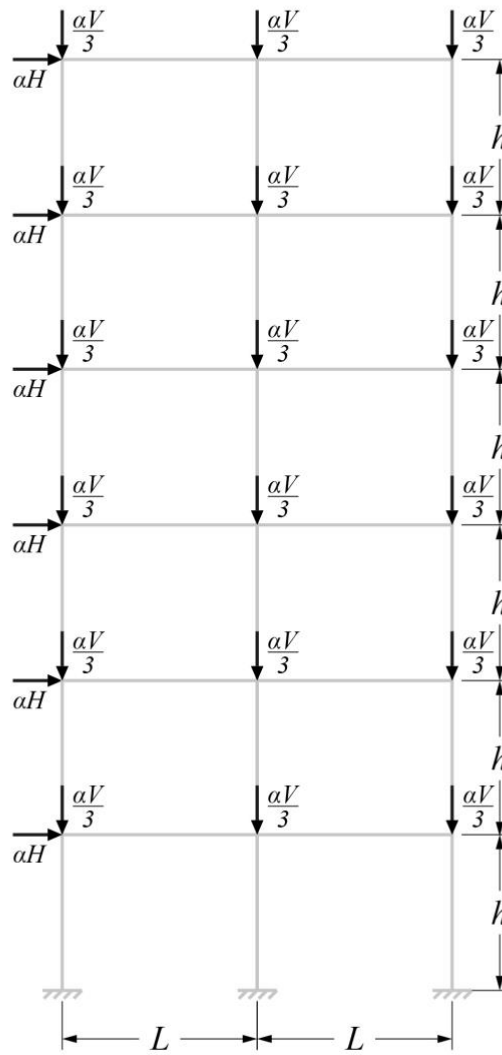
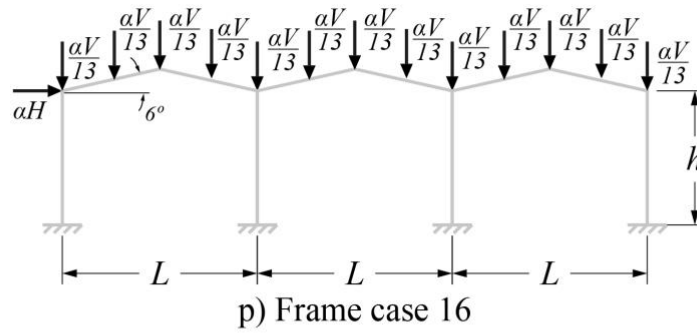


Figure 1 (cont.): Details of modelled frames, where  $\alpha$  is the load factor.

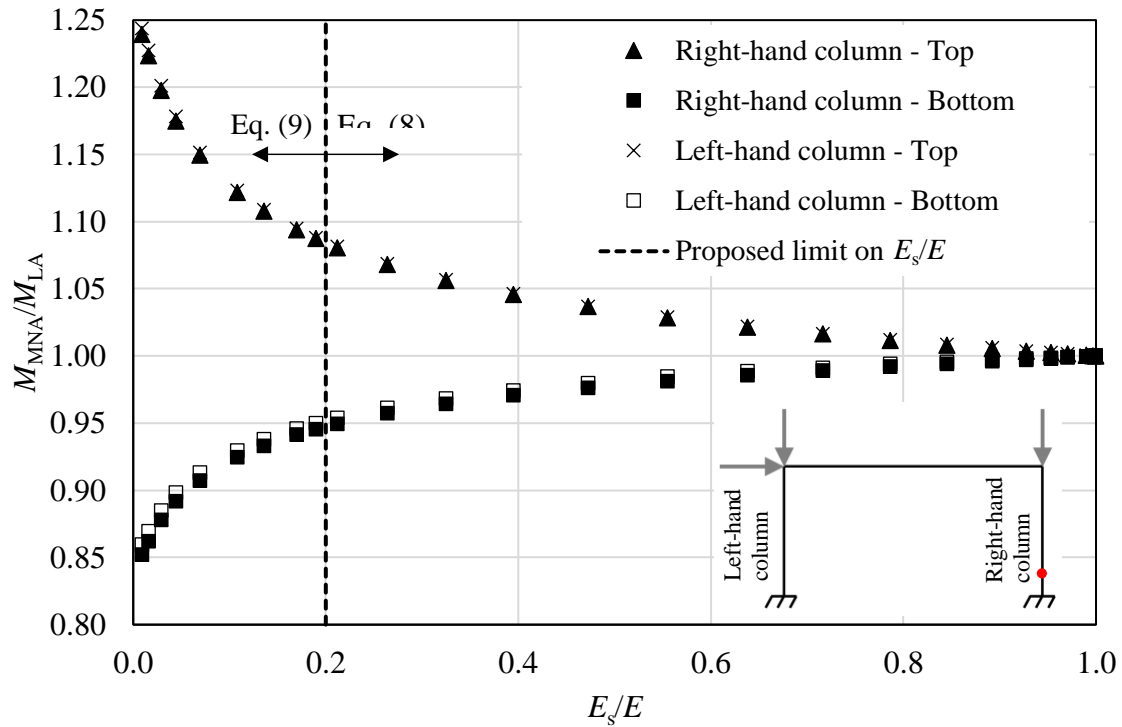


Figure 2: Ratio of internal moments obtained from a first order plastic ( $M_{MNA}$ ) and elastic ( $M_{LA}$ ) analysis at different locations in an example  $5 \times 10$  m austenitic stainless steel portal frame plotted against the ratio of the secant modulus  $E_s$  to the elastic modulus  $E$  at the most heavily stressed point in the frame (as indicated by the red circle).

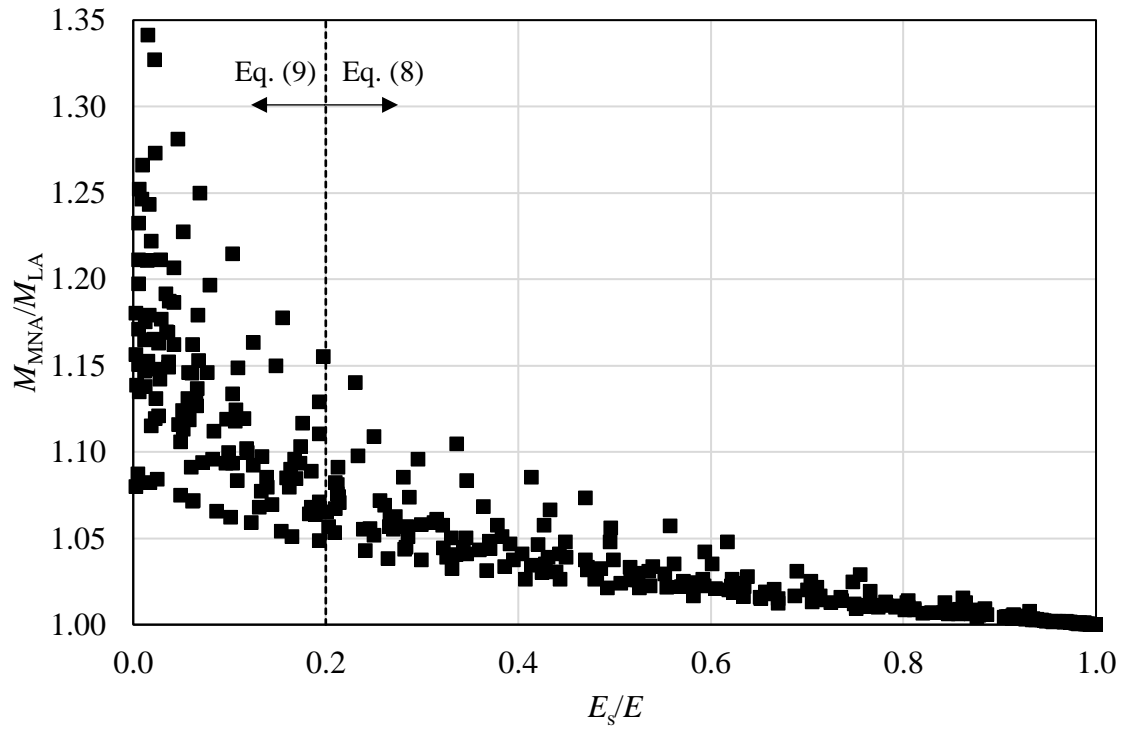


Figure 3: Maximum ratio of internal moments obtained from a first order plastic ( $M_{MNA}$ ) and elastic ( $M_{LA}$ ) analysis for 21 austenitic stainless steel portal frames (Frame case 1a) plotted against the ratio of the secant modulus  $E_s$  to the elastic modulus  $E$  at the most heavily stressed point in the frame.

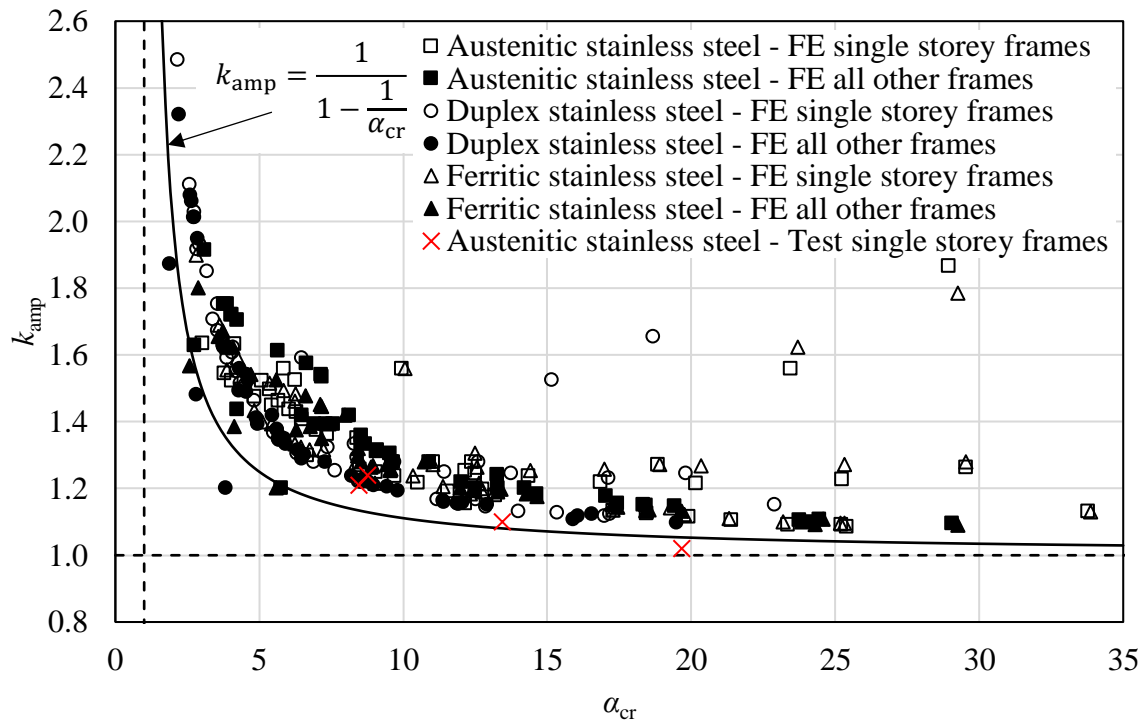


Figure 4: Amplification factor  $k_{amp}$  on the applied horizontal loading to obtain the same sway deflections from first (MNA) to second order plastic (GMNA) analyses at  $\alpha_u$  versus  $\alpha_{cr}$ . The predictive  $k_{amp}$  expression is based on  $\alpha_{cr}$  and hence makes no allowance for material nonlinearity: as a consequence, the vast majority of results are on the unsafe side.

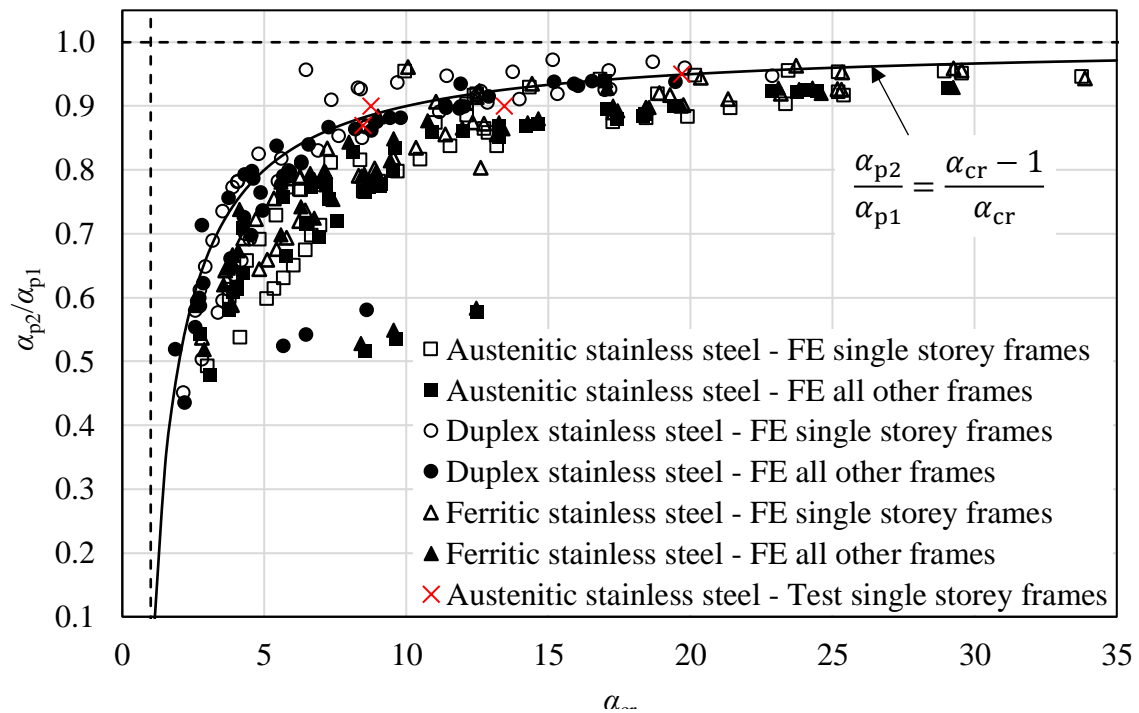


Figure 5: Ratio of second order plastic (GMNA) collapse load factor  $\alpha_{p2}$  to first order plastic (MNA) collapse load factor  $\alpha_{p1}$  versus  $\alpha_{cr}$ . No allowance is made for material nonlinearity and the vast majority of results are on the unsafe side.

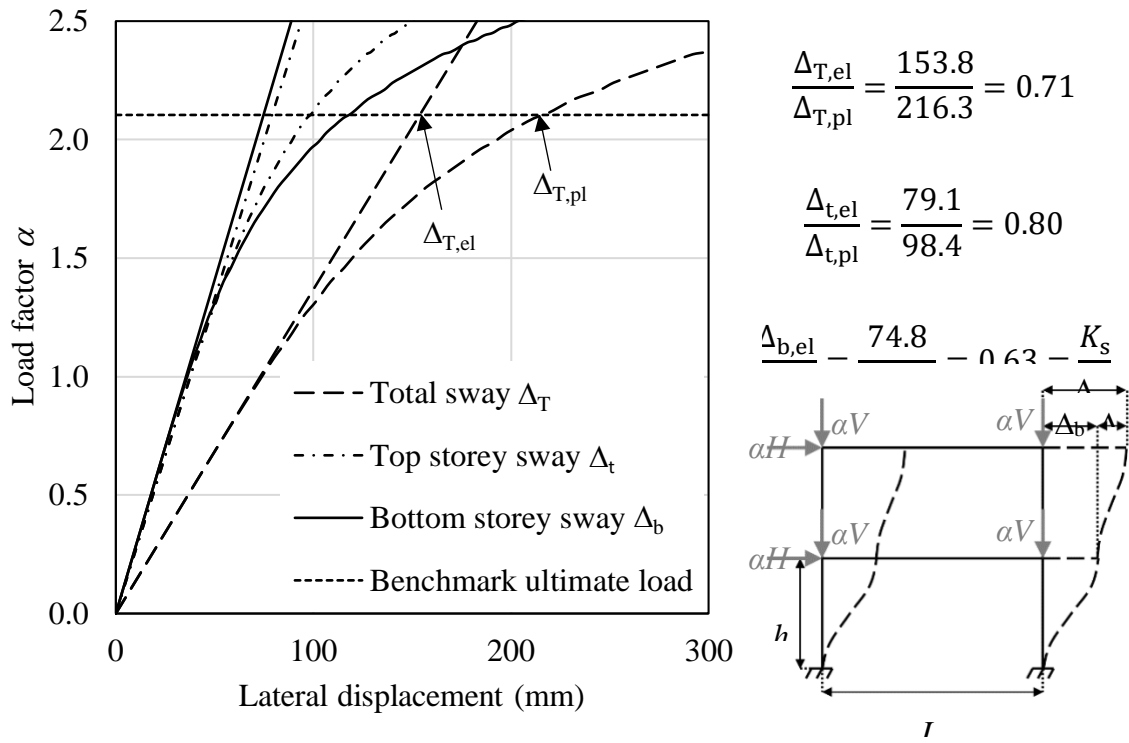


Figure 6: Example austenitic stainless steel two-storey frame where  $L=10$  m and  $h = 5$  m and  $H = 0.2V$ .

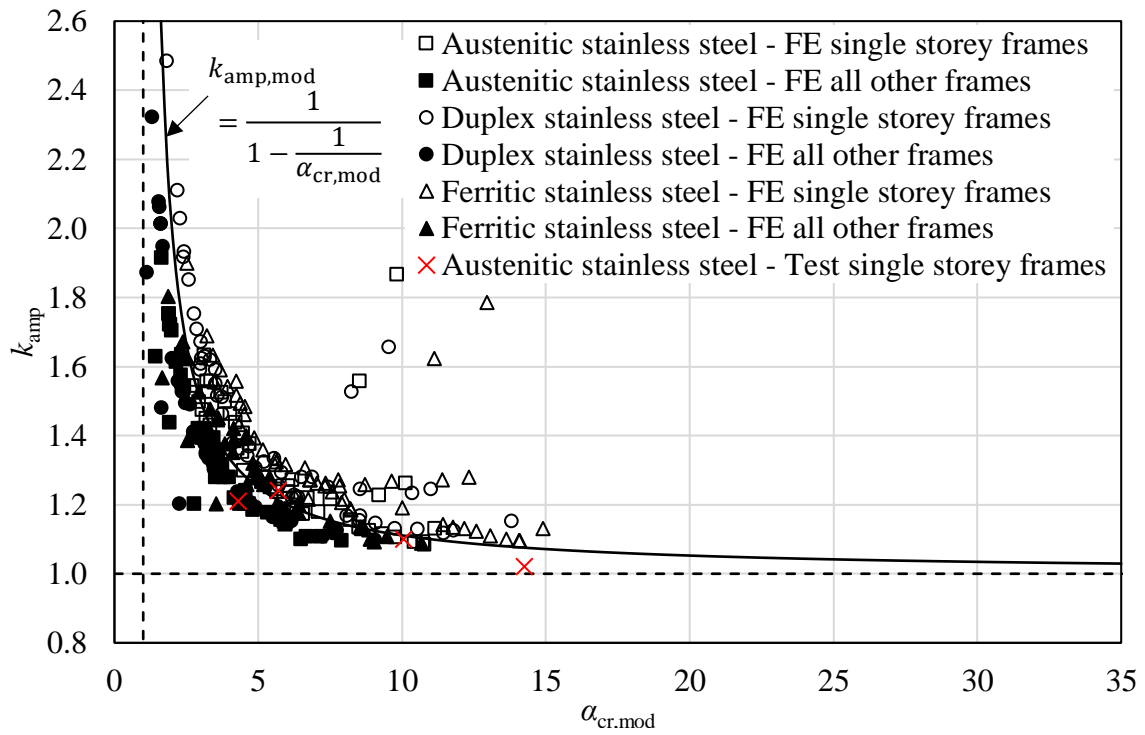


Figure 7: Amplification factor  $k_{amp}$  on the applied horizontal loading to obtain the same sway deflections from first (MNA) to second order plastic (GMNA) analyses at  $\alpha_u$  versus  $\alpha_{cr,mod}$ ;  $\alpha_{cr,mod}$  is used to allow for the influence of material nonlinearity on frame stability.



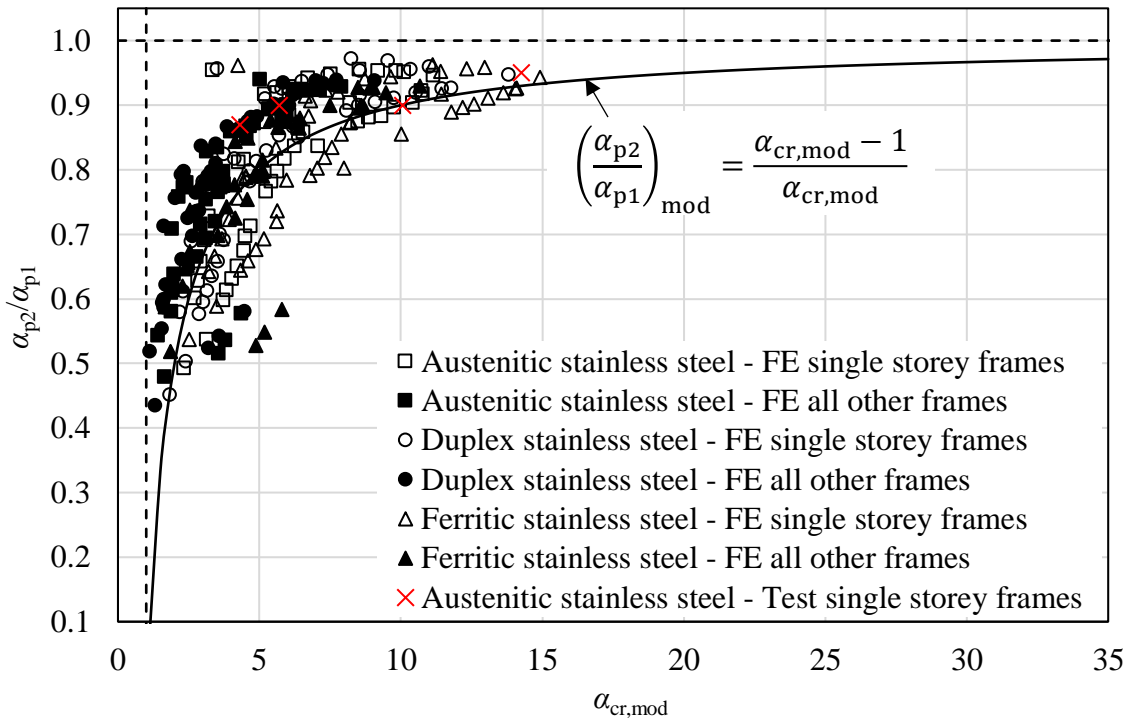


Figure 8: Ratio of second order plastic (GMNA) collapse load factor  $\alpha_{p2}$  to first order plastic (MNA) collapse load factor  $\alpha_{p1}$  versus  $\alpha_{cr,mod}$ ;  $\alpha_{cr,mod}$  is used to allow for the influence of material nonlinearity on frame stability.