

# Centroidal bases in graphs\*

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## Abstract

We introduce the notion of a centroidal locating set of a graph  $G$ , that is, a set  $L$  of vertices such that all vertices in  $G$  are uniquely determined by their relative distances to the vertices of  $L$ . A centroidal locating set of  $G$  of minimum size is called a centroidal basis, and its size is the centroidal dimension  $CD(G)$ . This notion, which is related to previous concepts, gives a new way of identifying the vertices of a graph. The centroidal dimension of a graph  $G$  is lower- and upper-bounded by the metric dimension and twice the location-domination number of  $G$ , respectively. The latter two parameters are standard and well-studied notions in the field of graph identification.

We show that for any graph  $G$  with  $n$  vertices and maximum degree at least 2,  $(1 + o(1)) \frac{\ln n}{\ln \ln n} \leq CD(G) \leq n - 1$ . We discuss the tightness of these bounds and in particular, we characterize the set of graphs reaching the upper bound. We then show that for graphs in which every pair of vertices is connected via a bounded number of paths,  $CD(G) = \Omega(\sqrt{|E(G)|})$ , the bound being tight for paths and cycles. We finally investigate the computational complexity of determining  $CD(G)$  for an input graph  $G$ , showing that the problem is hard and cannot even be approximated efficiently up to a factor of  $o(\log n)$ . We also give an  $O(\sqrt{n \ln n})$ -approximation algorithm.

**Keywords:** Graph theory, Centroidal dimension, Metric dimension, Location-domination, Identification

## 1 Introduction

A large body of work has evolved concerning the problem of identifying an “intruder” vertex in a graph. As examples, one might seek to identify a malfunctioning processor in a multiprocessor network, or the location of an intruder such as a thief, saboteur or fire in a graph-modeled facility. In this paper, we introduce the new model of *centroidal detection* as such a graph identification problem.

An early model considered the case where one could place detection devices like sonar or LORAN stations at vertices in a graph; each detection device could determine the distance to the intruder’s vertex location. As introduced independently in Slater [25] and Harary and Melter [11], vertex set  $L = \{w_1, \dots, w_k\} \subseteq V(G)$  is a *locating set* (also called *resolving set* in the literature)

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if for each vertex  $v \in V(G)$ , the (ordered)  $k$ -tuple  $(d(v, w_1), \dots, d(v, w_k))$  of distances between the detector's locations and the intruder vertex  $v$  uniquely determines  $v$ . A minimum cardinality locating set is called a *metric basis* (also called *reference set* in the literature), and its order is the *metric dimension* of  $G$ , denoted by  $MD(G)$ . Other studies involving metric bases include for example [1, 3, 12, 19, 22]. Carson [2] and, independently, Delmas, Gravier, Montassier and Parreau [4] (for the latter authors, under the name of *light  $r$ -codes*) considered the case in which each detection device at  $w_i$  can only detect an intruder at distance at most  $r$ .

In another model, the presence of any edge  $\{u, v\} \in E(G)$  indicates that a detection device at  $u$  is able to detect an intruder at  $v$ . Let us denote by  $N(u)$  and  $N[u]$  the open and the closed neighbourhood of vertex  $u$ , respectively. A set  $D \subseteq V(G)$  is a *dominating set* if  $\bigcup_{u \in D} N[u] = V(G)$ . Clearly, in the latter model, if every possible intruder location must be detectable, the set of detector locations must form a dominating set.

The concepts of locating and dominating set were merged in Slater [28, 29]: when a detection device at vertex  $u$  can distinguish between there being an intruder at  $u$  or at a vertex in  $N(u)$  (but which precise vertex in  $N(u)$  cannot be determined), then we have the concept of a *locating-dominating set*. More precisely, a set  $D$  of vertices is locating-dominating if it is dominating and every vertex in  $V(G) \setminus D$  is dominated by a distinct subset of  $D$ . The minimum cardinality of a locating-dominating set of graph  $G$  is denoted  $LD(G)$ . When one can only decide if there is an intruder somewhere in  $N[u]$ , one is interested in an *identifying code*, as introduced by Karpovsky, Chakrabarty and Levitin [18]. Haynes, Henning and Howard [13] added the condition that the locating-dominating set or identifying code not have any isolated vertices. When a detection device at  $u$  can determine that an intruder is in  $N(u)$ , but will not report if the intruder is at  $u$  itself, one is interested in *open-locating-dominating sets*, as introduced for the hypercube by Honkala, Laihonen and Ranto [15] and for all graphs by Seo and Slater [23, 24]. A bibliography of related papers is maintained by Lobstein [20].

In what follows, we will denote the path and the cycle on  $n$  vertices by  $P_n$  and  $C_n$ , respectively.

In this paper, we introduce the study of *centroidal bases*. In this model, we assume that detection devices have unlimited range, as for metric bases. However, exact distances to the intruder are not known, but for detection devices  $u, v$ , if there is an intruder at vertex  $x$ , then the presence of the intruder in the graph is determined earlier by  $u$  than by  $v$  when  $u$  is closer to  $x$  than  $v$ , that is, when  $d(u, x) < d(v, x)$ . When  $d(u, x) = d(v, x)$  we assume that  $u$  and  $v$  report simultaneously.



Figure 1: Centroidal basis  $\{x_1, x_3, x_6, x_8\}$  for path  $P_8$ .

For example, consider  $S = \{x_1, x_3, x_6, x_8\} \subseteq V(P_8)$  (see Figure 1). For vertex  $x_4$ , the order in which the detectors of  $S$  report is  $(x_3, x_6, x_1, x_8)$  because  $d(x_4, x_3) = 1 < d(x_4, x_6) = 2 < d(x_4, x_1) = 3 < d(x_4, x_8) = 4$ . For vertex  $x_2$ , we have  $d(x_2, x_1) = d(x_2, x_3)$ , hence the order of reporting is  $(\{x_1, x_3\}, x_6, x_8)$ . The smallest size of a set  $S \subseteq V(P_8)$  for which the order of reporting uniquely identifies each vertex is, in fact, four.

## 1.1 Medians and centroids

In 1869, Jordan [17] showed that each of the center and the (branch weight) centroid of a tree either consists of one vertex, or of two adjacent vertices. The *eccentricity* of vertex  $u$  is the maximum distance from  $u$  to another vertex in graph  $G$ ,  $e(u) = \max\{d(u, v), v \in V(G)\}$ , and the *center*  $\mathcal{C}(G)$  of  $G$  is the set of vertices of minimum eccentricity. For a tree  $T$ , the *branch weight* of  $u$ ,  $bw(u)$ , is the maximum number of edges in a subtree with  $u$  as an endpoint. The *branch weight centroid* of  $T$  is the set  $\mathcal{S}_{bw}(T)$  of vertices with minimum branch weight in  $T$ .

In 1964, Hakimi [9] considered two facility location problems, one involving the center. The second one involved the *distance*  $d(u) = \sum_{v \in V(G)} d(u, v)$ , measuring the total response time at  $u$  (this notion was called *status* of  $v$  by Harary in 1959 [10]). The *median* of  $G$ ,  $\mathcal{M}(G) = \{u \in V(G), \forall v \in V(G), d(u) \leq d(v)\}$ , is the set of vertices of minimum distance in  $G$ . In 1968, Zelinka [33] showed that for any tree  $T$ ,  $\mathcal{M}(T) = \mathcal{S}_{bw}(T)$ , which seemed to imply that the median would be a good

generalization of the branch weight centroid of a tree to an arbitrary graph. However (with details in Slater [27, 30] and Slater and Smart [31]), note that components of  $T - u$  of the same order, one being a path and the other a star, contribute the same value of a branch weight, but have much different distances. In trying to keep closer to the spirit of what the branch weight centroid measures, the *centroid*  $\mathcal{S}(G)$  of an arbitrary graph  $G$  was defined in terms of competitive facility location [26]. For facilities located at vertices  $u$  and  $v$ , a customer at vertex  $x$  is interested in which of the facilities is the closer. As defined in Slater [26], the set  $V_{u,v} = \{x \in V(G), d(x, u) < d(x, v)\}$  is the set of vertex customer locations strictly closer to  $u$  than to  $v$ . Then,  $f(u, v) = |V_{u,v}| - |V_{v,u}|$  rates how well  $u$  does as a facility location, in comparison to  $v$ . Letting  $f(u) = \min\{f(u, v), v \in V(G) - u\}$ , the *centroid* of a graph  $G$  is the set  $\mathcal{S}(G) = \{u \in V(G), \forall v \in V(G) - u, f(u) \geq f(v)\}$ . When  $G$  is a tree, the centroid and branch weight centroid are easily seen to coincide. Interestingly, there are graphs  $G$  for which  $f(u) < 0$  for all  $u \in V(G)$ .

Note that in our context of centroidal bases, detectors located at  $u$  and  $v$  enable us to determine if an intruder is in  $V_{u,v}$ , in  $V_{v,u}$ , or in  $V(G) - V_{u,v} - V_{v,u} = \{x \in V(G), d(x, u) = d(x, v)\}$ .

## 1.2 Centroidal detection

Let  $B = \{w_1, \dots, w_k\} \subseteq V(G)$  be a set of vertices of graph  $G$  with detection devices located at each  $w_i$ . As noted, we will assume that each detection device has an unlimited range — an intruder entering at any vertex  $x$  will, at some point, have its presence noted at each  $w_i$ . Simply the presence will be noted, with no information about the location of the intruder. In particular, unlike in the setting of metric bases,  $d(x, w_i)$  will not be known. However, the time it takes before  $w_i$  detects the intruder at  $x$  will be an increasing function of the distance  $d(x, w_i)$ . That is,  $w_i$  will indicate an intruder presence before  $w_j$  whenever  $d(x, w_i) < d(x, w_j)$  (in our previous terminology,  $x \in V_{w_i, w_j}$ ). We will say that  $x$  is *located* first by  $w_i$ , and then by  $w_j$ . Thus, each vertex  $x$  has a rank ordering  $r(x)$  of the elements of a partition of  $B$  (in fact,  $r(x)$  is an *ordered partition* of  $B$ , that is, an ordered set of disjoint subsets of  $B$  whose union is  $B$ ). This ordering lists all the elements of  $B$  in non-decreasing order by their distance from  $x$ , with ties noted. Note that the number of ordered partitions of a set  $B$  of  $k$  elements is the  $k$ -th *ordered Bell number*, denoted  $b(k)$  (see the book of Wilf [32, Section 5.2, Example 1]).

For  $B = \{x_1, x_3, x_6, x_8\}$  in path  $P_8$  (see Figure 1),  $r(x_1) = (x_1, x_3, x_6, x_8)$ ,  $r(x_2) = (\{x_1, x_3\}, x_6, x_8)$ ,  $r(x_3) = (x_3, x_1, x_6, x_8)$ ,  $r(x_4) = (x_3, x_6, x_1, x_8)$ ,  $r(x_5) = (x_6, x_3, x_8, x_1)$ ,  $r(x_6) = (x_6, x_8, x_3, x_1)$ ,  $r(x_7) = (\{x_6, x_8\}, x_3, x_1)$  and  $r(x_8) = (x_8, x_6, x_3, x_1)$ .

**Definition 1.** *Vertex set  $B \subseteq V(G)$  is called a centroidal locating set of graph  $G$  if  $r(x) \neq r(y)$  for every pair  $x, y$  of distinct vertices. A centroidal basis of  $G$  is a centroidal locating set of minimum cardinality. The centroidal dimension of  $G$ , denoted  $CD(G)$ , is the cardinality of a centroidal basis.*

In our example,  $B = \{x_1, x_3, x_6, x_8\}$  is the unique centroidal basis of path  $P_8$ , and  $CD(P_8) = 4$ .

Observe that every graph  $G$  has a centroidal locating set, for example,  $V(G)$ : each vertex  $x$  is the only vertex having  $x$  as the first element of  $r(x)$ .

A useful reformulation of the definition of a centroidal locating set is as follows:

**Observation 2.** *A set  $B$  of vertices of a graph  $V(G)$  is a centroidal locating set if and only if for every pair  $x, y$  of distinct vertices of  $V(G)$ , there exist two vertices  $b_1, b_2$  in  $B$  such that either  $d(x, b_1) \leq d(x, b_2)$  but  $d(y, b_1) > d(y, b_2)$ , or  $d(y, b_1) \leq d(y, b_2)$  and  $d(x, b_1) > d(x, b_2)$  (in other words,  $y \in V_{b_2, b_1}$  but not  $x$ , or  $x \in V_{b_2, b_1}$  but not  $y$ ).*

## 1.3 Structure of the paper

We start in Section 2 by stating some preliminary observations and lemmas, and by giving bounds on parameter  $CD$  involving the order, the diameter, and other parameters of graphs; in particular, we show that  $(1 + o(1)) \frac{\ln n}{\ln \ln n} \leq CD(G) \leq n - 1$  when  $G$  has  $n$  vertices and maximum degree at least 2.

In Section 3, we discuss the tightness of the two aforementioned bounds by constructing graphs with small centroidal dimension, and by fully characterizing the graphs having centroidal dimension  $n - 1$ .

In Section 4, we give a lower bound  $CD(G) = \Omega\left(\sqrt{\frac{m}{k}}\right)$  when  $G$  has  $m$  edges and every pair of vertices is connected by a small number,  $k$ , of paths. We show that the bound is tight (up to a constant factor) for paths and cycles.

Finally, in Section 5, we discuss the computational complexity of finding a centroidal basis; we show that for graphs with  $n$  vertices, it is NP-hard to compute an  $o(\ln n)$ -approximate solution, and describe an  $O(\sqrt{n \ln n})$ -approximation algorithm. We also remark that the problem is fixed-parameter-tractable when parameterized by the solution size.

## 2 Preliminaries and bounds

In this section, we give a series of preliminary lemmas and bounds for parameter  $CD$  that will prove useful later on, and also help the reader become familiar with some of the aspects of the problem.

### 2.1 Preliminary results

We state a few lemmas that will prove very useful in the study of centroidal locating sets.

**Lemma 3.** *Let  $G$  be a graph. The following statements are true:*

- (a) *If  $u$  is a vertex of degree 1, then any centroidal locating set of  $G$  contains  $u$ .*
- (b) *If  $u$  is a vertex of degree 1 having a neighbour  $v$  of degree 2, then any centroidal locating set of  $G$  contains either  $v$  or a neighbour of  $v$  other than  $u$ .*
- (c) *If  $u, v$  are two vertices with  $N(u) = N(v)$  or  $N[u] = N[v]$ , then any centroidal locating set of  $G$  contains at least one of  $u$  and  $v$ .*

*Proof.* (a): Otherwise,  $u$  and its neighbour are not distinguished.

(b) and (c): Otherwise,  $u$  and  $v$  are not distinguished. □

**Lemma 4.** *Let  $S$  be a set of vertices of a graph  $G$  such that for each  $u \in S$ ,  $|N(u) \setminus S| \geq 2$  and such that for each  $u, v \in S$ ,  $N(u) \setminus S \neq N(v) \setminus S$ . Then  $V(G) \setminus S$  is a centroidal locating set of  $G$ .*

*Proof.* Let  $B = V(G) \setminus S$ . Every vertex of  $B$  is first located by itself, while every vertex of  $S$  is first located by a distinct set of at least two vertices of  $B$ . □

Note that in particular, Lemma 4 shows that for any vertex  $u$  of degree at least 2 in a graph  $G$ ,  $V(G) \setminus \{u\}$  is a centroidal locating set of  $G$ .

### 2.2 Bounds

We now provide some lower and upper bounds for the value of parameter  $CD$ .

**Theorem 5.** *Let  $G$  be a graph on  $n$  vertices with maximum degree at least 2. Then*

$$(1 + o(1)) \frac{\ln n}{\ln \ln n} \leq CD(G) \leq n - 1.$$

*Proof.* Lemma 4 immediately implies the upper bound. For the lower bound, assume that  $B$  is a centroidal basis of size  $k = CD(G)$ , and  $G$  has  $n$  vertices. Then, to each vertex of  $G$ , one can assign a distinct ordered partition of  $B$ . It is known that the number of ordered partitions of a set of  $k$  elements, the ordered Bell number  $b(k)$ , is approximated by

$$b(k) \sim \frac{k!}{2(\ln 2)^{k+1}} + O(0.16^k k!),$$

see Wilf [32, Section 5.2, Example 1]. It is clear that  $n \leq b(k)$ . Let us assume that  $B$  is a centroidal basis of  $G$  of size  $k$ , with  $n = b(k)$ : for large enough  $n$ , we have  $n = k!(c^{k+1})$  for some constant  $c$ . Taking the logarithm of both sides we get  $\ln n = \ln(k!) + (k + 1) \ln(c)$ . By using Stirling's approximation  $\ln(k!) = k \ln(k) - k + O(\ln(k))$ , we obtain

$$\ln n = (1 + o(1))k \ln(k). \tag{1}$$

Hence,  $k = (1 + o(1)) \frac{\ln n}{\ln(k)}$ ; again taking the logarithm, we get

$$\ln(k) = \ln \ln n - \ln \ln(k) + \ln(1 + o(1)) = (1 + o(1)) \ln \ln n. \quad (2)$$

Merging Equalities (1) and 2, we get

$$k = (1 + o(1)) \frac{\ln n}{\ln \ln n}.$$

Since in  $G$ , there cannot be any smaller centroidal locating set than  $B$ , the bound follows.  $\square$

The considerations of Theorem 5 can be strengthened if we assume that the distances of a vertex to vertices in  $B$  are bounded. The following result was already known in the context of the metric dimension; see e.g. Khuller, Raghavachari and Rosenfeld [19] or Chartrand, Eroh, Johnson and Oellermann [3].<sup>1</sup>

**Proposition 6.** *Let  $G$  be a graph with a centroidal locating set  $B$  of size  $k$  and let  $D$  be an integer. If for every vertex  $u \in V(G) \setminus B$  and for every vertex  $b \in B$ ,  $d(u, b) \leq D$ , then  $n \leq k + D^k$  and hence  $CD(G) \geq \log_D(n) - 1$ . In particular, this holds if  $G$  has diameter  $D$ .*

*Proof.* Let  $u \in V(G) \setminus B$  be a vertex. Since every vertex of  $B$  is at distance at most  $D$  from  $u$ ,  $r(u)$  contains at most  $D$  sets. There are exactly  $D^k$  different ordered partitions of  $B$  into at most  $D$  sets (this number is equal to the number of words of length  $k$  over an alphabet of size  $D$ ), hence there can be at most  $D^k$  vertices in  $V(G) \setminus B$ , and hence  $k + D^k$  vertices in  $G$ .  $\square$

The bound of Proposition 6 was improved by Hernando, Mora, Pelayo, Seara and Wood [14]:

**Theorem 7** ([14]). *Let  $G$  be a graph on  $n$  vertices with diameter  $D \geq 2$  and  $CD(G) = k \geq 1$ . Then  $n \leq (\lfloor \frac{2D}{3} \rfloor + 1)^k + k \sum_{i=1}^{\lfloor D/3 \rfloor} (2i - 1)^{k-1}$ .*

We improve the bound in Theorem 7 for  $D \leq 3$ :

**Theorem 8.** *Let  $G$  be a graph on  $n$  vertices with diameter  $D \in \{2, 3\}$  and let  $CD(G) = k$ . If  $D = 2$  and  $k \geq 1$ ,  $n \leq 2^k + k - 1$ . If  $D = 3$  and  $k \geq 5$ ,  $n \leq 3^k - 2^{k+1} + 2$ .*

*Proof.* Let  $B$  be a centroidal locating set of  $G$ .

- $D = 2$  and  $k \geq 1$ . By Theorem 7 we have  $n \leq 2^k + k$ . However, observe that for every vertex  $v \notin B$ ,  $r(v) = (N(v) \cap B, B \setminus N(v))$ . But there can only be  $2^k$  distinct sets  $N(v) \cap B$ , and moreover if  $N(v) = B$  and  $N(w) = \emptyset$  we have  $r(v) = r(w) = (B)$ . Hence  $|V(G) \setminus B| \leq 2^k - 1$  and we are done.

- $D = 3$  and  $k \geq 5$ . Since the diameter is 3, for every vertex  $v$ ,  $r(v)$  has at most three components, unless  $v \in B$ , then it may have four. Moreover, if  $v \notin B$ , then  $r(v)$  either has one component (then  $r(v) = (B)$ ), or two (then  $r(v) = (S, B \setminus S)$  with  $1 \leq |S| \leq |B| - 1$ ), or three (then  $r(v) = (S, T, B \setminus (S \cup T))$  with  $S \cap T = \emptyset$  and  $1 \leq |T| \leq |B \setminus S|$ ).

Therefore, we have

$$|V(G) \setminus B| \leq 1 + \sum_{i=1}^{k-1} \binom{k}{i} (2^{k-i} - 1) = 3^k - 2^{k+1} + 2,$$

that is, 1 plus the number of ways of choosing a nonempty subset  $S$  of  $B$  and a nonempty subset of  $B \setminus S$ .

Now, if for every pair  $b, b'$  in  $B$  we have  $d(b, b') \leq 2$ , then no vertex  $v$  has four components in  $r(v)$  and so the claimed bound holds. Now, assume that there is a pair  $b, b'$  in  $B$  with  $d(b, b') = 3$ . This implies that for any vertex  $v$ ,  $r(v)$  cannot be of the form  $(\{b, b'\}, T, B \setminus (\{b, b'\} \cup T))$  with  $S$  a nonempty proper subset of  $T \setminus \{b, b'\}$  since otherwise we must have  $d(v, b) = d(v, b') = 1$  and hence  $d(b, b') \leq 2$ , a contradiction. This gives us at least  $k - 2 + \binom{k-2}{2}$  forbidden triples of the form  $(\{b, b'\}, T, B \setminus (\{b, b'\} \cup T))$  since  $T$  can be chosen to be one of  $k - 2$  possible singletons and  $\binom{k-2}{2}$  possible pairs. Since  $k \geq 5$ ,  $k - 2 + \binom{k-2}{2} \geq k$  and we are done.  $\square$

<sup>1</sup>The result was stated in terms of the metric dimension but since any centroidal locating set is also a locating set the bound holds also for the centroidal dimension.

The bounds of Theorem 8 will be proved tight in Section 3.

We will now relate parameter  $CD$  to parameters  $MD$  and  $LD$ .

**Lemma 9.** *Let  $G$  be a graph with a locating-dominating set  $C$  such that  $\ell$  vertices from  $V(G) \setminus C$  have a unique neighbour in  $C$ . Then  $CD(G) \leq LD(G) + \ell$ .*

*Proof.* We construct a centroidal locating set  $C'$  from  $C$  by adding at most  $\ell$  vertices to  $C$ . Note that in the setting of a centroidal locating set and considering  $C$  as a potential solution, each vertex of  $C$  is located first by itself, and then by its neighbourhood within  $C$ , while each vertex  $v$  of  $V(G) \setminus C$  is first located by  $N(v) \cap C$ . Hence, any two vertices both in  $C$  or both in  $V(G) \setminus C$  are distinguished. However, if for some vertex  $v$  of  $V(G) \setminus C$ ,  $N(v) \cap C = \{c_v\}$ ,  $v$  and  $c_v$  might not be distinguished. In that case, it is enough to add  $v$  to  $C$  to solve this problem. This does not cause any other conflict since any superset of a centroidal locating set is also a centroidal locating set. Repeating the process  $\ell$  times completes the proof (observing that any other vertex of  $C$  is distinguished from all other vertices).  $\square$

Using Lemma 9, we obtain the following theorem:

**Theorem 10.** *For any graph  $G$ ,  $MD(G) \leq CD(G) \leq 2LD(G)$ .*

*Proof.* For the first inequality, note that any centroidal locating set  $B$  is a locating set. Indeed, if two vertices were at the same distance to each vertex of  $B$ , then they would not be distinguished by their relative distances by  $B$ , a contradiction.

The second inequality is proved by Lemma 9 by observing that for any locating-dominating set  $C$ ,  $\ell \leq |C|$ : for each  $c_v \in C$ , if there were two vertices of  $V(G) \setminus C$  having only  $c_v$  as a neighbour in  $C$ , they would not be distinguished by  $C$ , a contradiction.  $\square$

For graphs of diameter 2, one gets the following improvement:

**Theorem 11.** *Let  $G$  be a graph of diameter 2. Then  $LD(G) - 1 \leq MD(G) \leq CD(G) \leq 2LD(G)$ .*

*Proof.* The last two inequalities come from Theorem 10. For the first inequality, we show that any locating set  $L$  is almost a locating-dominating set. Each vertex  $v$  of  $V(G) \setminus L$  has distance 1 to all elements of its neighbourhood  $N_v = N(v) \cap L$  in  $L$ , and distance 2 to all vertices of  $L \setminus N_v$ . In other words, vertices in  $L$  only distinguish vertices they are adjacent to, from non-adjacent ones. Since  $L$  is a locating set, it follows that each vertex in  $V(G) \setminus L$  has a distinct neighbourhood within  $L$ . Therefore, if  $L$  is dominating, it is also locating-dominating. Otherwise, there is at most one vertex that is not dominated; adding it to set  $L$ , we get a locating-dominating set of size  $|L| + 1$ .  $\square$

### 3 Tightness of the bounds

In this section, we discuss the tightness of some of the bounds from Section 2.2.

#### 3.1 Graphs with small centroidal dimension

For  $k = 1, 2$  it is easy to construct graphs  $G$  on  $n$  vertices with  $CD(G) = k$  and  $n = b(k)$ : for  $k = 1$ ,  $b(1) = 1$  and  $K_1$  is the only answer; for  $k = 2$ ,  $b(2) = 3$  and both  $P_3, K_3$  are answers.

For  $k = 3$ ,  $b(3) = 13$ ; the two graphs of Figure 2 have 13 vertices and centroidal dimension 3 (the black vertices form a centroidal basis).

It holds that  $b(4) = 75$  [21]; a more intricate construction for this case is presented in Figure 3.

We do not know such optimal examples for  $k \geq 5$  (recall that  $b(k)$  grows very rapidly with  $k$ :  $b(5) = 541$ ,  $b(6) = 4683$  [21]). Note that it is not possible to directly extend our example for  $k = 4$  to higher values by using the same idea; indeed, every two vertices from the centroidal basis  $B$  are at distance at most 3 from each other. But in our construction, the vertices whose vector is a permutation of  $B$  have a neighbour in the basis. Hence their vector  $r$  can have length at most 4, but these  $k!$  vertices need to have a vector of length  $k$ .

**Bounded diameter.** We now discuss the tightness of the bounds for diameter 2 and 3 of Theorem 8.

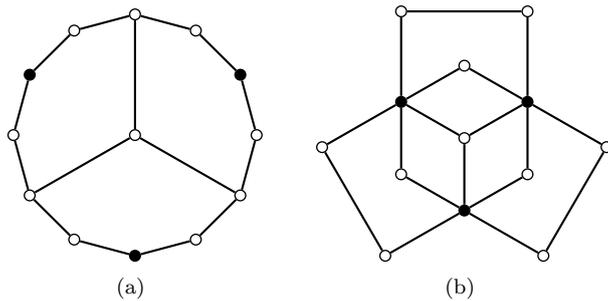


Figure 2: Two graphs on  $b(3) = 13$  vertices with centroidal dimension 3.

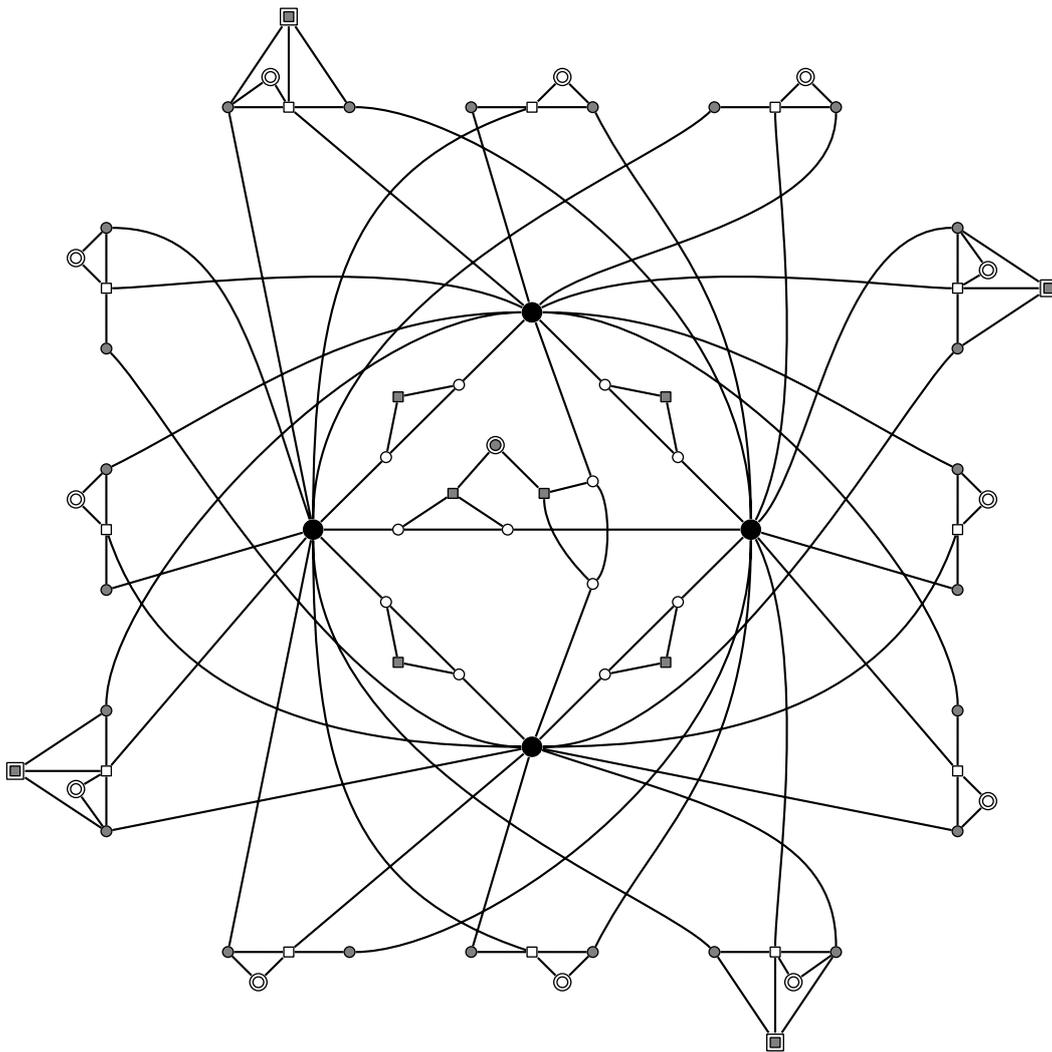


Figure 3: A graph on  $b(4) = 75$  vertices with centroidal dimension 4. In the central part of the figure, the four black vertices form the centroidal basis and have their vector  $r$  of the form  $(a, \{b, c, d\})$ ; they are at distance 3 from each other. The twelve white circle-shaped vertices have their vector of the form  $(a, b, \{c, d\})$ . The six gray square-shaped vertices have their vector of the form  $(\{a, b\}, \{c, d\})$ . The unique gray double-circled vertex has its vector of the form  $(\{a, b, c, d\})$ . In the outer parts of the figure, the twenty-four gray circled-shaped vertices have their vector of the form  $(a, b, c, d)$ . The twelve white square-shaped vertices have their vector of the form  $(a, \{b, c\}, d)$ . The twelve white double-circled vertices have their vector of the form  $(\{a, b\}, c, d)$ . Finally, the four gray double-squared vertices have their vector of the form  $(\{a, b, c\}, d)$ .

**Proposition 12.** For any  $k \geq 4$ , there is a graph  $G$  of diameter 2 with  $n = 2^k + k - 1$  vertices and  $CD(G) = k$ .

*Proof.* We construct  $G$  in the following way. We let  $V(G) = B \cup S$ , where  $B, S$  are disjoint sets,  $B$  has  $k$  vertices, and  $S$  has  $n - k = 2^k - 1$  vertices. We make sure that in the subgraph induced by  $B$ , every vertex has a neighbor and a non-neighbor, which is possible since  $k \geq 4$ . The set  $S$  induces a clique and the neighborhood of every vertex of  $S$  within  $B$  is distinct and nonempty.

Since  $G$  has a vertex of degree  $n - 1$  (in  $S$ ) the diameter is 2. For every vertex  $v$  in  $B$ ,  $r(v) = (\{v\}, N(v) \cap B, B \setminus N[v])$ , and by our assumption on the structure of  $B$ , these three sets are nonempty. For every vertex  $v$  in  $S$ ,  $r(v) = (N(v) \cap B, B \setminus N(v))$ . By construction all these vectors are distinct.  $\square$

In fact, in the construction of Proposition 12,  $B$  is a locating-dominating set; similar constructions are well known in this context, see for example Slater [29]. For diameter 3, we give a more complicated construction:

**Theorem 13.** For any  $k \geq 4$ , there is a graph  $G$  of diameter 3 with  $n = 3^k - 2^{k+1} + 2$  vertices and  $CD(G) = k$ .

*Proof.* We construct  $G$  as follows (see Figure 4 for an illustration).

- Let  $B$  be an independent set of size  $k$ , which will be the centroidal locating set of  $G$ .
- Let  $X$  be a clique containing, for every subset  $S$  of  $B$  with  $2 \leq |S| \leq k - 2$ , a vertex  $x(S)$  that is adjacent to all vertices in  $S$ . Set  $X$  has size  $\sum_{i=2}^{k-2} \binom{k}{i}$ .
- Let  $Y$  be an independent set containing, for every subset  $S$  of  $B$  with  $1 \leq |S| \leq k - 2$  and for every proper nonempty subset  $T$  of  $B \setminus S$ , a vertex  $y(S, T)$  (note that  $1 \leq |T| \leq k - 2$ ). Vertex  $y(S, T)$  is adjacent to all vertices of  $S$ . Moreover, if  $|T| \geq 2$ ,  $y(S, T)$  is adjacent to  $x(T)$ . If  $T = \{t\}$  has size 1, let  $T'$  be an arbitrary size 2-subset of  $B$  formed by  $t$  and an arbitrary vertex of  $S$ , and let  $y(S, T)$  be adjacent to  $x(T')$ . Note that set  $Y$  has size  $\sum_{i=1}^{k-2} \binom{k}{i} (2^{k-i} - 2)$ .
- Let  $Z$  be a clique of size  $k + 1$  containing, for each subset  $S$  of  $B$  with  $k - 1 \leq |S| \leq k$ , a vertex  $z(S)$  that is adjacent to the vertices in  $S$ .

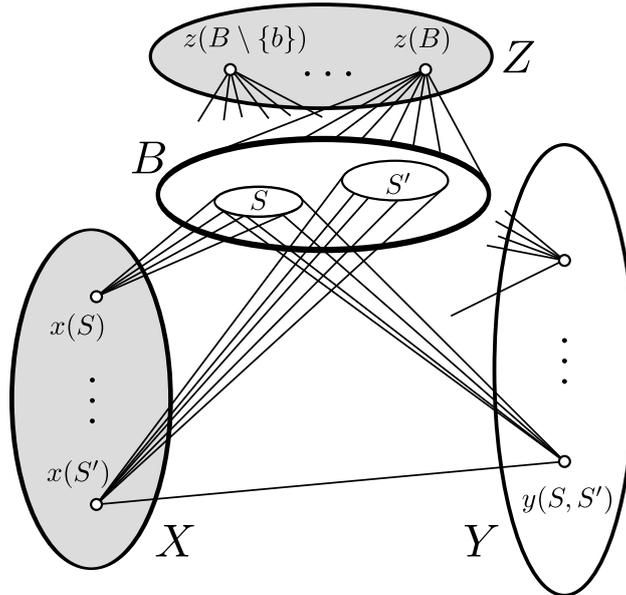


Figure 4: The construction of Theorem 13. Gray sets are cliques.

The order of  $G$  is

$$\begin{aligned}
|B| + |X| + |Y| + |Z| &= k + \sum_{i=2}^{k-2} \binom{k}{i} + \sum_{i=1}^{k-2} \left( \binom{k}{i} (2^{k-i} - 2) \right) + k + 1 \\
&= 1 + \sum_{i=1}^k \left( \binom{k}{i} (2^{k-i} - 1) \right) \\
&= 3^k - 2^{k+1} + 2.
\end{aligned}$$

Furthermore, the diameter of  $G$  is exactly 3. It is at least 3: consider some vertex  $y = y(S, T)$ . We have  $N(y) = S$ , and the vertices in  $T$  are at distance 2 from  $y$  (via vertex  $x(T)$ ). However, all vertices of  $B \setminus (S \cup T)$  are at distance 3 from  $y$ , and since  $T$  is a proper subset of  $B \setminus S$ ,  $B \setminus (S \cup T)$  is nonempty. On the other hand, the diameter is at most 3. If  $v$  is any vertex of  $G$ ,  $v$  is within distance 1 of some vertex  $b$  in  $B$ , and since  $X$  and  $Z$  are cliques and  $b$  has a neighbor in both  $X$  and  $Z$ , every vertex in  $X \cup Z$  is within distance 2 of  $b$  and within distance 3 of  $v$ . Moreover, every two vertices in  $B$  are at distance at most 2 apart since they all share a neighbor,  $z(B)$ . Hence, any vertex  $y(S, T) \in Y$ , since it has a neighbor in  $B$ , is at distance at most 3 from any vertex in  $B$ . Finally, any vertex in  $Y$  has a neighbor in  $X$ , which is a clique; hence any two vertices in  $Y$  have distance at most 3 from each other.

It remains to check that  $B$  is a centroidal locating set. For every  $b \in B$ , we have  $r(b) = (\{b\}, B \setminus \{b\})$ . For every vertex  $x = x(S)$  in  $X$ , we have  $r(x) = (S, B \setminus S)$  and  $2 \leq |S| \leq k - 2$ . For every vertex  $z = z(S)$  in  $Z$ ,  $r(z) = \{S, B \setminus S\}$  if  $|S| = k - 1$  and  $r(z(B)) = (B)$ . We have now realised all possible vectors with at most two components. Finally, for any vertex  $y = y(S, T)$  in  $Y$ ,  $r(y) = (S, T, B \setminus (S \cup T))$  and by the definition of  $Y$ , none of these three components is empty. This completes the proof.  $\square$

We leave the question of the tightness of Theorems 5 and 7 open.

**Question 14.** *Is the bound  $CD(G) \geq (1 + o(1)) \frac{\ln n}{\ln \ln n}$  asymptotically tight, that is, can we find an infinite family of graphs  $G$  with  $CD(G) = O\left(\frac{\ln n}{\ln \ln n}\right)$ ?*

Observe that, by Proposition 6,  $CD(G) \geq \log_D(n) - 1$  when  $G$  has diameter  $D$  and  $n$  vertices. Hence, in order to construct a graph with a centroidal locating set of size  $O\left(\frac{\ln n}{f(n)}\right)$  for some  $f(n) = O(\ln \ln n)$ ,  $G$  should have diameter  $\Omega(e^{f(n)})$ ; in particular, for  $f(n) = \ln \ln n$ , the diameter should be  $\Omega(\ln n)$ .

It was proved by Sebő and Tannier [22] that for the hypercube  $Q_k$  with  $n = 2^k$  vertices,  $MD(Q_k) = \frac{2 \log_2 n}{\log_2 \log_2 n} (1 + o(1))$ . In this regard, it would be interesting to determine whether the family of hypercubes is a positive answer to Question 14; determining  $CD(Q_k)$  would be of independent interest.

**Question 15.** *What is the maximum order of a graph with centroidal dimension  $k$  and diameter  $D \geq 4$ ?*

### 3.2 Graphs with large centroidal dimension

A direct consequence of Theorem 5 is that a graph has centroidal dimension equal to its order if and only if it has maximum degree at most 1. We now fully characterize the set of graph with centroidal dimension of value the order minus one.

For some  $n \geq 1$ ,  $K_n$  denotes the complete graph on  $n$  vertices. For some  $a, b \geq 1$ ,  $K_{a,b}$  denotes the complete bipartite graph with parts of sizes  $a$  and  $b$ . Let  $n \geq 4$ . We denote by  $S_n$ , the graph obtained by joining  $K_2$  to an independent set of  $n - 2$  vertices. We call  $T_n$  the tree obtained from  $P_3$  by attaching  $n - 3$  degree 1-vertices to one of the ends of  $P_3$ . Finally, we call  $U_n$  the graph obtained from  $K_3$  by attaching  $n - 3$  degree 1-vertices to one of the vertices of  $K_3$ .

In particular,  $K_{1,n-1}$  is a star,  $K_{2,2}$  is the cycle  $C_4$ ,  $S_4$  is the diamond graph, and  $T_4$  is the path  $P_4$ . See Figure 5 for illustrations of these graphs (black vertices belong to a centroidal basis).

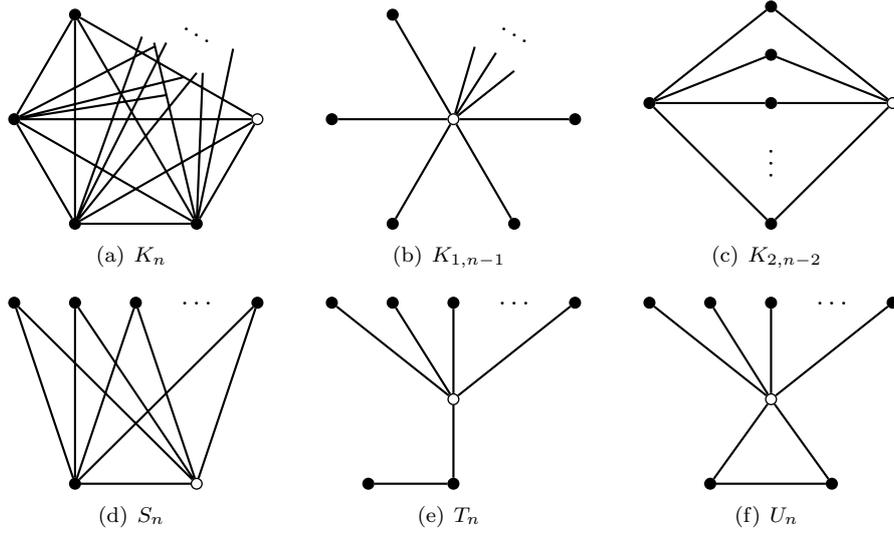


Figure 5: The list of graphs on  $n$  vertices with centroidal dimension  $n - 1$ .

**Proposition 16.** *Let  $G$  be a graph on  $n \geq 3$  vertices belonging to  $\{K_n, K_{1,n-1}, K_{2,n-2}, S_n, T_n, U_n\}$ . Then  $CD(G) = n - 1$ .*

*Proof.* By Lemma 4 applied on a single degree 2-vertex, it is easily seen that in each case,  $CD(G) \leq n - 1$ . If  $G$  is isomorphic to  $K_n$ , the lower bound is directly implied by Lemma 3(c).

If  $G$  is isomorphic to  $K_{1,n-1}$ , then by Lemma 3(a) any centroidal locating set contains all  $n - 1$  leaves of  $K_{1,n-1}$ .

If  $G$  is isomorphic to  $K_{2,n-2}$  or to  $S_n$ , by Lemma 3(c) at least  $n - 3$  vertices of degree 2 belong to any centroidal locating set, as well as one of the other two vertices. However, if no further vertex does belong to the centroidal locating set, then the vertex of degree 2 that is not in the set is not distinguished from its neighbour in the set, a contradiction.

If  $G$  is isomorphic to  $T_n$ , by Lemma 3(a) all  $n - 2$  vertices of degree 1 belong to any centroidal locating set. Moreover, one further vertex also does according to Lemma 3(b).

Finally, if  $G$  is isomorphic to  $U_n$ , again by Lemma 3(a) all  $n - 3$  vertices of degree 1 belong to any centroidal locating set, as well as one degree 2-vertex of the triangle by Lemma 3(c). If no further vertex does belong to a centroidal locating set, then the two degree 2-vertices of the triangle are not distinguished, a contradiction.  $\square$

In fact, the graphs from Figure 5 are the only extremal graphs, as shown in the following theorem:

**Theorem 17.** *Let  $G$  be a connected graph on  $n \geq 3$  vertices with  $CD(G) = n - 1$ . Then  $G$  belongs to  $\{K_n, K_{1,n-1}, K_{2,n-2}, S_n, T_n, U_n\}$ .*

*Proof.* We assume by contradiction that  $CD(G) = n - 1$  but  $G$  does not belong to the list. Hence,  $n \geq 5$  since all connected graphs on three or four vertices are in the list. Let  $u$  be a vertex of  $G$  of degree at least 2. By Lemma 4,  $V(G) \setminus \{u\}$  is a centroidal locating set. First of all, observe that there is no vertex at distance 3 of  $u$ : for contradiction, assume that  $z$  is such a vertex and let  $t$  be a neighbour of  $z$  with  $deg(t) \geq 2$  that lies on a path from  $u$  to  $z$ . By Lemma 4,  $B = V(G) \setminus \{u, t\}$  is a centroidal locating set, a contradiction.

We now assume that  $deg(u) = 2$ . Let  $v, w$  be the two neighbours of  $u$ , and let  $x, y$  be two other vertices in  $G$  (they exist since  $n \geq 5$ ). Since there is no vertex at distance 3 of  $u$ , both  $x, y$  are neighbours of at least one of  $v, w$ . First, assume that  $x$  is a neighbour of both  $v$  and  $w$  and that  $y$  is only a neighbour of  $v$ . Then  $B = V(G) \setminus \{v, w\}$  is a centroidal locating set, a contradiction. Indeed, each vertex of  $B$  is first located by itself, then by its neighbours in  $B$ , while  $v, w$  are first

located by a set of at least two vertices. Moreover,  $v$  is (in particular) first located by  $y$ , while  $w$  is not.

Hence, either all vertices other than  $u, v, w$  are adjacent to both  $v, w$ , or none is. In the first case, if all common neighbours of  $v, w$  form an independent set,  $G$  is isomorphic to either  $K_{2, n-2}$  or  $S_n$ , a contradiction. Hence, there is an edge between two common neighbours of  $v, w$ , say between  $x, y$ . Then,  $B = V(G) \setminus \{u, x\}$  is a centroidal locating set, a contradiction. Indeed, each vertex of  $B$  is first located by itself, then by its neighbours in  $B$ , while  $u, x$  are first located by a set of at least two vertices. Moreover,  $x$  is (in particular) first located by  $y$ , while  $u$  is not.

We now have that each vertex other than  $u, v, w$  is adjacent to exactly one of  $v, w$ . Let  $S_v$  be the set of neighbours of  $v$  other than  $u, w$  and let  $S_w$  be the set of neighbours of  $w$  other than  $u, v$ . If both  $|S_v|, |S_w| \geq 1$ , by Lemma 4,  $V(G) \setminus \{v, w\}$  is a centroidal locating set, a contradiction. Otherwise, either  $G$  is isomorphic to  $T_n$  if  $v, w$  are non-adjacent, or to  $U_n$  otherwise, a contradiction in both cases.

By the previous discussion,  $G$  has no degree 2-vertices. Hence  $\deg(u) \geq 3$ . Suppose moreover that  $N(u)$  is an independent set. Since  $G$  is not isomorphic to  $K_{1, n-1}$ , there are vertices at distance 2 from  $u$ . Hence  $u$  has a neighbour,  $v$ , with two such vertices as neighbours (since  $\deg(v) \neq 2$ ): let  $x, y$  be these vertices. Then,  $B = V(G) \setminus \{u, v\}$  is a centroidal locating set, a contradiction. Indeed, all vertices but  $u, v$  are located first by themselves only, whereas  $u$  is located first by  $N(u) \setminus \{v\}$  and  $v$  by a set containing both  $x, y$ .

Now, we assume that  $N(u)$  is a clique. Since  $G$  is not a complete graph,  $u$  has a neighbour  $v$ , having a neighbour  $x$  with  $d(u, x) = 2$ . Then, by Lemma 4,  $V(G) \setminus \{u, v\}$  is a centroidal locating set of  $G$ , a contradiction.

Hence,  $N(u)$  is neither an independent set, nor a clique: there is a vertex  $v$  in  $N(u)$  with a neighbour  $w$  and a non-neighbour  $x$ , both being in  $N(u)$ . Since no vertex in  $G$  has degree 2,  $v$  has an additional neighbour,  $y$ . But then by Lemma 4,  $V(G) \setminus \{u, v\}$  is a centroidal locating set of  $G$ , a contradiction.  $\square$

## 4 Graphs with few paths connecting each pair of vertices

We now study parameter  $CD$  for graphs in which every pair of vertices is connected via a bounded number  $k$  of paths. We show that such graphs have centroidal dimension  $\Omega(\sqrt{\frac{m}{k}})$ , where  $m$  is the number of edges. In particular, this applies to paths and cycles; for these graphs, we show that the lower bound is asymptotically tight. These cases are particularly interesting for the following reason: the metric dimension of a path or a cycle with  $n$  vertices is easily seen to be constant (1 for any path, 2 for any cycle), whereas the location-domination number is linear (roughly  $\frac{2}{5}$ th of the vertices [29]). In contrast, the centroidal dimension is about the square-root of the order.

But first, the following technical lemma will be useful when showing our lower bound.

**Lemma 18.** *Let  $G$  be a graph with  $u, v$  two adjacent vertices, and let  $B$  be a centroidal locating set. Then, there are two vertices  $b_1, b_2$  of  $B$  and a path  $P : b_1 - u - v - b_2$  such that at least one of the following properties hold:*

1.  $\{u, v\} = \{b_1, b_2\}$  and  $P$  is the edge  $\{u, v\}$ ;
2.  $d(u, b_1) = d(u, b_2)$ ,  $d(v, b_1) \neq d(v, b_2)$  (or, symmetrically,  $d(v, b_1) = d(v, b_2)$ ,  $d(u, b_1) \neq d(u, b_2)$ ) and  $P$  contains a shortest path from  $u$  to  $b_1$  and a shortest path from  $v$  to  $b_2$ ;
3.  $d(u, b_1) + 1 = d(u, b_2)$ ,  $d(v, b_1) = d(v, b_2) + 1$ ,  $P$  contains a shortest path from  $u$  to  $b_1$  and a shortest path from  $v$  to  $b_2$ ,  $P$  has odd length and  $\{u, v\}$  is the middle edge of  $P$ .

*Proof.* If both  $u, v$  belong to  $B$ , we are in the first case and we are done.

Otherwise, since  $B$  is a centroidal locating set, without loss of generality there are two vertices  $b, b'$  of  $B$  such that  $d(u, b) \leq d(u, b')$  and  $d(v, b) > d(v, b')$ , or vice-versa.

**Case a:  $d(u, b) = d(u, b')$ .** We show that the second case of the statement holds. If  $v$  lies on a shortest path  $P_u$  from  $u$  to one of  $b, b'$  (say,  $b$ ), we are done: then no shortest path from  $u$  to  $b'$  can

go through  $v$  (otherwise  $d(v, b) = d(v, b')$ ). Hence, setting  $b = b_2$  and  $b' = b_1$ , the concatenation of  $P_u$  and any shortest path from  $u$  to  $b'$  is a path  $P$  satisfying the desired properties.

Hence, we assume that  $v$  does not lie on a shortest path from  $u$  to  $b$ . Since  $d(v, b) \neq d(v, b')$ , we can assume without loss of generality that  $d(v, b') \neq d(u, b') + 1$  (moreover since  $d(v, b') \leq d(u, b') + 1$ , we have  $d(v, b') \leq d(u, b')$ ). Hence a shortest path  $P_v$  from  $v$  to  $b'$  does not go through  $u$ . Let  $P_u$  be a shortest path from  $b$  to  $u$ . If the concatenation  $P_u - uv - P_v$  is a path, we are done by setting  $P = P_u - uv - P_v$ ,  $b = b_1$  and  $b' = b_2$ . Therefore, assume that it is not a path, and let  $w$  be the vertex closest to  $u$  appearing in both  $P_u$  and  $P_v$ :  $P_u - uv - P_v$  contains a cycle going through  $u, v, w$ . Now, since no shortest path from  $v$  to  $b'$  goes through  $u$ , we have  $d(v, w) \leq d(u, w)$ . Also, since we assumed that  $v$  does not lie on a shortest path from  $u$  to  $b$ , in particular  $d(u, w) \leq d(v, w)$ . Therefore,  $d(u, w) = d(v, w)$ . This implies that  $d(v, b') = d(u, b')$  (indeed, we had  $d(v, b') \leq d(u, b')$  and now  $d(u, b') \leq d(u, w) + d(w, b') = d(v, w) + d(w, b') = d(v, b')$ ). Hence,  $d(v, b) < d(v, b')$ . Let  $P'_u$  be the path obtained from the concatenation of a shortest path from  $u$  to  $w$  and the subpath of  $P_v$  from  $w$  to  $b'$ , and let  $P'_v$  be a shortest path from  $v$  to  $b$ . Then,  $P'_v$  does not contain any vertex of  $P'_u$  (indeed, if there was such a vertex  $t$ , then  $d(u, b) \leq d(v, b) < d(v, b') = d(u, b')$ , a contradiction). Hence, the concatenation  $P = P'_v - uv - P'_u$  is a path that has the desired properties.

**Case b:  $d(u, b) < d(u, b')$ .** We show that the third case of the statement holds. Since  $d(v, b) > d(v, b')$  and  $u, v$  are adjacent,  $d(v, b) = d(u, b) + 1$  and  $d(v, b') = d(u, b') - 1$ . Hence  $d(v, b') < d(v, b) < d(v, b') + 2$  and  $d(u, b) < d(u, b') < d(u, b) + 2$ , and  $d(u, b) = d(v, b')$ . We set  $b_1 = b$  and  $b_2 = b'$ . Observe that the concatenation  $P$  of a shortest path from  $b_1$  to  $u$ , the edge  $uv$ , and a shortest path from  $v$  to  $b_2$  is a path from  $b_1$  to  $b_2$  (if it were not a path, we would have  $d(u, b) = d(u, b') = d(v, b) = d(v, b')$ ). Since  $P$  has the desired properties, this completes the proof.  $\square$

In what follows, for a pair  $\{u, v\}$  of vertices in a graph  $G$ , we let  $k_{\text{odd}}(u, v)$  and  $k_{\text{ev}}(u, v)$  be the number of odd and even (not necessarily disjoint) paths connecting  $u$  to  $v$ , respectively.

**Theorem 19.** *Let  $G$  be a graph on  $n$  vertices and  $m$  edges such that for every pair  $\{u, v\}$  of vertices,  $2k_{\text{ev}}(u, v) + k_{\text{odd}}(u, v) \leq k$  for some integer  $k$ . Then,  $CD(G) > \sqrt{\frac{2m}{k}}$ . In particular, for every tree  $T$ ,  $CD(T) > \sqrt{n-1}$ .*

*Proof.* Let  $B$  be a centroidal locating set of  $G$ . To each pair  $u, v$  of adjacent vertices in  $G$ , we assign a triple  $(b_1, b_2, P) = T(u, v)$  of two vertices  $b_1, b_2$  of  $B$  and a path  $P : b_1 - u - v - b_2$  satisfying one of the three properties described in Lemma 18. The assignment is done as follows:

1. if both  $u, v$  belong to  $B$ , set  $T(u, v) = (u, v, uv)$  (we say that the pair  $u, v$  is of *type 1*);
2. otherwise, if there is a pair  $\{b_1, b_2\}$  of  $B$  and a path  $P$  for which the second property of Lemma 18 holds, then set  $T(u, v) = (b_1, b_2, P)$  (we say that the pair  $u, v$  is of *type 2*);
3. otherwise, let  $T(u, v)$  consist of an arbitrary pair  $b_1, b_2$  of vertices of  $B$  with a path  $P$  such that the third property of Lemma 18 holds (we say that the pair  $u, v$  is of *type 3*).

Now, for a given pair  $b_1, b_2$  of  $B$  and a path  $P$  from  $b_1$  to  $b_2$ , we will upper-bound the number of pairs of adjacent vertices  $u, v$  such that  $T(u, v) = (b_1, b_2, P)$ .

Assume first that  $P$  has odd length. If  $P$  is the edge  $b_1, b_2$ , there is only the pair  $\{u, v\} = \{b_1, b_2\}$  of type 1 with  $T(u, v) = (b_1, b_2, P)$ . Otherwise, assume  $\{u, v\}$  is a pair of type 2 with  $T(u, v) = (b_1, b_2, P)$ . Then by Lemma 18,  $d(u, b_1) = d(u, b_2) = \ell_1$  and the subpath of  $P$  from  $u$  to  $b_1$  has length  $\ell_1$ . Since the subpath from  $v$  to  $b_2$  is also a shortest path and  $d(u, b_2) = \ell_1$ , it has length  $\ell_2$  with  $\ell_1 - 1 \leq \ell_2 \leq \ell_1 + 1$ . The length of  $P$  is  $\ell_1 + \ell_2 + 1$ . Since it is an odd number,  $\ell_2 = \ell_1$  and  $\{u, v\}$  is the middle edge of  $P$ . If  $\{u, v\}$  is of type 3, by Lemma 18,  $\{u, v\}$  is also the middle edge of  $P$ . Hence in total there is at most one pair  $u, v$  with  $T(u, v) = (b_1, b_2, P)$ .

Now, assume that  $P$  has even length. Let  $\{u, v\}$  be a pair with  $T(u, v) = (b_1, b_2, P)$ . Then, by Lemma 18,  $u, v$  cannot be of type 1 or type 3, so it must be of type 2. By the same arguments as in the previous paragraph, the length of the subpath of  $P$  from  $b_1$  to  $u$  is  $\ell_1$ , and the length of the subpath of  $P$  from  $v$  to  $b_2$  is either  $\ell_1 - 1$  or  $\ell_1 + 1$ . In both cases, one of  $u, v$  is the middle vertex of  $P$ . Hence there can be at most two such pairs.

To summarize, we proved that for each pair  $b_1, b_2$  of  $B$ , the number of pairs  $u, v$  with  $T(u, v) = (b_1, b_2, P)$  for some path  $P$  is at most

$$2k_{ev}(b_1, b_2) + k_{odd}(b_1, b_2) \leq k.$$

Since in total, there are  $m$  pairs of adjacent vertices in  $G$  and each such pair is associated to exactly one of the  $\binom{|B|}{2}$  pairs of  $B$ , we have  $m \leq k \binom{|B|}{2} < \frac{k|B|^2}{2}$ , and the bound follows.

When  $G$  is a tree, there are  $n - 1$  edges, and there is a unique path between any pair of vertices, hence  $k \leq 2$ .  $\square$

We now show that the bound of Theorem 19 is tight up to a constant factor for paths and cycles.

**Theorem 20.** *Let  $n \geq 3$ .*

(1) *If  $n$  is even,  $\frac{\sqrt{2}}{2}\sqrt{n} < CD(C_n)$ . If  $n$  is odd,  $\frac{\sqrt{6}}{3}\sqrt{n} < CD(C_n)$ . In both cases,  $CD(C_n) < \frac{7\sqrt{2n}}{2} + 1$ . If  $n = 2\ell^2$  for some integer  $\ell$ , then  $CD(C_n) \leq \sqrt{2n} - 2$ .*

(2)  *$\sqrt{n-1} < CD(P_n) < 6\sqrt{n-1} + 3$ . If  $n = (2\ell)^2 + 1$  for some integer  $\ell$ , then  $CD(P_n) \leq 2\sqrt{n-1} - 3$ .*

*Proof. Lower bounds.* The bounds follow from Theorem 19 since paths are trees, and by observing that cycles have  $n$  edges. For even cycles, for any pair  $\{u, v\}$  of vertices,  $k_{odd}(u, v) \leq 2$  and  $k_{ev}(u, v) \leq 2$  and  $k_{odd}(u, v) + k_{ev}(u, v) = 2$ , hence  $2k_{ev}(u, v) + k_{odd}(u, v) \leq 4$ . For odd cycles,  $k_{odd}(u, v) = 1$  and  $k_{ev}(u, v) = 1$ , hence  $2k_{ev}(u, v) + k_{odd}(u, v) \leq 3$ .

**Upper bounds for cycles.** We first prove that for any  $p, q \geq 2$ , if  $n = p(2q + 2)$ , then

$$CD(C_n) \leq p + q - 1. \quad (3)$$

Assuming that  $n = 2\ell^2$ , and setting  $p = \ell$  and  $q = \ell - 1$ , Inequality (3) yields the claimed bound  $CD(C_n) \leq 2\ell - 2 = \sqrt{2n} - 2$ .

Let  $\{x_0, \dots, x_{n-1}\}$  be the vertex set of  $C_n$ . Let us divide  $C_n$  into  $p$  portions of  $2q + 2$  consecutive vertices each: for  $0 \leq i \leq p - 1$ ,  $R_i = \{x_{i(2q+2)}, x_{i(2q+2)+1}, \dots, x_{(i+1)(2q+2)-1}\}$ . For each  $i$ , we further define two subsets of  $R_i$  as follows:  $S_i = \{s_i^1, \dots, s_i^q\}$  and  $T_i = \{t_i^1, \dots, t_i^q\}$ , where for  $1 \leq j \leq q$ ,  $s_i^j = x_{i(2q+2)+j}$  and  $t_i^j = x_{i(2q+2)+q+1+j}$ . In other words,  $S_i$  contains  $q$  vertices from  $R_i$ , starting from the second one, and  $T_i$  contains the  $q$  last vertices of  $R_i$ . Observe that the first and the  $(q + 2)$ -nd vertices from  $R_i$  neither belong to  $S_i$ , nor  $T_i$ .

We now define a set  $B = B_0 \cup B_1$ , which we claim, will be our centroidal locating set. We let  $B_0 = \{b_0^0, \dots, b_0^{q-1}\}$ , where for  $0 \leq i \leq q - 1$ ,  $b_0^i = x_{2(i+1)}$  (that is,  $B_0$  contains each second vertex of  $R_0$ ). We let  $B_1 = \{b_1^0, \dots, b_1^{p-1}\}$ , where for  $0 \leq i \leq p - 1$ ,  $b_1^i = x_{i(2q+2)}$  (that is,  $B_1$  contains the first vertex of each set  $R_i$ ).

An illustration of sets  $S_i, T_i, B_0, B_1$  is given in Figure 6.

Observe that  $B$  has  $p + q - 1$  elements. It remains to show that  $B$  is a centroidal locating set.

First of all, notice that for any  $0 \leq i \leq p - 1$ ,  $b_1^i \in B_1$  is the unique vertex that is first located by itself, and later by  $\{b_1^{(i-1) \bmod p}, b_1^{(i+1) \bmod p}\}$  at the same time. For  $i \neq 0$ , the  $(q + 2)$ -nd vertex of  $R_i$ ,  $x_{i(2q+2)+q+1}$ , is the only one that is located *first* by  $\{b_1^i, b_1^{(i+1) \bmod p}\}$  at the same time. Similarly,  $x_{q+1}$  is the unique vertex first located by the vertices of  $B_0$  (in some order), and then by  $\{b_1^0, b_1^1\}$  at the same time. If  $x \in S_0 \cup T_0$ , if  $x \in B_0$ ,  $x$  is the only vertex located first by itself only; if  $x \notin B_0$ ,  $x$  is the only vertex located first by its two neighbours. Hence, all the previously considered vertices are distinguished from any other vertex in  $C_n$ .

Now, let  $u, v$  be a pair of vertices not yet proved to be distinguished. If  $u \in S_i, v \in T_i$  ( $1 \leq i \leq p - 1$ ), then  $d(u, b_1^i) < d(u, b_1^{(i+1) \bmod p})$  but  $d(v, b_1^i) > d(v, b_1^{(i+1) \bmod p})$ . If  $u \in S_i, v \in S_{i'}$  ( $1 \leq i, i' \leq p - 1$ ), then  $d(u, b_1^i) < d(u, b_1^{i'})$  but  $d(v, b_1^i) > d(v, b_1^{i'})$ . The case where  $u \in T_i, v \in T_{i'}$  is symmetric. If  $u \in S_i, v \in T_{i'}$  ( $1 \leq i, i' \leq p - 1$ ), if  $i = (i' + 1) \bmod p$ , then  $d(u, b_1^{(i+1) \bmod p}) < d(u, b_1^{i'})$  while  $d(v, b_1^{(i+1) \bmod p}) > d(v, b_1^{i'})$ . Otherwise,  $d(u, b_1^i) < d(u, b_1^{(i'+1) \bmod p})$  while  $d(v, b_1^i) > d(v, b_1^{(i'+1) \bmod p})$ .

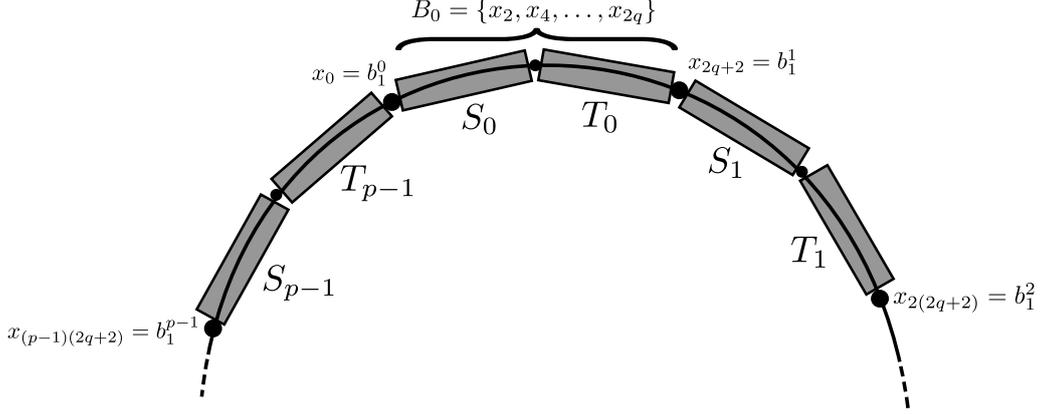


Figure 6: Illustration of the sets defined in the proof of Theorem 20.

It remains to prove that for  $1 \leq i \leq p-1$ , any two vertices from  $S_i$  are distinguished (the case of two vertices of  $T_i$  would follow by symmetry). To see this, let  $s_i^j \in S_i$ . If  $i \leq \lceil \frac{p-1}{2} \rceil$ ,  $d(s_i^j, b_1^{2i}) = d(s_i^j, b_1^0) = (2q+2)i - j$ , and no other vertex of  $S_i$  has this property. Similarly, if  $i > \lceil \frac{p-1}{2} \rceil$ ,  $d(s_i^j, b_1^{2i-p}) = d(s_i^j, b_1^0) = (2q+2)(p-i) + j$ . This completes the proof of validity of  $B$ .

In order to prove the bound for all cycles, if  $n$  is not of the form  $2\ell^2$ , let  $m = 2\ell^2$  be the integer of this form that is closest to  $n$  and such that  $m \leq n$ :  $n = m + k$  for some  $k$ , and  $n < 2(\ell+1)^2$ . A construction similar to the previous one can be done. Letting  $p = \ell$ ,  $q = \ell - 1$ , we construct  $B_1 = \{b_1^0, \dots, b_1^{p-1}\}$  with  $b_1^i = x_{i(2q+2)}$  as previously; however this time  $B_1$  does not include any vertex from  $\{x_m, \dots, x_{n-1}\}$ . Instead, we let  $B_0 = \{x_1, \dots, x_{2q+1}\} \cup \{x_m, \dots, x_{n-1}\}$ :  $B_0$  contains the first  $2q+2$  vertices (except  $x_0$ ), together with the  $k$  last vertices. It is clear that the construction works the same way as in the previous proof — we omit the details as a formal proof would be tedious.<sup>2</sup> In total,  $B = B_0 \cup B_1$  has size at most  $p + 2q + 1 + k = 3\ell - 1 + k$ . Since  $2(\ell+1)^2 - 2\ell^2 = 4\ell + 2$ ,  $k < 4\ell + 2$  and  $|B| \leq 7\ell + 1$ . Furthermore  $2\ell^2 < n$ , and  $\ell < \frac{\sqrt{2n}}{2}$ . Hence  $|B| < \frac{7\sqrt{2n}}{2} + 1$ , proving the bound.

**Upper bounds for paths.** A similar construction as the one for cycles can be done for the case of paths: for any  $p, q \geq 2$ , if  $n = p(2q+2) + 1$ , then  $CD(P_n) \leq p + 2q - 1$ . The idea of the construction is again to divide the vertex set  $\{x_0, \dots, x_{n-1}\}$  into  $p$  portions of size  $2q+2$  each (vertex  $x_{n-1}$  does not belong to any such portion). For  $0 \leq i \leq p$ ,  $b_1^i = x_{i(2q+2)}$ , and  $B_1$  contains all vertices of the form  $b_1^i$ .  $B_0$  is defined in the same way as for our construction for cycles, but now we also consider a set  $B'_0$  similar to  $B_0$ , but on the other end of the path. We have  $|B_0 \cup B'_0 \cup B_1| = p + 1 + 2(q-1) = p + 2q - 1$ , and similar arguments as for the construction for cycles show that  $B_0 \cup B'_0 \cup B_1$  is a centroidal locating set.

Hence, assuming  $n = (2\ell)^2 + 1$  for some  $\ell \geq 2$  and setting  $p = 2\ell$  and  $q = \ell - 1$ , we get  $CD(P_n) \leq 4\ell - 3 = 2\sqrt{n-1} - 3$ .

For the general bound, once again we do not optimize the constant. Assume that  $n$  is not of the form  $(2\ell)^2 + 1$ , and let  $m = (2\ell)^2 + 1$  be the integer of this form that is closest to  $n$  and  $m \leq n$ : we have  $n = m + k$  for some  $k$ , and  $n < (2(\ell+1))^2 + 1$ . Let  $p = 2\ell$  and  $q = \ell - 1$ . Now,  $B_1$  is selected as before among the first  $m$  vertices;  $B_1$  has  $p$  elements.  $B_0$  contains the first  $2q+2$  vertices (except  $x_0$ ), and  $B'_0$  contains the last  $k+2q+3$  vertices (except  $x_m$ ). In total,  $B = B_0 \cup B'_0 \cup B_1$  has  $p + (2q+1) + (k+2q+2) = p + 4q + 3 + k = 6\ell - 1 + k$  vertices. Since  $(2(\ell+1))^2 + 1 - (2\ell)^2 - 1 = 6\ell + 4$ ,  $k < 6\ell + 4$ . This implies  $|B| < 12\ell + 3$ . Since  $n > (2\ell)^2 + 1$ ,  $\ell < \frac{\sqrt{n-1}}{2}$ ; hence,  $|B| < 6\sqrt{n-1} + 3$ .  $\square$

<sup>2</sup>In fact we have not taken care of optimizing the construction, as here all the vertices of  $B_0$  are probably not needed.

## 5 Complexity results

Let us now turn our attention to the computational complexity of finding a small centroidal locating set, that is, the computational complexity of the following problem:

CENTROIDAL DIMENSION

INSTANCE: A graph  $G$ .

TASK: Find a centroidal basis of  $G$ .

We have seen in Theorem 11 that for any graph of diameter 2,  $LD(G) - 1 \leq CD(G) \leq 2LD(G)$ . We get the following corollary, showing that CENTROIDAL DIMENSION is computationally very hard, even from the approximation point of view (recall that an  $\alpha$ -approximation algorithm for problem  $P$  is a polynomial-time algorithm for  $P$  which always outputs a solution of size no greater than  $\alpha$  times the size of an optimal solution).

**Corollary 21.** CENTROIDAL DIMENSION is NP-hard to approximate within any factor  $o(\ln n)$  for graphs on  $n$  vertices (even for graphs with a vertex adjacent to all other vertices, and hence diameter 2-graphs). For graphs of diameter 2, it has an  $O(\ln n)$ -approximation algorithm.

*Proof.* Since  $LD(G) - 1 \leq CD(G) \leq 2LD(G)$  and the bounds are constructive, any  $\alpha$ -approximation algorithm ( $\alpha \geq 1$ ) for MINIMUM LOCATING-DOMINATING SET can be transformed into an approximation algorithm of factor  $2\alpha(1 + \frac{1}{OPT}) = 2\alpha(1 + o(1))$  for CENTROIDAL DIMENSION for graphs of diameter 2, and vice-versa. Indeed, given an  $\alpha$ -approximate locating-dominating set  $D$  of  $G$ , we construct a centroidal locating set of  $G$  of size at most  $2|D|$ . We have  $2|D| \leq 2\alpha LD(G) \leq 2\alpha(CD(G) + 1) = (2\alpha + \frac{2\alpha}{CD(G)})CD(G)$ . For the converse, the reasoning is similar.

This also implies that if MINIMUM LOCATING-DOMINATING SET is NP-hard to  $\alpha$ -approximate for graphs of diameter 2 for some  $\alpha \geq 2$ , then CENTROIDAL DIMENSION is NP-hard to approximate within factor  $(\frac{\alpha}{2} \frac{OPT}{OPT+1}) = \frac{\alpha(1-o(1))}{2}$  for graphs of diameter 2.

The positive approximation bound follows, as MINIMUM LOCATING-DOMINATING SET is well known to be  $O(\ln n)$ -approximable, see for example Gravier, Klasing and Moncel [8].

Moreover, it follows from a reduction for MINIMUM IDENTIFYING CODE in the first author's thesis [5, Section 6.4] and a lemma from Gravier, Klasing and Moncel [8] (see also Foucaud [6, 7]) that MINIMUM LOCATING-DOMINATING SET is NP-hard to approximate within a factor of  $o(\ln n)$  for graphs having a vertex adjacent to all other vertices. This proves the non-approximability bound.  $\square$

Note that Corollary 21 fully determines the computational complexity of CENTROIDAL DIMENSION in graphs of diameter 2 from the approximation point of view. It was recently proved by Hartung and Nichterlein that the related problem METRIC DIMENSION remains NP-hard to approximate within a factor of  $o(\ln n)$  even for subcubic graphs [12]. In general, it would be interesting to extend the result of Corollary 21 to other families of graphs.

As is often the case with domination or identification problems in graphs, a good way of reformulating our problem is to represent it as an instance of MINIMUM SET COVER, which is well known to be  $(\ln n + 1)$ -approximable (see Johnson [16]):

MINIMUM SET COVER

INSTANCE: A hypergraph  $H = (V, E)$ .

TASK: Find a minimum-size subset  $C \subseteq E$  such that  $\bigcup_{X \in C} X = V$ .

For example, METRIC DIMENSION for a graph  $G$  can be expressed in this way by constructing a hypergraph  $H_{MD}(G)$  on vertex set  $\binom{V(G)}{2}$  with a hyperedge  $E_v$  for each vertex  $v \in V(G)$  containing all pairs  $\{x, y\}$  of vertices with  $d(x, v) \neq d(y, v)$ . Then  $H_{MD}(G)$  has a set cover of size  $k$  if and only if  $G$  has a locating set of size  $k$ , as shown by Khuller, Raghavachari and Rosenfeld [19]. Hence METRIC DIMENSION is  $O(\ln n)$ -approximable.

Next, we give a similar reduction for CENTROIDAL DIMENSION, but with a weaker approximation ratio.

**Theorem 22.** CENTROIDAL DIMENSION is  $O(\sqrt{n \ln n})$ -approximable for graphs on  $n$  vertices.

*Proof.* Using Observation 2, finding a centroidal locating set is equivalent to finding a set of pairs of vertices which identifies each pair of vertices in  $G$  — where a pair  $b_1, b_2$  identifies  $x, y$  if  $d(x, b_1) \leq d(x, b_2)$  but  $d(y, b_1) > d(y, b_2)$ , or  $d(y, b_1) \leq d(y, b_2)$  and  $d(x, b_1) > d(x, b_2)$ .

Let  $G$  be a graph on  $n$  vertices. We define the hypergraph  $H = H_{CD}(G)$  on vertex set  $V(H) = \binom{V(G)}{2}$ . For each pair  $\{x, y\}$  of vertices of  $G$ ,  $H$  has a hyperedge  $E_{x,y}$  that contains all pairs that are identified by  $\{x, y\}$ .

Let  $C$  be a set cover of  $H$ . Using our previous observation, one can construct a centroidal locating set  $B(C)$  of  $G$  by taking the union of all elements in the pairs that correspond to hyperedges in  $C$ :  $B(C) = \{x \in V(G), \exists y \in V(G), E_{x,y} \in C\}$ . Indeed, every pair of vertices of  $G$  is identified by a pair corresponding to a hyperedge of  $H$ . Hence we have

$$|B(C)| \leq 2|C|. \quad (4)$$

For the other direction, given a centroidal basis  $B$  of  $G$ , one can construct a set cover of  $H$  consisting of all  $\binom{|B|}{2}$  pairs of vertices of  $B$ : each pair  $u, v$  in  $V(G)$  is identified by some pair  $x, y$  in  $B$ , hence vertex  $\{u, v\}$  in  $H$  is covered by the corresponding hyperedge  $E_{x,y}$ . Denoting by  $SC(H)$  the size of an optimal set cover of  $H$ , this implies

$$SC(H) \leq \binom{|B|}{2} \leq \binom{CD(G)}{2}. \quad (5)$$

Now, in order to approximate CENTROIDAL DIMENSION, we construct  $H = H_{CD}(G)$  from  $G$ , and apply the standard approximation algorithm for MINIMUM SET COVER [16] to get a set cover  $C$  of  $H$  of size  $O(\ln n \cdot SC(H))$ . By Inequalities (4) and (5), we get a centroidal locating set  $B(C)$  of size at most  $2|C| = O(\ln n \cdot SC(H)) = O(\ln n \cdot CD(G)^2)$ .

Now, if  $CD(G) \geq \sqrt{\frac{n}{\ln n}}$ , we have a trivial  $O(\sqrt{n \ln n})$ -approximation by selecting all vertices as a solution, since  $n = O(\sqrt{n \ln n} \cdot CD(G))$ .

$$\text{If } CD(G) \leq \sqrt{\frac{n}{\ln n}}, |B(C)| = O(\ln n \cdot CD(G) \cdot \sqrt{\frac{n}{\ln n}}) = O(\sqrt{n \ln n} \cdot CD(G)). \quad \square$$

We note that the quadratic dependence between  $SC(H)$  and  $CD(G)$  is necessary for Inequality (5) in the reduction of Theorem 22. Indeed, when we considered the case of cycles in Section 4, we had  $n$  special pairs to distinguish using other pairs  $b_1, b_2$  from  $B$ , but any pair  $b_1, b_2$  could only distinguish a small (constant) number of these  $n$  pairs. However, we could build a centroidal locating set  $B$  of size  $O(\sqrt{n})$ , meaning that a large fraction of the pairs from  $B$  were indeed necessary to distinguish the pairs. Hence this would lead to a set cover of  $H$  of size  $\Omega(|B|^2)$  in our reduction. This suggests that one cannot improve the approximation ratio from Theorem 22 for CENTROIDAL DIMENSION by using our reduction. Hence we ask the following question:

**Question 23.** *What is the exact approximation complexity of CENTROIDAL DIMENSION?*

We close the section with a remark on the parameterized complexity of CENTROIDAL DIMENSION: this problem is fixed-parameter-tractable with parameter  $k$ , the size of the solution, that is, it admits an algorithm of running time  $f(k)n^{O(1)}$  for some computable function  $f$ :

**Proposition 24.** *CENTROIDAL DIMENSION is fixed-parameter-tractable when parameterized by the size of the solution.*

*Proof.* We know that the order  $n$  of a graph with a centroidal locating set of size  $k$  is at most  $b(k)$ , hence, if the input has more than  $b(k)$  vertices, we answer NO. Otherwise, we enumerate all  $\binom{n}{k}$  subsets of vertices of size  $k$  to check if one of them is a centroidal locating set (checking whether a given set is centroidal locating can be done in time  $n^{O(1)}$ ). This algorithm has running time  $\binom{n}{k}n^{O(1)} \leq n^{k+O(1)} = b(k)^{k+O(1)}$ , which is computable and only depends on  $k$ .  $\square$

In contrast to Proposition 24, it was proved by Hartung and Nichterlein [12] that deciding whether there is a solution of size  $k$  for METRIC DIMENSION is highly unlikely to be solvable by an algorithm of running time  $n^{o(k)}$ .

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