

1 **8A constitutive model for the accumulated strain of unsaturated soil under**  
2 **high-cycle traffic loading**

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21

22 **Abstract**

23 The road base is normally situated above the water table and thus in unsaturated state. Experimental  
24 results show that the accumulated strains of the unsaturated road base aggregate under high-cycle  
25 traffic loads are significantly influenced by the matric suction. To predict the accumulated strain of  
26 unsaturated road base aggregate under high-cycle traffic loads, a constitutive model was developed  
27 based on the Barcelona Basic Model (BBM) and the shakedown concept. In this model, the  
28 shakedown and plastic creep boundaries of the aggregate under cyclic loads were supposed to exist  
29 and to have the same shape as the “static” yield surface in BBM. The strain accumulation rates were  
30 described as an exponential function of the distance between the peak cyclic stress point and the  
31 conjugated point at the current cyclic yield surface. An explicit calculation methodology was  
32 adopted to avoid large calculation errors and to improve the calculation efficiency of the model.  
33 Comparison between model predictions and testing results proved the accuracy of the proposed  
34 model, which can be used as a basic model to predict the long-term deformation of unsaturated road  
35 base aggregate under high-cycle traffic loads.

36 **KEYWORDS** strain accumulation, unsaturated soil, high-cycle loads, constitutive model,  
37 shakedown

38

## 39 1. INTRODUCTION

40 The road base and subbase layers are normally situated above the water table and thus in  
41 unsaturated state. Heath et al. (2004)<sup>19</sup> found that the matric suction could reach a significant value  
42 of 100 kPa in the road base and subbase layers. During the service lifespan, the road base aggregate  
43 may be fouled by fines resulting from factors such as particle breakage, invasion of external fines  
44 from surface cracks and subgrade pumping (Huang et al., 2009<sup>21</sup>; Alonso, 1998<sup>2</sup>). The inclusion of  
45 fines usually intensify the effects of suction on the road base performance. Therefore, it is necessary  
46 to investigate the influence of matric suction on the long-term deformation of unsaturated road base  
47 under high-cycle traffic loads.

48 Concerning experimental studies, Ekblad and Isacsson (2006)<sup>15</sup> improved a triaxial testing  
49 system for cyclic loading tests on unsaturated soil by installing a high-capacity suction probe to  
50 measure the matric suction during the cyclic loading test. The probe was inserted into the specimen  
51 after 20,000 load repetitions to avoid damaging the fragile ceramic tip. The measured matric suction  
52 was small (between 13 kPa and 20 kPa). It was found that coarse materials experienced a small  
53 reduction in resilient modulus (**within 10%**) when brought close to saturation, while specimens with  
54 an increased amount of fines responded with a substantial loss (**could reach more than 50%**) of  
55 resilient modulus upon saturation.

56 By applying the axis-translation method, Craciun and Lo (2010)<sup>14</sup> improved a large-scale  
57 triaxial test apparatus with a matric suction controller system. The system enabled the measurement  
58 of suction evolution during cyclic loading of an unsaturated road base aggregate. Ishikawa et al.  
59 (2014)<sup>22</sup> upgraded a large-diameter triaxial cell by adopting a high air entry value hydrophilic  
60 microporous membrane, instead of the more common ceramic disks, to reduce the equilibrium time  
61 in samples. However, they presented limited testing results of the accumulated deformation of the  
62 unsaturated aggregate under cyclic loads. Chen et al. (2018)<sup>12</sup> upgraded a large-scale triaxial  
63 apparatus with an unsaturated module and found that the increase of matric suction could lead to a  
64 decrease of accumulated deformation and an increase of resilient modulus of road base aggregate at  
65 the suction range tested. Gu et al. (2020)<sup>17</sup> investigated the accumulated strain of an unsaturated  
66 aggregate under ascending cyclic stress amplitudes. The plastic shakedown and plastic creep limits  
67 of the aggregate were identified based on shakedown theory. These limits were found to increase  
68 linearly with the matric suction.

69 These experimental results proved the influence of matric suction on the accumulated  
70 deformation of road base aggregates. However, few theoretical works are available on the long-term  
71 deformation of unsaturated road base aggregates under high-cycle loads based on the framework of  
72 unsaturated soil mechanics.

73 Alonso et al. (1990)<sup>3</sup> proposed a well-known elasto-plastic model, the Barcelona Basic Model  
74 (BBM), to describe the stress-strain behavior of unsaturated soil under monotonic load. This model  
75 extended the modified Cam-Clay model (Roscoe and Burland, 1968<sup>32</sup>) by incorporating a loading  
76 collapse yield locus (LC) accounting for the effect of suction on yielding. Later, research  
77 contributions were reported to address different materials, hydraulic interactions and computational  
78 techniques (*e.g.* Pereira et al., 2005<sup>31</sup>; Gens et al., 2006<sup>16</sup>; Sołowski & Gallipoli, 2010<sup>34</sup>; and Bolzon  
79 et al., 1996<sup>6</sup>). These models are applicable to stress-strain relationships under monotonic load.

80 To capture the strain accumulation of soil under cyclic loading, bounding surface models were  
81 developed by many researchers (*e.g.* Zienkiewicz et al., 1985<sup>39</sup>; Pastor et al., 1985<sup>28</sup>; Liang and Ma,  
82 1992<sup>25</sup>; and Khalili et al., 2005<sup>24</sup>). The plastic modulus was related to the distance between the  
83 current stress point and the conjugated stress point on the bounding surface. Later, Pedroso and  
84 Farias (2011)<sup>29</sup> extended the model to consider the effects of soil saturation on the strain  
85 accumulation under cyclic loading by introducing the BBM in a bounding surface framework. Bian  
86 and Shahrour (2009)<sup>5</sup> developed a cyclic elastoplastic constitutive model within the framework of  
87 the theory of Biot/Coussy. The theory accounted for the soil saturation on the response of a sandy  
88 soil to both monotonic and cyclic undrained loading paths. All of these models were shown to  
89 predict the accumulated deformation for a limited number of loading cycles due to high  
90 computational costs and errors in the process of repeated iterative steps, which made them non  
91 applicable to high-cycle traffic loads.

92 Traffic loadings are characterized by a high-cycle (several millions) and small stress amplitude  
93 (typically below 200 kPa in the road base). The road base is usually regarded as a purely elastic  
94 material under each traffic loading cycle, but non-negligible accumulated strains would be caused  
95 when the traffic cycles reach millions during the period of road operation (AASHTO, 2008). To  
96 avoid a step-by-step calculation of the entire loading history, Suiker and de Borst (2003)<sup>35</sup> proposed  
97 a constitutive model for ballast materials under high-cycle traffic loadings based on a shakedown  
98 concept. The model describes the envelope of permanent deformation generated during the cyclic

99 loading process. It was assumed that no permanent deformations would occur if the cyclic load  
100 level laid inside an elastic limit, and permanent deformations occurred when the elastic limit was  
101 exceeded. Niemunis et al. (2005)<sup>27</sup> and Wichtmann et al. (2009)<sup>38</sup> formulated an accumulation  
102 model for granular materials (the “Bochum” accumulation model) considering influence factors  
103 such as strain amplitude, average stress ratio, void ratio, and the change of the polarization of the  
104 strain loop. An explicit calculation method was applied without tracing the oscillating strain path  
105 during individual cycles. Karg and Haegeman (2009)<sup>23</sup> proposed another elasto-plastic long-term  
106 model by relating the rate of accumulated deformation to stress state, void ratio, and the cyclic  
107 stresses under the assumption of low cyclic stress amplitude with respect to the static part. The  
108 models mentioned provided a proper prediction of the long-term deformation of soil under  
109 high-cycle traffic loads. However, the effect of matric suction in unsaturated soil was not  
110 considered in these high-cycle strain accumulation models. As mentioned above (Chen et al.,  
111 2018<sup>12</sup>), the long-term deformation of unsaturated road base aggregate under high-cycle traffic  
112 loads is strongly affected by the matric suction. Therefore, to accurately predict the long-term  
113 deformation of road bases under cyclic traffic loads, there is a need to develop a high-cycle strain  
114 accumulation model capable of considering the effects of matric suction.

115 To meet this challenge, the present paper investigates the long-term deformation of unsaturated  
116 road base aggregates under high-cycle traffic loads by interpreting a comprehensive set of  
117 laboratory tests and developing an elasto-plastic explicit-calculation model, to consider the  
118 influence of matric suction in the prediction of the strain accumulation of road base aggregates.

119 Section 2 reports the results of large-scale cyclic triaxial tests on an unsaturated road base  
120 aggregate and the formulation of an extended BBM for strain accumulation under cyclic loading.  
121 Section 3 introduces an explicit calculation method. Then, model parameters are calibrated against  
122 experimental results in Section 4. In Section 5, a comparison between the predicted and  
123 experimental results validates the proposed strain accumulation model.

## 124 **2. STRAIN ACCUMULATION AND MODEL FORMULATION**

### 125 **2.1 Testing materials and test programs**

126 The testing materials for the road base and subbase were selected as crushed tuff aggregates.  
127 Road base aggregates are often fouled in practice by fines invasion from the top cracks or mud

128 pumping from subgrade, which could change significantly the water retention of road base  
129 aggregates. To simulate this effect, the crushed tuff aggregates were mixed with Kaolin clay at the  
130 dry mass ratio of 3%. This percentage of fines was determined in tests performed in Qianbing road  
131 after three years of operation. This road represents typical conditions of a road on soft clay in  
132 Eastern China. Fig. 1 shows the gradation curve of the mixture, which is classified as GW group  
133 according to the unified soil classification system (ASTM, D2487-17e1<sup>4</sup>).

134 The cyclic loading tests were conducted on the unsaturated road base aggregate in a large-scale  
135 tri-axial apparatus considering four different matric suctions (0 kPa, 30 kPa, 60 kPa and 90 kPa) and  
136 three cyclic deviatoric stress amplitudes (60 kPa, 100 kPa and 150 kPa) are selected to simulate  
137 different traffic weights, such as car, truck and bus. The loading cycle in the experiments is chosen  
138 as 50000 as a compromise between the equipment capacity and the cycles needed for the specimen  
139 to reach shakedown or collapse state. The details of the experiments can be found in Gu et al.  
140 2020<sup>16</sup>). The vertical cyclic loads were applied in a load-controlled fashion by the waveform  
141 represented in Fig. 2(a). The loading paths in the  $(p, q, s)$  space are shown in Fig. 2(b). The  
142 variables  $p$ ,  $q$  and  $q^{\text{ampl}}$  are defined as  $p=(\sigma_1+2\sigma_3)/3$ ,  $q=\sigma_1-\sigma_3$ ,  $q^{\text{ampl}}=\Delta\sigma_{1\text{max}}$ ,  $p^{\text{ampl}}=\sigma_3+q^{\text{ampl}}/3$ ,  
143 where  $\sigma_1$  and  $\sigma_3$  are the excess of principal stresses over pore air pressure in the vertical and radial  
144 directions (net stress), respectively, and  $\Delta\sigma_{1\text{max}}$  is the cyclic stress amplitude in the axial direction.  
145 The number of loading cycles  $N$  was set to 50000, and the loading frequency was 1 Hz.

146 It is true that the stress paths experienced by road bases during the traffic passage is more  
147 complicated than the paths imposed by a triaxial cyclic loading test, even if most of the existing  
148 studies used the triaxial loading tests to investigate the cyclic behavior of road base aggregates. In  
149 fact, both the normal and shear stress in the road base would vary during the passage of traffic and a  
150 principal stress rotation (PSR) would be induced. The hollow cylinder apparatus (HCA) can be used  
151 to simulate PSR-induced by moving traffic. Experiments with HCA on clay (Cai et al. 2019<sup>7</sup>)  
152 showed that the cyclic loading test, considering PSR, would induce more accumulated strain than  
153 the cyclic triaxial loading tests. However, the specimen used in HCA has a geometry ease to  
154 accommodate with clays but not so much for unbound granular materials. The main contribution of  
155 this study is to investigate the effects of matric suction on the accumulated strain of road base  
156 aggregates and to incorporate it in a constitutive model.

## 158 2.2 Strain accumulation model for unsaturated road base aggregate

159 It has been widely recognized that the accumulated strain of soil under different cyclic stress  
 160 amplitudes can be analyzed within the framework of shakedown theory (Sharp and Booker 1984<sup>33</sup>,  
 161 Collins and Boulbibane 2000<sup>13</sup>, Werkmeister et al. 2005<sup>37</sup>). Werkmeister et al. (2005)<sup>37</sup> proposed  
 162 that the cyclic response of road base or subbase courses could be classified into three ranges in  
 163 order of ascending cyclic deviatoric stress levels: plastic shakedown, plastic creep and incremental  
 164 collapse. When the cyclic stress amplitude is low, the accumulated rate of permanent strain  
 165 decreases as the loading cycles increase and eventually the accumulation of strains vanishes and the  
 166 soil is said to be entirely resilient; then the soil reaches a “plastic shakedown” state. As the cyclic  
 167 stress amplitude increases further, the permanent deformation keeps increasing at a small constant  
 168 rate; then the soil is said to be in a “plastic creeping” state. If the cyclic stress amplitude exceeds a  
 169 certain limit, the accumulated rate of permanent strain increases rapidly and the failure occurs  
 170 within a relatively low number of loading cycles; then it reaches the incremental collapse state. The  
 171 cyclic stress limits separating the plastic shakedown, plastic creep and incremental collapse ranges  
 172 are termed as "plastic shakedown limit" and "plastic creep limit", respectively.

173 Fig. 3 shows the development of plastic axial strain ( $\varepsilon_1^p$ ) versus the loading cycles  $N$  for  
 174  $q^{\text{ampl}}=60$  kPa, 100 kPa, and 150 kPa under different suction magnitudes,  $s$ . It is shown in Fig. 3(a)  
 175 for  $q^{\text{ampl}}=60$  kPa, that the plastic axial strains increase rapidly during the initial cyclic loading stage,  
 176 and then tend to stabilise as the load cycle  $N$  increases further, which indicates that the specimen  
 177 reaches the “shakedown” state. As the magnitude of cyclic load increases, the plastic axial strain  
 178 increases rapidly and the plastic strain of some specimens will keep increasing with  $N$  under certain  
 179 matric suctions. For  $q^{\text{ampl}}=100$  kPa, the plastic strain of the specimen under  $s=0$  kPa and 30 kPa  
 180 increases at given rate when  $N$  reaches 50000 cycles (“plastic creep” state) while the plastic strain  
 181 for  $s=60$  kPa and 90 kPa becomes nearly stable as  $N$  increases (“shakedown” state). For  $q^{\text{ampl}}=150$   
 182 kPa, the plastic strain of the specimen under  $s=0$  kPa, 30 kPa and 60 kPa **increases** at a  
 183 non-negligible rate when  $N$  reaches 50000 cycles (“plastic creep” state), while the plastic strain for  
 184  $s=90$  kPa becomes nearly stable as  $N$  reaches 50000 cycles (“shakedown” state). The details on how  
 185 to determine the shakedown limits of unsaturated road base aggregate under high-cycle loads can be

186 found in Gu et al. (2020)<sup>17</sup>, and it is found that the shakedown limits increase as the suction in the  
187 road base aggregated increases.

188 Based on the experiment results, the unsaturated aggregate would experience shakedown,  
189 plastic creep and incremental collapse state under ascending cyclic stress amplitudes, as sketched in  
190 Fig. 4(a). Then, the a plastic shakedown limit and a plastic creep limit are assumed to exist in a  $p$ - $q$   
191 space which divide the space into three regions: the shakedown region, the plastic creep region and  
192 the incremental collapse region, as shown in Fig. 4(b).

193 In order to facilitate the formulation of the model, the shapes of the plastic shakedown and  
194 plastic creep limits will be defined by ellipses, in parallel with the BBM framework. Two average  
195 net stresses,  $p_0^{\text{sh}}$  and  $p_0^{\text{p}}$ , define the position of the shakedown and plastic creep limits on a  $(p, q)$   
196 triaxial space, and the superscript “sh” and “p” denotes the shakedown state and plastic creep state,  
197 respectively. The average net stress,  $p_0$ , defines the position of the “static” yield surface (Fig. 4b).  
198 The limiting boundaries represented in Fig. 4(b) correspond to a given suction  $s$ . The three limiting  
199 curves are assumed to intersect with negative  $x$ -axis at the same point  $(-p_s, 0)$ ,  $p_s = ks$ , where  $k$  is a  
200 parameter describing the increase in cohesion with suction. The three curves intersect with the  
201 positive  $x$ -axis at  $(p_0^{\text{sh}}, 0)$ ,  $(p_0^{\text{p}}, 0)$ ,  $(p_0, 0)$ , respectively.

202 The accumulation of plastic cyclic strains will be determined by defining a “cyclic yield locus”  
203 which evolves from an initial cyclic yield locus, limiting an elastic region, towards the plastic  
204 shakedown limit. The hardening of this cyclic yield locus depends on the accumulated volumetric  
205 plastic strains. The plastic shakedown case is considered in Fig. 5(a). The applied cyclic stress peak  
206  $(p^{\text{ampl}}, q^{\text{ampl}})$  remains within the plastic shakedown domain. The cyclic yield surface passing through  
207  $(p^{\text{ampl}}, q^{\text{ampl}})$  defines the final position of the cyclic yield surface. This final position is defined by an  
208 isotropic net yield stress,  $p_0^{(F)}$ . The current cyclic yield surface for a given number of applied  
209 loading cycles spans the stress region between the initial cyclic yield surface and the final one, as  
210 plastic volumetric strains accumulate. The size of the current cyclic yield surface is determined by  
211 the isotropic net yield stress  $p_0^{(C)}$ .

212 An initial cyclic yield stress,  $p_0^{(I)}$ , defines the elastic region. The value of  $p_0^{(I)}$  depends on  
213 the soil density and suction. When the peak cyclic stress point  $(p^{\text{ampl}}, q^{\text{ampl}})$  is located inside the  
214 initial cyclic yield surface, only elastic deformations occur, and the initial cyclic yield surface and



215 the static yield surface remain stationary. In the present study,  $p_0^{e(1)}$  is chosen as the initial net  
 216 confining pressure  $\sigma_3$  of triaxial tests performed, for simplicity. When the peak cyclic stress point  
 217  $(p^{\text{ampl}}, q^{\text{ampl}})$  is outside the initial cyclic yield surface as shown in Fig. 5(a), plastic deformations  
 218 develop. The initial cyclic yield surface expands to the current cyclic yield surface due to  
 219 compaction effect of the cyclic loadings, until it reaches the final cyclic yield surface ( $p_0^{e(F)}$ ).

220 When the current cyclic yield surface coincides with the final cyclic yield surface, the model  
 221 formulation should make sure that no further plastic deformations occur, i.e. the accumulated  
 222 volumetric plastic strain rate becomes zero. This corresponds to a plastic shakedown behavior. It is  
 223 expected that the increase in density induced by the cyclic loading will expand the shakedown,  
 224 plastic creep and static yield domains, which is indicated in Fig. 5(a).

225 Consider now, in Fig. 5(b), the case leading to a progressive accumulation of plastic strains  
 226 during cyclic loading. The peak cyclic stress point  $(p^{\text{ampl}}, q^{\text{ampl}})$  is now located in the plastic creep  
 227 domain (region II). In this case, it will be accepted that the final cyclic yield surface will not exceed  
 228 the position of the shakedown surface. However, the model will predict that the loading cycles lead  
 229 to a (small) constant accumulation of plastic volumetric strains. Again, the accumulation of plastic  
 230 strains will expand the three limit states defined.

231 The experimental results on road base aggregates under cyclic loads at shakedown and plastic  
 232 creep ranges indicated that the permanent deformation increased with the increase of load cycles at  
 233 a declining rate (Cao et al. 2017<sup>9</sup>; Chen et al. 2018<sup>12</sup>; Gu et al. 2020<sup>17</sup>). Thus, it is assumed that the  
 234 rate of accumulated strain depends on the distance between the peak cyclic stress  $(p^{\text{ampl}}, q^{\text{ampl}})$  and  
 235 the stress point  $(\hat{p}, \hat{q})$  located on the current cyclic yield surface.  $(\hat{p}, \hat{q})$  is the intersection point  
 236 between the loading path and the current cyclic yield surface, as shown in Fig. 5.

237 The expressions  $\frac{p^{\text{ampl}} - \hat{p}}{p^{\text{ampl}} - \sigma_3}$  and  $\frac{q^{\text{ampl}} - \hat{q}}{q^{\text{ampl}}}$  define, in a normalized manner, the distance  
 238 between the peak mean and deviatoric cyclic stresses and their image on the current cyclic yield  
 239 locus. The rates of accumulated plastic volumetric and deviatoric strains ( $\varepsilon_v^p$ ,  $\varepsilon_q^p$ ) are defined by  
 240 the following equations:

$$241 \quad \frac{d\varepsilon_v^p}{dN} = B \left( \frac{p^{\text{ampl}} - \hat{p}}{p^{\text{ampl}} - \sigma_3} \right)^c \quad (1)$$

242 
$$\frac{d\varepsilon_q^p}{dN} = D \left( \frac{q^{\text{ampl}} - \hat{q}}{q^{\text{ampl}}} \right)^E \quad (2)$$

243 where,  $\varepsilon_v^p = \varepsilon_1^p + \varepsilon_2^p + \varepsilon_3^p$ ,  $\varepsilon_q^p = 2(\varepsilon_1^p - \varepsilon_3^p)/3$ ,  $\varepsilon_1^p$  and  $\varepsilon_3^p$  represent the plastic principal strains in  
 244 the vertical and radial direction, respectively.  $B, C, D, E$  are model parameters to be determined.

245 In selecting this structure for equations (1) and (2) it was recognized that the rate of change of  
 246 plastic strains with the number of cycles should be a small quantity. Since it is suggested that it will  
 247 be proportional to a stress ratio taking values in the range 0 to 1 (equations (1) and (2)) it was  
 248 thought that a power function in terms of exponents  $C > 1$  and  $D > 1$  would be convenient. In fact,  
 249 the powers  $C = 8.2$  and  $D = 8.6$  indicate the slow rate of plastic strain accumulation. Probably, in  
 250 view of the numerical values determined (8.2 and 8.6) a unique power coefficient could be  
 251 sufficiently accurate to predict the accumulation of volumetric and deviatoric strains. Coefficients  $B$   
 252 and  $D$  add some flexibility to the model.

253 The current cyclic yield surface containing the intersection point  $(\hat{p}, \hat{q})$  can be expressed as:

254 
$$\hat{q}^2 - M^2(\hat{p} + ks)(p_0^{e(C)} - \hat{p}) = 0 \quad (3)$$

255 where  $M$  represents the slope of critical state line. As the point  $(\hat{p}, \hat{q})$  is in the drained tri-axial  
 256 loading path, the following equation can be obtained:

257 
$$\hat{q} = 3(\hat{p} - \sigma_3) \quad (4)$$

258 Equation (3) and Equation (4) provide the point  $(\hat{p}, \hat{q})$ :

259 
$$\hat{p} = \frac{18\sigma_3 + M^2(p_0^{e(C)} - ks) + \sqrt{M^4(p_0^{e(C)} + ks)^2 + 36M^2(\sigma_3 + ks)(p_0^{e(C)} - \sigma_3)}}{18 + 2M^2} \quad (5)$$

260 
$$\hat{q} = \frac{54\sigma_3 + 3M^2(p_0^{e(C)} - ks) + 3\sqrt{M^4(p_0^{e(C)} + ks)^2 + 36M^2(\sigma_3 + ks)(p_0^{e(C)} - \sigma_3)}}{18 + 2M^2} - 3\sigma_3 \quad (6)$$

261 The volumetric hardening law in BBM for the current cyclic yield surface can be expressed as:

262 
$$\frac{dp_0^{e(C)*}}{p_0^{e(C)*}} = \omega_1 \frac{v}{\lambda(0) - \kappa} d\varepsilon_v^p \quad (7)$$

263 where the superscript (\*) refers to the saturated state,  $\omega_1$  is a hardening law parameter and  $p_0^{e(C)*}$   
 264 is the saturated isotropic yield stress for the current cyclic yield surface. Other parameters can be  
 265 found in the Notation list, which includes the parameters for BBM. The interpretation of BBM

266 parameters is given in the original reference (Alonso et al. 1990<sup>3</sup>).

267 The loading collapse yield curve of BBM, in the  $p$ - $s$  plane, allows the calculation of the  
 268 isotropic yield stress  $p_0^{e(C)}$  for a given suction  $s$ :

$$269 \quad p_0^{e(C)} = p_c \left( \frac{p_0^{e(C)*}}{p_c} \right)^{\frac{\lambda(0)-\kappa}{\lambda(s)-\kappa}} \quad (8)$$

270 where,  $p_c$  is a reference stress,  $\lambda(s)$  is the stiffness parameter for changes in suction for virgin states  
 271 of the soil.

272 Integrating both sides of the hardening law in Equation 7, the isotropic yield stress for the  
 273 current cyclic yielding surface  $p_0^{e(C)*}$  can be obtained as:

$$274 \quad p_0^{e(C)*} = \exp\left(\omega_1 \frac{v}{\lambda(0)-\kappa} \varepsilon_v^p + A\right) \quad (9)$$

275 where,  $A$  is a model parameter related to the preconsolidation pressure of initial cyclic yielding  
 276 locus at saturated state.

277 Substituting Equation (9) into Equation (8), the pre-consolidation pressure  $p_0^{e(C)}$  can be  
 278 expressed as:

$$279 \quad p_0^{e(C)} = p_c \left( \frac{\exp\left(\omega_1 \frac{v}{\lambda(0)-\kappa} \varepsilon_v^p + A\right)}{p_c} \right)^{\frac{\lambda(0)-\kappa}{\lambda(s)-\kappa}} \quad (10)$$

280 The specific volume  $v$  in Equation (9) can be further expressed as:

$$281 \quad v = (1 + e_0)(1 - \varepsilon_v^p) \quad (11)$$

282 where,  $e_0$  is the initial void ratio.

283 Taking equations (10) and (11) into equations (5) and (6), the equations for the intersection  
 284 point  $(\hat{p}, \hat{q})$  can be updated and  $p_0^{e(C)}$  is eliminated. Then through equations (1) and (2), the  
 285 volumetric and deviatoric strain rates at different loading cycles can be obtained.

286 When the peak cyclic stress point  $(p^{\text{ampl}}, q^{\text{ampl}})$  is located in the plastic shakedown domain, the  
 287 stress point  $(\hat{p}, \hat{q})$  will eventually reach the peak stress point  $(p^{\text{ampl}}, q^{\text{ampl}})$ , which implies that  
 288  $p_0^{e(C)} = p_0^{e(F)}$  and the rate of accumulation of plastic strains (equation (1) and (2)) will vanish.

289 Note that, since the peak cyclic stress point  $(p^{\text{ampl}}, q^{\text{ampl}})$  is on the final cyclic yield surface, the

290 following equations holds:

$$291 \quad q^{\text{ampl}2} - M^2(p^{\text{ampl}} + ks)(p_0^{\text{e(F)}} - p^{\text{ampl}}) = 0 \quad (12)$$

292 and the final isotropic net field stress is given by:

$$293 \quad p_0^{\text{e(F)}} = \frac{ksM^2 p^{\text{ampl}} + M^2 p^{\text{ampl}2} + q^{\text{ampl}2}}{M^2(ks + p^{\text{ampl}})} \quad (13)$$

294 For a cyclic stress point  $(p^{\text{ampl}}, q^{\text{ampl}})$  located in the plastic creep domain, the stress point  $(\hat{p}, \hat{q})$   
 295 at the final cyclic yield surface should not reach the point  $(p^{\text{ampl}}, q^{\text{ampl}})$  to avoid the cancellation of  
 296 plastic strains. Rather, it will be accepted that  $(\hat{p}, \hat{q})$  remains at the plastic shakedown limit for any  
 297 subsequent plastic straining.

298 The laboratory results, summarized in Fig. 3, indicate that the tested soils exhibited a stable  
 299 rate of plastic accumulated strains for the cases in the plastic creep range. This stable rates, which  
 300 may be determined by the tests for the high range of applied cycles (say, for  $N > 5000$  cycles), will  
 301 be denoted by  $\dot{\varepsilon}_{\text{v-sta}}^{\text{p}}$  and  $\dot{\varepsilon}_{\text{q-sta}}^{\text{p}}$ . These two stationary strain rates allow the determination of the  
 302 position of the plastic shakedown limit. In fact, in view of equations (1) and (2), the current stress  
 303 state for a stable plastic strain rate is given by:

$$304 \quad \hat{p} = p^{\text{ampl}} - (p^{\text{ampl}} + p_s) \left( \frac{\dot{\varepsilon}_{\text{v-sta}}^{\text{p}}}{B} \right)^{1/C} \quad (14)$$

$$305 \quad \hat{q} = q^{\text{ampl}} \left[ 1 - \left( \frac{\dot{\varepsilon}_{\text{q-sta}}^{\text{p}}}{D} \right)^{1/E} \right] \quad (15)$$

306 Then, the shakedown isotropic stress  $(p_0^{\text{sh}})$  is given by substituting  $\hat{p}$  and  $\hat{q}$  by Equation  
 307 (14) and Equation (15) for  $p^{\text{ampl}}$  and  $q^{\text{ampl}}$  in Equation (13), respectively. These relationships  
 308 complete the formulation of the model.

309 The traffic-induced cyclic stresses in road bases are relatively small and thus the incremental  
 310 collapse domain III is not considered in this paper.

### 311 3. EXPLICIT CALCULATION STRATEGY

312 Two different calculation strategies, implicit and explicit, are normally used to calculate the  
 313 long-term deformation of materials under large number of cyclic loadings. Equation (16) describes  
 314 the state of a system for a new cycle  $(N+1)$ .

315 
$$\Gamma(N+1)=f(\Gamma(N)) \quad (16)$$

316 In Equation (16),  $\Gamma(N)$  is the state of current cycle,  $N$ . This method was usually adopted in the  
 317 elasto-plastic multi-surface models (Mroz et al., 1978<sup>26</sup>; Chaboche, 1994<sup>11</sup>) and hypoplastic models  
 318 (Von Wolffersdorff, 1996<sup>36</sup>). The implicit method requires large computational times, which may  
 319 result in high computation errors due to a large number of iterative steps, thus it is suitable for small  
 320 number of loading cycles.

321 The explicit method is more suitable to calculate the long-term deformation of materials under  
 322 a large number of cyclic loadings (Suiker and de Borst 2003<sup>35</sup>; Wichtmann et al. 2009<sup>38</sup>; Karg and  
 323 Haegeman 2009<sup>23</sup>). Fig. 6 shows the schematic diagram for the explicit method. In the explicit  
 324 methodology, only a few representative cycles are selected to be calculated implicitly. The cycles  
 325 between two implicit cycles are regarded as explicit parts. The state change during explicit parts are  
 326 written as:

327 
$$\Gamma(N+\Delta N)=\Gamma(N)+\Delta N \cdot \Delta \Gamma(N) \quad (17)$$

328 where  $\Delta N$  is a given increment of loading cycles.  $\Delta \Gamma(N)$  is the state increment calculated at the  $N$ th  
 329 cycle.

330 In the present study, the explicit method was adopted. The rate of accumulated volumetric  
 331 strain  $\frac{d\varepsilon_v^p}{dN}$  and deviatoric strain  $\frac{d\varepsilon_q^p}{dN}$  for the  $N$ th implicit cycle can be calculated through Equation  
 332 (1) and Equation (2). Then the final volumetric and deviatoric strain can be obtained through:

333 
$$\varepsilon_v^p(N+\Delta N)=\varepsilon_v^p(N)+\Delta N\left(\frac{d\varepsilon_v^p}{dN}\right) \quad (18)$$

334 
$$\varepsilon_q^p(N+\Delta N)=\varepsilon_q^p(N)+\Delta N\left(\frac{d\varepsilon_q^p}{dN}\right) \quad (19)$$

335 The first few cycles are usually not stable due to experimental difficulties. In the present study,  
 336 the 10th cycle becomes stable, which is selected to be the first implicit cycle in the calculation. In  
 337 the calculations presented below  $\Delta N$  represents 1000 loading cycles.

#### 338 4. MODEL CALIBRATION

339 Alonso et al (1990)<sup>3</sup> describe the procedure to derive the BBM model parameters when suction

340 controlled tests are available. The parameters  $\lambda(0)$ ,  $\kappa$ ,  $p_c$ ,  $r$  and  $\beta$  in the present study are obtained  
341 through the isotropic drained compression test (loading and unloading) in a triaxial apparatus at the  
342 suction values of 0 kPa and 30 kPa. The parameters  $k$  and  $M$  are obtained through monotonic shear  
343 tests at different suctions. Figure 7 shows the results of the isotropic and triaxial tests performed to  
344 determine the BBM parameters, which are collected in Table 1.

345 In order to calibrate the parameters in the strain accumulation model, Fig. 8 presents the  
346 volumetric and deviatoric plastic strain rates (average rate of every 1000 cycles) versus the  
347 accumulated plastic volumetric strains derived from the testing results under  $q^{\text{ampl}} = 100$  kPa, a  
348 confining stress of 40kPa and four different suctions. Through the measured results in Fig. 8, the  
349 model parameters ( $A$ ,  $B$ ,  $C$ ,  $D$ ,  $E$ ) can be calibrated by fitting the results (see Table 2).

350 The zero-suction experiments for the deviatoric strains are not correctly fitted. There may be  
351 two reasons for this discrepancy. The first reason is that the testing procedure for the saturated case  
352 is quite different from the unsaturated one. Samples were saturated by means of a high back  
353 pressure, by injecting CO<sub>2</sub> into the water for 6 hours, then increasing the back pressure until the  
354 Skempton's pore pressure parameter  $B > 0.95$  was achieved. The suction in unsaturated samples  
355 was induced by an axis-translation technique, and it takes time to reach the soil-water equilibrium  
356 before the cyclic loading test can be started. It is believed that the different testing procedure would  
357 bring some difference in the results. The second reason concerns the calibration procedure, since the  
358 model is mainly calibrated by the four tests on unsaturated samples.

359 Fig. 9 presents, for the set of parameters given in Tables 1 and 2, the predicted and measured  
360 volumetric and deviatoric strains under  $q^{\text{ampl}} = 100$  kPa versus the number of loading cycles,  $N$ . The  
361 predicted and measured strains agree reasonably well for the range of applied suctions (0 to 90 kPa,  
362 a range of suctions typically found in road bases).

## 363 5. MODEL VALIDATION

364 Two large-scale cyclic triaxial were conducted under two different suctions,  $s = 45$  kPa and 75  
365 kPa ( $\sigma_3 = 40$  kPa and  $q^{\text{ampl}} = 100$  kPa) to validate the applicability of the proposed model under  
366 different matric suctions. Fig. 10 shows a comparison between testing and predicted results with the  
367 same model parameters. It is shown that the predicted and measured results agree well.

368 In addition, to verify the applicability of the proposed model under different cyclic stress  
369 amplitudes, the calculated accumulated strains under stresses well below ( $q^{\text{ampl}} = 60$  kPa) and above  
370 ( $q^{\text{ampl}} = 150$  kPa) the calibrating deviatoric stress ( $q^{\text{ampl}} = 100$  kPa) are compared with experimental  
371 results.

372 For  $q^{\text{ampl}} = 60$  kPa, as shown in Fig. 11 and Fig.12, the strain rate and the accumulated strain with  
373 load cycles can be predicted reasonably well by the proposed model. For the case of  $q^{\text{ampl}} = 150$  kPa  
374 as shown in Fig. 13 and Fig. 14, the proposed model can also predict satisfactorily the strain rate  
375 and strain accumulation with the number of cycles.

## 376 6. CONCLUSIONS

377 Based on the experimental results of the accumulated strain of unsaturated road base aggregate  
378 under high-cycle loads, a strain accumulation model was proposed to calculate the accumulated  
379 strain of unsaturated road base aggregate under cyclic loads. The model combines two reference  
380 theories: the elasto-plastic framework of the BBM model and the shakedown concept.

381 The accumulated strain rate was described as an exponential function of the distance between the  
382 cyclic peak stress point and the image point at the current cyclic yield surface. Then, an  
383 explicit-calculation method was adopted to improve the calculation precision and efficiency when  
384 dealing with high-cycle traffic loadings.

385 The results of a series of long term cyclic triaxial tests performed (50000 cycles) at four suction  
386 levels (0, 30, 60 and 90 kPa) and at a common confining and cyclic stress, allowed the estimation of  
387 model parameters.

388 The capability of the model was checked against long term cyclic triaxial tests performed at other  
389 suction levels and different cyclic stress amplitudes. The agreement between model and testing  
390 results was quite satisfactory. This exercise provided a validation for the model. The comparison  
391 was made in terms of measured and computed volumetric and deviatoric strains. It is concluded that  
392 the explicit calculation procedure and the theoretical basic model can predict the long-term  
393 deformation of unsaturated road base aggregate reasonably well in the range of matric suctions and  
394 cyclic loadings considered. **The model is strictly valid for triaxial conditions. However it can be  
395 generalized without difficulties to a three-dimensional stress state. Then, it can be applied to  
396 analyze the accumulation of strains of road bases under the application of high-cycle traffic load**

397 **conditions** ~~The model can serve as a basic tool to calculate the accumulated strain of unsaturated~~  
398 ~~road base aggregates under high cycle traffic loads.~~

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402

#### 403 **CONFLICT OF INTEREST**

404 There are no competing interests in this paper.



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498 **List of captions**

499 **Table captions**

500 **TABLE 1** Basic model parameters for BBM

501 **TABLE 2** Parameters for the strain accumulation model

502

503 **Figure captions**

504 **FIGURE 1** Gradation curves of tuff aggregates and kaolin clay

505 **FIGURE 2** Applied cyclic stress

506 **FIGURE 3** Cyclic triaxial tests on unsaturated crushed tuff aggregates. Accumulated axial strain  
507 versus  $N$  under different suction

508 **FIGURE 4** Three categories of cyclic behaviors within shakedown theory. a) Accumulation of  
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510 **FIGURE 5** Extended BBM for cyclic loading

511 **FIGURE 6** Schematic diagram of explicit method

512 **FIGURE 7** Test results for BBM parameter calibration

513 **FIGURE 8** Accumulated strain rate versus accumulated volumetric strain,  $q^{\text{ampl}} = 100\text{kPa}$ .

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516 **FIGURE 11** Accumulated strain rate versus accumulated volumetric strain,  $q^{\text{ampl}} = 60\text{kPa}$ .

517 **FIGURE 12** Accumulated volumetric and deviatoric strains with the number of cycles,  $q=60\text{ kPa}$

518 **FIGURE 13** Accumulated strain rate versus accumulated volumetric strain,  $q^{\text{ampl}} = 150\text{kPa}$ .

519 **FIGURE 14** Accumulated volumetric and deviatoric strains with the number of cycles,  $q=150\text{ kPa}$

520

**TABLE 1** Basic model parameters for BBM

$\lambda(0)$	$\kappa$	$p_c$ (kPa)	$e_0$	$k$	$r$	$\beta$ (MPa <sup>-1</sup> )	$M$
0.01	0.002	40	0.345	0.65	0.8	12.5	1.72

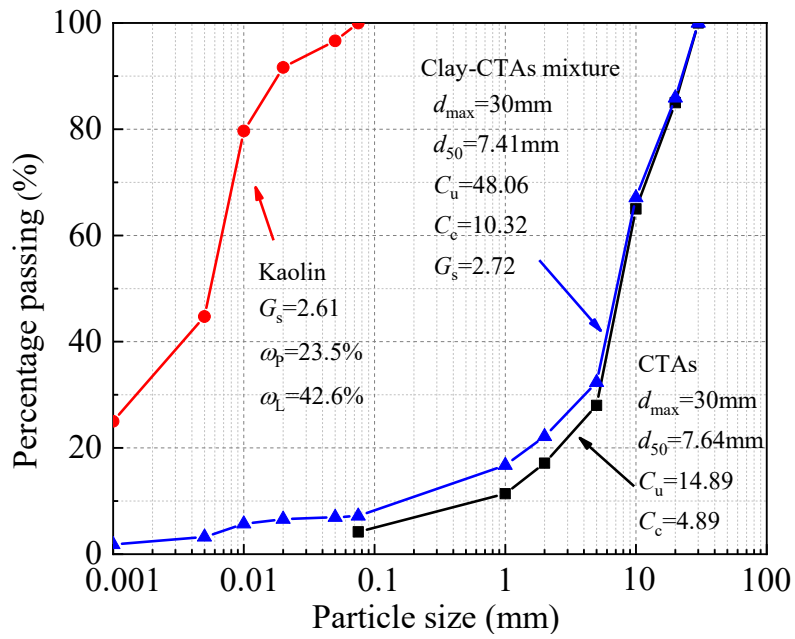
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**TABLE 2** Parameters for the strain accumulation model

$A$	$B$	$C$	$D$	$E$	$\omega_1$	$p_0^{e(t)}$
5.6	1	8.2	0.34	8.6	3.5	40.0 kPa

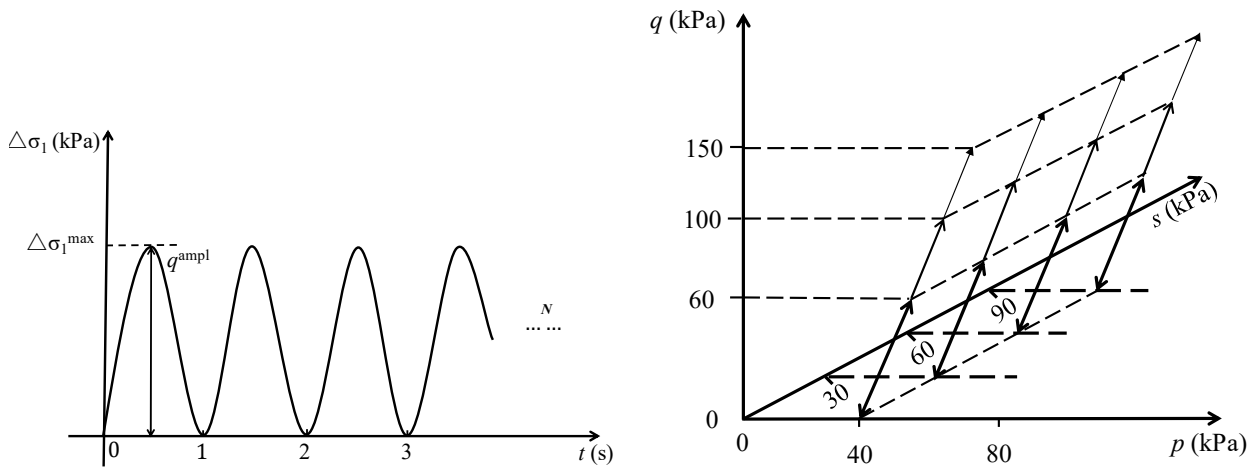
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**FIGURE 1** Gradation curves of tuff aggregates and kaolin clay



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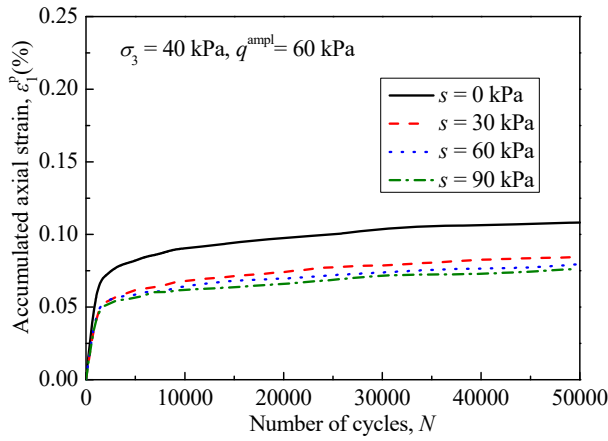
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(a) Wave form of the cyclic stress

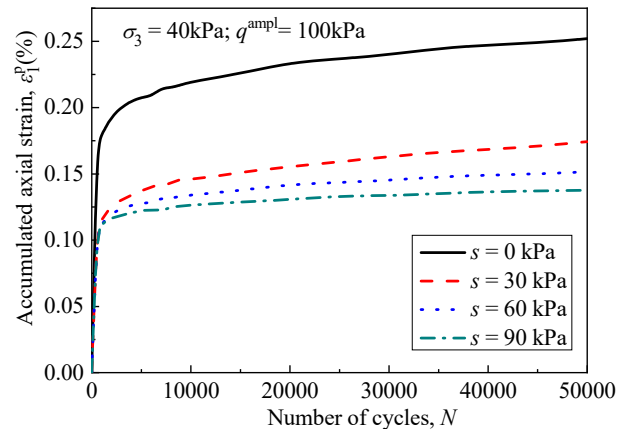
(b) Stress paths in a  $(p, q, s)$  space.

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**FIGURE 2** Applied cyclic stress



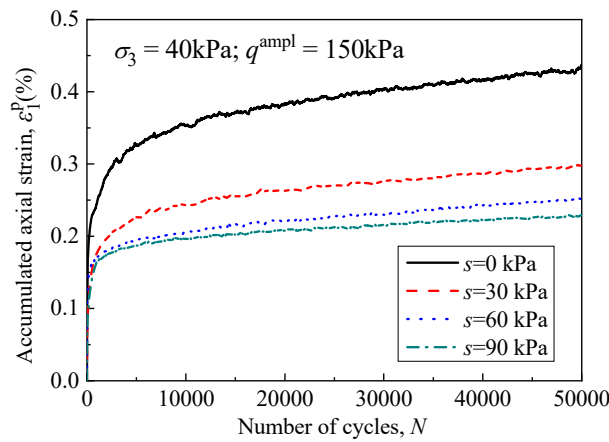
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530

(a)  $q^{\text{ampl}} = 60\text{kPa}$

(b)  $q^{\text{ampl}} = 100\text{kPa}$



531

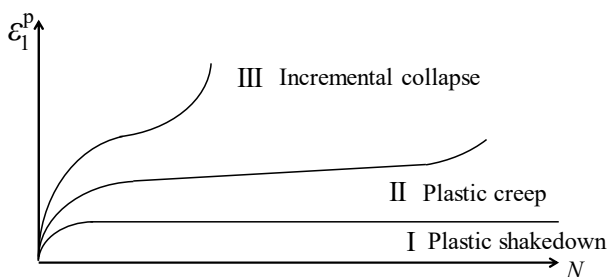
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(c)  $q^{\text{ampl}} = 150\text{kPa}$

533

534

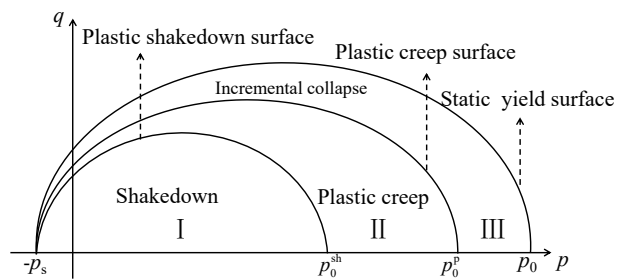
**FIGURE 3** Cyclic triaxial tests on unsaturated crushed tuff aggregates. Accumulated axial strain versus  $N$  under different suction



535

536

(a)



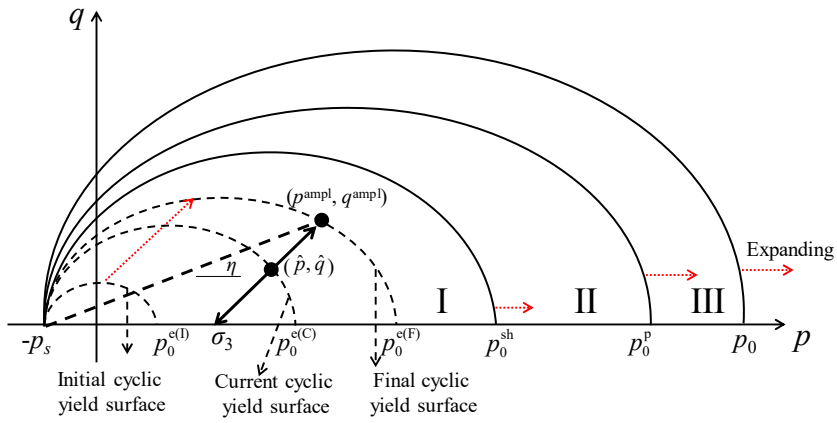
(b)

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538

**FIGURE 4** Three categories of cyclic behaviors within shakedown theory. a) Accumulation of plastic strain in terms of  $N$ . b) Deformation regions in triaxial stress space for a given suction

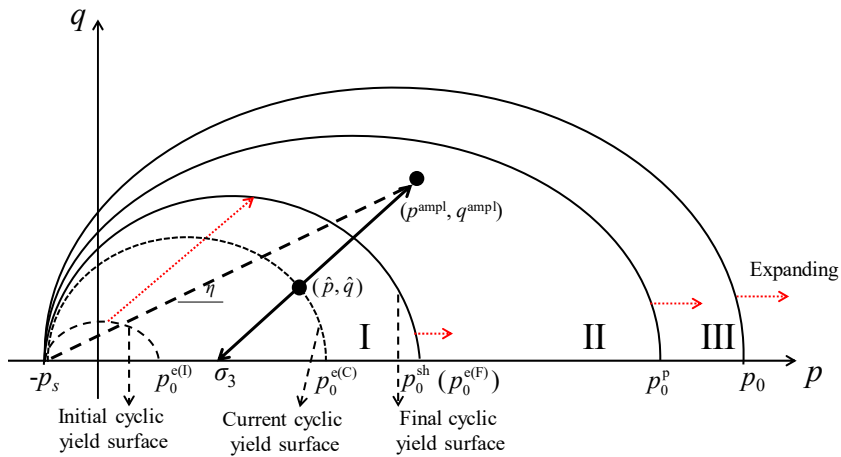




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(a) Cyclic stress in section I (shakedown)



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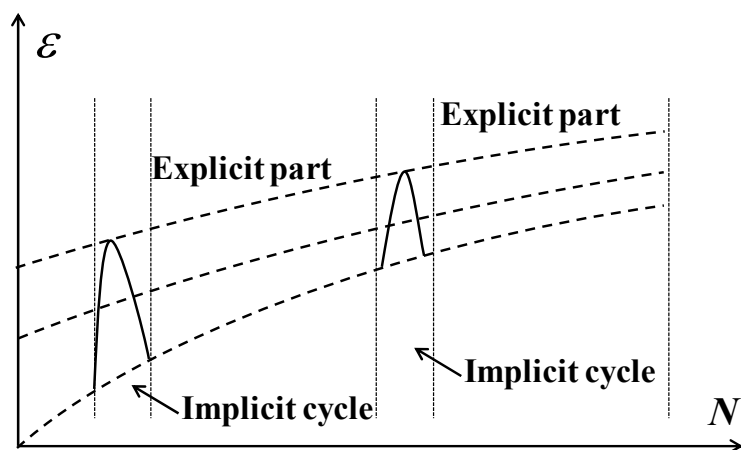
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(b) cyclic stress in section II (plastic creep)

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**FIGURE 5** Extended BBM for cyclic loading

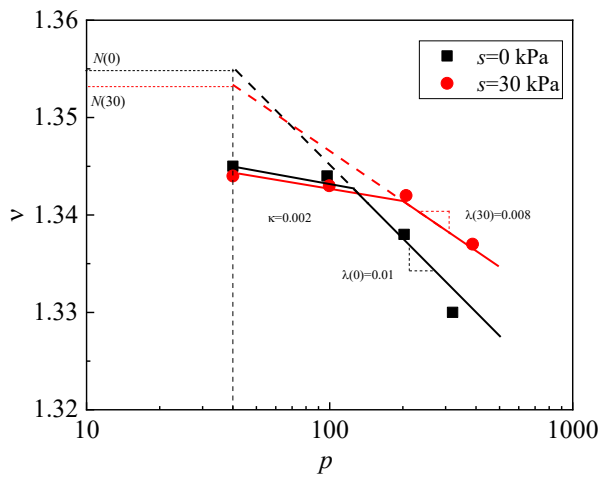
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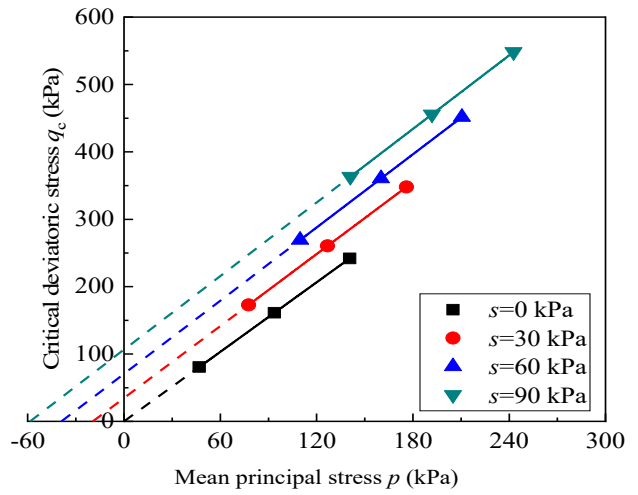
**FIGURE 6** Schematic diagram of explicit method



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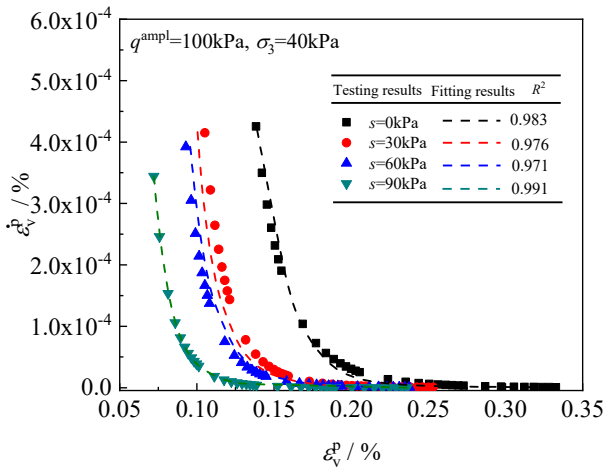
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(a) compression test



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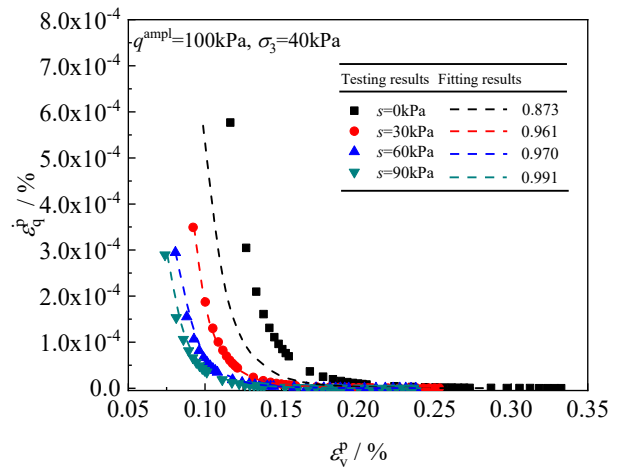
FIGURE 7 Test results for BBM parameter calibration



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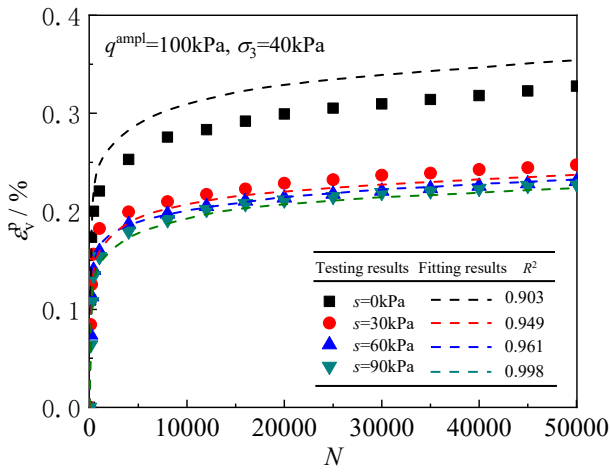
(a) volumetric strain rate



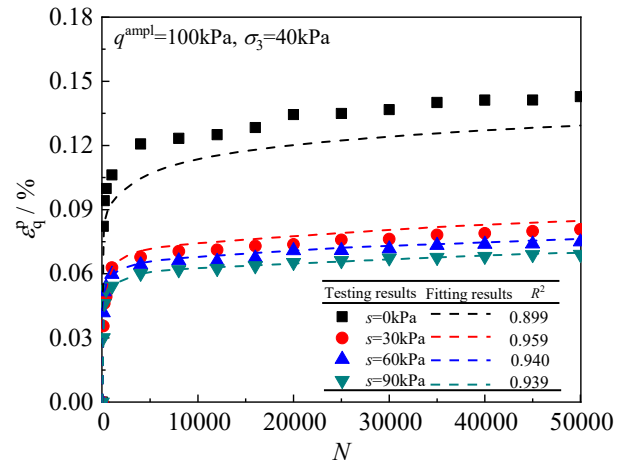
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FIGURE 8 Accumulated strain rate versus accumulated volumetric strain,  $q^{\text{ampl}} = 100\text{kPa}$ .

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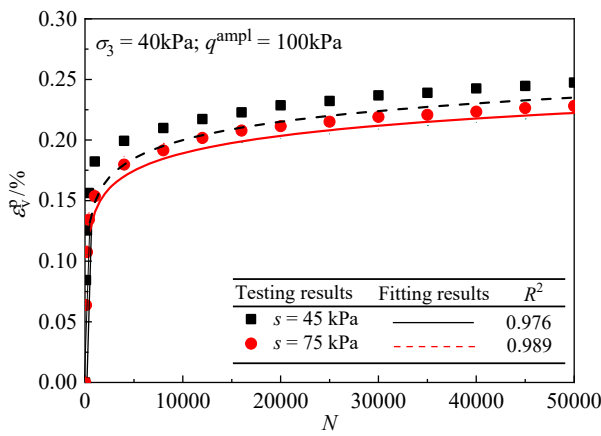
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(a) volumetric strain

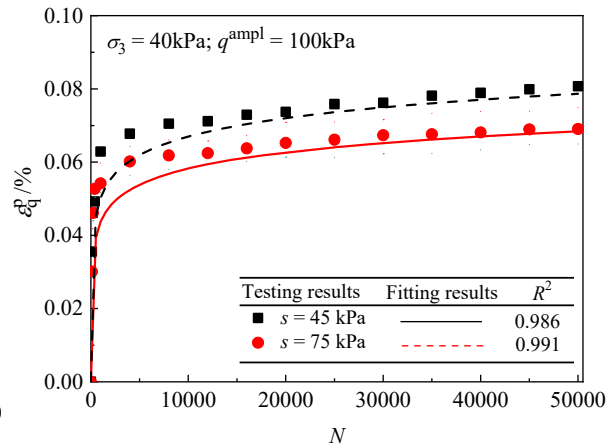
(b) deviatoric strain

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**FIGURE 9** Comparison between predicted and tested accumulated strain for  $q=100$  kPa.



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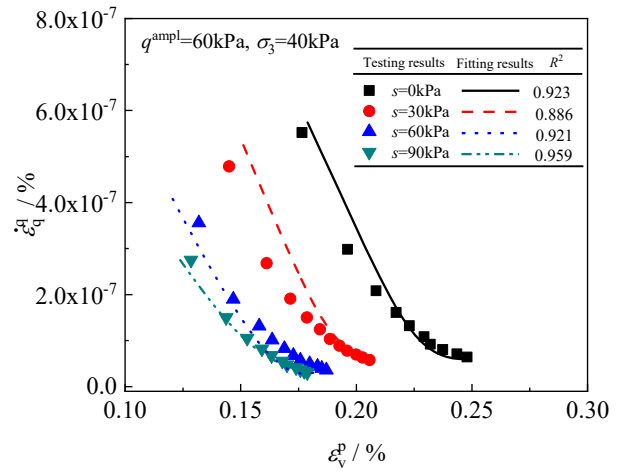
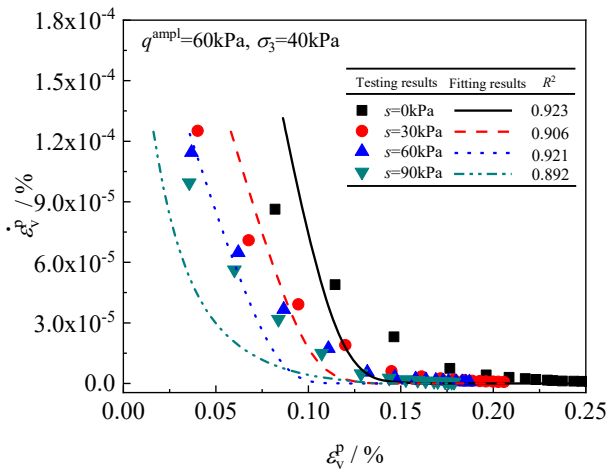
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(a) Accumulated volumetric strain

(b) Accumulated deviatoric strain

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**FIGURE 10** Model validation for  $s=45$  kPa and  $75$  kPa



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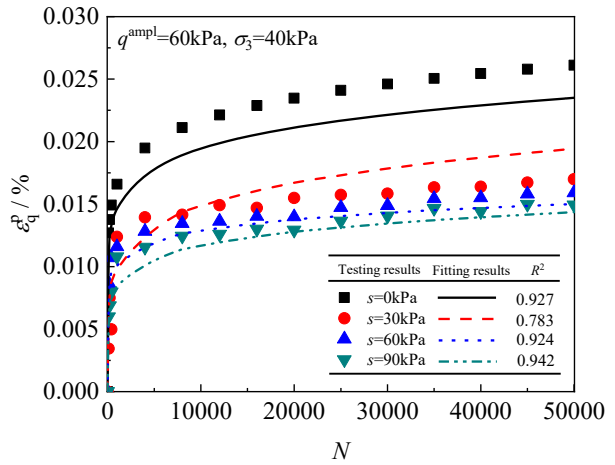
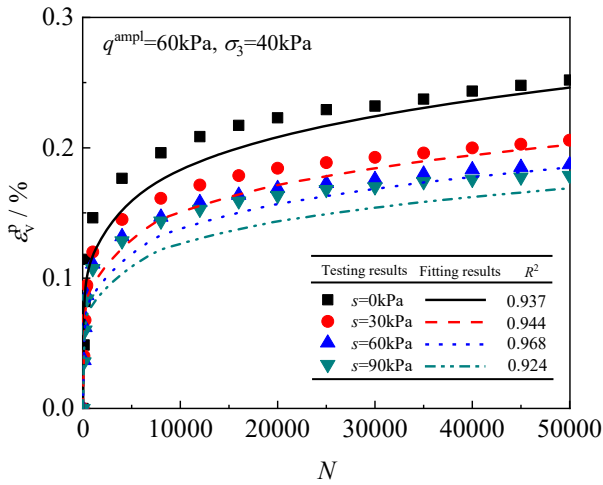
(a) volumetric strain rate

(b) deviatoric strain rate

562

563

**FIGURE 11** Accumulated strain rate versus accumulated volumetric strain,  $q^{\text{ampl}} = 60$  kPa.



564

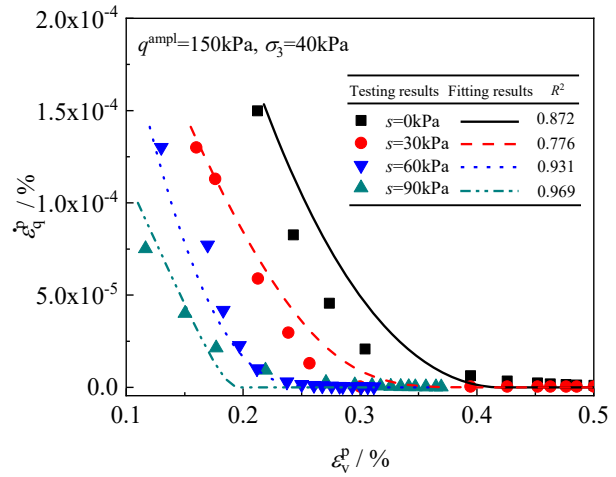
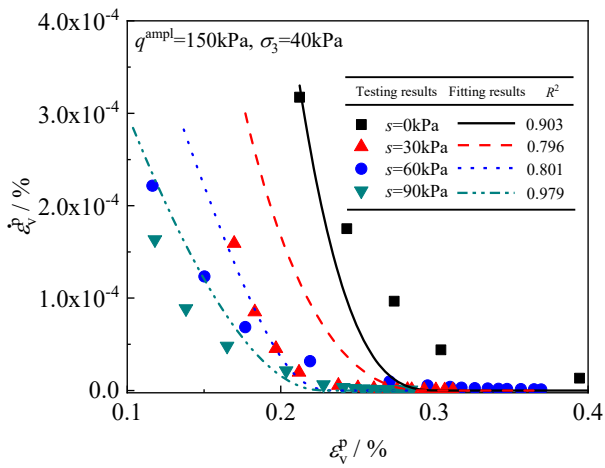
(a) volumetric strain

(b) deviatoric strain

565

566

**FIGURE 12** Accumulated volumetric and deviatoric strains with the number of cycles,  $q=60$  kPa



568

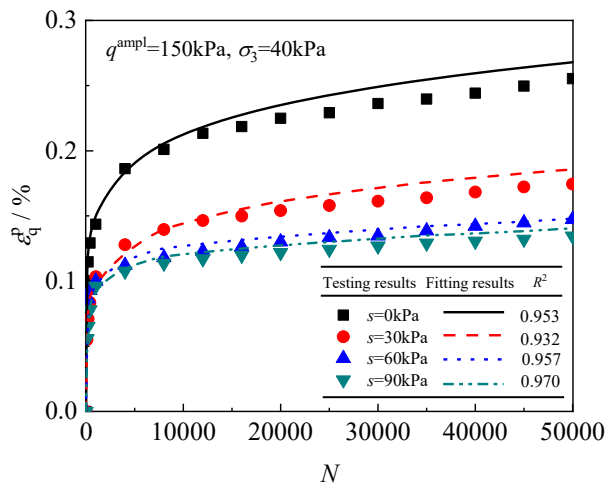
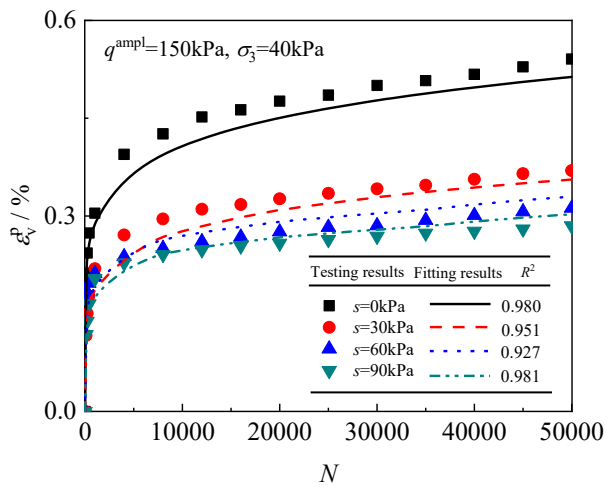
(a) volumetric strain rate

(b) deviatoric strain rate.

569

570

**FIGURE 13** Accumulated strain rate versus accumulated volumetric strain,  $q^{\text{ampl}} = 150\text{kPa}$ .



571

(a) volumetric strain

(b) deviatoric strain

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**FIGURE 14** Accumulated volumetric and deviatoric strains with the number of cycles,  $q=150\text{ kPa}$