

1 **CIRCULAR ECONOMY OF POST-CONSUMER TEXTILE WASTE: CLASSIFICATION THROUGH**
2 **INFRARED SPECTROSCOPY**

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9

10 **ABSTRACT (2000 chars with spaces)**

11 The textile and fashion industry is amongst the most resource-intensive and polluting industries,
12 thus impacting the natural environment. During the last decades, there has been an increase in the
13 manufacturing of textiles. Europe consumes large amounts of textiles and clothing due to the
14 current “buy-and-throw-away” culture, so it is crucial to minimize the environmental footprint of
15 the textile and fashion industry. To this end, fashion and textiles should be part of a circular
16 economy, thus extending the life of textiles and clothes, while retaining textile fibers within a closed
17 circuit. There is a need of increasing textile recycling and reuse to minimize the production of virgin
18 textile fibers. However, textiles are mostly sorted manually, thus to process huge volumes of
19 materials and reduce the associated costs, automated sorting systems are required. This paper
20 presents an approach for the sensing and classifying parts of an automatic waste-textile-sorting
21 machine. To this end, the infrared spectra of the textile samples is analyzed and, by applying suitable
22 statistical multivariate methods specially designed to solve classification problems, 100%
23 classification accuracy of unknown fiber samples is reached. The results allow predicting that textile-

24 fibers can be automatically classified with 100% accuracy at high speed, with no need to apply any
25 prior analytical treatment to the textile samples.

26 **Keywords:** textile fibers, textile sorting, multivariate analysis, Infrared spectroscopy, classification,
27 pattern recognition

28

29 **NOMENCLATURE**

ATR	Attenuated total reflection
CV	Canonical variate
CVA	Canonical variate analysis
CNN	Convolutional neural network
ELM	Extreme learning machine
FT-IR	Fourier transform infrared
IR	Infrared
k-NN	<i>k</i> nearest neighbors
LDA	Linear discriminant analysis
MLP	Multi-layer perceptron
NIR	Near infrared
PC	Principal component
PCA	Principal component analysis
PLS	Partial least squares
SIMCA	Soft independent modeling of class
SVM	Support vector machines

30

31 **1. INTRODUCTION**

32 Circular economy is a new concept to help the society’s change towards a more sustainable
33 economy. This change needs to re-think and re-design production and consumption patterns to
34 avoid environmental impacts and maintain natural resources as long as possible in the technosphere
35 (Gaustad et al., 2018; Simon, 2019).

36 The current linear model (extraction of resources, production, use and landfilling) is not sustainable,
37 as the resources are limited and there is an ever growing demand (Suárez-Eiroa et al., 2019).
38 Opposite to this linear system, the aim of the circular economy is to provide maximum utility and
39 value of products, components, and materials (The Ellen MacArthur Foundation, 2012).

40 Efforts on deeper implementation of circular economy are nowadays made in several industrial
41 activities, such as packaging (Navarro et al., 2018; Civancik-uslu et al., 2019), agriculture and food
42 (Principato et al., 2019; Teigiserova et al., 2019) or textile (Esteve-Turrillas and de la Guardia, 2017;
43 Yousef et al., 2019).

44 The textile and fashion industry is amongst the most polluting and resource-intensive industries due
45 to the great consumption of water, energy and chemicals, thus affecting the natural environment.
46 The growth of the global population has led to an overall increase in the manufacturing of textiles.
47 European countries consume large amounts of clothes and textiles as a result of the current “buy-
48 and-throw-away” culture. Thus, clothing represents the fourth most environmentally harmful
49 consumption area, after housing, transport and food (NCM, 2015). Therefore, this trend should be
50 reversed for the sake of economy and environmental aspects. It is mandatory to minimize the
51 environmental and social footprint related to Europe’s textile production and consumption while
52 improving its sustainability (Roos et al., 2015). To achieve these objectives, much work is required
53 at regional, national, and international levels so that textiles must be part of a circular economy, in

54 order to extend product life and preventing hazardous substances. This strategy should allow using
55 textiles again and again as part of a toxic-free cycle (Reichel et al., 2014).

56 According to Shen et al. (Shen et al., 2010), 63% of textile fibers are derived from petrochemicals,
57 thus giving rise to greenhouse gas emissions due to production and use. The remaining 37% includes
58 cotton (24%), a plant requiring large amounts of water (Micklin, 2007) and pesticides (FAO-ICAC,
59 2015), which contribute to toxic pollution (Bevilacqua et al., 2014). Thus, the recycling of cotton is
60 also extremely important (Esteve-Turrillas and de la Guardia, 2017).

61 Processes such as dyeing (Terinte et al., 2014), finishing or printing, produce toxic emissions as well
62 (Swedish Chemicals Agency, 2014), and the manufacturing processes related to textiles usually rely
63 on the use of fossil energy, thus generating greenhouse gas emissions (Roos et al., 2015). According
64 to the Swedish Chemicals Agency, textile production includes around 2,450 different chemicals,
65 1,150 of which being classified as hazardous, so they are of potential risk for the environment and
66 consumers during the use of the textiles (Swedish Chemicals Agency, 2014).

67 As said, water use, greenhouse-gases emissions, toxic chemicals and waste are the main
68 environmental problems that the textile industry needs to face (Allwood, 2006).

69 To significantly reduce the environmental and social footprint of the Europe's textile industry,
70 radical changes are required, especially in the way in which textiles and clothes are designed,
71 produced, traded, used and recirculated (Sandin and Peters, 2018). Fashion and textiles should be
72 part of a circular economy, thus allowing textiles and clothes life to be extended, to retain textile
73 fibers within a closed circuit, so that they can be used again and again (Dahlbo et al., 2017).

74 Research publications (Hole and Hole, 2019) support the fact that textile recycling and reuse in
75 general reduce environmental impact compared to landfilling and incineration. Therefore, there is
76 a growing regulatory interest to increase textile reuse and recycling, which is consistent with the

77 European Union directive on waste (DIRECTIVE 2008/98/EC, 2008). Better reuse and recycling of
78 textiles can lessen virgin textile fibers production (Spathas, 2017).

79 Textile reuse involves different strategies, including trading, swapping, borrowing, renting or
80 inheriting. This can be facilitated by flea markets, second hand shops, garage sales, charities, online
81 marketplaces or clothing libraries among others.

82 Textile recycling usually involves a reprocessing stage of pre- or post-consumer textile waste for
83 being used in new products, both textile or non-textile. Routes for textile recycling can be classified
84 as chemical (depolymerization of polymeric fibers or dissolution of natural fibers), mechanical
85 (pretreatment) or thermal (conversion of PET pellets, chips or flakes into fibers by melt extrusion)
86 (Spathas, 2017).

87 Nowadays low recycling rates are achieved from post-consumer textile waste (Sandin and Peters,
88 2018). Large proportions of used natural or synthetic materials are often discarded as waste, going
89 to landfills instead of processed for reuse or recycling. This is mainly due to lack of specific collection
90 for post-consumer textile waste, the complexity to separate the different discarded textile materials
91 and the costs associated to sorting important volumes (Dahlbo et al., 2017).

92 Currently, textiles are sorted mostly manually. However, this has many drawbacks, including high
93 cost, low speed operation and the impossibility of a full automation, which is required to process
94 huge volumes of materials (Nørup et al., 2019).

95 Although some sorting machines are found in the market, conventional methods and systems for
96 sorting are usually incapable to classify different textile materials, or they require inputs from well-
97 trained operators, being time consuming to operate, or excessively expensive to maintain.

98 There is one publication in the literature (Peets et al., 2017) stating that with the spectral data of
99 ATR-FTIR jointly with the application of PCA it was not always possible to distinguish cellulose-based

100 fibers (cotton, linen and sometimes viscose) and it was only partly possible to distinguish silk and
101 wool. In another publication (Xing et al., 2019), a system for classifying wool and cashmere fibers
102 based on fractal, parallel-line algorithm, and K-mean clustering algorithms is proposed based on
103 digital photographs of such fibers, obtaining identification rates between 85% and 97.5%. A recent
104 paper (Zhou et al., 2019) identified different types of fibers from the NIR spectrum by applying PCA,
105 SIMCA and LDA with only two classifiers, although it was difficult to distinguish between wool and
106 cashmere fibers. In (Chen et al., 2019) NIR spectroscopy is applied to perform a quantitative
107 determination of fiber components by applying PLS and ELM algorithms, showing that ELM can
108 generate better predictive models than PLS, with a similar computational cost. In (Liu et al., 2019)
109 waste textile fibers are classified from the NIR spectrum by applying SVM, MLP and CNN algorithms,
110 showing that CNN performs better than the others with classification rates between 92% and 98%.

111 The aim of the present work is to contribute in the sensing and classifying parts of an automatic
112 textile-sorting machine. It is done by using a more accurate mathematical modeling based on the
113 data from the IR spectrum, by applying state-of-the-art multivariate methods well suited for this
114 purpose, while improving the robustness of the model by analyzing a large number of textile
115 samples from different origins. The novelty of the method proposed here is the use of ATR-FTIR
116 spectra of the samples for textile recycling purposes (only one previous paper is found in the
117 literature) and the combined statistical multivariate algorithms, which are very powerful supervised
118 models not yet applied to this type of samples.

119 This paper is focused to develop a fast and accurate method for a direct and non-invasive sorting
120 and classification of different textile fibers used for clothing, which include natural, artificial and
121 synthetic fibers, from the spectral data obtained from the FTIR spectra of such samples, with no
122 need of any prior analytical treatment. The results of this paper are focused towards the automation
123 of textile-waste-materials sorting process. For this purpose, textile samples are analyzed by using

124 an ATR-FTIR spectrometer, with no previous sample pretreatment, and thus, this system does not
125 need the addition of any chemical or reagent. Therefore, the proposed system is simple and fast to
126 apply. It is known that FTIR spectral data typically includes thousands of data points, one per
127 wavenumber analyzed, and thus, multivariate mathematical methods are required to operate with
128 this large number of points. Such methods include feature reduction algorithms and classifiers, the
129 first ones designed to concentrate the relevant analytical information of the whole data set in a few
130 latent variables, which also let partially removing most of the noise included in the original spectral
131 data (Riba et al., 2020). To calculate the reduced set of latent variables, the principal component
132 analysis (PCA) algorithm is applied followed by the canonical variate analysis (CVA) algorithm. Next,
133 the nearest neighbor (kNN) classifier is applied, this algorithm providing as many output normalized
134 variables within the range 0 - 1 as types of textile fibers or classes defined in the problem, thus
135 assigning an incoming textile sample to the class having the highest output value.
136 This combined methodology (ATR-FTIR spectra and PCA+CVA+kNN mathematical treatment)
137 applied to sorting post-consumer textile-waste is described for the first time in the literature.

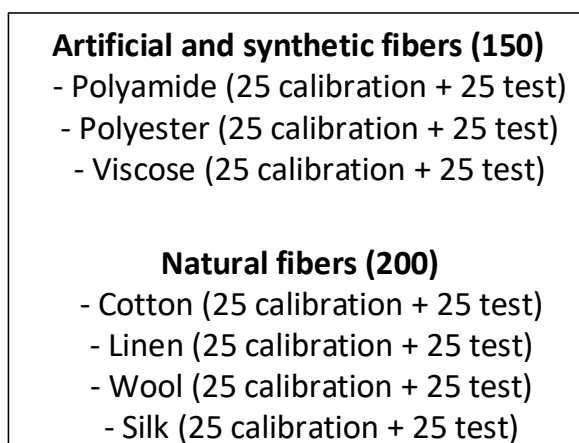
138 **2. METHODOLOGY**

139 This section describes the experimental details and methodology used to prove the accuracy and
140 usefulness of the approach proposed in this paper.

141 **2.1. Samples collection and identification**

142 This paper deals with 350 textile samples coming from different companies' catalogs and supplied
143 by Fitex technology center. The whole set of samples includes 200 samples from natural fibers (50
144 cotton, 50 linen, 50 wool and 50 silk samples) and 150 samples from artificial and synthetic fibers
145 (50 viscose, 50 polyamide and 50 polyester samples). Artificial fibers are the ones obtained by
146 transformation of natural products (i.e., viscose comes from cellulose), while synthetic fibers are
147 obtained from oil derivatives.

148 With the aim of including the maximum variability in the group of samples studied, different colors
149 (light and dark) and presentations (yarn or fabric) are included. For a quick identification, each
150 sample is coded including catalog origin, color and presentation form (yarn or fabric).
151 To check the performance of the mathematical methods, the whole set of samples was split into
152 two subsets, i.e., the calibration and prediction subsets in the proportion 50%-50%, as shown in
153 Figure 1.



154
155
156

Figure 1. Summary of the 350 textile samples used in this work.

157

158 **2.2. ATR-FTIR methodology**

159 Middle infrared electromagnetic radiation, within the wavenumber range $4000 - 400 \text{ cm}^{-1}$, is
160 energetic enough to cause transitions between rotational and vibrational levels of the molecular
161 bonds. Due to the high selectivity of the radiation absorption in the middle infrared because of the
162 molecular bonds, this region of the spectrum is widely used in both qualitative and quantitative
163 analysis.

164 ATR measurements take advantage of the behavior of the IR radiation beam, by passing through
165 two media with different refractive indices. In such systems, the IR beam passes through a crystal,
166 which is transparent to the IR radiation and has a high refractive index, at an angle of incidence

167 greater than the critical angle. When the beam reaches the crystal-sample interface, it is almost
168 completely reflected, and only a small fraction of the beam crosses the interface and penetrates the
169 sample slightly. The beam is attenuated in the regions of the infrared spectrum in which the sample
170 absorbs energy. The beam returns to the crystal and leaves at the opposite end of the crystal, and
171 then focus to the detector (McGill et al., 2014). The use of this technique will allow a rapid scanning
172 or acquisition of textile samples without any pretreatment.

173 The FTIR spectra of the textile samples analyzed in this work, were acquired by means of a
174 PerkinElmer Spectrum One (S/N 57458, Beaconsfield, UK) spectrometer equipped with an ATR
175 module. The spectra are recorded in the wavenumber range 4000–650 cm^{-1} , with a resolution of 1
176 cm^{-1} by averaging four scans to minimize noise effects. Therefore, each original spectral signal
177 includes 3351 spectral points. Subsequently, the spectra are converted to the first and second
178 derivative modes, in order to improve the classification performance of multivariate classification
179 models applied to identify the different textile samples.

180

181 **2.3. Mathematical classification approach**

182 To solve classification or identification problems from complex datasets, different mathematical and
183 statistical algorithms are available. In such problems, the whole sample set is commonly split into
184 two subsets, i.e., the subsets including the calibration and prediction samples. This approach allows
185 both, calibrating or training the models and to evaluate the behavior and accuracy of the
186 classification model from different samples than those used during the calibration stage (see Figure
187 2). Due to the 3551 wavenumbers constituting the variables measured for each ATR-FTIR spectrum
188 of the textile samples requires to apply appropriate feature extraction/reduction methods. Such
189 algorithms are designed to compress the essential discriminating information included in the raw

190 spectra in a reduced number of latent variables, while removing most of the noise incorporated in
191 raw spectra to optimize the discriminating power.

192 Among the feature extraction algorithms, PCA, CVA (Riba et al., 2020), ECVA (Riba et al., 2013) or
193 SVM highlight (Riba et al., 2012). However, supervised feature extraction methods, i.e. those
194 requiring an expert to choose the class tags of the calibration samples, which allocate each sample
195 to its pertinence class, are always preferred due to their superior discriminating power.

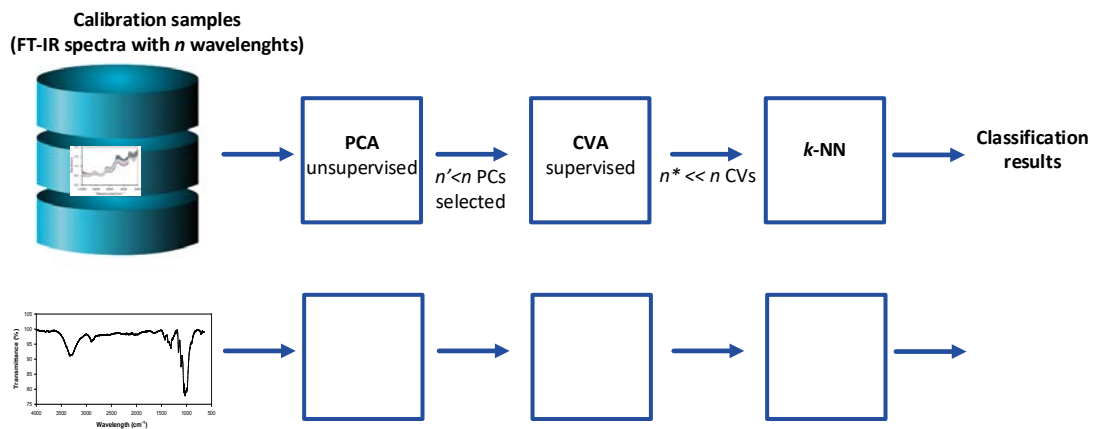
196 This work applies the supervised CVA algorithm in the feature extraction and reduction step because
197 it is among the most widely applied algorithms for this purpose. However, due to the large number
198 of measured wavenumbers in each spectrum, this algorithm requires a previous dimensionality
199 reduction, which is achieved by means of the PCA algorithm. CVA provides a limited number of
200 latent variables, known as canonical variates or CVs, i.e., the number of CVs equals the number of
201 classes (types of fibers) minus one. However, CVA requires input data containing more samples than
202 the number of measured variables. Since the input spectral data includes 3351 wavenumbers per
203 sample, and the number of samples dealt with is 350, this requirement is not fulfilled, thus requiring
204 a previous dimensionality reduction by means of the PCA algorithm.

205 Finally, once the latent variables are calculated, the next step consists in applying a suitable
206 classification algorithm such as the k -NN, which is evaluated in this work due to its simplicity and
207 accuracy. The k -NN calculates as many outputs, which are within the $[0,1]$ interval, as classes (types
208 of textile fibers) defined in the problem. The normalized outputs specify the degree of membership
209 of the sample evaluated to each class. Therefore, the sample evaluated is identified as belonging to
210 the class with higher output value, when such value is greater than 0.5. k -NN is grounded on the
211 weighted vote of the k samples of the calibration set (nearest neighbors whose class is already
212 known) which are closest to the analyzed sample. The k -NN algorithm classifies the incoming sample
213 within the class with the highest score. It assigns k votes to the nearest neighbor's class, $k-1$ votes

214 to the second nearest neighbor's class, and so on until assigning 1 vote to the farthest neighbor's
215 class. Finally, it sums up and normalizes the votes of all classes, thus assigning the analyzed sample
216 to the class with highest score.

217 To obtain a robust classification model, the calibration set must include all the variability inherent
218 in the textile samples. To this end, it is required to have an extensive dataset of known fibers, whose
219 origin must be known, since a supervised approach is carried out.

220 Figure 2 shows the supervised classification process carried out in this work.



221
222 Figure 2. Summary of the classification approach proposed in this work. a) Calibration stage. b)

223 Prediction stage

224 3. EXPERIMENTAL RESULTS AND DISCUSSION

225 The recycling of natural fibers differs from that of the artificial or synthetic ones. Whereas the first
226 ones are mainly recycled based on mechanical treatments, the recycling of synthetic fibers is based
227 on chemical treatments. Thus, the first step should separate between natural and artificial or
228 synthetic fibers, while in the following step, the different types of natural fibers should be separated
229 among them and the same for the synthetic ones. This approach is followed in this section.

230 3.1. ATR-FTIR spectra of the analyzed textile fibers

231 IR spectra show characteristic absorption bands according to the functional groups in the molecules
232 of the different types of fibers. The most characteristic bands of the different types of fibers studied
233 are presented in Table 1.

234
235 Table 1. Most characteristic infrared bands for the studied fibers. Produced from (Peets et al.,
236 2017) and (Vigo, 1994).
237

Band frequency (cm ⁻¹)	Type of bond-vibration	Type of fiber
3500-3000	O-H stretching	Cellulosic fibers (N and A)
3500-3000	N-H stretching	Polyamide(S), wool(N), silk(N)
1750-1715	C=O stretching (ester)	Polyester(S)
1680-1630	C=O stretching (amide)	Polyamide(S), wool(N), silk(N)
1570-1515	N-H bending (amide)	Polyamide(S), wool(N), silk(N)
1250-1150	C-O stretching (ester)	Polyester(S)
1100-1000	C-O stretching	Cellulosic fibers (N and A)
730-700	C-H aromatic ring wagging	Polyester(S)

238 (N) natural fibers; (A) artificial; (S) synthetic

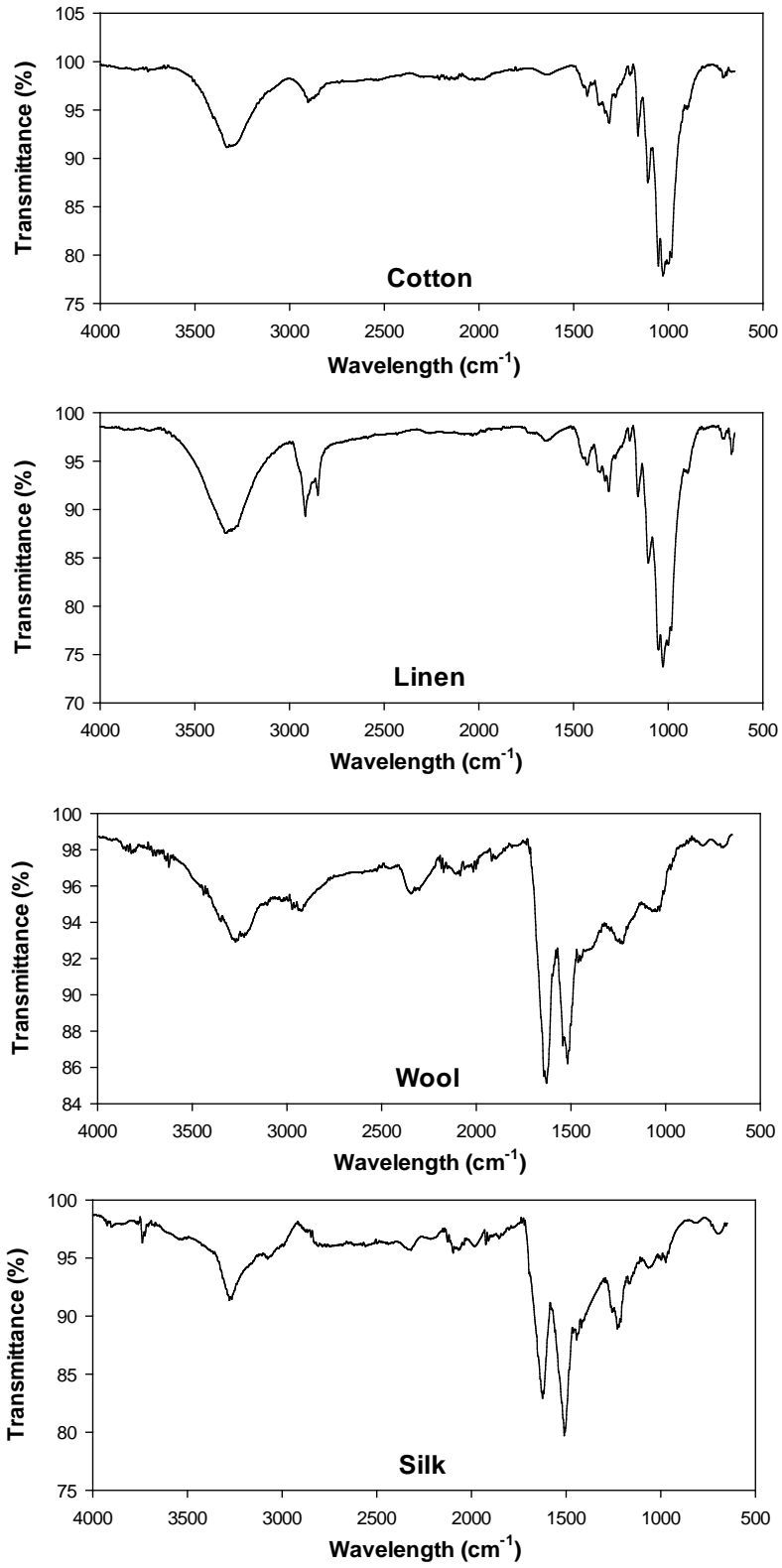
239
240 Textile fibers, such as cotton, linen and viscose, show characteristic bands between 3500-3000 cm⁻¹
241 ¹, which are attributed to OH stretching and between 1100 -1000 cm⁻¹, which are assigned to CO
242 stretching (see Figure 3 and 4). The presence of the amide group in fibers such as wool, silk and
243 polyamide generates bending and stretching bands due to NH, as shown in Table 1 and Figures 3
244 and 4. Regarding polyester fibers, it is worth noting that the characteristic band between 1750-1715
245 cm⁻¹ is assigned to C = O stretching (ester). Although these are the characteristic bands in the IR
246 spectra for such type of functional groups, it has to be said that the IR spectrum is very specific for
247 each molecule because all the surrounding bonds nearby the functional group have their own
248 wavelength-absorption value, which also slightly affect the exact position of the characteristic band.

249 Thus, the IR spectrum of a molecule is considered to be like its fingerprint, slightly different from
250 the one of another molecule, although it may be difficult to distinguish by simple visual inspection.
251 This is why a supervised mathematic model applied to the spectra is of great help. It is able to
252 highlight the differences between very similar molecules (i.e., cotton, linen and viscose), which need
253 to be separated and, on the other hand, to conceal the differences between molecules which need
254 to be classified in the same group (i.e., different polyester-type of molecules). Supervised
255 mathematic algorithms use the whole IR spectrum, not only the characteristic bands of the
256 functional groups in the molecule.

257 In Figure 3, it can be seen that cotton and linen natural fibers have very similar spectra (they both
258 are cellulose based). Their differences are difficult to perceive by visual inspection. Something
259 similar happens when comparing the spectra of natural wool and silk fibers.

260 On the other hand, spectra of artificial or synthetic fibers (Figure 4) have much different shapes, as
261 they correspond to families with a different chemical nature. When comparing, however, cotton
262 and linen spectra (Figure 3) with that of viscose (Figure 4), the similarity between them is clearly
263 observed, as viscose is an artificial fiber derived from cellulose. In addition, wool and silk spectra
264 (Figure 3) have features in common with those of polyamide (Figure 4), due to the presence of the
265 amide group in their molecules. Those similarities between the different families of fibers make it
266 difficult to classify them without treatment through mathematical algorithms, which make use of
267 the complete IR spectra.

268 A robust enough mathematical model has to be chosen, to take advantage of all the information
269 provided by the spectra of the samples and to accentuate as much as possible small differences
270 between groups of fibers, thus allowing the classification of different textiles.



271

272

Figure 3. ATR-FTIR spectra of representative natural fibers.

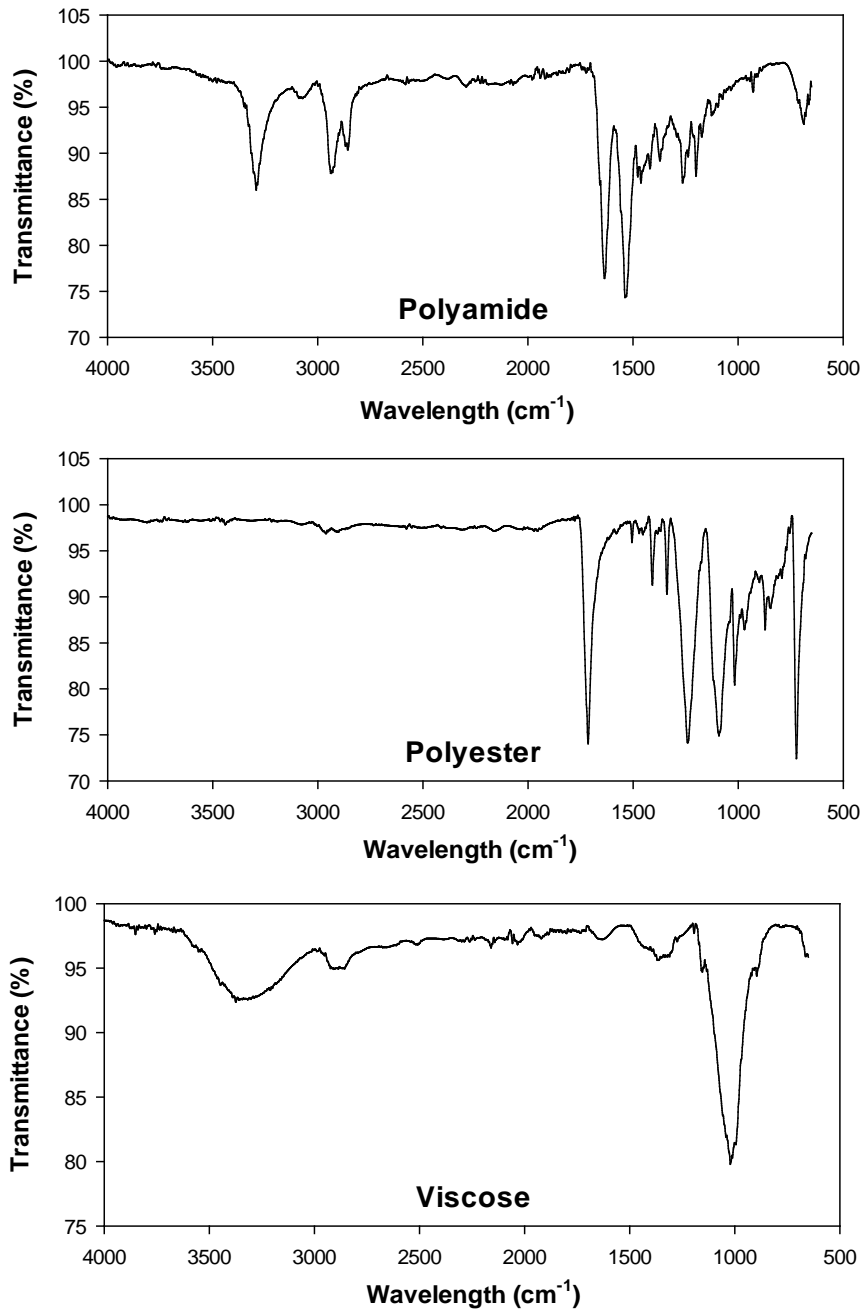


Figure 4. ATR-FTIR spectra of representative artificial or synthetic fibers.

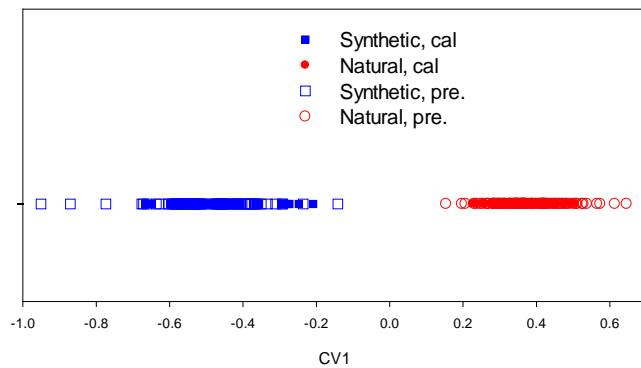
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276 **3.2. First study. Classification of natural versus artificial and synthetic fibers**

277 In this first study, the dataset is divided into two subsets, i.e., the calibration and prediction sets. In
278 this study both sets include 50% of the total data, i.e., both the calibration and prediction sets
279 contain half of the data. The calibration set is used to calibrate or train the mathematical methods

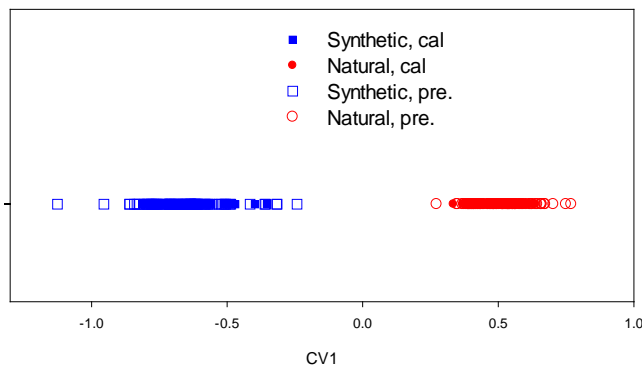
280 to solve the classification problem, whereas the remaining data constitute the prediction set, which
281 is used to validate the identification procedure, by using different data than that used during the
282 calibration stage.

283 A total of 350 samples are analyzed, 200 corresponding to natural fibers (50 cotton, 50 linen, 50
284 wool and 50 silk samples) and 150 corresponding to synthetic fibers (50 polyamide, 50 polyester
285 and 50 viscose samples). Although viscose is an artificial fiber, for simplification purposes, in this
286 work it is included in the group named synthetic fibers. Therefore, the calibration set includes 175
287 samples (25 cotton, 25 linen, 25 wool, 25 silk, 25 polyamide, 25 polyester and 25 viscose samples),
288 whereas the prediction set includes the remaining 175 samples. The samples are classified by
289 applying the PCA + CVA + *k*-NN algorithms in this order, obtaining 100% success rate in the
290 classification results provided by the *k*-NN algorithm, whose results summarized in Table 2 are based
291 on the data shown in Figure 5.



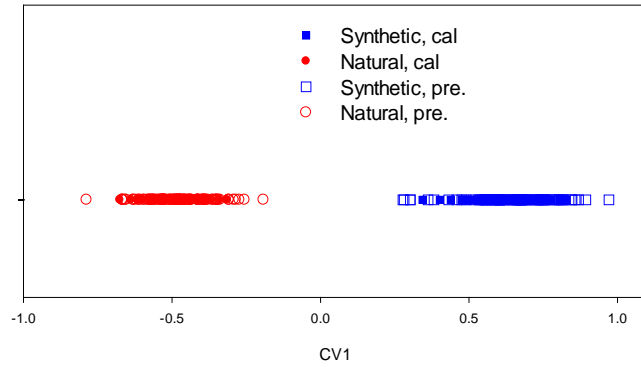
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a)



293

b)



c)

294
 295 Figure 5. a) Classification of natural versus synthetic fibers from the raw FTIR spectral data by
 296 applying the PCA (40 PCs, 99.0% variance) + CVA with 175 calibration and 175 validation samples.
 297 b) Classification of natural versus synthetic fibers from the first derivative of the FTIR spectral data
 298 by applying the PCA (66 PCs, 99.0% variance) + CVA with 175 calibration and 175 validation samples.
 299 c) Classification of natural versus synthetic fibers from the second derivative of the FTIR spectral
 300 data by applying the PCA (81 PCs, 99.0% variance) + CVA with 175 calibration and 175 validation
 301 samples.

302

303 Table 2. Classification success rate of natural versus synthetic fibers following the PCA + CVA + k -
 304 NN approach over the 175 prediction samples

Preprocessing type	$k = 3$	$k = 4$	$k = 5$	$k = 6$
Raw spectral data	175/175	175/175	175/175	175/175
First derivative of spectral data	175/175	175/175	175/175	175/175
Second derivative of spectral data	175/175	175/175	175/175	175/175

305

306 Results summarized in Figure 5 and Table 2 show that the PCA + CVA + k -NN approach allow
 307 classifying between synthetic and natural fiber samples with 100% accuracy.

308 3.3. Second study. Identification of the different natural fibers

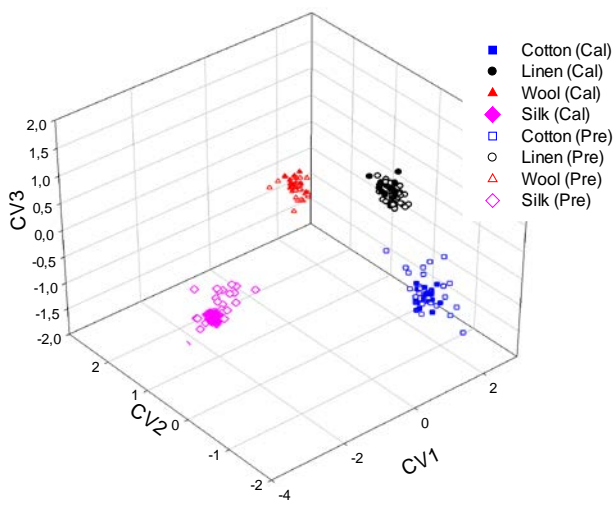
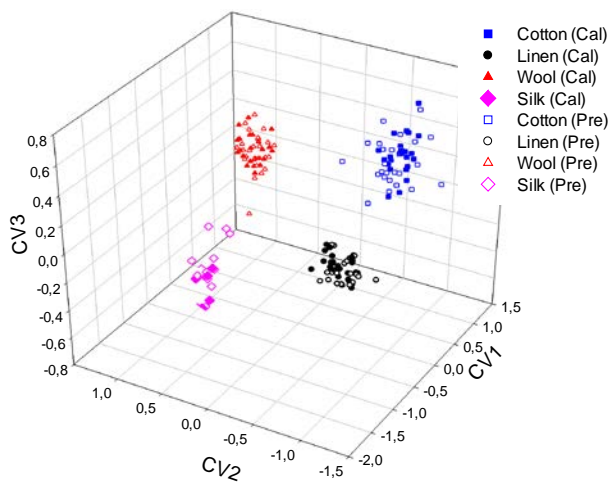
309 Once the unknown incoming samples have been classified successfully as synthetic or natural, this
 310 section classifies the unknown natural fibers into four groups, i.e., cotton, linen, wool and silk. As

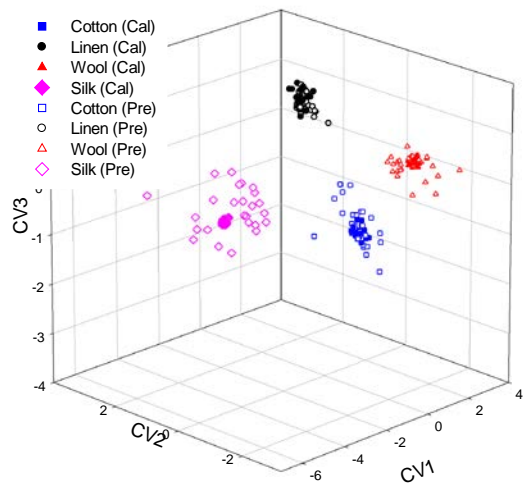
311 explained, both the calibration and prediction set consist of 25 samples of each types, that is, 100

312 samples in total each.

313 The classification results of the natural fibers (cotton, linen, wool and silk) are summarized in Figure

314 6 and Table 3.





c)

319
 320 Figure 6. a) Classification of the different natural fibers from the raw FTIR spectral data by applying
 321 the PCA (31 PCs, 99.0% variance) + CVA with 100 calibration and 100 validation samples. b)
 322 Classification of the different natural fibers from the first derivative of the FTIR spectral data by
 323 applying the PCA (63 PCs, 99.0% variance) + CVA with 100 calibration and 100 validation samples. c)
 324 Classification of the different natural fibers from the second derivative of the FTIR spectral data by
 325 applying the PCA (70 PCs, 99.0% variance) + CVA with 100 calibration and 100 validation samples.

326
 327 Table 3. Classification success rate of natural fibers (cotton, linen, wool and silk) following the PCA
 328 + CVA + *k*-NN approach over the 100 prediction samples

Preprocessing type	<i>k</i> = 3	<i>k</i> = 4	<i>k</i> = 5	<i>k</i> = 6
Raw spectral data	100/100	100/100	100/100	100/100
First derivative of spectral data	100/100	100/100	100/100	100/100
Second derivative of spectral data	100/100	100/100	100/100	100/100

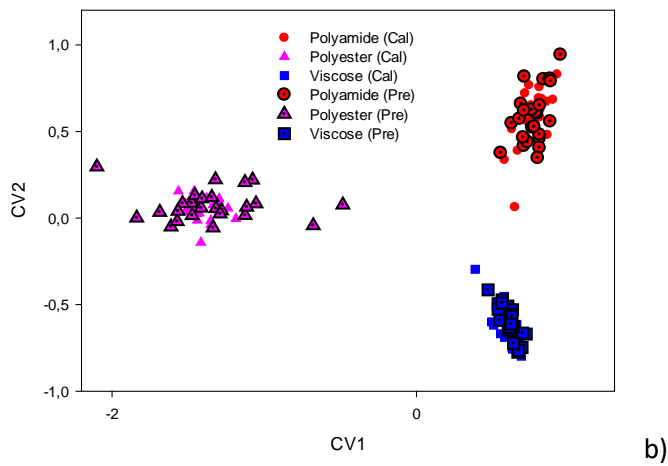
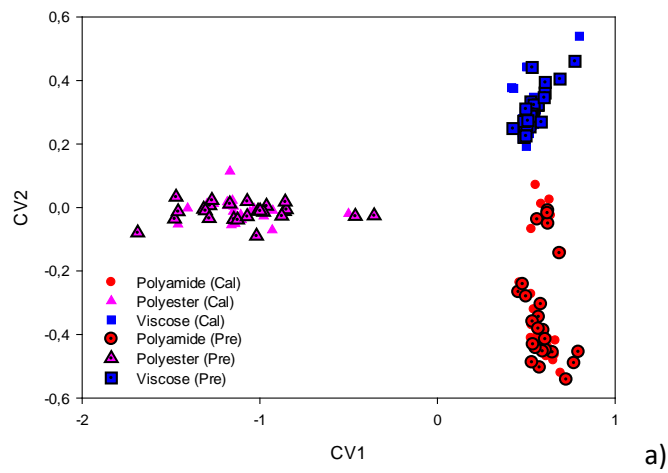
329
 330 Results summarized in Figure 6 and Table 3 show that the PCA + CVA + *k*-NN approach allow
 331 classifying between cotton, linen, wool and silk fiber samples with 100% accuracy.

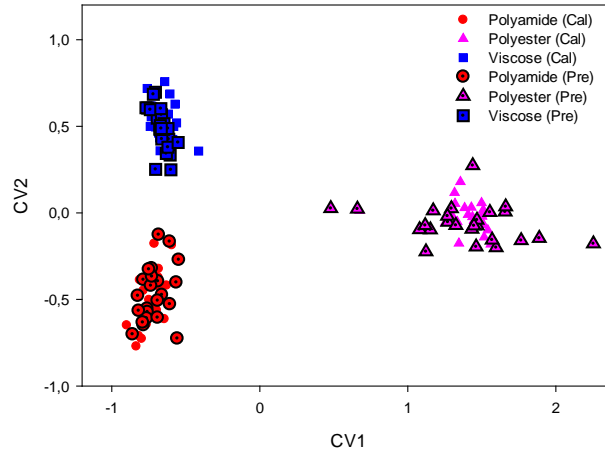
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334 **3.4. Third study. Identification of the different synthetic fibers**

335 Once the unknown incoming samples have been classified successfully as synthetic or natural, this
336 section classifies the unknown synthetic fibers into three groups, i.e., polyamide, polyester and
337 viscose. Both the calibration and prediction set consist of 25 samples of each types, that is, 75
338 samples in total each.

339 The classification results of the synthetic fibers (polyamide, polyester and viscose) are summarized
340 in Figure 7 and Table 4.





345
 346 Figure 7. a) Classification of the different synthetic fibers from the raw FTIR spectral data by applying
 347 the PCA (6 PCs, 99.0% variance) + CVA with 75 calibration and 75 validation samples. b)
 348 Classification of the different natural fibers from the first derivative of the FTIR spectral data by
 349 applying the PCA (29 PCs, 99.0% variance) + CVA with 75 calibration and 75 validation samples. c)
 350 Classification of the different natural fibers from the second derivative of the FTIR spectral data by
 351 applying the PCA (39 PCs, 99.0% variance) + CVA with 75 calibration and 75 validation samples.

352

353 Table 4. Classification success rate of synthetic fibers (polyamide, polyester and viscose) following
 354 the PCA + CVA + k -NN approach over the 75 prediction samples

Preprocessing type	$k = 3$	$k = 4$	$k = 5$	$k = 6$
Raw spectral data	75/75	75/75	75/75	75/75
First derivative of spectral data	75/75	75/75	75/75	75/75
Second derivative of spectral data	75/75	75/75	75/75	75/75

355

356 Results summarized in Figure 7 and Table 4 show that the PCA + CVA + k -NN approach allow
 357 classifying between polyamide, polyester and viscose fiber samples with 100% accuracy.

358

359 3.5. Challenges of this new technique and comparison with the literature

360

361 As shown in Table 5, there is only one author (Peets et al.,2017; Peets et al., 2019) using FTIR textile-
 362 spectra (like in the present study) for identification of different textile fibers and mixtures.
 363 Nevertheless, these papers use a very simple mathematical treatment (PCA), thus not being able to
 364 differentiate among very similar textile fibers (i.e., cotton/linen/viscose).
 365 On the other hand, there are 4 papers in the literature using NIR spectra to classify textile samples,
 366 three of them for recycling purposes (Liu et al., 2019; Zhou et al., 2018; Zhou et al, 2019).
 367 Nevertheless, only Zhou et al., 2019 are using advanced mathematical algorithms being able to
 368 achieve 100% recognition rate (same as the present described technique), but they do not include
 369 cotton/linen/viscose (which are the most difficult to distinguish).

370 Table 5. Comparison of results with the previously published in the literature.

Reference	Types of textile fibers	Aim	Type of spectrum	Mathematic algorithms	Recognition rate (%)
(Peets et al., 2017)	11 + mixtures	Quality control	ATR-FTIR	PCA	No distinction among: cotton/linen/viscose Nor wool/silk
(Peets et al., 2019)	16 + mixtures	Quality control	FTIR	PCA	No distinction among: cotton/linen/viscose
(Chen et al., 2019)	4 + mixtures (wool, polyester, nylon, polyacrilonitrile)	Quality control	NIR	PLS or ELM	ELM better predictions
(Liu et al., 2019)	2 + mixtures (polyester, wool)	Textile recycling	NIR	SVM, MLP + CNN	92-98%
(Zhou et al., 2018)	6 no linen, nor viscose	Textile recycling	NIR	SIMCA	97% (cotton/polyester 90%)
(Zhou et al., 2019)	7 no linen, nor viscose	Textile recycling	NIR	PCA, SIMCA, LDA	100%
Present paper	7	Textile recycling	ATR-FTIR	PCA, CVA + k-NN	100%

371
 372 The present technique has shown better results than the described up to now in the literature, thus
 373 being a promising option.
 374 Nevertheless, further work must be performed before implementation in real sorting machinery,
 375 like producing the specific software to be implemented and to make the IR-spectra-database robust

376 enough to be able to correctly classify dirty-wet textile-waste entering the recycling system. In
377 addition, after sorting the textile by type of fiber a second sorting by color will be needed (i.e. black-
378 colored cotton-fibers all together), thus reducing additional dyeing.

379 One possible drawback of the present FTIR technique, for its automation at industrial scale, is the
380 contact needed between the sensor and the textile, to register its IR spectrum and compare with
381 the database for classification. A strict maintenance protocol of the sensor would be advisable.

382 **4. CONCLUSIONS**

383 Today, only a small portion of the textiles is reused or recycled and they are mostly sorted manually.
384 This paper has proposed an automatic sensing and sorting approach focused to increase textile
385 recycling and reuse for minimizing the production and trade of virgin textile fibers which tries to
386 contribute to minimize the environmental problems that the textile and fashion industry is facing.
387 The sorting approach proposed in this work is based on the ATR-FTIR spectrum of the textile
388 samples, which once acquired is processed by means of several algorithms, including the PCA, CVA
389 and *k*-NN mathematical methods.

390 Experimental results presented in this paper, which are based on 350 textile samples (from
391 companies' catalogs), have shown that the incoming unknown fiber samples can be automatically
392 classified with 100% accuracy and high speed, with no need to apply any prior analytical treatment
393 to the textile samples. These excellent results prove that the methodology suggested in this work
394 can be a valuable tool for sorting textile fibers for further reuse and recycling.

395 The present promising technique needs further development before its implementation to actual
396 sorting machinery (i.e., software developing, sorting fiber blends, additional sorting by color and a
397 more robust IR database including dirty-wet textiles from postconsumer waste).

398 The sorting approach proposed in this paper can be fully automatized for future industrial
399 application, thus allowing to process large volumes of materials and reduce the costs associated to
400 the sorting processes.

401

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