

1 **A New Slit Lamp-Based Technique for Anterior Chamber Angle Estimation**

2 Primary angle-closure glaucoma (ACG) has been described as one of the main causes
3 of blindness around the world, with a particularly high prevalence among the Asian
4 population^{1,2}. Assessment of the Anterior Chamber Angle (ACA) is essential for the
5 detection of eyes at risk of ACG prior to the onset of the disease. Gonioscopy remains
6 the **clinical** standard for ACA evaluation, although several intrinsic limitations of the
7 technique have been documented. Indeed, gonioscopy measurements have been
8 found to depend on the experience and skill of the examiner, actual positioning of the
9 lens, patient line of gaze and pupil diameter variations associated with illumination
10 conditions, as well as on the grading scheme employed to report angle findings³.
11 Moreover, this technique requires application of topical anaesthesia, which may be a
12 handicap in countries where optometrists are not allowed to use diagnostic drugs.

13 Non-invasive alternatives to gonioscopy, such as ultrasound biomicroscopy,
14 Scheimpflug imaging and optical coherence tomography have their own limitations.
15 Ultrasound biomicroscopy, for example, requires ocular immersion, and has been
16 found to offer relatively poor inter-observer reproducibility⁴. Scheimpflug imaging
17 observes the anterior ocular structures with visible light, which in certain peripheral iris
18 configurations may not be able to reach the ACA⁵. Optical coherence tomography may
19 suffer from poor image quality or the inability to locate the scleral spur^{6,7}. From a
20 practical perspective, however, the principal restriction is that the equipment required
21 for these procedures is costly, and rarely available in optometric practice.

22 The Van Herick technique, first described in 1969⁸, aims to estimate the depth of the
23 peripheral anterior chamber by comparing the thickness of the slit lamp optical section
24 of the peripheral cornea to the depth of the anterior chamber adjacent to the limbus.
25 The Van Herick technique is relevant to the interests of all eye care practitioners in that
26 it allows for a quick and easy screening alternative to gonioscopy³, while avoiding direct

27 contact with the ocular surface and the need for anaesthetic instillation, and requiring
28 no more equipment than a slit lamp, an instrument which is available in all eye care
29 practices. However, the fact that the ACA is graded by subjective evaluation of the
30 observed structures implies that the observer needs to be experienced in this
31 procedure. This is supported by evidence that training results in improvements in inter-
32 observer reproducibility^{9,10}. In addition, although modifications through digital image
33 capture and analysis to the Van Herick procedure have been proposed to increase the
34 objectivity of the technique^{11,12}, the technique remains highly sensitive to slit lamp
35 alignment, with results being affected by deviations of as little as 10 degrees from the
36 perpendicular to the ocular surface in the positioning of the direct slit lamp beam¹³. This
37 might be considered one of the main limitations of the technique, as it is not easy to
38 ascertain when the illumination system is perfectly perpendicular to the ocular surface.

39 Furthermore, the Van Herick technique is subject to error conferred by anatomical
40 variation among individuals. Inter-subject variations in peripheral corneal thickness of
41 up to 40% have been reported¹⁴, which may induce an error in angle estimation,
42 leading to ACA over-estimation or under-estimation in patients presenting with thin and
43 thick corneas, respectively. In addition, by using an angle of 60 degrees between the
44 illumination column and the optical axis of the microscope, as described elsewhere⁸,
45 ^{10,11}, the light beam falls tangentially upon the iris surface. This is particularly
46 problematic in individuals with a narrow ACA, which is often associated with curved
47 peripheral iris structures. The tangential incidence of light on an irregular iris surface
48 may compromise the correct delimitation of the border of the beam of light reflected on
49 it, which is essential for an accurate grading of the ACA. Finally, as in gonioscopy,
50 several grading schemes have been introduced for the assessment of the ACA using
51 the Van Herick technique, resulting in different sensitivity and specificity values for the
52 detection of occludable angles, as compared to the **clinical** standard^{8,9}.

53 The aim of the present study was to design and test a new, non-invasive method of
54 estimation of the ACA, based on the slit lamp and accessible to all eye-care
55 professionals, with the purpose of overcoming some of the limitations of the Van Herick
56 technique described above. Results obtained using the new technique were compared
57 to the **clinical** standard of gonioscopy.

58

59 **METHOD**

60 *Participants*

61 A total of 50 patients (100 eyes) (36 females) with ages ranging from 22 to 80 years
62 (mean \pm SD of 50.7 ± 17.3 years) were recruited for this study from those attending the
63 University Vision Center at the Terrassa School of Optics and Optometry for routine
64 optometric eye examination as well as from patients of the Glaucoma Unit of the Mútua
65 Terrassa Hospital. The sample was recruited aiming at a significant percentage of
66 subjects with narrow angles. Thus, patients presenting with narrow angles during either
67 gonioscopic or Scheimpflug imaging (Pentacam HR, Oculus Optikgeräte GmbH)
68 examinations were invited to participate. Patients with a history of intraocular surgery,
69 anterior segment laser treatment, penetrating ocular trauma or those presenting with
70 ocular pathologies and limbal defects preventing the observation of the peripheral
71 anterior segment structures were excluded from the study.

72 All participants provided written informed consent after the nature of the study was
73 explained to them. The study was conducted in accordance with the tenets of the
74 Declaration of Helsinki of 1975 (as revised in Tokyo in 2004) and received the approval
75 of an Institutional Review Board (Universitat Politècnica de Catalunya).

76

77 *The new method: Slit Lamp Anterior Chamber Estimation (SLACE)*

78 A new method to estimate the anterior chamber depth with the slit lamp was designed
79 from the same principles as the Van Herick technique but aiming at overcoming some
80 of the limitations described above. In particular, the fact that Van Herick results are
81 highly dependant on small variations in the beam of light incidence angle¹³, the difficult
82 interpretation of the irregular limits of the light beam reflected on the iris surface, as a
83 consequence of the tangential incidence of the light, and the fact the eye care

84 professional requires a minimum of training to conduct an estimation by comparing the
85 widths of the dark zone, corresponding to the anterior chamber and the optical section
86 of the cornea.

87 The new technique introduced several modifications to the traditional Van Herick
88 procedure. Firstly, the offset between the illumination column and the microscope
89 optical axis of the slit lamp was adjusted to 30 degrees. This decrease in offset, as
90 compared to the traditional 60 degrees, should clarify the limits of the beam when
91 reflected on the iris, even in narrow angles and curved irises. In addition, it was
92 believed that with an offset of 30 degrees between the illumination column and the
93 microscope optical axis the technique would be less prone to the documented influence
94 of axis misalignment than with an offset of 60 degrees. Secondly, the lighting system
95 rheostat was adjusted to full power, with the beam width at its minimum and its height
96 at 5 mm (this height was chosen to ensure an adequate separation from the limbus).
97 Thirdly, slit lamp magnification was set to an intermediate value. In our study, we tested
98 the SLACE technique in two slit lamp models, commonly employed in optometric
99 practice: the Topcon SL-D7 (from now on SL- D7), with a magnification of 25x, and the
100 Bern Haag-Streit BD 900 (from now on BD 900), with a magnification of 16x.
101 Magnification parameters for each slit lamp were selected to provide the best overall
102 view of the structures under examination after initial trials with other magnifications.
103 Finally, patients were assessed in primary gaze position by instructing them to look at
104 the examiner's left ear for temporal right eye assessment, and vice versa for left eye
105 examination.

106 In our procedure, the 5 mm light beam was projected onto the temporal peripheral
107 cornea and the slit lamp position was adjusted so that the upper and lower edges of the
108 beam coincided with the limbus at the superior temporal and inferior temporal
109 quadrants, respectively (**Figure 1**). This configuration, together with the constant height
110 of the beam, guaranteed that the estimation of the anterior chamber depth was always

111 conducted at the same distance from the temporal limbus, given a corneal diameter
112 within the normal range. Then, the width of the beam was manually increased until the
113 inner border of the optical section of the cornea touched the external border of the light
114 beam reflected from the iris. That is, the width of the beam was increased until the
115 disappearance of the dark area corresponding to the anterior chamber (**Figure 2**), at
116 which point the width of the beam, as determined by the value shown on the dial of the
117 slit lamp, was representative of the peripheral anterior chamber depth. Therefore, two
118 numeric values of the slit width, one for each slit lamp, were obtained.

119 The SLACE technique was performed by the same examiner in both eyes of all
120 participants (i.e. 100 eyes). The examiner, who was naïve to the results of the
121 gonioscopy examination was an optometrist with extensive clinical practice and
122 familiarized with the use of both slit lamps. Three measurements per eye were taken,
123 whereupon the median was recorded as the result.

124

125 *Gonioscopy*

126 Indirect Gonioscopy was performed as described elsewhere^{15,16}. An experienced
127 ophthalmologist examined all eyes with a Volk G-4 Four-Mirror Glass Gonio Lens and
128 classified the observed angles according to the Spaeth classification¹⁵. From this
129 classification, a value of the anterior chamber angle, ranging from 10 to 50 degrees (in
130 10 degree steps), was obtained (ACA Spaeth). The angle values equal to or smaller
131 than 20 degrees were considered at risk of occlusion. In addition, the ophthalmologist
132 also classified all angles as clearly open or potentially occludable by taking into
133 account not only the value of the angle *per se*, but also the observed ocular structures
134 according to Spaeth classification (Overall Spaeth): site of iris insertion (anterior to
135 trabecular meshwork, behind Schwalbe's line, centered at the level of the scleral
136 spur, deep to the scleral spur or extremely deep in the ciliary body), configuration of the

137 peripheral iris (steep, regular or queer) and trabecular meshwork pigmentation. Thus,
138 some eyes with 20 degree angles, if presenting with normal ocular structures, may be
139 considered as normal with the Overall Spaeth classification. Similarly, any eyes with
140 abnormal structures, even if presenting an ACA > 20 degrees, would have been
141 classified as at risk of angle closure. Gonioscopy was performed with and without
142 indentation. In addition to angle width, those angles with an anterior iris insertion
143 (anterior to the scleral spur) or a steep iris were considered also at risk of angle closure
144 glaucoma. The ophthalmologist performing the Gonioscopy was naïve to the result of
145 the SLACE technique.

146

147 *Data Analysis*

148 Statistical analysis of the data was performed with the SPSS software 17.0 and the
149 Statgraphics Centurion 16.1.15, both for Windows. All data were examined for
150 normality with the Kolmogorov-Smirnov test, which uncovered several instances of
151 non-normal distribution. Accordingly, descriptive statistics of the study variables are
152 presented in terms of mean and standard deviation and/or median and minimum and
153 maximum values. To compare the results obtained with gonioscopy and the SLACE
154 technique, the Kruskal-Wallis test and the Spearman correlation were performed.
155 Finally, Receiver Operating Characteristic (ROC) curves were constructed and the area
156 under the curve (AUC) was calculated to determine the sensitivity and specificity of the
157 new technique in detecting patients presenting narrow angles susceptible to angle-
158 closure. In all cases, the significance level was established at 95% ($p < 0.05$).

159

160 **RESULTS**

161 According to gonioscopy, the mean ACA for our sample of 100 eyes was 35 degrees,
162 with the majority of eyes presenting values above 30 degrees (**Figure 3**). The
163 classification of open *versus* potentially occludable angles, as performed by both the
164 Overall Spaeth (angle value and structure observation) and the ACA Spaeth (angle
165 value only) identified 16 and 22 eyes as susceptible to occlusion, respectively.

166 The SLACE technique results for the SL-D7 and the BD 900 slit lamps are summarized
167 in **Figure 4** and **Figure 5**. Whereas for the SL-D7 values ranged from 3 to 8 units
168 (median 5 units), results from the BD 900 ranged from 7 to 12 (median 7.5 units). This
169 difference between the results of the slit lamps was expected, and could be explained
170 by intrinsic between-instrument differences in both the width of the light beam and the
171 actual values of width provided by the beam width adjusting the dials of the
172 instruments.

173 The Spearman non-parametric correlation between ACA values as determined by
174 gonioscopy and SLACE were 0.81 ($p < 0.001$) and 0.79 ($p < 0.001$) for the SL-D7 and the
175 BD 900, respectively. This demonstrated good agreement between both techniques,
176 which can be seen in the scatter plot representation of the data (see **Figure 6**).

177 **Figure 7** and **Figure 8** depict the distribution of SLACE technique values, with the SL-
178 D7 and the BD 900, respectively, plotted against the gonioscopy classification of
179 narrow *versus* normal angles (ACA Spaeth). It may be observed that, for narrow
180 angles, SLACE technique values are significantly smaller in both slit lamps. When
181 submitted to a Kruskal-Wallis test, statistically significant differences were found
182 between the SLACE values of gonioscopy classified narrow and normal angles, for
183 both Spaeth classifications and both slit lamps (all $p < 0.001$).

184 Aiming at analysing the specificity and sensitivity of the SLACE technique to detect
185 narrow angles, ROC curves were plotted using the results obtained with both slit lamps

186 and according to the two gonioscopy grading schemes. **Figure 9** shows the ROC
187 curves when the Overall or ACA Spaeth classification criteria were considered as
188 **clinical** standard, together with the corresponding AUC values.

189 Sensitivity and specificity values of the SLACE technique were derived from the ROC
190 curves and are summarized in **Table 1**. When an optimal cut-off value of 4 units for the
191 SL-D7 slit lamp was selected, a diagnosis of narrow angle was obtained with a
192 sensitivity of 100% and a specificity of 75% (using the Overall Spaeth gonioscopy
193 criterion). Sensitivity and specificity values of 91% and 78%, respectively, were found
194 when the ACA Spaeth gonioscopy classification criterion was adopted as the '**Clinical**
195 Standard'. An optimal cut-off of 8.5 units was selected for the BD 900 slit lamp, which
196 was associated with sensitivity and specificity values of 87.5% and 71.5% for the Overall
197 Spaeth criterion and of 81.2% and 74.4% for the ACA Spaeth criterion, respectively.

198

199 **DISCUSSION**

200 The aim of the present study was to design and test a new technique to estimate the
201 ACA using the slit lamp (SLACE). The SLACE technique was compared to the
202 gonioscopy **clinical** standard in detecting angles susceptible to occlusion, whereupon
203 the high correlation coefficients demonstrated the validity of this technique in the
204 quantification of the ACA, whilst the large area under the ROC curve indicated the
205 ability to diagnose narrow angles with a high sensitivity and specificity.

206 This technique was designed to overcome some of the limitations of the Van Herick
207 procedure and to avoid the need for a trained observer to obtain satisfactory results.
208 Thomas and co-workers¹⁰ summarize some of the published sensitivity and specificity
209 values of the traditional Van Herick technique and report values of sensitivity lower
210 than the ones obtained for the SLACE technique (61.9% versus 100%, 91%, 87.5% or
211 81.2%) and higher values of specificity (89.3% versus 75%, 78%, 71.5% and 74.4%). A
212 more recent study¹¹ in which digital image and analysis was introduced to the Van
213 Herick technique, reported better values of sensitivity and specificity (84.9% and
214 89.6%), approaching those of the SLACE technique. Another study¹⁷ documented
215 sensitivity and specificity values of 92% and 90% at the temporal angle and 96% and
216 100% at the nasal angle for the traditional Van Herick technique, comparing it against
217 gonioscopy without indentation as the **clinical** standard, *i.e.* classifying the angle as
218 narrow when the trabecular meshwork could not be seen. However these authors fail to
219 mention the level of expertise with the Van Herick technique of their observers.

220 It must be mentioned that in the present study, cut-off values were selected to
221 maximise sensitivity over specificity as it was believed that the non-invasive nature of
222 the SLACE technique should prioritize the detection, and referral of eyes at risk of
223 angle closure. Accordingly, a better compromise between sensitivity and specificity
224 may be achieved by selecting different cut-off values.

225 In addition, it is worthy to note the lack of agreement regarding which should be
226 considered as the **clinical** standard to estimate ACA and/or to detect patients at risk of
227 occlusion. Though gonioscopy is commonly accepted as the preferred technique by
228 ophthalmologists, controversy remains about the actual classification method (Spaeth
229 or Shaffer) and on how the technique should be performed: lens type and with or
230 without indentation. Besides, in the present study, rather than opting for two different
231 gonioscopists, it was decided that it would be relevant to use only one experienced
232 ophthalmologist to perform all gonioscopies, but to assess all anterior chamber angles
233 with two different classification methods: ACA Spaeth (based on the value of the angle)
234 and Overall Spaeth (based on both the value of the angle and the observed ocular
235 structures). The use of both classifications methods is common practice among
236 ophthalmologists, who generally do not only consider the estimated value of the ACA
237 but also the observed anatomical structures to assess the occlusion risk with
238 gonioscopy. This fact may account for differences in sensitivity and specificity between
239 the **clinical** standard and other techniques, like Van Herick, SLACE, Scheimpflug
240 imaging and others, which rely on angle estimation alone to detect the occludable
241 ones. Indeed, even if some narrow angles may present anatomical characteristics that
242 may prevent occlusion, they would nevertheless be classified as potentially occludable
243 by techniques based on angle estimation but not confirmed by gonioscopy. This fact
244 will lower the specificity of the techniques based solely on angle estimation, resulting in
245 the unfounded referral of patients for gonioscopy examination. In the present work, for
246 example, when the SLACE technique was compared to the overall Spaeth gonioscopy
247 score (angle value estimation and observation of ocular structures) a sensitivity of
248 100% and a specificity of 75% were obtained. Accordingly, in our population, 21 eyes
249 may be detected as potentially occludable with the SLACE technique, referred for
250 gonioscopy examination and finally determined not to be at high risk. On the other
251 hand, however, a sensitivity of 100% should guarantee that all patients at risk are
252 detected and referred for gonioscopy assessment.

253 It needs to be mentioned that the study was not free of limitations. The validation of a
254 new technique is always highly dependent on the **clinical** standard chosen for
255 comparison. In this study, SLACE values were compared with gonioscopy results
256 based on the Spaeth classification system. Further research must explore how the
257 SLACE technique compares to gonioscopy when the Shaffer or other classification
258 systems are employed. Furthermore, only the temporal angle was estimated with the
259 SLACE technique, while gonioscopy explores temporal, nasal, superior and inferior
260 areas. The possible influence of estimating not only the temporal but also the nasal
261 angle on the sensitivity and specificity values obtained with the new technique remains
262 unknown.

263 In addition, although SLACE was designed to overcome some of the limitations that
264 may affect the Van Herick technique^{12,13}, the need to compare the depth of the
265 peripheral anterior chamber with the peripheral corneal thickness, and its
266 corresponding individual variations, may be considered as a potential source of error
267 for both. Further research including measurements of peripheral corneal thickness may
268 be required to explore its possible influence on angle estimation. Given that the Van
269 Herick technique is widely used in clinical practice, further work should also comprise a
270 direct comparison of the SLACE and Van Herick techniques, carried out by the same
271 group of observers, with respect to reproducibility and diagnostic accuracy.

272 We used two different slit lamps in order to evaluate the possible influence of the
273 equipment in the results. Though the absolute values are quite different, with cut-off
274 points of 4 and 8.5 for the SL-D7 and the BD 900, respectively, both slit lamps
275 demonstrated high levels of sensitivity and specificity. The discrepancy in absolute
276 values may be explained by the different correspondence between the units on the
277 rotating dial and the actual beam width. Thus, for the SL-D7, a beam of 1 mm in width
278 corresponds to 7 units, while 12 units are required with the BD 900 to obtain a beam of
279 this same width. Consequently, a limitation of the SLACE technique is that it will require

280 a calibration for each slit lamp model to determine each particular set of cut-off values
281 (it may be noted that practitioners may define their cut-off values based on the 20
282 degree angle value from their own gonioscopy). These discrepancies in absolute
283 values also raise the issue of the need to further explore the repeatability and
284 reproducibility of the SLACE technique before it may be adopted as a clinical tool in
285 optometric practice.

286 The experiment was designed to assess whether good measurements could be
287 obtained by an inexperienced observer. Accordingly, our observer received very little
288 training in performing the technique. Indeed, it was believed that the SLACE technique
289 was able to avoid the need for an experienced examiner: with the traditional Van Herick
290 procedure training has been documented to result in an improvement in the
291 reproducibility of the measurements^{9,10}, which involve a subjective estimation of the
292 peripheral anterior chamber by comparing the thickness of the peripheral cornea with
293 the distance between the inbound and outbound beams of light. Conversely, with the
294 SLACE technique there is no need for a subjective estimation as measurements are
295 accomplished by progressively increasing the width of the slit-lamp optical section until
296 there is contact between the inbound and outbound beams of light. In addition, a
297 certain level of uncertainty when determining the correct positioning of the light beam
298 on the corneal periphery was also avoided by selecting a fixed beam length of 5 mm
299 and adjusting its position until the top and bottom edges of the beam were in contact
300 with the superior and inferior limbus. Moreover, our observer made three
301 measurements in each eye and recorded the median (only in rare occasions the three
302 measurements were not coincident). Future research, however, may address the
303 importance of the observer experience and training to obtain the best from the
304 technique.

305 In conclusion, when compared with gonioscopy as the **clinical** standard the SLACE
306 technique displayed good accuracy for the detection of narrow angles, with sensitivity

307 values near to 100% and specificity values over 75%. Although further work is required
308 to determine its reproducibility and actual superiority over the traditional Van Herick
309 technique, these findings support the SLACE technique as a good alternative for angle
310 evaluation, particularly in the detection of narrow occludable angles. It may be useful
311 for eye care clinicians without access to expensive alternative equipment or those who
312 cannot perform gonioscopy because of legal constraints regarding the use of
313 diagnostic drugs. Indeed, notwithstanding divergences in target area (central *versus*
314 peripheral anterior chamber), the SLACE technique may be considered as based on
315 the same philosophical principle as that proposed by Smith in 1979 to estimate the
316 depth of the anterior chamber¹⁸: it is a non-invasive, user-friendly technique, only
317 requiring a slit lamp, whereby good results can be obtained with very little training.
318 Accordingly, the SLACE technique may contribute to detection of eyes at risk for ACG
319 prior to the onset of the disease and, by providing a quantifiable method of anterior
320 chamber angle estimation, it may also be a useful tool in research.

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373

374 **FIGURE LEGENDS**

375 **Figure 1.** Projection of a 5 mm height optical section on the peripheral cornea.

376 **Figure 2.** SLACE technique: the inner border of the optical section of the cornea is in
377 contact with the inner border of the light beam reflected on the iris.

378 **Figure 3.** Anterior chamber angle distribution according to gonioscopy.

379 **Figure 4.** Width values of the slit lamp beam with the Topcon SL – D7.

380 **Figure 5.** Width values of the slit lamp beam with the Haag Streit Bern BD 900).

381 **Figure 6.** Scatter plots showing the correlation between the SLACE technique and
382 gonioscopy (ACA Spaeth). (a) slit lamp SL-D7; (b) slit lamp BD 900.

383 **Figure 7.** Beam width with the Topcon SL-D7 slit lamp for narrow and normal angles
384 according to gonioscopy (ACA Spaeth).

385 **Figure 8.** Beam width with the Haag Streit Bern BD 900 slit lamp for narrow and
386 normal angles according to gonioscopy (ACA Spaeth).

387 **Figure 9.** ROC curves for the SLACE technique when considering the overall or the
388 ACA Spaeth classification as **clinical** standard.