Turing machines with few accepting computations

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Abstract:

In this paper we study complexity classes defined by path-restricted nondeterministic machines. We prove that for every language L in the class Few a polynomial time nondeterministic machine can be constructed which has f(x)+1 accepting paths for strings $x \in L$, and f(x) accepting paths for strings that are not in L, being f a function in PF. From this result we obtain lowness properties of the class Few, and positive relativizations of different counting classes.

Resum:

En aquest article estudiem classes de complexitat que es defineixen utilitzant màquines no deterministes amb pocs còmputs acceptadors. Demostrem que per tot llenguatge L dins la classe Few, es pot construir una màquina no determinista que treballa en temps polinòmic, amb f(x) + 1 còmputs acceptadors per paraules $x \in L$, i f(x) còmputs acceptadors per paraules que no pertanyen a L, per una funció $f \in PF$. Amb aquest resultat obtenim propietats de "lowness" de la classe Few i, a més, relativitzacions positives de diferents classes de complexitat associades al comptatge.

TURING MACHINES WITH FEW ACCEPTING COMPUTATIONS

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1. Introduction

The intractability of the complexity class NP has motivated the study of subclasses that arise when certain restrictions on the definition of NP are imposed. For example, the study of sparse sets in NP [Ma82], and the study of the probabilistic classes whithin NP [Gi77] have been two main research streams in the area of complexity theory, and have clarified many aspects of the class NP.

A different way to restrict the power of NP is to consider the languages for which there is a nondeterministic polynomial time Turing machine producing only a small number of accepting paths in case of acceptance. The first complexity class defined following this idea was Valiant's class UP (unique P) [Va76] of languages accepted by nondeterministic Turing machines that have exactly one accepting computation path for strings in the language, and none for strings not in the language. This class plays an important role in the areas of one-way functions and cryptography, for example in [GrSe84] it is shown that $P \neq UP$ if and only if one way functions exist. The class UP can be generalized in a natural way by allowing a polynomial number of accepting paths. This gives rise to the class FewP defined by Allender [Al85] in connection with the notion of P-printable sets.

In this paper we study complexity classes defined by such path-restricted nondetermin-

istic polynomial time machines, and show results that exploit the fact that the machines for these classes have a bounded number of accepting computation paths. We will not only consider these subclasses of NP, namely UP and FewP, but also the class Few, an extension of FewP defined by Cai and Hemachandra [CaHe89], in which the accepting mechanism of the machine is more flexible.

The three classes UP, FewP and Few are all defined in terms of nondeterministic machines with a bounded number of accepting paths for every input string, but for the last two classes this number is not known beforehand, and can range over a space of polynomial size. We show in Section 3 that a polynomial number of accepting paths implies an exact number of such paths (for another machine). We prove that for every language L in the mentioned classes a polynomial time nondeterministic machine can be constructed that has exactly f(x)+1 accepting paths for strings x in L, and f(x) accepting paths for strings x that are not in L where f is a polynomial time computable function. This fact extends a result in [CaHe89], where it was proved that the classes FewP and Few are included in $\oplus P$. From our result follows additionally that FewP and Few are contained in the counting class GP (exact counting), [Wa86], thus answering a question proposed in [Sc88].

We use the above result to prove in Section 4 lowness properties of the class Few. The concept of lowness for the classes in the polynomial time hierarchy was first introduced in [Sc83]. This idea was translated to the classes in the counting hierarchy in [To88a] and [To88b]. Intuitively, a set A is low for a complexity class K if A does not increase the computational power of K when used as oracle; $K^A = K$. We prove that Few is low for the complexity classes PP, GP, and \oplus P (parity-P, [PaZa83]), showing PP^{Few}=PP, \oplus PF^{Few} = \oplus P and \oplus P^{Few} = \oplus P.

The lowness results from Section 4 are used in the last part of the paper to obtain positive relativizations of the questions $NP \subseteq \mathbb{G}P$, $NP \subseteq \mathbb{C}P$ and $\oplus P \subseteq PP$? The corresponding relativized classes have been separated in [To88a], and more recently in [Be88]. We show here that if the mentioned separations can be done using sparse oracles, then they imply absolute separations. Results of this kind (positive relativizations) have been obtained before for the classes of the polynomial time hierarchy in [LoSe86] and [BaBoSc86] (see also [Sc85]).

2. Basic definitions

The notation used althrough the paper is the common one. We present here definitions of

the less known complexity classes mentioned in this article.

Definition 2.1: For a nondeterministic machine M and a string $x \in \Sigma^*$, let $acc_M(x)$ be the number of accepting computation paths of M with input x. Analogously, for a nondeterministic oracle machine M, an oracle A, and a string $x \in \Sigma^*$, $acc_M^A(x)$ is the number of accepting paths of M^A with input x.

Definition 2.2: A language L is in the class FewP if there is a nondeterministic polynomial time machine M and a polynomial p such that for every $x \in \Sigma^*$,

- $i) \ acc_M(x) \leq p(|x|)$
- $ii) \ x \in L \iff acc_M(x) > 0$

By the definition, it is clear that UP = FewP = NP. Another interesting path-restricted class, which is not known to be in NP, is the class Few, an extention of FewP with a more powerful accepting mechanism. This class was introduced by Cai and Hemachandra in [CaHe89].

Definition 2.3: A language L is in the class Few if there is a nondeterministic polynomial time machine M, a polynomial time predicate Q, and a polynomial p such that for every $x \in \Sigma^*$,

- i) $acc_M(x) \leq p(|x|)$
- $ii) \ x \in L \iff Q(x, acc_M(x))$

It is obvious that FewP⊆Few. It was shown in [CaHe89] that this class is closed under bounded truth-table reductions.

We say that a nondeterministic polynomial time machine M is a Few machine if there is a polynomial p s.t. for every $x \in \Sigma^*$, $acc_M(x) \leq p(|x|)$.

Next we define the complexity classes PP, GP and ⊕P that are also defined considering the number of computation paths of a nondeterministic machine, but in this case the number of paths is not necessarily polynomially bounded. These classes were first introduced in [Gi77],[Wa86], and [PaZa83], respectively.

Definition 2.4: A language L is in the class PP (or CP in the notation of [Wa86]) if there is a nondeterministic polynomial time machine M and a function $f \in \text{FP}$ such that for every $x \in \Sigma^*$,

$$x \in L \iff acc_M(x) \ge f(x)$$
.

Definition 2.5: A language L is in the class GP if there is a nondeterministic polynomial time machine M and a function $f \in FP$ such that for every $x \in \Sigma^*$,

$$x \in L \iff acc_M(x) = f(x).$$

Definition 2.6: A language L is in the class $\oplus P$ if there is a nondeterministic polynomial time machine M such that for every $x \in \Sigma^{+}$,

$$x \in L \iff acc_M(x)$$
 is even.

It is known that $Few \subseteq \oplus P$ [CaHe89] and $GP \subseteq PP$ [Ru85]. In [To88a] relativizations are presented under which the classes NP, GP and $\oplus P$ are all incomparable.

3. Few accepting paths imply an exact number of such paths

In this section we will show that for every Few machine M and every FP function g: $\Sigma^* \times \mathbb{N} \longrightarrow \mathbb{N}$, a nondeterministic polynomial time machine M' can be constructed with the property that for every input $x \in \Sigma^*$, M' has exactly $acc_{M'}(x) = g(x, acc_M(x)) + 2^{p(|x|)}$ accepting paths, for a certain polynomial p. From this result follows directly that the complexity class Few is included in GP and $\oplus P$. First we introduce a technical lemma that will help us to handle the number of accepting paths of a nondeterministic machine.

Lemma 3.1: Let $b: \Sigma^* \times \Sigma^* \longrightarrow \mathbb{Z}$ be a function in FP, q a polynomial, and M a nondeterministic polynomial time machine. Then there is a nondeterministic polynomial time machine M' and a polynomial r s.t. for every $x \in \Sigma^*$,

$$acc_{M'}(x) = \sum_{k=0}^{q(|x|)} b(x,k) \binom{acc_M(x)}{k} + 2^{r(|x|)}$$

Proof: For machine M, there is a polynomial predicate Q and a polynomial p such that for every input string x, $acc_M(x) = ||\{y \in \Sigma^{p(|x|)} \mid Q(x,y)\}||$. Consider machine M'' described by the following program:

```
input x;
guess k, 0 \le k \le q(|x|);
if b(x,k) = 0 then reject
else

guess y \in \{1,\ldots,|b(x,k)|\};
guess y_1 < \ldots < y_k \in \Sigma^{p(|x|)};
if Q(x,y_i) for every i, 1 \le i \le k

then test := true
else test := false;
if (test \text{ and } b(x,k) > 0) or (\neg test \text{ and } b(x,k) < 0)
then accept
else reject.
```

For every guessed k, if b(x,k) is positive, then M''(x) has $b(x,k)\binom{acc_M(x)}{k}$ accepting paths, and it has $|b(x,k)| \cdot {2^{p(|x|)} \choose k} - {acc_M(x) \choose k}$ accepting paths if b(x,k) is negative. Therefore, altogether M''(x) has

$$b(x,0)\binom{acc_M(x)}{0} + b(x,1)\binom{acc_M(x)}{1} + \ldots + b(x,q(n))\binom{acc_M(x)}{q(n)} + h(x)$$

accepting paths where h is the function in FP defined by

$$h(x) = \sum_{k,b(x,k)<0} |b(x,k)| \cdot {2^{p(|x|)} \choose k}.$$

Since M'' runs in polynomial time, there is a polynomial r such that for every string $x \in \Sigma^*$, $h(x) \leq acc_{M''}(x) \leq 2^{r(|x|)}$. We obtain the desired machine M' by increasing the number of accepting paths of M''. The computation tree of M'(x) consists of two subtrees: one of them has exactly $2^{r(|x|)} - h(x)$ accepting paths, and the other one is the computation tree of M''(x). M'(x) has then $acc_{M'}(x) = 2^{r(|x|)} - h(x) + acc_{M''}(x) = 2^{r(|x|)} + \sum_{k=0}^{q(|x|)} b(x,k) \binom{acc_{M}(x)}{k}$ accepting paths.

If the machine considered is a Few machine, then there is a polynomial q bounding acc_M , and for every x, $acc_M(x)$ can only take values in $\{0, \ldots, q(|x|)\}$. This fact, as we will see next, allows to calculate for every function $g: \Sigma^* \times \mathbb{N} \longrightarrow \mathbb{N}$, values for $b(x,0),\ldots,b(x,q(|x|))$ satisfying

$$\sum_{k=0}^{q(|x|)} b(x,k) \binom{acc_M(x)}{k} = g(x,acc_M(x)) \tag{*}$$

There are two important points to be taken into consideration in the calculation of b: In first place, the value of $\sum_{k=0}^{q(|x|)} b(x,k) \binom{m}{k}$ depends only on the values of $b(x,0),\ldots,b(x,m)$. Therefore, if there are values for $b(x,0),\ldots,b(x,m)$, satisfying equality (*) in the case $acc_M(x) \leq m$, the above equality would hold independently of the values given to $b(x,m+1),\ldots,b(x,q(|x|))$. The second consideration is that after $b(x,0),\ldots,b(x,m)$ have been given values satisfying (*) in case $acc_M(x) \leq m$, a value for b(x,m+1) can be found so that (*) is also true in case $acc_M(x) = m+1$. This fact follows from the equality

$$\sum_{k=0}^{q(|x|)} b(x,k) \binom{m+1}{k} = \sum_{k=0}^{m} b(x,k) \binom{m+1}{k} + b(x,m+1) \quad (\stackrel{!}{=}g(x,m+1))$$

from which the value of b(x, m+1) can be obtained from $b(x, 0), \ldots, b(x, m)$ and g(x, m+1). To prove our result it is only left to show that if $g \in FP$, then the values of b can also be computed in polynomial time.

Theorem 3.2: For every Few machine M and every function g in FP from $\Sigma^* \times \mathbb{N}$ to \mathbb{N} , there is a nondeterministic polynomial time machine M' and a polynomial r such that for every $x \in \Sigma^*$, $acc_{M'}(x) = g(x, acc_{M}(x)) + 2^{r(|x|)}$.

Proof: Let q be a polynomial such that for every $x \in \Sigma^*$ $acc_M(x) \leq q(|x|)$, and let $b: \Sigma^* \times \mathbb{N} \longrightarrow \mathbb{Z}$ be a function in FP satisfying for every $m, 0 \leq m \leq q(|x|)$,

$$\sum_{k=0}^{q(|x|)} b(x,k) \binom{m}{k} = g(x,m)$$

By Lemma 3.1, there is a nondeterministic polynomial time machine M' and a polynomial r with

$$acc_{M'}(x) = \sum_{k=0}^{q(|x|)} b(x,k) \binom{acc_{M}(x)}{k} + 2^{r(|x|)}$$
$$= g(x, acc_{M}(x)) + 2^{r(|x|)}$$

accepting paths. As stated above, b(x, k) can be inductively computed:

$$b(x,0) := g(x,0)$$

$$b(x,k+1) := g(x,k+1) - \sum_{i=0}^{k} b(x,i) {k+1 \choose i}$$

for $k=0,\ldots,q(|x|)-1$ and b(x,k):=0 for k>q(|x|). It is clear that if the values of b do not become too large, then the function is in FP. We see that these values are bounded. For a string $x\in\Sigma^*$ let g_{\max} be the maximum of the values of |g(x,k)|, for $k=0,\ldots,q(|x|)$. We show by induction over k that

$$|b(x,k)| \le c_k := g_{\max} \cdot 2^{(\sum_{i=0}^k i)} = g_{\max} \cdot 2^{k(k+1)/2}$$

We have

$$|b(x,0)| \leq g_{\max} = c_0,$$

$$|b(x,k+1)| \leq g_{\max} + \sum_{i=0}^{k} |b(x,i)| \cdot {k+1 \choose i} \leq g_{\max} + \sum_{i=0}^{k} c_i {k+1 \choose i}$$

$$\leq g_{\max} + c_k \sum_{i=0}^{k} {k+1 \choose i} = g_{\max} + c_k (2^{k+1} - 1)$$

$$\leq c_k 2^{k+1} = c_{k+1}$$

We use the above result to show the inclusion of Few in the classes GP and $\oplus P$.

Corollary 3.3: For every language L in Few there is a nondeterministic polynomial time machine M' and a function $f \in FP$ such that for every string $x \in \Sigma^*$:

if
$$x \in L$$
 then $acc_{M'}(x) = f(x) + 1$

if
$$x \notin L$$
 then $acc_{M'}(x) = f(x)$

Proof: Let L be a language in Few, M a Few machine and Q a polynomial time predicate such that for every string $x, x \in L \iff Q(x, acc_M(x))$. Define function g as

$$g(x,m) = \begin{cases} 1 & \text{if } Q(x,m) \\ 0 & \text{if } \neg Q(x,m) \end{cases}$$

By Theorem 3.2, there is a nondeterministic polynomial time machine M' and a polynomial r with $acc_{M'}(x) = g(x, acc_{M}(x)) + 2^{r(|x|)}$, therefore

$$acc_{M'}(x) = \begin{cases} 2^{r(|x|)} + 1 & \text{if } x \in L \\ 2^{r(|x|)} & \text{if } x \notin L \end{cases}$$

The result follows since the function f defined by $f(x) := 2^{r(|x|)}$ is in FP.

Corollary 3.4:

- i) Few $\subseteq \mathbf{GP}$
- ii) Few $\subseteq \oplus P$ [CaHe89]

4. Lowness of Few

We will see in this section that the class Few is low for the complexity classes PP, G and $\oplus P$. The concept of lowness for classes in the polynomial time hierarchy was introduced in [Sc83]. We extend the concept here to other complexity classes.

Definition 4.1: For a language L and a complexity class K (which has a senseful relativized version $K^{()}$), we will say that L is low for K (L is K-low) if $K^L = K$. For a language class C, C is low for K if for every language L in C, $K^L = K$.

In order to show the lowness properties of Few, first we need a lemma which states that a nondeterministic machine querying an oracle in Few can be simulated by another machine of the same type with the same number of accepting paths that queries just one string on every path to another oracle in Few.

Lemma 4.2: For every nondeterministic polynomial time machine M and every language $A \in \text{Few}$, there is a nondeterministic polynomial time machine M' and a language $A' \in \text{FewP}$ such that for every $x \in \Sigma^*$, $acc_M^A(x) = acc_{M'}^{A'}(x)$ and M'(x) queries just one string to the oracle in every computation path.

Proof: Let M be a polynomial time nondeterministic machine, with an oracle A in Few. There is a polynomial time predicate Q and a Few machine M'' such that for every $x \in \Sigma^*$ $x \in A \leftrightarrow Q(x, acc_{M''}(x))$.

Consider the nondeterministic oracle machine M' described by the following algorithm:

```
input x;
```

guess
$$w = (z, (q_1, y_1^1, \dots, y_{i_1}^1), \dots, (q_k, y_1^k, \dots, y_{i_k}^k))$$

{ computation path of M, queries made to the oracle following this path, and accepting computation paths in machine M'' for the guessed queries }

if z is an accepting path for M(x) in which exactly the sequence of oracle queries q_1, \ldots, q_k is made, and every query q_j is answered "yes" if and only if $Q(q_j, i_j)$, and for every $j, y_1^j < \ldots < y_{i_j}^j$, and $y_1^j, \ldots, y_{i_j}^j$ are accepting paths of $N(q_j)$ then

if $w \in A'$ then reject

else accept

end.

The oracle for the algorithm is the set $A' \in \text{FewP}$

$$A' = \{(z, (q_1, y_1^1, \dots, y_{i_1}^1), \dots, (q_k, y_1^k, \dots, y_{i_k}^k)) \mid \exists j, y \text{ s.t. } y \text{ is an accepting path of } M''(q_j) \text{ and } y \neq y_1^j, \dots, y_{i_j}^j \}$$

The algorithm guesses the accepting computation paths for the queries of M, and then checks that it has not guessed "too many" of these paths. Then, the query to A' (answered negatively) assures that all such paths have been guessed, and therefore membership in A of the queries made by machine M, is correctly decided. Observe that there is a polynomial p (depending on A and M) such that for every input string x, and every guessed string w in M' that leads to acceptance, $|w| \leq p(|x|)$, and therefore the machine runs in polynomial time. Note also that in every accepting computation path, the answer to the oracle has to be answered negatively.

Then $A' \in \text{FewP}$ since $A \in \text{Few}$, and therefore for every possible query q_j , there are at most a polynomial number of accepting paths for machine M'' with input q_j .

Theorem 4.3: For every nondeterministic polynomial time oracle machine M and every language $A \in \text{Few}$, there is a nondeterministic polynomial time machine M' and a polynomial q such that for every $x \in \Sigma^*$, $acc_{M'}(x) = acc_M^A(x) + 2^{q(|x|)}$.

Proof: Let M be a nondeterministic polynomial time machine and A a language in Few. By (the proof of) Lemma 4.2, it is not hard to see that there is a predicate $R \in \text{FewP}$, and a polynomial p such that for every $x \in \Sigma^*$, $acc_M^A(x) = ||\{y \in \Sigma^{p(|x|)} \mid \neg R(x,y)\}||$.

By Theorem 3.2, there is a nondeterministic polynomial time machine M'' and a polynomial r such that for every pair (x,y), M''(x,y) has exactly $2^{r(|(x,y)|)}$ accepting paths if R(x,y) is true, and it has exactly $2^{r(|(x,y)|)} + 1$ accepting paths otherwise. Define a function h by $h(x) = 2^{r(|(x,0^{p(|x|)})|)}$, and consider the following nondeterministic machine M':

With input x, M' guesses a string y of length p(|x|). Then M' simulates M'' with input (x, y).

M'(x) has then $2^{p(|x|)}h(x) + ||\{y \in \Sigma^{p(|x|)} \mid \neg R(x,y)\}|| = 2^{p(|x|)}h(x) + acc_M^A(x)$ accepting paths. A small modification of M' increases the number of its accepting paths, as in the proof of Lemma 3.1. Therefore, it follows that there is a polynomial q for which $acc'_M(x) = acc_M^A(x) + 2^{q(|x|)}$.

A direct consequence of the above theorem is that Few is low for the class PP, GP and $\oplus P$.

Corollary 4.4:

- i) Few is PP-low.
- ii) Few is GP-low.
- iii) Few is $\oplus P$ -low.

It is not hard to see, looking at the proofs, that the above results relativize. More precisely, for every oracle set A, the classes PP^{Few^A} , GP^{Few^A} and $\oplus P^{Few^A}$, are included in PP^A , GP^A and $\oplus P^A$, respectively. We will make use of the relativized version of the results in next section.

5. Positive relativizations

The complexity classes NP, PP, GP and \oplus P seem all to be different, although a proof of any separation would imply immediately P \neq PSPACE, and therefore the question is hard to answer. It is easier to separate the classes in relativized worlds; this has been done in [To88a] and in [Be88]. We will show here that if the relativized separation of the classes could be done using sparse oracles, then this would imply that the classes are different. Actually, the separation results in [To88a] are done with non-sparse oracles. These results

are on the same line as the positive relativizations for the classes in the polynomial time hierarchy obtained in [LoSe86] and [BaBoSc86].

Definition 5.1: For a language A define the function $print_A: \{0\}^* \longrightarrow \Sigma^*$ as

$$print_A(0^n) = \langle a_1, a_2, \dots, a_k \rangle$$

where a_1, a_2, \ldots, a_k are the lexicographically first strings in A of length less than or equal to n.

Lemma 5.2: Let S be a sparse language. The function $print_S$ can be computed in polynomial time relative to an oracle in FewP^S.

Proof: For a sparse language S, consider the set

$$L_S = \{ \langle 0^n, y, z \rangle \mid \text{there is a string } w \in S, \text{s.t. } |w| \leq n \land y \leq w < z \text{ (in lex. order)} \}$$

 L_S is in FewP^S since for every string $\langle 0^n, y, z \rangle$ there are only a polynomial number of strings on length $\leq n$ in S, and therefore there are only a polynomial number of possible witnesses for membership of $\langle 0^n, y, z \rangle$ in L_S . The function $0^n \mapsto print_S(0^n)$ can be computed in polynomial time by iterating a binary search process in L_S .

Theorem 5.3:

- i) $NP \subseteq \mathbb{GP} \iff$ for every sparse oracle set $S, NP^S \subseteq \mathbb{GP}^S$.
- ii) NP $\subseteq \oplus P \iff$ for every sparse oracle set S, NP^S $\subseteq \oplus P^S$.
- $iii) \oplus P \subseteq PP \iff$ for every sparse oracle set $S, \oplus P \subseteq PP^S$.

Proof: i) The direction from right to left is straightforward. For the other direction, let S be a sparse set and let A be a language in NP^S computed by a nondeterministic polynomial time machine M. Consider the set

$$A' = \{\langle x, a_1, \ldots, a_k \rangle \mid M \text{ accepts } x \text{ using the oracle } \{a_1, \ldots, a_k\} \}$$

There is a polynomial q such that for every string $x \in \Sigma^*$,

$$x \in A \iff \langle x, print_S(0^{q(|x|)}) \rangle \in A'.$$

It is clear that $A' \in NP$ and by the hypothesis, $A' \in GP$. Therefore, by Lemma 5.2, in order to compute A we need first a computation in P^{FewP^S} to obtain $print_S(0^{q(|x|)})$, and then a

GP predicate to decide whether $(x, print_S(0^{q(|x|)}))$ belongs to A'. Therefore $A \in \mathbb{GP}^{\text{FewP}^S}$ but by the (relativized version of the) lowness results of Section 4, $\mathbb{GP}^{\text{FewP}^S} = \mathbb{GP}^S$.

For ii) and iii), the proof is completely analogous, considering that by the results of Section 4, FewP is also low for \oplus P and for PP.

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