

# A Quaternion Deterministic Monogenic CNN Layer for Contrast Invariance



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1 **Abstract** Deep learning (DL) is attracting considerable interest as it currently  
2 achieves remarkable performance in many branches of science and technology. How-  
3 ever, current DL cannot guarantee capabilities of the mammalian visual systems such  
4 as lighting changes. This paper proposes a deterministic entry layer capable of classi-  
5 fying images even with low-contrast conditions. We achieve this through an improved [AQ1]  
6 version of the quaternion monogenic wavelets. We have simulated the atmospheric  
7 degradation of the CIFAR-10 and the Dogs and Cats datasets to generate realistic  
8 contrast degradations of the images. The most important result is that the accuracy  
9 gained by using our layer is substantially more robust to illumination changes than  
10 nets without such a layer. [AQ2]

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## 11 Acronyms

12	CIFAR	Canadian Institute for Advanced Research
13	CNN	Convolutional Neural Network
14	DL	Deep Learning
15	FC	Fully Connected Layer
16	FL	Flatten Layer
17	FME	Facultat de Matemàtiques i Estadística
18	GA	Geometric Algebra
19	GC	Geometric Calculus
20	HSV	Hue-Saturation-Value (color standard)
21	MCNN	Monogenic CNN
22	ML	Machine Learning
23	MP	Max pooling layer
24	NN	Neural Network
25	RESNET	Residual Network
26	RGB	Read-Green-Blue (color standard)
27	UPC	Universitat Politècnica de Catalunya

## 28 1 Introduction

29 Many authors argue that currently, no suitable theory of CNNs design is available  
 30 [1, 2]. Although some evidence supports that the depth (number of layers) [3], and the  
 31 data augmentation during the training process [4], can occasionally provide invari-  
 32 ance or equivariance relative to some class of transformations, the reasons for that  
 33 behaviour do not seem to be well understood. Some investigations indicate that the  
 34 learning of an invariance response may fail even with very deep CNNs or by large  
 35 data augmentations in the training [1].

36 To overcome these shortcomings one idea is to embrace suitable geometric meth-  
 37 ods, as in [5], where the main techniques are real algebraic varieties and methods  
 38 of computer algebra, and in [1, 2, 6–10], in which methods of differential geometry  
 39 are used. In this regard, another strategy to progress in “Geometric Deep Learning”  
 40 is to use Geometric Calculus (GC) in the sense of [11–14]. The main strong points  
 41 for this advance are the long history of achievements in a great variety of fields  
 42 (see [12], §6.4, and the references therein); that it includes the complex numbers  
 43 and the quaternions as exceptional cases; and the fact that there is a well-developed  
 44 theory of GC wavelets with the potential to be applied to DL much as scalar wavelets  
 45 are used in current DL techniques [15–17]. An additional bonus of GC is that the  
 46 representation of the signals occurs in a higher-dimensional space, and hence they  
 47 provide a more robust discrimination capacity naturally.

48 In this work, as the first step in this general strategy, we work with Hamilton’s  
 49 quaternions  $\mathbf{H}$ , which is the most straightforward geometric calculus beyond the

complex numbers  $\mathbf{C}$  (see Appendix A). The main results are the design and implementation of a CNN layer (M6) based on the *monogenic signal* proposed by Felsberg et al. [18]. These layers substantially enhance the invariance response to illumination-contrast.

Up till now, quaternions have been used with fully connected NNs [19–22], and, more recently, with CNNs [23–26]. In this context, the method proposed in this paper is the first, to the best of our knowledge, that combines a CNN with local phase computations using quaternions.

On the experimental side, to evaluate the predictive performance of M6, we have simulated illumination-contrast changes using the atmospheric degradation model (see Appendix B) over two image-datasets, the CIFAR-10 [27] and the Dogs and Cats [28].

The rest of the paper is organised into four additional sections and two appendices. The core of the paper consists of Sects. 2 and 3, which describe the new monogenic layer, M6, and the experimental setup, respectively. The experiments, results and analyses are presented in Sect. 4. Our conclusions are drawn in Sect. 5. Finally, in appendixes A and B, we summarise what we need about the quaternion field  $\mathbf{H}$  and about the atmospheric scattering model of light, respectively.

## 2 Monogenic Convolution Neural Network Layer

What we call Monogenic Convolutional Neural Network (MCNN) is the coupling of a deterministic layer based on the monogenic signal, which we call *monogenic layer* (M6), with a conventional convolutional neural network (CNN). What we accomplish in this way, as shown by the experiments in subsequent sections, is a system for classifying images that not only outperforms the usual CNNs in speed but which is also resilient in front of severe changes in contrast.

### 2.1 Monogenic Signal

The terminology we are going to use is as follows (cf. Felsberg et al. [18]). We define 1D (resp. 2D) *multivectorial signals* as  $C^1$  maps  $U \rightarrow \mathcal{G}$  from an interval  $U \subset \mathbf{R}$  (a region  $U \subset \mathbf{R}^2$ ) into a *geometric algebra*  $\mathcal{G}$  (see [12]). For  $\mathcal{G} = \mathbf{R}$  ( $\mathcal{G} = \mathbf{C}$ ,  $\mathcal{G} = \mathbf{H}$ ) we say that the signal is *scalar* (*complex*, *quaternionic*). For technical reasons we also assume that signals are in  $L^2$  (that is, the modulus is square-integrable).

The *Riesz-Felsberg transform* (RF) maps 2D scalar signals to 2D quaternionic signals. Among the signals obtained in this way, our interest lies in the (quaternionic) *monogenic signals* (see [18] for details). Some applications of the monogenic signal are: visual perception measurements [29, 30], local feature detection such as lines (even-signal) and edges (odd-signal) [14, 31], estimation of the disparity of stereo

87 images and blending of images [32], or computation of fast phase-based video mag-  
88 nification [33].

89 The monogenic signal  $I_M = I_M(x, y) \in \mathbf{H}$  associated to an image<sup>1</sup>  
90  $I = I(x, y) \in \mathbf{R}$  (where  $x, y \in U$ ,  $U$  a region of  $\mathbf{R}^2$ ). The definition of  $I_M$  is as follows  
91 (cf. [18]):

$$92 \quad I_M = I + I_{M'}, \quad I_{M'} = \mathbf{i}I_1 + \mathbf{j}I_2, \quad (1)$$

93 where, denoting by  $*$  the convolutional product,

$$94 \quad I_1 = I * h_1, \quad I_2 = I * h_2, \\ 95 \quad h_1(x, y) = -\frac{x}{2\pi(x^2+y^2)^{3/2}}, \quad (2) \\ 96 \quad h_2(x, y) = -\frac{y}{2\pi(x^2+y^2)^{3/2}}.$$

97 The signals  $I_1$  and  $I_2$  are the *Riesz transforms* (quadrature filters) of  $I$  in the  $x$  and  
98  $y$  directions [18]. Note that  $I_M \in \langle 1, \mathbf{i}, \mathbf{j} \rangle \subset \mathbf{H}$ .

99 The *local amplitude signal*  $|I_M|$  is defined by  $|I_M|(x, y) = |I_M(x, y)|$ , where the  
100 last expression is the modulus of the quaternion  $I_M(x, y)$  [18]. Notice that we have

$$101 \quad |I_M|^2 = |I|^2 + |I_{M'}|^2 = |I|^2 + |I_1|^2 + |I_2|^2, \quad (3)$$

102 where  $|I|(x, y) = |I(x, y)|$  and similarly with  $|I_1|$  and  $|I_2|$ .

## 103 2.2 Monogenic Filter Bank

104 In practice the monogenic signal needs a bandpass filtering, in order to define the  
105 local region of the signal [14, 34]. For all the computations of the filtered version of  
106 the image  $I$  we have used a radial (isotropic) bandpass *Log-Gabor* function in the  
107 frequency domain  $G(u_1, u_2)$  defined as follows:

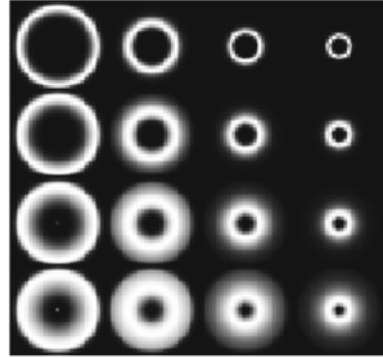
$$108 \quad G(u_1, u_2) = \exp \left( -\frac{\log \left( \frac{\sqrt{u_1^2 + u_2^2}}{\omega_0} \right)^2}{2 \log(\sigma)^2} \right), \quad (4)$$

109 where  $u_1, u_2$  are frequency components,  $\omega_0$  is the central frequency of the filter,  $\sigma$  is  
110 a bandwidth parameter (see [35] for more details). The filtering process is described  
111 in the following steps:

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<sup>1</sup>Here it is to be noted that  $I$  is not the source image we are interested in, but a filtered version of it in the sense explained in Sect. 3.

**Fig. 1 a** Log-Gabor filters at different scales and bandwidths in the frequency domain



- 112 1. Compute the 2D Fourier transform  $\mathcal{F}(\bar{J})$  of the mean value image  $\bar{J}$  as in [36],  
 113 namely

$$114 \quad \mathcal{F}(\bar{J})[u_1, u_2] = \sum_{m_1} \sum_{m_2} \bar{J}[m_1, m_2] e^{-i2\pi(u_1 m_1 + u_2 m_2)} \quad (5)$$

- 115 2. We have modified the P. Kovesi Python implementation [37], in order to compute  
 116 a monogenic filter bank based on  $G(u_1, u_2)$  with different scales. A filter bank  
 117 can be computed with the following parameters  $\omega_0^s = \frac{1}{\min_{wl} * s^{s-1}}$ , where  $\min_{wl}$  is  
 118 the minimum wavelength,  $s_f$  is a scale factor,  $s = 1, 2, \dots, n_s$  is the current scale.

$$119 \quad \bar{J}^s(u_1, u_2) = \bar{J}(u_1, u_2) \exp\left(-\frac{\log\left(\frac{\sqrt{u_1^2 + u_2^2}}{\omega_0^s}\right)^2}{2 \log(\sigma)^2}\right) \quad (6)$$

120 Figure 1 presents various views of the Log-Gabor function  $G(u_1, u_2)$ .

121 The *local phase*  $I_\phi$  and the *local orientation*  $I_\theta$  associated to  $I$  are defined, fol-  
 122 lowing [18], by the relations

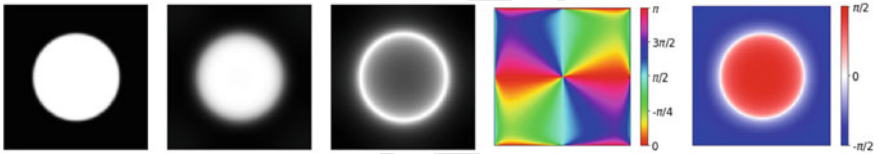
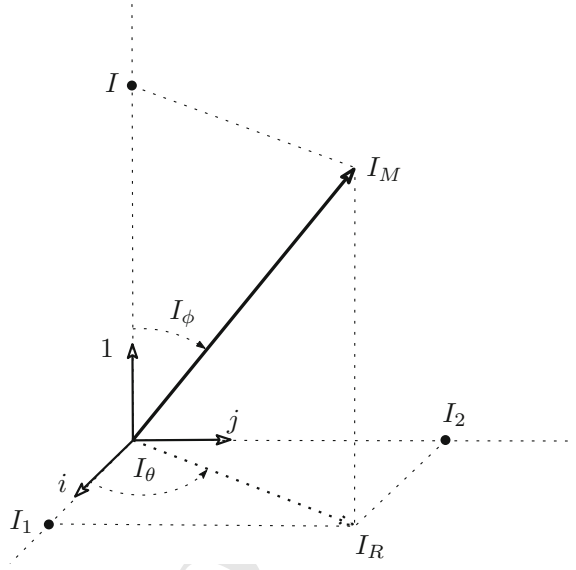
$$123 \quad I_\phi = \text{atan2}\left(\frac{I}{|I_R|}\right), \quad (7)$$

$$124 \quad I_\theta = \text{atan}\left(\frac{-I_2}{I_1}\right), \quad (8)$$

125 where the quotients of signals are taken point-wise. For the geometric interpretation  
 126 of these signals see Fig. 2.

127 The local phase can distinguish lines and edges [14, 20, 30, 31, 38], whereas  
 128 the local orientation appears as a pinwheel picture resembling the behaviour of V1  
 129 simple cells and orientation columns.

**Fig. 2** Geometry of the monogenic signal



**Fig. 3** Original image, filtered image, local energy  $I_M$ , local phase  $I_\phi$ , and local orientation  $I_\theta$

130 Figure 3 illustrates the monogenic transform of the image of a white circle. From  
 131 left to right, we display the original image, the filtered image (in the sense of Sect. 3),  
 132 and the corresponding local magnitude, phase and orientation signals. The highest  
 133 local energy values take place at the circle boundary, whereas the dominant values  
 134 of the local orientation are  $-\pi/2$  (blue), 0 (white),  $\pi/2$  (red).

### 135 2.3 Description of the Monogenic Layer

136 We report on work about one monogenic layer, which we call M6. As we will see,  
 137 both share promising features with the V1 layer in which they are inspired.

138 The purpose of M6 is to perform the following set of operations on the input  
 139 image  $J$ . If  $J$  is formed by different channels indexed by  $c = 0, 1, \dots, N - 1$ , we  
 140 denote by  $J_c$  the image corresponding to channel  $c$ . For instance, in an RGB (HSV)  
 141 image, we would have the images  $I_R$ ,  $I_G$ , and  $I_B$  ( $I_H$ ,  $I_S$ , and  $I_V$ ).

- 142 1. Get the average  $\bar{J}$  of  $J$  over the channels forming  $J$ , that is, with the previous  
143 notations,

$$144 \quad \bar{J} = \frac{1}{N} \sum_{c=0}^{N-1} J_c \quad (9)$$

- 145 2. Get the image  $I$  obtained by filtering  $\bar{J}$  in the sense explained in Sect. 3.  
146 3. Calculate the monogenic components  $|I_M|$ ,  $I_\phi$ ,  $I_\theta$  of  $I$  as defined by the Eqs. (3),  
147 (7), and (8), respectively.  
148 4. Construct two HSV images as follows:

$$149 \quad HSV_\phi = (H, S, V) = (I_\phi, |I_R|, 1), \quad (10)$$

$$150 \quad HSV_\theta = (H, S, V) = (I_\theta, |I_R|, 1). \quad (11)$$

- 151 5. Transform the HSV images into RGB images  $RGB_\phi$  and  $RGB_\theta$  according to the  
152 standard conventions (see page 304 of [39])

153 The use of the colour space HSV has been handy for the encoding in different  
154 colour hues the values of the monogenic angular components. Moreover, the further  
155 transformation to the RGB colour space enhances the visibility of the regions in the  
156 original image in which the local amplitude is significant, which translates into a  
157 sensitivity to sharp edges.

158 The six components of  $M6$ , namely  $RGB_\theta$  plus  $RGB_\psi$ , together with the 3 RGB  
159 components of the input signal  $J$ , are illustrated in Fig. 4.



**Fig. 4** a RGB input image. b  $RGB_\theta$ . c  $RGB_\phi$ .  $M6$  is defined by b and c

### 3 Experimental Setup

#### 3.1 Datasets

We have used two datasets, CIFAR-10 [27] (Fig. 5(a)) and Dogs and Cats (Fig. 5(b)). Each data set was split into three sets: training set, validation set and test set. Table 1 shows their main characteristics.

**Table 1** Characteristics of the CIFAR-10 and Dogs and Cats datasets

	CIFAR-10	Cats vs Dogs
Training set	36,000	2,400
Validation set	12,000	800
Test set	12,000	800
Total	60,000	4,000
Input shape	$[32 \times 32 \times 3]$	$[150 \times 150 \times 3]$



**Fig. 5** Examples of: **a** 100 RGB images from the CIFAR-10 dataset; **b** 100 images from the Dogs and Cats dataset



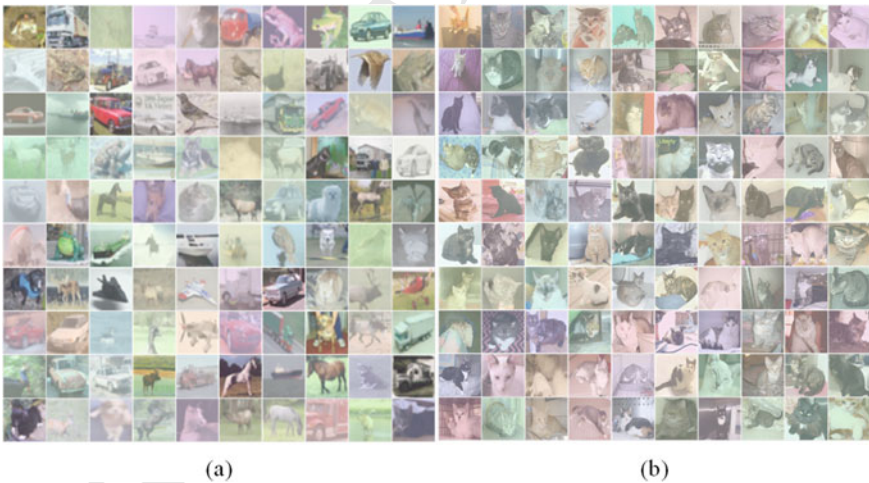
### 3.2 Degrading Procedures

In our experiments, any of the original images is degraded by the addition of fog according to the McCartney atmospheric scattering model [40] (summarized in Appendix B) and further modified by the addition of independent random changes in the illumination colour (in the range 0.8–1.0 for each colour component) of atmospheric light  $A(r, g, b)$ . In addition, the transmission map  $t(x, y)$  was computed with the random parameters summarized in the Table 2.

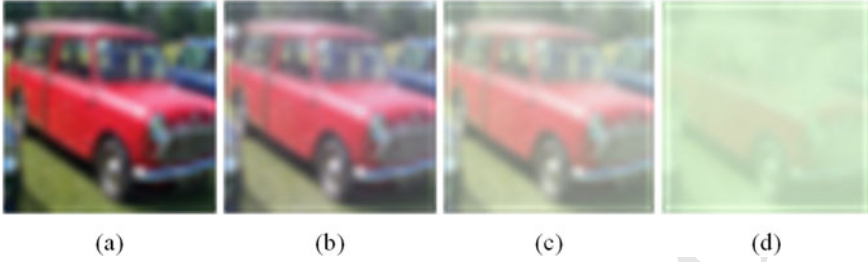
An account of the details is deferred to Appendix B, while Fig. 6 provides an illustration of a degradation run of the images in Fig. 5. For concreteness, we consider three degraded levels ( $d_1, d_2, d_3$ ) for each dataset; for an illustration, see Fig. 7

**Table 2** Degradation parameters of colour of atmospheric light  $A(r, g, b)$  and the transmission map  $t(x, y)$

Degradation levels	
$A([0.8, 1], [0.8, 1], [0.8, 1])$	
Level	Parameters
$d_0$	Zero degradation
$d_1$	$t(x, y) = [0.5, 0.8]$
$d_2$	$t(x, y) = [0.3, 0.5]$
$d_3$	$t(x, y) = [0.0, 0.15]$



**Fig. 6** Degraded versions ( $d_1$ ) of the images in Fig. 5: **a** From CIFAR-10; **b** From Dogs and Cats



**Fig. 7** **a** Original image, level  $d_0$ ; **b** contrast level  $d_1$ ; **c** contrast level  $d_2$ ; **d** contrast level  $d_3$ . For the meaning of these labels see Subject.3.5

### 3.3 CNN and MCNN Architectures

Functionally, an NN layer takes an input  $\mathbf{x}$  and produces an output  $\mathbf{x}'$ . The map  $f : \mathbf{x} \mapsto \mathbf{x}'$  depends on parameters associated with the layer and whose nature depends on the kind of layer. In general,  $\mathbf{x}$ ,  $\mathbf{x}'$ , and the layer parameters are multidimensional arrays whose nature is chosen according to the processing that has to be achieved.

Write  $[n_1, n_2, \dots, n_d]$  to denote the type of a  $d$ -dimensional (real) array with axis dimensions  $n_1, \dots, n_d$ . Thus  $[n]$  is the type of  $n$ -dimensional vectors and  $[n_1, n_2]$ , the type of matrices with  $n_1$  rows and  $n_2$  columns. Matrices are useful to represent monochrome images, but for RGB images we need arrays of type  $[n_1, n_2, 3]$ , or  $[n_1, n_2, n_3]$  if it is required that the image be represented by  $n_3$  channels, as for example  $n_3 = 6$  for a pair of color stereoscopic images.

The parameters associated to convolutional and fully connected layers are represented by a *filter* array of weights,  $W$ ,<sup>2</sup> and a *bias* array,  $\mathbf{b}$ . In these cases, the expression of  $f$  has the form

$$f^\pi(\mathbf{x}) = g(\mathbf{x} \star_\pi W + \mathbf{b}), \quad (12)$$

where  $\star_\pi$  is a *pairing* specific of the layer and  $g$  is an activation function, ReLU in this paper, that is applied component-wise to arrays. For convolutional layers,  $\star_\pi = \star$  is *array cross-correlation*, to be described below, while for fully connected layers,  $\star_\pi$  is matrix product, which is denoted by juxtaposition of its factors,  $\mathbf{x}W$ . For a maximum pooling layer, the parameters are represented by a triple of positive integers  $(w_1, w_2, s = 1)$ , where  $(w_1, w_2)$  is the shape of the pooling window and  $s$  is the stride (1 by default). In this case  $\star_\pi = \star_{\text{mp}}$  is given by the rule

$$(\mathbf{x} \star_{\text{mp}} W)[i, j, k] = \max(\mathbf{x}[is : is + w_1 - 1, js : js + w_2 - 1, k]), \quad (13)$$

where we use the standard slicing conventions for arrays. The shape of the array  $\mathbf{x} \star_{\text{mp}} W$  is  $[n'_1, n'_2, n_3]$ , where  $n'_1$  and  $n'_2$  are the greatest integers such that  $n'_1 \leq (n_1 - w_1)/s$  and  $n'_2 \leq (n_2 - w_2)/s$ .

<sup>2</sup>Filters are also called *kernels*.

In the cross-correlation product  $\mathbf{y} = \mathbf{x} \star W$ ,  $\mathbf{x}$  is an array of type  $[n_1, n_2, n_3]$  and  $W$  (the filter) is an array of type  $[w_1, w_2, n_3, m_3]$ . The pair  $(n_1, n_2)$  is the shape of the space dimensions of  $\mathbf{x}$  and  $n_3$  the number of channels. The pair  $(w_1, w_2)$  denotes the window dimensions of the filter and  $m_3$  the number of channels of the array  $\mathbf{y}$ . The definition is given by the following formula:

$$\mathbf{y}[i, j, k] = \sum_{m=0}^{w_1-1} \sum_{n=0}^{w_2-1} \sum_{r=0}^{n_3-1} \mathbf{x}[i+m, j+n, r] W[m, n, r, k], \quad (14)$$

which can be expressed more compactly as

$$\mathbf{y}[i, j, k] = \sum_{r=0}^{n_3-1} \mathbf{x}[i : i + w_1 - 1, j : j + w_2 - 1, r] * W[:, :, r, k], \quad (15)$$

where  $*$  denotes the ordinary scalar product of matrices. Notice that the shape of  $\mathbf{y}$  is  $[n_1 - w_1 + 1, n_2 - w_2 + 1, m_3]$ .

There is also a *downsampled cross-correlation*  $\mathbf{y} = \mathbf{x} \star_s W$  by a stride  $s$ :

$$\begin{aligned} \mathbf{y}[i, j, l] &= \sum_{k,m,n} \mathbf{x}[is+m, js+n, k] W[m, n, k, l] \\ &= \sum_k \mathbf{x}[is : is + w_1 - 1, js : js + w_2 - 1, k] \\ &\quad * W[:, :, k, l]. \end{aligned} \quad (16)$$

**Table 3** Flow of the monogenic CNN and of CNN-1 for the CIFAR-10 dataset. CNN-1 has 1,250,858 trainable parameters

CIFAR-10		
I	[32, 32, $n_3 = 3$ ]	
M6	[32, 32, $n_3 = 6$ ]	
$\mathbf{x} \rightarrow \mathbf{x}'$	$W$	$\mathbf{x}'$
C*-1	[3, 3, $n_3, 32$ ]	[32, 32, 32]
C-2	[3, 3, 32, 32]	[30, 30, 32]
MP-1	[2, 2, $s = 2$ ]	[15, 15, 32]
C*-3	[3, 3, 32, 64]	[15, 15, 64], Dropout (0.25)
C-4	[3, 3, 32, 64]	[13, 13, 64]
MP-2	[2, 2, $s = 2$ ]	[6, 6, 64] Dropout (0.25)
FL		[2304]
FC-1	[2304, 512]	[512]
FC-2	[512, 10]	[10] Dropout (0.5)
SMAX		[10]

**Table 4** Flow of the monogenic CNN, and of CNN-2, for Cats and Dogs dataset. CNN-2 with 2,797,730 trainable parameters

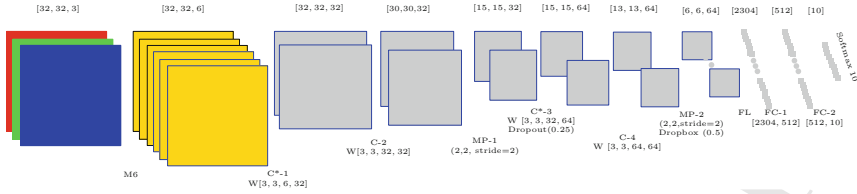
Cats and Dogs		
$I$	[224, 224, $n_3 = 3$ ]	
$M6$	[224, 224, $n_3 = 6$ ]	
$\mathbf{x} \rightarrow \mathbf{x}'$	$W$	$\mathbf{x}'$
$C^*-1$	[3, 3, $n_3$ , 32]	[224, 224, 32]
MP-1	[2, 2, $s = 2$ ]	[112, 112, 32]
C-2	[3, 3, 32, 32]	[110, 110, 32]
MP-2	[2, 2, $s = 2$ ]	[55, 55, 32]
C-2	[3, 3, 32, 64]	[53, 53, 64]
MP-2	[2, 2, $s = 2$ ]	[26, 26, 64]
FL		[43264]
FC-1	[438264, 64]	[64] Dropout (0.5)
SMAX		[2]

216 The shape of the array  $\mathbf{x} \star_s W$  is  $[n'_1, n'_2, n_3]$ , where  $n'_1$  and  $n'_2$  are the greatest integers  
 217 such that  $n'_1 \leq (n_1 - w_1)/s$  and  $n'_2 \leq (n_2 - w_2)/s$ .

218 In this work, we have used two architectures: CNN-1 (for CIFAR-10) and CNN-2  
 219 (for Dogs and Cats). See Fig. 8 for a schematic representation of M6CNN-1, which  
 220 consists of CNN-1 with the M6 layer (M6CNN-2 is defined similarly). The computa-  
 221 tion flux of these networks is summarized in Table 3 for CIFAR-10 and in Table 4 for  
 222 Cats and Dogs. In these two tables, the input  $I$  is processed by M6, and the resulting  
 223 output is processed by a sequence of convolutional (C),<sup>3</sup> max-pooling (MP), flatten  
 224 (FL), fully connected (FC), and softmax (SMAX) layers. If the monogenic step is  
 225 omitted, the flow agrees with fairly standard CNNs (here called CNN-1 and CNN-2;  
 226 see below for further details). The value  $n_3$  is equal to 3 for  $I$  and 6 for M6, respec-  
 227 tively. The  $W$  column specifies the filter of the current step. It is to be understood  
 228 that the action of the layers C and FC is completed with a ReLU activation function.

229 Initially, we tested our monogenic layer on top of two CNNs architectures, CNN-  
 230 1 and CNN-2, both with nine hidden layers. These testings aimed to carry out a  
 231 relatively fast search of adequate hyper-parameter values that guarantee a classifica-  
 232 tion accuracy close to a baseline mark. Additionally, we have tested our layer on  
 233 top of a well-known RESNET-20 v2 architecture (with 571,034 trainable parame-  
 234 ters) [41], with 18 hidden layers, to ascertain that it also produced gains similar to  
 235 those observed with the simpler architectures.

<sup>3</sup>C\* is a convolution with zero padding.



**Fig. 8** CNN-1 architecture with 1,250,858 trainable parameters including the monogenic layer, four convolutional layers, 2 dropout, two max polling layers, three fully connected and one softmax function

**Table 5** Experimental arrangements for each CNN

CNN	
Trained	Tested
$d_0$	$d_0, d_1, d_2, d_3$
$d_1$	$d_0, d_1, d_2, d_3$
$d_2$	$d_0, d_1, d_2, d_3$
$d_3$	$d_0, d_1, d_2, d_3$

### 3.4 Monogenic Hyper Parameters

To find the best parameters of the Monogenic layer, we evaluated the validation accuracy of CNN-1 on the CIFAR-10 dataset up to 100 epochs, with scales  $s = [3, 4, 5]$ , minimum wavelength  $\min_w = [3, 4, 5]$  (i.e. smallest scale filter), scaling factors  $sf = [1.1, 1.2...2.1]$ , and standard deviations  $\sigma = [0.3, 0.4, 0.48, 0.6]$ . Finally we tried the learning rate values  $lr = [0.0001, 0.001, 0.005]$ . Altogether this amounts to 1584 combinations. The outcome was that the best parameters are  $lr = 0.0001, s = 1, \min_w = 3, \sigma = 0.25, sf = 1.1$ , for a maximum of test accuracy and minimum processing time.

### 3.5 Experiments

We trained and tested six nets: CNN-1, M6CNN-1, CNN-2, M6CNN-2, RESNET-20 v2, M6-RESNET-20 v2 [41], following the scheme summarized in Table 5, where  $d_i$  ( $i = 0, 1, 2, 3$ ) means a degradation degree (see Fig. 7 for an intuitive view of the significance of these values). The computational codes are available at <https://github.com/asp1420/monogenic-cnn-illumination-contrast>.

In order to test each of all trained models about their generalization capacity, they were run not only on the original test set but also on the three modified versions of it consisting in adding the same three levels of haze.

254 All the experiments were carried out for 100 epochs, and learning rate of  
 255  $lr = 0.0001$ , no data augmentation, on the CTE-power 9 cluster of the Barcelona  
 256 Supercomputing Center with one Tesla V-100.

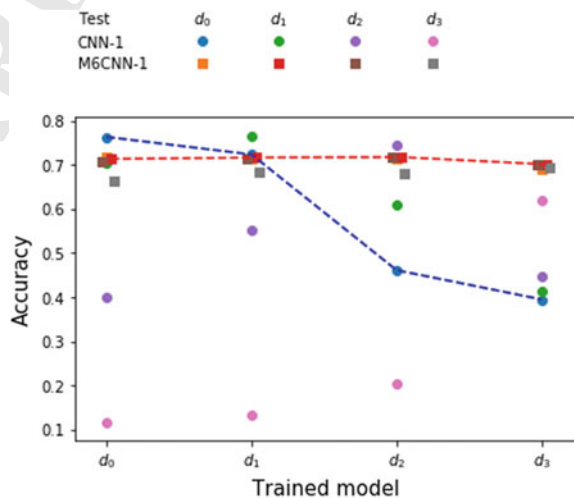
## 257 4 Results and Analysis

258 A synopsis of experimental results for CNN-1 and CNN-2 is reported in Fig. 9 for  
 259 CIFAR-10 a Fig. 10 for Cats and Dogs. Similarly, Fig. 11 summarizes the findings  
 260 for RESNET-20 in the case of CIFAR-10.

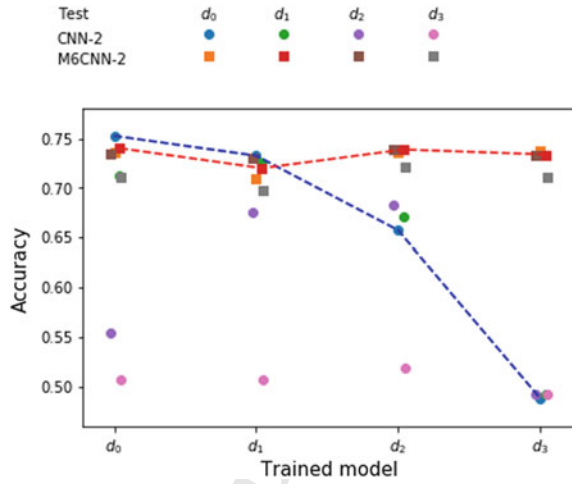
261 The general idea of the experimental design has been to train the nets under four  
 262 different degradation levels. These trained systems are represented on the horizontal  
 263 axes and labelled by the degradation labels  $d_j$  ( $j = 0, 1, 2, 3$ ). Each  $d_j$  is run on a set of  
 264 images not seen before and also presented in four degradation levels  $d_k$  ( $k = 0, 1, 2, 3$ ).  
 265 The observed accuracies are represented by coloured circles in the case of the basic  
 266 net and by coloured squares in the case of the corresponding monogenic-enhanced  
 267 net. Thus each of the three graphics quotes 32 accuracies, 16 for the basic net and  
 268 16 for the monogenic net.

269 The main finding is the resilience of each of the monogenic systems  $d_j$  with  
 270 respect to any of the degradations  $d_k$ , for the squares above  $d_j$  are clustered around  
 271 0.70 accuracy for all  $d_k$ . This contrasts with the wide dispersion of the circles above  
 272  $d_j$ , with a (to be expected) maximum when  $k = j$  and substantially lower values for  
 273  $k \neq j$ . To note, however, that the basic nets  $d_j$  perform slightly better just for the  
 274 degradation  $d_j$ , as shown by the top position of several of the corresponding circles  
 275 (in Fig. 9, for instance, blue circle for  $d_0 - d_0$ , green for  $d_1 - d_1$ , and magenta for  
 276  $d_2 - d_2$ ).

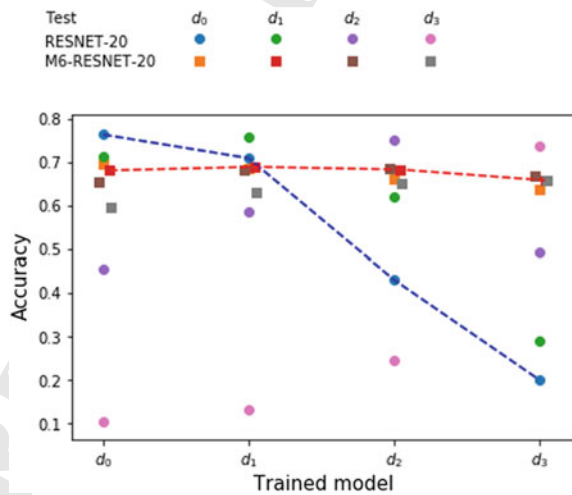
**Fig. 9** CIFAR-10 test data with different degradation using CNN1 models. See text for details



**Fig. 10** Dogs and Cats test data with different degradation models using CNN-2. See text for details

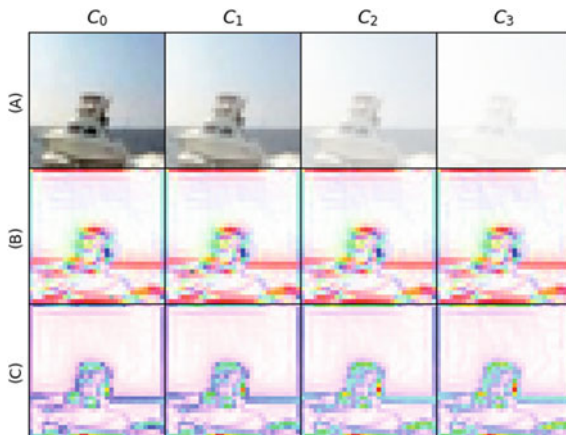


**Fig. 11** CIFAR-10 test data with different degradation models using RESNET-20. See text for details



277 Our experiments results are consistent with the theoretical invariance of the phase-  
 278 based feature detection to brightness and contrast transformations reported at [38,  
 279 42]. The M6 activation maps presented at Fig. 12 strengthened our confidence in that  
 280 the M6 is invariant to these transforms, due to the activation maps is visually the  
 281 same even with different levels of degradation.

**Fig. 12** Activation maps of M6. Row **A** present a one CIFAR-10 image with different degradation levels. Row **B** presents the activation map (local orientation) with different image degradation. Row **C** shows the local phase activation map with different input images



## 5 Conclusions

The context of this paper is the idea that geometric calculus has the potential to articulate novel and promising researches in deep learning.

In the explorations reported in this paper, we have used the quaternion calculus, which is the most straightforward geometric calculus beyond the complex calculus. More specifically, we have designed a front layer for CNNs that processes a monogenic signal by extracting phase and orientation signals and assembling them in an HSV space.

The experimental results with two different datasets and three CNNs confirm that the accuracy gained by using our layer has a substantially more robust performance when faced with severe illumination changes than the same nets without such a front layer.

We plan to continue the trail walked in this research by developing a front layer for CNNs that is resilient when faced with other transformations of the images, like rotations or even small deformations.

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## Appendices

### A. Quaternion Algebra

The quaternion algebra  $\mathbf{H}$  is a four dimensional real vector space with basis  $1, \mathbf{i}, \mathbf{j}, \mathbf{k}$ ,

$$\mathbf{H} = \mathbf{R}1 \oplus \mathbf{R}\mathbf{i} \oplus \mathbf{R}\mathbf{j} \oplus \mathbf{R}\mathbf{k} \quad (17)$$

endowed with the bilinear product (multiplication) defined by Hamilton's relations, namely

$$\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{i}\mathbf{j}\mathbf{k} = -1. \quad (18)$$

As it is easily seen, these relations imply that

$$\mathbf{i}\mathbf{j} = -\mathbf{j}\mathbf{i} = \mathbf{k}, \quad \mathbf{j}\mathbf{k} = -\mathbf{k}\mathbf{j} = \mathbf{i}, \quad \mathbf{k}\mathbf{i} = -\mathbf{i}\mathbf{k} = \mathbf{j}. \quad (19)$$

The elements of  $\mathbf{H}$  are named *quaternions*, and  $\mathbf{i}, \mathbf{j}, \mathbf{k}$ , *quaternionic units*. By definition, a quaternion  $q$  can be written in a unique way in the form

$$q = a + b\mathbf{i} + c\mathbf{j} + d\mathbf{k}, \quad a, b, c, d \in \mathbf{R}. \quad (20)$$

Its *conjugate*,  $\bar{q}$ , is defined as

$$\bar{q} = a - (b\mathbf{i} + c\mathbf{j} + d\mathbf{k}). \quad (21)$$

Note that  $(q + \bar{q})/2 = a$ , which is called the *real part* or *scalar part* of  $q$ , and  $(q - \bar{q})/2 = q - a = b\mathbf{i} + c\mathbf{j} + d\mathbf{k}$ , the *vector part* of  $q$ .

Since the conjugates of  $\mathbf{i}, \mathbf{j}, \mathbf{k}$  are  $-\mathbf{i}, -\mathbf{j}, -\mathbf{k}$ , the relations (18) and (19) imply that the conjugation is an *antiautomorphism* of  $\mathbf{H}$ , which means that it is a linear automorphism such that  $\overline{q'q'} = \bar{q}'\bar{q}'$ .

Using Hamilton's relations again, we easily conclude that

$$q\bar{q} = a^2 + b^2 + c^2 + d^2. \quad (22)$$

This allows to define the *modulus* of  $q$ ,  $|q|$ , as the unique non-negative real number such that

$$|q|^2 = q\bar{q}. \quad (23)$$

Observe that  $|qq'| = |q||q'|$ . Indeed,  $|qq'|^2 = qq'\overline{qq'} = qq'\bar{q}'\bar{q} = q|q'|^2\bar{q} = |q|^2|q'|^2$ .

Finally, for  $q \neq 0$ ,  $|q| > 0$  and  $q(\bar{q}/|q|^2) = 1$ , which shows that any non-zero quaternion has an inverse and therefore that  $\mathbf{H}$  is a (skew) field.

## 329 **B. The Atmospheric Scattering Model**

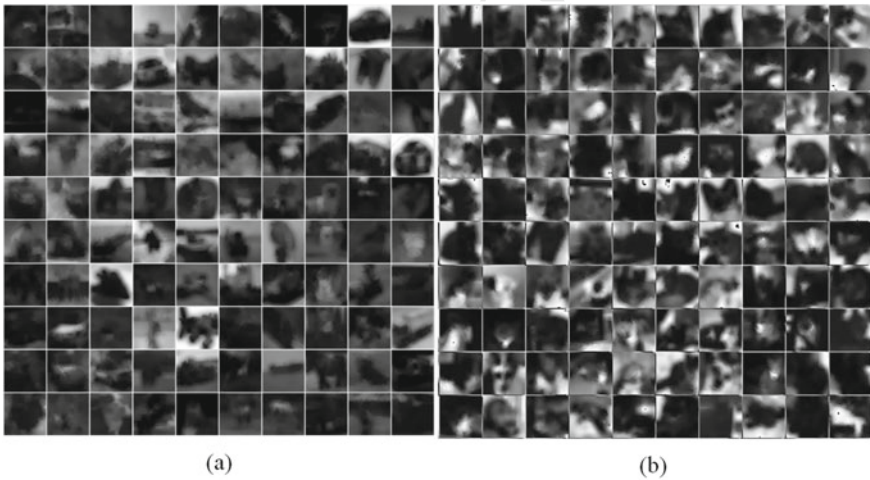
330 We have used the atmospheric scattering model in order to model the illumination and  
 331 contrast degradation of the images. The formation of a degraded image is modeling  
 332 using the atmospheric scattering model proposed by McCartney et al. [40], defined  
 333 as follows:

$$334 \quad I(x, y) = J(x, y)t(x, y) + A(1 - t(x, y)), \quad (24)$$

335 where  $I(x, y)$  is the measured image,  $J(x, y)$  is the original scene without affecta-  
 336 tions,  $A(r, g, b)$  is the illumination colour of atmospheric light, and  $t(x, y)$  is named  
 337 as transmission map, which can be defined in a homogeneous atmosphere as:

$$338 \quad t(x, y) = e^{-\beta d(x, y)}, \quad (25)$$

339 where  $\beta$  is the scattering coefficient of the atmosphere and  $d(x, y)$  is the scene depth.  
 340 An example of the estimated transmission map is presented in Fig. 13.



**Fig. 13** Transmission map estimation. **a** Transmission map over 100 images from CIFAR-10; **b** Transmission map over 100 images from Dogs and Cats dataset

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