# ON THE OPTIMIZATION OF A CAPSUBOT WITH A SPRING 

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#### Abstract

A capsule robot (capsubot) without external drivers is considered. The device consists of a shell, an actuator, and certain equipment. It can move on a rough surface due to the controlled movement of an internal mass and external friction. The mass is driven by the actuator according to a given program, which ensures the movement of the shell due to the inertia forces. The system includes an elastic spring, connecting the shell with the internal mass. A control is proposed that allows to maximize the average velocity under given technological restrictions.


## 1 INTRODUCTION

A capsule robot (capsubot) is a type of compact mobile device which can explore fields inaccessible to humans. In recent years, such devices received intensive attraction mostly in connection with medical purposes: a tiny capsubot with camera can be swallowed by patient to diagnose diseases; another application is inspection of pipelines. Some methods of active locomotion were developed. The simplest approach is based on internal control forces and external static friction [1-5]. Comparatively to a legged design, it leads to more save interaction between the capsule and the explored area. Similar idea was used to study floating robots [6,7]. A capsubot without external moving parts contains an internal mass, being put in motion by an actuator. As the internal mass changes its position relative to the capsule, the center of gravity shifts. An appropriate control strategy is needed to provide periodic motion of the capsubot in desired direction. Due to limited resources (size and mass of the robot, power supply, etc.), the optimization problem (in a sense) is of great practical importance. A number of results in this direction are obtained [1,2,8]. Further modifications of the capsubot include elastic springs [9-11]. Numerical studies and experiments show that the addition of springs leads to improved technical characteristics such as the average velocity of the robot and energy consumption. Generally speaking, the use of springs allows to increase the maximum force during acceleration of the internal body, as well as to reduce the energy consumption during its braking. The present paper is devoted to the control of a capsubot with a linear spring. Taking as a basis the results of cited papers, we will look for the parameters of the spring and the control law, providing the maximal average velocity of the robot.

## 2 PROBLEM STATEMENT

The physical model of the capsubot is shown in Fig.1. It consists of a capsule shell $m_{1}$, interacting with an internal moving mass $m_{2}$ and with a horizontal support. Let $y$ be the relative position of the inner body, $x$ is the position of the shell with respect to an external fixed frame, and $v=\dot{x}=d x / d t$. The following forces will be taken in account: (i) a control force $F(t)$ is generated by an actuator, attached to the shell (not shown), and acts on the inner body; (ii) a force $G(y)$, generated by a linear) spring, acts on the inner body (the opposite force is applied to the shell); (iii) friction force $T(v)$ between the shell and support.


Fig.1. The capsubot model
Equations of motion in Newton form are

$$
\begin{equation*}
\left(m_{1}+m_{2}\right) \dot{v}_{0}=\left(m_{1}+m_{2}\right) \dot{v}+m_{2} \ddot{y}=T(v), \quad m_{2}(\dot{v}+\ddot{y})=F(t)+G(y) \tag{1}
\end{equation*}
$$

where $v_{0}$ is velocity of the mass center. Define friction force by the Coulomb friction law

$$
T(v)=-T_{0} \operatorname{Sign} v, \quad T_{0}=k\left(m_{1}+m_{2}\right), k=f g, \operatorname{Sign} v=\left\{\begin{array}{c}
1, \quad v>0  \tag{2}\\
-1, \quad v<0 \\
{[-1,1], \quad v=0}
\end{array}\right.
$$

where $g$ is acceleration of gravity and $f$ is the coefficient of friction. If the capsule moves to the right, then $v>0$ and $T=-T_{0}$; similarly, we have $v<0$ and $T=T_{0}$ for sliding to the left. In the stick phase $v=0$, then according to (1)

$$
\begin{equation*}
\alpha \ddot{y} \in[-k, k], \quad \alpha=\frac{m_{2}}{m_{1}+m_{2}} \tag{3}
\end{equation*}
$$

Assume that control function $F(t)$ is $\tau$-periodic, piecewise continuous, and has zero mean value, i.e.

$$
\begin{equation*}
\langle F(t)\rangle=\frac{1}{\tau} \int_{0}^{\tau} F(t) d t=0 \tag{4}
\end{equation*}
$$

then $v(t)$ is continuous, and $y(t)$ is smooth. We look for such control that functions $v(t)$ and $y(t)$ are $\tau$-periodic and maximize average velocity of the robot:

$$
\begin{equation*}
\langle v(t)\rangle=\frac{1}{\tau} \int_{0}^{\tau} v(t) d t \rightarrow \max \quad \text { s.t. } \quad|F(t)| \leq M, \quad y(t) \in[0, L] \tag{5}
\end{equation*}
$$

## 3 SIMPLEST CASE: NO SPRING

First discuss the case $G(y) \equiv 0$ [2]. Then in eqs (1) $v$ does not depend on $y$ directly:

$$
\begin{equation*}
m_{1} \dot{v}=-k\left(m_{1}+m_{2}\right)-F(t), \quad \alpha m_{1} \ddot{y}=k m_{2}+F(t) \tag{6}
\end{equation*}
$$

In such statement, problem (5) has the optimal periodic solution (period $\tau$ being fixed) [2]:

$$
\begin{align*}
& F(t)=\left\{\begin{array}{cc}
-M, & t \in\left[0, \tau_{1}\right) \\
M, & t \in\left[\tau_{1}, \tau_{2}\right), \quad \tau_{1}=\frac{\tau}{4}\left(1+\frac{T_{0}}{M}\right), \tau_{2}=\frac{\tau}{2} \\
T_{0}, & t \in\left[\tau_{2}, \tau\right)
\end{array}\right.  \tag{7}\\
& \langle v(t)\rangle_{\max }=\sqrt{\frac{\alpha L M}{m_{1}}} \frac{1-\rho^{2}}{3+\rho^{2}}, \quad \rho=\frac{T_{0}}{M}
\end{align*}
$$

According to (7), the three-step control profile is used here: acceleration of the shell, its deceleration, and rest. The conditions of Pontryagin's principle are satisfied, since the maximal admissible control is used at each phase of motion. A geometric interpretation of the optimal solution is presented in the phase plane $(y, \dot{y})$ (Fig.2, a). Each of the three steps is depicted by a parabolic arc; these lines form a closed loop.



Fig.2. Periodic motions of the robot a) no spring: (i) acceleration AB (green);
(ii) deceleration BC (red); (iii) rest phase CA (black);
b) with spring: acceleration AC , two-step rest phase $\mathrm{CC}^{\prime \prime} \mathrm{A}$.

## 4 ADDING A LINEAR SPRING

Suppose now that function $G(y)$ in eq. (1) is linear: $G(y)=-c\left(y-y_{0}\right)$ and modify formula (7) for this case. In the acceleration phase we have $F(t)=-M$, and the equations of motion are

$$
\begin{equation*}
\dot{v}+\alpha \ddot{y}=-k, \quad m_{2}(\dot{v}+\ddot{y})=-M+G(y) \tag{8}
\end{equation*}
$$

Therefore,

$$
\begin{align*}
& m_{1} \dot{v}=-k\left(m_{1}+m_{2}\right)+M-G(y),  \tag{9}\\
& \alpha m_{1} \ddot{y}=k m_{2}-M+G(y)
\end{align*}
$$

The second equation here can be considered separately. It admits one-parameter family of solutions, satisfying given length restriction:

$$
\begin{align*}
& y(t)=\frac{L}{2}(1+\cos \omega t), \dot{y}(t)=-\frac{\omega L}{2} \sin \omega t \\
& c=\frac{m_{1} m_{2}}{m_{1}+m_{2}} \omega^{2}, \quad y_{0}=\frac{L}{2}+\frac{M-k m_{2}}{c} \tag{10}
\end{align*}
$$

The presence of the spring allows to increase the acceleration of the shell, as well as eliminate the braking phase. Let $A$ be such point at which the shell begins to move (Fig.2,b), its position on the curve (10) is defined by a value $t=t_{A}$. Substituting expressions (10) into the first formula (8), we obtain after integration:

$$
\begin{equation*}
v(t)=-k\left(t-t_{A}\right)+\alpha \omega \frac{L}{2}\left(\sin \omega t-\sin \omega t_{A}\right) \tag{11}
\end{equation*}
$$

Now we can determine point $C$ as first root of equation $v\left(t_{C}\right)=0$. Thus, $t_{C}=t_{A}+2 \theta / \omega$, where $\theta$ is first positive root of equation

$$
\begin{equation*}
\theta=\sigma \sin \theta \cos \left(\varphi_{A}+\theta\right), \quad \theta=\frac{\varphi_{C}-\varphi_{A}}{2}, \quad \sigma=\frac{c L}{2 k m_{1}}, \quad \varphi=\omega t \tag{12}
\end{equation*}
$$

Further, in the rest phase $v \equiv 0$, hence

$$
\begin{equation*}
m_{2} \ddot{y}=T, \quad m_{2} \ddot{y}=F(t)+G(y) \tag{13}
\end{equation*}
$$

which is consistent provided

$$
\begin{equation*}
F(t)+G(y(t))=T \tag{14}
\end{equation*}
$$

In view of (5) we should minimize the duration of this part. This is known minimum time control problem: how to get point $A$ starting from point $C$ as fast as possible under restriction $\alpha|\ddot{y}| \leq k$. The solution curve is either parabola $\alpha \ddot{y}=k$ or two parabolic arcs $\alpha \ddot{y}= \pm k$ depending on the coordinates of points $C$ and $A$. Both arcs belong to the region $y \in\left[0, y_{A}\right]$. If $\alpha \ddot{y}=k$, then $T=T_{0}$ and to fulfil equality (14) for any $y \in[0, L]$ it is sufficient that

$$
\begin{equation*}
2 M \geq k\left(m_{1}+2 m_{2}\right)+\frac{c L}{2} \tag{15}
\end{equation*}
$$

In fact, in this case we can impose condition (14) only on the interval $y \in\left[0, y_{A}\right]$ (which covers the arc $C C^{\prime}$ ). Similarly, in the case $T=-T_{0}$ non-slip condition (14) must be satisfied for $y \in\left[y_{C}, y_{A}\right]$, i.e.

$$
\begin{equation*}
y_{C^{\prime}} \geq \frac{L}{2}\left(1+\frac{1}{\sigma}\right) \tag{16}
\end{equation*}
$$

which is rather restrictive. To satisfy both conditions (15) and (16), it is necessary that $M \geq T_{0}$.

To evaluate duration of the rest phase, note that equation $\alpha \ddot{y}=k$ is equivalent to

$$
\begin{equation*}
y=\frac{\alpha}{2 k} \dot{y}^{2}+\gamma, \quad \gamma=\text { const } \tag{17}
\end{equation*}
$$

If points $C$ and $A$ belong to the same line (17), then the black line in Fig.2,b is single parabolic arc, and duration of the rest phase equals

$$
\tau_{0}=\alpha\left(\dot{y}_{A}-\dot{y}_{C}\right) / k
$$

Otherwise, the fastest path from $C$ to $A$ consist of two arcs: $\alpha \ddot{y}=k$ and $\alpha \ddot{y}=-k$. The total duration of such path is

$$
\begin{equation*}
\tau_{0}=\frac{\alpha}{k}\left(2 \sqrt{\frac{k\left|\gamma_{A}-\gamma_{C}\right|}{\alpha}+\dot{y}_{A}^{2}}-\dot{y}_{A}-\dot{y}_{C}\right) \tag{18}
\end{equation*}
$$

where the values $\gamma_{A}$ and $\gamma_{C}$ are defined by (14) for points $A$ and $C$, correspondingly. With account of (10) and (12),

$$
\begin{equation*}
\gamma_{A}-\gamma_{C}=L \sin \left(\varphi_{A}+\theta\right)(\sin \theta+\theta \cos \theta) \tag{19}
\end{equation*}
$$

The total period $\tau$ is the sum of $\tau_{0}$ and the duration of the first phase $\tau_{1}=t_{C}-t_{A}$.
The path $S$, taken by the shell in one period, can be calculated by integration of (11):

$$
\begin{equation*}
S=\alpha L \sin \left(\varphi_{A}+\theta\right)(\sin \theta-\theta \cos \theta) \tag{20}
\end{equation*}
$$

Finaly,

$$
\begin{equation*}
\langle v(t)\rangle=\frac{S}{\tau_{0}+2 \theta / \omega} \tag{21}
\end{equation*}
$$

## 5 RESULTS AND DISCUSSION

We discuss the feasibility of adding a spring for two cases: $\chi=M / T_{0}<1$ and $\chi \gg 1$. Take initial point $A$ (see Fig.2,b) such that

$$
\begin{equation*}
c\left(y_{A}-y_{0}\right)=M+T_{0} \tag{22}
\end{equation*}
$$

In view of (9), the value of $y_{A}$ is maximum possible to keep the shell at rest just before the acceleration phase (we set here $F=M$ ). To start the acceleration, we assume $F=-M$, so at this moment first eq. (9) becomes

$$
m_{1} \dot{v}=2 M>0
$$

Note that the acceleration rate here is maximal regardless the coefficients of elasticity and friction. Therefore, the capsubot can move even for $\chi<1$ in conrtast to a robot without spring. However, in this case motion in the rest phase will be rather slow. Indeed, in this case inequality (15) is violated. To ensure the rest phase, we should modify formulas (15), (16) by replacing $k$ with such value $k^{\prime}<k$ that they became true, i.e.

$$
\begin{equation*}
\beta+(1-\alpha) \sigma \leq \min \{\alpha, 2 \chi-\alpha\}, \quad \beta=\frac{k^{\prime}}{k}<1 \tag{23}
\end{equation*}
$$

Note that the value $k^{\prime}$ will appear in denominator of (18) instead of $k$, thus there is a reason to increase $\beta$. In turn, the parameter $\sigma$ is proportional to the spring elasticity, it determines the duration of the propulsion phase and the distance travelled. In view of physical restriction (25), it's impossible to increase both parameters. Hence, an optimal relation between them is to be found. For this purpose, the following algorithm can be implemented.

Suppose that the parameters $m_{1}, m_{2}, L, k$, and $\chi<1$ are given. Explore admissible scope of variables $\beta$ and $\sigma$ in accordance with inequality (23). First we choose maximal value $y_{A}$ with account of restriction (23). Unfortunately, the solution to (22)

$$
\begin{equation*}
\sigma(1-\alpha) \cos \varphi_{A}=2 \chi+\beta-\alpha \tag{24}
\end{equation*}
$$

is not admissible, and we are to replace the control force $M$ in equation (21) with some $M^{\prime}<M$. This implies the relation

$$
\begin{equation*}
\sigma(1-\alpha) \cos \varphi_{A}=2 \chi^{\prime}+\beta-\alpha, \quad \chi^{\prime}=M^{\prime} / T_{0}<\chi \tag{25}
\end{equation*}
$$

Then the conditions $\cos \varphi_{A} \leq 1$ and (23) are equivalent to the system

$$
\begin{equation*}
\chi^{\prime}+\beta \leq \alpha, \quad \chi^{\prime}+\beta \leq \chi \tag{26}
\end{equation*}
$$

Then find the first positive root of equation (12). At last, perform the calculations by formulas (18)-(21).

Example. Let $\alpha=\chi=0.8, \varphi_{A}=0$. To satisfy (23), put

$$
\sigma=4-5 \beta, \quad \beta \in(0,0.8)
$$

then equation (12) has form

$$
\begin{equation*}
2 \theta=\sigma \sin 2 \theta \tag{27}
\end{equation*}
$$

The interval $\sigma \in(0,4)$ correspond to the root of eq.(27) in the range $\theta \in(0,1.2)$. Numerical calculations by formulas (18) - (21) lead to the dependence $\langle v(t)\rangle(\theta)$, shown in Fig.3. A single maximum is situated at


Fig.3. Typical dependence of normalized average velocity on the parameter $\theta$ (radians)

The case $\chi \gg 1$ can be considered qualitatively. In view of (10), (21) we assume

$$
\begin{equation*}
\sigma=\frac{k m_{1} \cos \varphi_{A}}{k m_{1}+2 M}=O\left(\chi^{-1}\right), \quad c=\frac{2 k m_{1}}{\sigma L}=\frac{2 k m_{1}+4 M}{L \cos \varphi_{A}}=O(\gamma) \tag{26}
\end{equation*}
$$

We are free to choose $\varphi_{A} \in(-\pi / 2,0)$, then we determine $\theta$ as first root of eq. (12):

$$
\begin{equation*}
\theta=\left(\frac{\pi}{2}-\varphi_{A}\right)(1-\sigma)+O\left(\chi^{-2}\right) \tag{27}
\end{equation*}
$$

Keeping in mind that the differnce $\gamma_{A}-\gamma_{C}$ is bounded, we obtain

$$
\begin{equation*}
\langle v(t)\rangle \approx \frac{\alpha L \sqrt{c}}{4 \sqrt{\alpha m_{1}}}\left(\cos \varphi_{A}-\left(\pi / 2-\varphi_{A}\right) \sin \varphi_{A}\right) \approx 2 \sqrt{\frac{\alpha L M}{m_{1}}} \frac{\left(\cos \varphi_{A}-\left(\pi / 2-\varphi_{A}\right) \sin \varphi_{A}\right)}{\sqrt{\cos \varphi_{A}}} \tag{28}
\end{equation*}
$$

As $\chi \rightarrow \infty$, the value $c$ is large, and in theory the last fraction in (28) can be arbitrary big. We conclude that the use of springs is advisable both to increase the speed of the mobile device, and to ensure its movement with a low-power motor.

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