











where  $\tau$  is a positive integer called temperature parameter that controls the selection probability. With high value of  $\tau$ , the action probabilities become nearly equal. However, low value of  $\tau$  causes a greater difference in selection probabilities for actions with different Q-values. Softmax decision making allows an efficient trade-off between exploration and exploitation, i.e. selecting with high probability those actions that have yield high reward, but also keeping a certain probability of exploring new actions, which can yield better decisions in the future. The pseudo-code of the proposed RL-based RAN slicing algorithm is summarized in Algorithm 1.

Once the offline RL algorithm has converged, i.e. the selection probability of one of the actions is higher than 99.99%, a heuristic algorithm based on [23] will take the results of the RL algorithm as input and adjust the initial slicing ratios  $\beta_{s,UL}$  and  $\beta_{s,DL}$  chosen by the RL in order to determine the final optimized values  $\alpha_{s,UL}$  and  $\alpha_{s,DL}$ , as illustrated in Fig.1.

The idea of this fine tuning is that, based on the actual RB demands of each slice and the slicing ratios  $\beta_{s,UL}$ ,  $\beta_{s,DL}$  the algorithm assesses if one of the two slices  $s$  has more resources than actually required in the link  $x \in \{UL, DL\}$ , i.e.  $\Psi_{s,x}(a_{x,sel}) < 1$ , and at the same time the other slice  $s'$  has less resources than required, i.e.  $\Psi_{s',x}(a_{x,sel}) > 1$ . If this is the case, the slice  $s$  leaves some extra capacity  $\Delta C_{s,x}$  that can be transferred to the other slice  $s'$ . Specifically, the extra capacity is defined as:

$$\Delta C_{s,x} = \left(1 - \Psi_{s,x}(a_{x,sel})\right) \cdot \omega \quad (18)$$

where the configuration parameter  $\omega$  is a scalar in the range [0,1] used to leave some margin capacity to cope with the variations of the RBs consumption.

## 4. Performance Evaluation

In this section, we evaluate the performance of the RAN slicing strategy through system level simulations performed in MATLAB.

### 4.1 Simulation Setup

Our simulation model is based on a single-cell hexagonal layout configured with a gNB. The model considers vehicular UEs communicating through cellular mode (uplink / downlink) and via sidelink (direct V2V) and use slice (RAN\_slice\_ID=1) and eMBB UEs operating in cellular mode (uplink / downlink) and using slice (RAN\_slice\_ID=2) based on the assumptions described in section 2. Note that the slice ratio  $\alpha_{1,UL} \cdot N_{UL}$  is divided into two ratios ( $\bar{\alpha}_{1,UL} = 65\%$  of  $\alpha_{1,UL} \cdot N_{UL}$  RBs for V2X users in sidelink and  $\alpha_{1,SL} = 35\%$  of  $\alpha_{1,UL} \cdot N_{UL}$  RBs for V2X service in uplink direction).

The traffic generation associated to each eMBB UE at a random position assumes that services generate sessions following a Poisson process with rate  $\lambda_e$ , required bit rate  $R_b = 1$  Mb/s and average session duration of 120 s. The gNB supports a cell with a channel organized in 200 RBs composed by 12 subcarriers with subcarrier separation  $\Delta f = 30$  kHz, which corresponds to one of the 5G NR numerologies defined in [27].

The actions specify the fraction of resources for V2X and eMBB slices and they are defined such that action  $\beta_{k,x}$  corresponds to  $\beta_{1,x}(k) = k/N$  and  $\beta_{2,x}(k) = (1-k/N)$  for  $k=1, \dots, N$ , and  $x \in \{UL, DL\}$ , where  $N$  is the number of actions. The simulation time is measured in units referred to as "time steps" that determine when the different simulation events occur. In the considered simulation, there is a set of possible actions numbered as  $k=1, \dots, A_x$ . For each action taken from this set of actions, the proposed RL dynamically interacts with a network model that simulates the behavior of the network and estimates the reward of the chosen action according to equation (14). Based on the reward, the RL algorithm keeps a record of its experience when taking an action  $a_{k,x}$  and stores the Q-value in  $Q_x(a_{k,x})$ . Every time step, the  $Q_{UL}(a_{k,UL})$  and  $Q_{DL}(a_{k,DL})$  values are updated based on equation (15). Then, after multiple times of learning, RL selects the most appropriate action (i.e., the selection probability of one of the actions is higher than 99.99%). Once the RL algorithm has converged, the slicing ratios  $\beta_{s,x}$  associated to this action are passed to the low-complexity heuristic algorithm which in turn fine tunes

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#### Algorithm 1: RAN slicing algorithm based on RL

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1. **Inputs:**  $N_{UL}$ ,  $N_{DL}$ : Number of RBs in UL and DL.  $S$ : number of slices, Set of actions  $a_{k,x}$  for link  $x \in \{UL, DL\}$
  2. **Initialization of Learning:**  $t \leftarrow 0$ ,  $Q_x(a_{k,x}) = 0$ ,  $k=1, \dots, A_x$ ,  $x \in \{UL, DL\}$
  3. **Iteration**
  4. **While** learning period is active do
  5.   **for** each link  $x \in \{UL, DL\}$
  6.     Apply softmax and compute  $P_x(a_{k,x})$  for each action  $a_{k,x}$  according to (12);
  7.     Generate a uniformly distributed random number  $u \in \{0,1\}$
  8.     Select an action  $a_{k,x}$  based on  $u$  and probabilities  $P_x(a_{k,x})$
  9.     Apply the selected action to the network and evaluate  $\Psi_{s,x}(a_{k,x})$  based on (5)-(8).
  10.     **If**  $\Psi_{s,x}(a_{k,x}) \leq 1$  then
  11.          $R_{s,x}(a_{k,x}) = e^{\Psi_{s,x}(a_{k,x})}$
  12.     **else**
  13.          $R_{s,x}(a_{k,x}) = 1 / \Psi_{s,x}(a_{k,x})$
  14.     **End**
  15.     **Compute**  $R_{TOT,x}(a_{k,x})$  based on equation (10)
  16.     **Update**  $Q_x(a_{k,x})$  based on equation (11)
  17.   **End**
  18. **End**
-

the initial slicing ratios  $\beta_{s,UL}$ ,  $\beta_{s,DL}$  chosen by the RL based on the resource requirements for each slice.

Different simulations will be executed for different values of  $N$  in order to assess the impact of the number of actions. All relevant simulation parameters are summarized in Table I.

**Table I - Simulation parameters**

Parameter	Values
<b>General parameters</b>	
Cell radius	500m
Number of RBs per cell	$N_{UL}=N_{DL}=200$ RBs
Frequency	2.6 GHz
Path loss model	The path loss and the LOS probability for cellular mode are modeled as in [28]. In sidelink mode, all V2V links are modeled based on freeway case (WINNER+B1) with hexagonal layout [ITU-R] [29].
Spectral efficiency model to map SINR.	Model in section A.1 of [30]. The maximum spectral efficiency is 8.8 b/s/Hz.
Shadowing standard deviation	3 dB in LOS and 4 dB in NLOS.
height of the gNB	10m
Base station antenna gain	5 dB
TTI duration ( $F_d$ )	1ms
Time window T	3s
<b>V2X parameters</b>	
Length of the highway	1Km
Number of lanes	3 in one direction (one is considered in the freeway)
Lane width	4 m
Number of clusters	4
Size of cluster	250m
Vehicular UE height	1.5m
vehicle speed	80 Km/h
Vehicle arrival rate $\lambda_a$	1 UE/s
Packet arrival rate $\lambda_v$	1 packets/s
Message size ( $S_m$ )	300 bytes
<b>eMBB parameters</b>	
UE arrival rate $\lambda_m$	1 UE/s
UE height	1.5m
Average session generation rate $\lambda_e$	Varied from 0.2 to 1.2 sessions/s
$R_b$	1 Mb/s
Average session duration	120 s
<b>RAN slicing algorithm parameters</b>	
Learning rate $\alpha$	0.1
$\omega$	{0.25, 0.55, 0.85}
Temperature parameter $\tau$	0.1
Actions of the RL algorithm	$N = \{10, 15, 20, 25\}$

The presented evaluation results intend to assess and illustrate the performance of the proposed solutions in terms of network capacity, throughput, and outage probability when considering different configurations of the algorithm in relation to the number of actions  $N$  of the complexity heuristic approach.

In addition, and as a reference for comparison, we assume a RAN slicing strategy denoted as ‘‘Proportional Scheme’’, in which the ratio of RBs for each slice is proportional to its total traffic rate (in Mb/s). Similarly, comparison will also be presented against the case in which the algorithm includes only the Q-learning but not the heuristic approach.

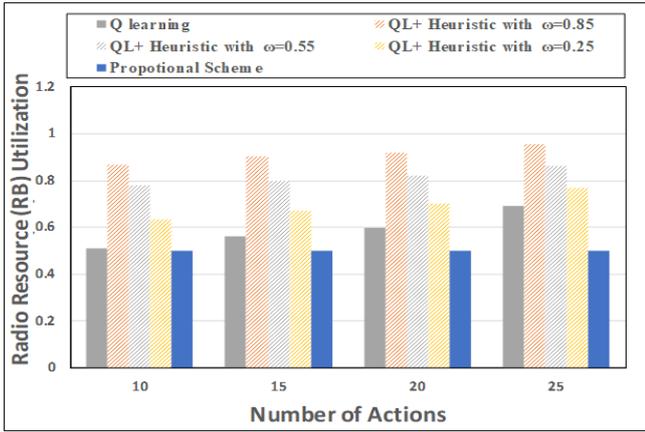
## 4.2 Impact of the number of Actions on the performance

Fig. 2 presents the aggregate RB utilization (i.e. the number of used RBs normalized to the number of total available RBs) for both V2X and eMBB slices in the uplink (including both sidelink and uplink traffic), as a function of the number of actions  $N$ . It is worth mentioning that, although the aggregate of slicing ratios for V2X and eMBB slices will be 100%, this does not mean that the aggregate of resource utilization should be necessarily 100%, because the utilization measures the actual RBs that are occupied in accordance with the existing traffic. Therefore, it is possible that, at a certain point of time, one slice does not consume all the allocated RBs. The figure illustrates the behavior of the proposed solution with different values of  $\omega$  and of the reference scheme. From the presented results, we notice that as the number of actions increases, the proposed solution with all the assumed values of  $\omega$  maintains high resource utilization compared with the reference scheme. The reason for this is that, as the number of actions increases, there will be a greater chance of obtaining actions that lead to a higher value of  $\Psi_{s,x}(a_{k,x})$  (i.e., higher utilization) and provide larger rewards. Therefore, this allows better approaching the optimization target.

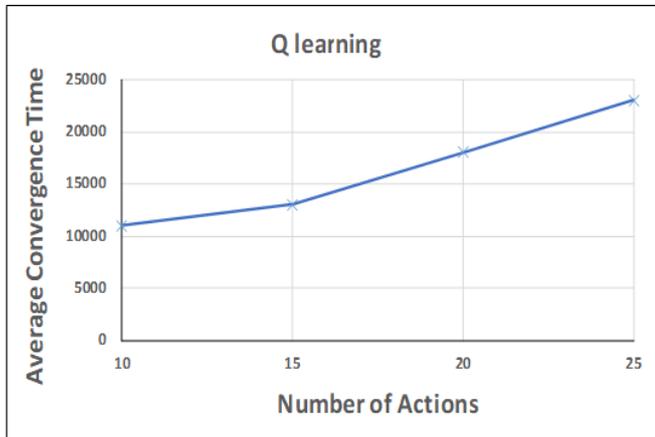
Fig. 3 presents the time for convergence, as a function of the number of actions. It is measured as the number of simulated time steps of 0.1s in the execution of the off-line RL until reaching convergence. We can clearly observe from the Fig. 3 that as the number of actions increases, the convergence time grows gradually (i.e., in the analyzed results this effect is particularly observed when the number of Actions increases beyond 15) because when the number of actions increases, the system needs to explore more actions (i.e. try more actions that have not been used before in order to learn from them) before finding the most appropriate one. Thus, this leads to a noticeable increase in convergence time.

Looking at Fig. 2 and Fig. 3, a trade-off is found between resource utilization and convergence time. In particular, when increasing the number of actions, the proposed algorithm improves the resource utilization but with a

longer convergence time. For example, when the number of actions is 20, the RAN slicing strategy with offline RL followed by the low-complexity heuristic algorithm with  $\omega = 0.85$  reaches a utilization of around 0.92 of the resources and the time needed to converge is about 18000 time steps. Then, when increasing to 25 actions the utilization is improved up to 0.95, which corresponds to a relative gain of 3%. However, the convergence time increases up to 23000 time steps, representing an increase of 27%. Therefore, the slight improvement in utilization when increasing from 20 to 25 actions does not compensate for the degradation in convergence time.



**Figure 2.** Uplink RB utilization as a function of the Number of Actions.



**Figure 3.** Convergence time as a function of Number of Actions.

### 4.3 Network Performance Metrics

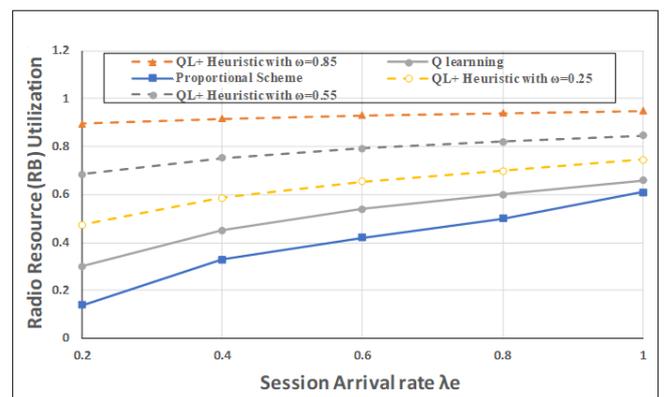
In this subsection, the performance of the RAN slicing strategy is compared with the reference scheme in terms of the obtained RB utilization, throughput, outage probability, and latency.

Fig.4 plots the obtained RB utilization for UL, as a function of the eMBB session arrival rate ( $\lambda_e$ ) when the number of actions is 20. Since SL and UL make use of the same set of RBs, the results included in Fig.4 refer to the total utilization by both links for V2X and eMBB slices.

From the presented results, we notice that the slicing strategy with both off-line RL and off-line RL followed by the low-complexity heuristic approach with all the assumed values of  $\omega$  maintains higher resource utilization compared to the reference scheme for all the considered loads. This is due to the RL-based slicing solution that inherently tackles slice dynamics by selecting the most appropriate action. Further improvements are obtained by the offline RL followed by a low-complexity heuristic approach by checking the unused capacity left by each slice after selecting an action and use it to serve more traffic load in the other slice.

Besides, we can see from fig. 4 that, when increasing the value of  $\omega$ , the system provides more resources and therefore leads to better utilization, as it is observed when comparing the results for  $\omega$  equal to 0.85 against the results for other values of  $\omega$ .

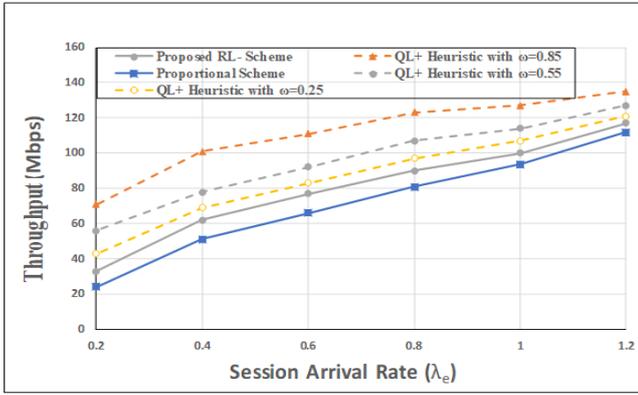
Regarding the quantitative comparison between strategies, the figure reflects that, for the RAN slicing strategy with offline RL followed by the low-complexity heuristic algorithm with  $\omega = 0.85$ , the system utilizes around 94 % of radio resources in uplink when the eMBB session arrival rate is 0.8 sessions/s. In contrast, in case of the proposed scheme with only offline RL algorithm, the system utilizes around 60 % of radio resources in uplink. Finally, for the reference proportional approach, the utilization is only about 51 % in uplink (i.e. offline RL followed by the low-complexity heuristic algorithm with  $\omega = 0.85$  achieves a relative gain of 84%).



**Figure 4.** Uplink RB utilization as a function of the eMBB session generation rate  $\lambda_e$  (sessions/s).

Fig.5 presents the aggregate throughput delivered in Mbits/sec for both eMBB and V2X slices in the sidelink and uplink. The figure illustrates the behavior of the RAN slicing strategy and the proportional scheme. We can

observe that the off-line RL and off-line RL followed by the low-complexity heuristic approach outperform the reference scheme. Specifically, the RAN slicing strategy with offline RL followed by the low-complexity heuristic algorithm with  $\omega = 0.85$  achieves a throughput of 123 Mb/s when the eMBB session arrival rate is 0.8 sessions/s. In turn, the RAN slicing strategy with only off-line RL achieves a throughput of 90 Mb, and the reference proportional approach a throughput of only 81 Mb/s (i.e. offline RL followed by the low-complexity heuristic approach with  $\omega = 0.85$  achieves a relative gain of 51% with respect to the reference). The reason for this behavior is that, as the number of eMBB sessions increases, requiring more radio resources, the proposed off-line RL followed by the heuristic algorithm ensures more RBs and achieves higher radio resource utilization than the reference schemes. Therefore, these RBs can be used to transmit more data.

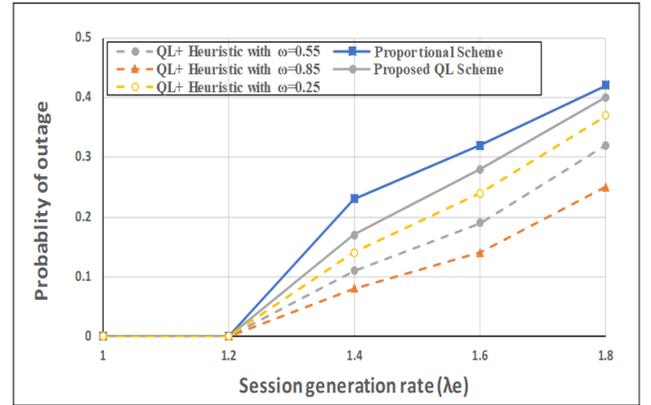


**Figure 5** Aggregated throughput experienced by both slices in uplink as a function of the eMBB session generation rate  $\lambda_e$  (sessions/s).

In Fig.6, we investigate the probability of having outage (i.e. the probability that there are no sufficient RBs to serve all the transmission requests) at a certain point of time. As shown in the figure, increasing the traffic load leads to an increase in the outage probability of the services.

We notice from Fig.6 that, regardless of the considered scheme, the system does not experience outage when the eMBB session arrival rate  $\lambda_e$  is less than 1.2 sessions/s. This is due to the fact that, for this low load, the system has sufficient amount of RBs to serve the traffic. Then, when the load increases (i.e. session arrival rate increases) the system starts to face situations in which some RB limitations may occur. For this reason, it is for these loads when a more efficient slicing strategy is needed to properly distribute the RBs among the slices. Therefore, it is observed that the proposed approach based on Q-learning followed by heuristic algorithm is able to achieve a better outage probability. In particular, for the RAN slicing strategy with offline RL followed by the low-complexity heuristic algorithm with  $\omega = 0.85$  the probability of outage

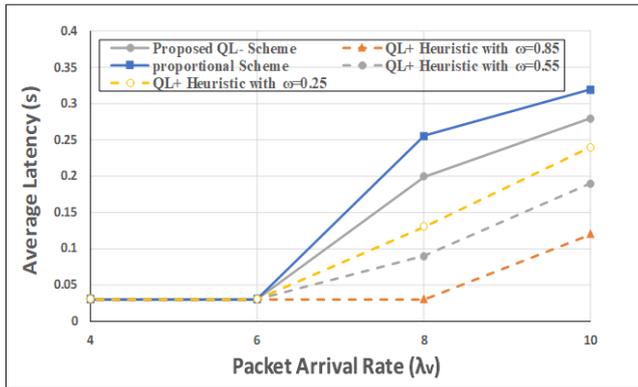
is around 14 % when the eMBB session generation rate  $\lambda_e$  is 1.6 sessions/s. In the case of the RAN slicing strategy with only off-line RL, the probability of outage is 28%. In turn, for the reference proportional approach, the probability of outage is 32 % (i.e. offline RL followed by the low-complexity heuristic approach with  $\omega = 0.85$  achieves a relative improvement of 56 % with respect to the reference).



**Figure 6** Outage probability as a function of the eMBB session generation rate  $\lambda_e$  (UEs/s).

Fig. 7 illustrates the average latency for V2V service as a function of the V2X UEs packet generation rate  $\lambda_v$  (packets/s). We clearly observe from Fig.7 that the delay is only 0.03s when packet generation rate  $\lambda_v \leq 6$  vehicles/s, while there is a marked increase when the packet generation rate  $\lambda_v$  increases (i.e., when  $\lambda_v \geq 6$ ). The reason for this increase is that for low loads (i.e., when  $\lambda_v < 6$ ), when the system has sufficient radio resources regardless of the considered scheme, the latency is only due to the transmission delay. On the contrary, when the load increases, some situations of resource unavailability may arise, leading to increased queuing delay. In this case, the approach based on Q-learning followed by the heuristic algorithm, is able to better handle the load increase and lead to lower latency values than the other techniques.

From the presented results, we also notice that the approach proposed in this paper reduces the latency compared to the reference schemes. In case of the proposed strategy with offline RL followed by the low-complexity heuristic algorithm with  $\omega = 0.85$ , when the vehicle arrival rate is 10 vehicles/s, the average latency is only around 0.12s, while in case of the proposed scheme with only offline RL algorithm, the average latency is about 0.28s. In case of the reference with proportional approach, the latency is about 0.32s (i.e. offline RL followed by the low-complexity heuristic approach with  $\omega = 0.85$  achieves a relative gain of 62 %). The gains are achieved because the proposed approach makes a more efficient use of the available RBs. Thus it reduces the corresponding waiting time and the transmission delay.



**Fig.7** Average Latency as a function of the V2X UEs packet generation rate  $\lambda_v$  (packets/s).

## 5. Conclusions

In this paper, we have investigated the performance of a RAN slicing strategy for splitting the radio resources into multiple RAN slices to support V2X and eMBB services in uplink, downlink and sidelink (direct V2V) communications. The RAN slicing strategy is based on off-line RL followed by a low-complexity heuristic approach. This strategy has been compared against a reference scheme that makes an allocation of resources in proportion to the traffic rate of each slice. Extensive simulations were conducted to validate and analyze the performance of the RAN slicing strategy.

Simulation results show the capability of the RAN slicing strategy to allocate the resources efficiently and improve the network performance. From the presented results, we notice that the RAN slicing strategy with both off-line RL and off-line RL followed by the low-complexity heuristic approach maintains high resource utilization significantly, when the number of actions increases. The presented results also showed that further improvements are obtained when the configuration parameter  $\omega$  of the low-complexity heuristic approach is increased. The proposed solution achieved better resource utilization, data rate, latency and outage probability with the value of  $\omega$  equal to 0.85 compared to the proposed solution with other values of  $\omega$ . Besides, our RAN slicing scheme outperforms the proportional scheme in terms of resource utilization, data rate, latency and outage probability for all the assumed values of the configuration parameter  $\omega$ .

Future work includes the possibility of extending the evaluation of the algorithm for multi-cell scenarios. In this respect, since the algorithm is devised to work on a per-cell basis, this extension could be carried out just by having a separate slicing controller for each cell operating based on the specific traffic conditions of that cell. This would allow

handling situations in which the traffic is not homogeneous in different cells.

## Acknowledgements

This work was supported in part by the Spanish Research Council and FEDER Funds under SONAR 5G Grant with reference TEC2017-82651-R, and in part by the Baghdad University of Technology.

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