

1 **A potential lignocellulosic biomass based on banana waste for critical**
2 **rare earths recovery from aqueous solutions**

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21 **Abstract**

22 Rare earth elements (REE) present multiple applications in technological devices but
23 also drawbacks (scarcity and water contaminant). The current study aims to valorise the
24 banana wastes - banana rachis (BR), banana pseudo-stem (BPS) and banana peel (BP)
25 as sustainable adsorbent materials for the recovery of REE (Nd³⁺, Eu³⁺, Y³⁺, Dy³⁺ and
26 Tb³⁺). The adsorbent materials were characterized using analytical techniques such as:
27 Fourier transform infrared spectroscopy, X-ray photoelectron spectroscopy, zeta
28 potential and scanning electron microscopy with energy dispersive X-ray probe. The
29 adsorption performance and mechanisms were studied by pH dependence, equilibrium
30 isotherms, kinetics, thermodynamics, ion-exchange and desorption evaluation. The
31 results show good adsorption capacities for the three materials, highlighting BR that
32 presents ~100 mg/g for most of the REE. The adsorption process (100 mg REE/L)
33 reaches the 60% uptake in 8 minutes and the equilibrium within 50 minutes. On the
34 other hand, the thermodynamic study indicates that the adsorption is spontaneous and
35 exothermic ($\Delta H^\circ < 40$ KJ/mol). The adsorption mechanism is based on the presence of
36 carboxylic groups that induce electrostatic interactions and facilitate the surface
37 nucleation of REE microcrystals coupled to an ion exchange process as well as the
38 presence of other oxygen containing groups that establish weak intermolecular forces.
39 The recovery of REE from the adsorbent (~ 97 %) is achieved using EDTA as
40 desorbing solution. This research indicates that banana waste and particularly BR is a
41 new and promising renewable bioresource to recover REE with high adsorption
42 capacity and moderated processing cost.

43 **Keywords:** adsorption; banana waste; biosorption; ion-exchange; electrostatic attraction

44 **1. Introduction**

45 Rare earth elements (REE) present huge applications as catalysers and in technological
46 devices such light-emitting diodes, lasers, electronic video displays, magnet, glass
47 polishing, ceramics, metallurgical additives and alloys, among others (Song et al.,
48 2013). Considered as strategic resources, REE such as neodymium (Nd^{3+}), europium
49 (Eu^{3+}), yttrium (Y^{3+}), dysprosium (Dy^{3+}) and terbium (Tb^{3+}) have been listed as critical
50 elements, due to the possible scarcity and supply dependence in the near future (U.S.
51 Department of Energy, 2012). Moreover, some environmental issues associated with
52 REE processing have been reported as responsible for water and soil contamination,
53 human and animal poisoning, soil degradation and radioactivity potential (Rim et al.,
54 2013). Therefore, governmental and private institutions are making many efforts in
55 developing technologies to recycle the REE from used devices.

56 Several technologies, frequently applied after solid-liquid extraction, including
57 flotation, coagulation, flocculation, solvent extraction, ion exchange, coprecipitation
58 and adsorption have been developed for REE recovery (Negrea et al., 2018).

59 Adsorption is the most promising technology to separate metals and REE from the
60 aqueous phase due to the simplicity of the process and the possibility of using natural or
61 biological adsorbents (Anastopoulos et al., 2016), resulting in low-cost and sustainable
62 processes. For instance, Eu^{3+} was removed from water with malt spent rootlets
63 (Anagnostopoulos and Symeopoulos, 2013), cactus fibres (Prodromou and Pashalidis,
64 2016), crab shells (Cadogan et al., 2014) and bone powder (Butnariu et al., 2015). Y^{3+}
65 was removed using *Pleurotus Ostreatus* (Hussien and Desouky, 2014) and durian rind
66 waste (Kusrini et al., 2019). Tb^{3+} was adsorbed with poplar biomass (Demey et al.,
67 2019), while the recovery of Dy^{3+} has not been explored using bioresourced materials.

68 Most of the described materials are poorly available and presented low adsorption
69 capacity (~ 50 mg/g), which points the need of sustainable adsorbent materials such as
70 lignocellulosic wastes. -grapefruit peel, pineapple crown and orange peel- which were
71 used for non-critical REE removal - Ce^{3+} , La^{3+} and Pr^{3+} - (Anastopoulos et al., 2016).
72 Banana plant waste represent an excellent bioresourced adsorbent material that is
73 massively produced after each harvesting (9 months) (Robinson and Galán Saúco,
74 2010). Banana is cultivated in more than 130 countries and produces 144 million metric
75 tons of fruits per year (Ahmad and Danish, 2018). Banana wastes (BW) include banana
76 rachis (BR), banana pseudo-stem (BPS), banana peel (BP) and leaves. Every tonne of
77 harvested banana fruit generates 3 tonnes of BPS, 300 kg of BP and 150 kg of BR,
78 (Guerrero et al., 2016). The applications of these parts include bio-adsorbents of
79 environmental pollutants such as heavy metals (Anwar et al., 2010), dyes (Amela et al.,
80 2012), organics (Kong et al., 2016), pesticides (Salman and Hameed, 2010), anions
81 (Mondal, 2017) and some actinides (Oyewo et al., 2016). Nevertheless, despite the
82 reported applications, they have not been tested for REE adsorption, and remarkably BR
83 has not been considered as an adsorbent material for any element or compound.
84 This research is focused on the recovery of the five catalogued critical REE (Nd^{3+} , Eu^{3+} ,
85 Y^{3+} , Dy^{3+} and Tb^{3+}) from aqueous solutions using three main BW: BR, BPS and BP as
86 adsorbent materials. The process is optimized in lab scale and the main adsorption
87 mechanisms are elucidated, demonstrating for the first time the application of
88 lignocellulosic materials for critical REE removal. According to this research, BW and
89 in particular BR represents a novel, renewable and efficient bioresource for REE
90 adsorption.

91

92 2. Materials and Methods

93 2.1. Materials and chemicals

94 The adsorbents consisted of three banana (*Musa Cavendish*) waste materials: BR, BPS
95 and BP, which were collected from a farm located in the south of Ecuador (3°14'S,
96 79°51'W). The materials were processed immediately after the fruit harvesting. They
97 were washed, chopped in small pieces of about 2-4 cm³, dried into a forced air
98 convection oven at 45 °C, milled and sieved at particle size from 250 to 800 μm.
99 Finally, these particles in this fraction were air-dried (45°C) and stored in plastic bottles
100 for further experimentation.

101 Neodymium (III) nitrate hexahydrate (Nd(NO₃)₃.6H₂O, 99.9% Alfa Aesar), yttrium(III)
102 oxide (Y₂O₃, 99.99%, Sigma Aldrich), europium(III) oxide (Eu₂O₃, 99.99%, Sigma
103 Aldrich), dysprosium(III) nitrate pentahydrate (Dy(NO₃)₃.5H₂O, 99.9%, Alfa Aesar) and
104 terbium(III) chloride hexahydrate (TbCl₃.6H₂O, 99.99%, Alfa Aesar) were used to
105 prepare the stock solutions. Milli-Q water was used to prepare all the solutions.

106 2.2. Material characterization

107 The quantity of cellulose and hemicellulose in the BW was measured by the application
108 of ASTM D-1103-60 and ASTM D-1104-56 standard methodology respectively. Lignin
109 content was determined by TAPPI 222 om-02 standard (TAPPI, 2006). Additionally,
110 Fourier transform infrared (FTIR) spectra were recorded from 450 cm⁻¹ to 4000 cm⁻¹ to
111 determine the functional groups of the BW materials in a FTIR-ATR spectrometer
112 (Perkin Elmer, Spectrum Two). X-ray Photoelectron Spectroscopy (XPS) analysis were
113 performed in a SPECS XPS system (XR-50 dual anode operating with Al source at 250
114 W) and PHOIBOS 150 MCD-9 detector using a pass energy of 20 eV with steps of 1 eV

115 for survey analysis and 0.1 eV for high resolution spectra. Total acid, carboxylic,
116 lactonic and phenolic group concentrations were determined by Boehm titration
117 according to Goertzen et al. (Goertzen et al., 2010). Zeta potential surface values were
118 obtained in a Zetasizer Nano Z (Malvern Instruments Inc.) adding 1 g/L of particles in
119 solutions of NaCl 0.001 M at different pH. Moreover, pH point of zero charge (pH_{pzc})
120 was measured according to Lapo et al. (Lapo et al., 2018).

121 Scanning electron microscope (SEM) surface morphology images were performed in a
122 Phenom XL microscope equipped with an energy dispersive X-ray probe (EDX). The
123 materials before and after REE adsorption were dried and sputtered with a carbon film
124 prior to the SEM-EDX analysis.

125 **2.3. Adsorption experiments**

126 **2.3.1 Adsorption pH dependence**

127 To establish the suitable pH at which the adsorption of REE achieves the highest
128 performance, adsorption experiments at different initial pHs were carried out by
129 triplicate. 25 mg of the sorbent material was added to each 25 mL of solution (sorbent
130 dosage (SD): 1g sorbent material/L) of initial concentration (C_i) of 100 mg/L of Nd^{3+} ,
131 Eu^{3+} , Y^{3+} , Dy^{3+} and Tb^{3+} , respectively and initial pH (pH_i) of 2.0, 3.0, 4.0, 4.5 and 5.0.
132 Then, the flasks were placed in an orbital shaker at agitation speed (AS) of 150 rpm for
133 24 h of contact time (CT) at room temperature (T: 22°C). The metal concentration after
134 the adsorption was measured in an inductively coupled plasma - optical emission
135 spectroscopy (ICP-OES, Perkin Elmer Optima). The optimal pH is based on the uptake
136 adsorption capacity (q_e) calculated according to the equation 1.

$$q_e = \frac{(C_i - C_e)V}{m} \quad (1)$$

137 Where q_e is the equilibrium uptake adsorption capacity (mg/g), C_i is the initial
 138 concentration (mg/L), C_e is the equilibrium concentration (mg/L), V is the volume (L)
 139 and m is the adsorbent material mass (g).

140 For statistical purposes, all the experiments were carried out by triplicated and the error
 141 bars values were calculated.

142 **2.3.2 Equilibrium study**

143 The equilibrium experiments were performed varying the C_i of REE concentration from
 144 10 to 300 mg/L while maintaining constant the SD at 1 g/L, T:22 °C, AS: 180 rpm, CT:
 145 24 h and pH_i 4.5. The equilibrium isotherms were modelled by the application of
 146 Langmuir, Freundlich and Dubinin-Radushkevich (R-D) nonlinear models, according to
 147 the equations 2, 3 and 4 respectively:

148 Langmuir non-linear equation:

$$q_e = \frac{q_{\max} b C_e}{1 + b C_e} \quad (2)$$

149 Freundlich non-linear equation:

$$q_e = K_F C_e^{1/n} \quad (3)$$

150 Dubinin-Radushkevich (D-R) non-linear equation:

$$q_e = (q_s) \exp(-K_{DR} \epsilon^2) \quad (4)$$

151 Where q_e is the amount of metal adsorbed in (mg/g), C_e is the equilibrium concentration
 152 in (mg/L), q_{\max} is the Langmuir adsorption maximum capacity expressed in (mg/g), b is
 153 the Langmuir constant in (L/mg), K_F is the Freundlich constant (mg^{1-1/n}/g L^{1/n}), n is
 154 sorption intensity (dimensionless), q_s is the D-R constant (mg/g), K_{DR} is a constant

155 related to the mean free energy of adsorption per mole of the adsorbate (mol^2/kJ^2) and ϵ
156 is the Polanyi potential (J/mol), which is equal to $RT \ln \left[1 + \left(\frac{1}{c_e} \right) \right]$, where R (J/mol K) is
157 the gas constant and T (absolute temperature, K).

158 The mathematical modelling of the equilibrium isotherms was performed using
159 MATLAB R2018b.

160 **2.3.3 Ion exchange evaluation**

161 BW are lignocellulosic materials that contain elements such as K, Ca, Mg and Si that
162 can present ion exchange properties (Jeon et al., 2007) with aqueous solutions
163 containing REE. Therefore, to evaluate the relevance of ion exchange mechanism in the
164 REE uptake, the concentrations of K^+ , Ca^{2+} , Mg^{2+} and Si^{4+} ions released in aqueous
165 phase were measured before and after the BW materials and REE contact. For this
166 evaluation, 0.05 g of each BW was added to 50 mL of REE solution SD: 1 g/L. The
167 experimental conditions for ionic exchange were C_i : 100 mg/L of each REE, pH_i 4.5, T :
168 22 °C, AS: 180 rpm, CT: 24 h, SD: 1 g/L, n : 3. Control experiments were performed
169 using the same procedure using solutions without presence of REE. To evaluate the ion
170 exchange role, the equation (5) was applied:

$$I_{\text{IE}} = \frac{(C_{\text{R}} - C_{\text{B}})V}{\text{MW}_{\text{IE}} * m} \quad (5)$$

171 Where I_{IE} is the molar quantity of each ion (K^+ , Ca^{2+} , Mg^{2+} and Si^{4+}) involved in ion
172 exchange reaction by mass of BW expressed in mmol/g, C_{R} is the concentration in mg/L
173 of each ion released (K^+ , Ca^{2+} , Mg^{2+} and Si^{4+}) into the solutions after REE contact, C_{B} is
174 the concentration of each ion (K^+ , Ca^{2+} , Mg^{2+} and Si^{4+}) in the blank experiment, V is the
175 solution volume (L) in which the experiment was carried out, MW_{IE} is the molar mass

176 expressed in (mg/mmol) of each ion evaluated and m is the mass of the BW in grams.
 177 On the other hand, the molar quantity of REE adsorbed was calculated by the
 178 application of the equation (6).

$$A_{\text{REE}} = \frac{(C_i - C_e)V}{MW_{\text{REE}} * m} \quad (6)$$

179 Where A_{REE} is the molar quantity of each REE adsorbed per mass unit of BW (mmol/g),
 180 C_i and C_e are the initial and equilibrium concentrations respectively in (mg/L) of each
 181 REE, V is the solution volume (L), MW_{REE} is the molar mass in (mg/mmol) of each
 182 REE evaluated and m is the mass of the BW in grams.

183 2.3.4 Kinetic study

184 Adsorption kinetics were studied in individual solutions of Nd^{3+} , Eu^{3+} , Y^{3+} , Dy^{3+} and
 185 Tb^{3+} of C_i of 100 mg/L using BR. It was added 500 mg of BR into 500 mL (SD 1 g/L)
 186 of adjusted pH 4.5 solutions of REE. The data were adjusted using nonlinear equations
 187 of pseudo first order (PFORE), pseudo second order (PSORE) and Elovich models
 188 according to equations 7, 8, 9 respectively (Tan and Hameed, 2017).

189 Pseudo-first order rate equation (PFORE):

$$\frac{dq}{dt} = k_1(q_e - q) \quad (7)$$

190 Pseudo-second order rate equation (PSORE):

$$\frac{dq}{(q_e - q)^2} = k_2 dt \quad (8)$$

191 Elovich equation:

$$q = \frac{1}{\beta} \ln(1 + \alpha \beta t) \quad (9)$$

192 Where q_e is the equilibrium sorption capacity (mg/g), q is the sorption capacity (mg/g),
193 t (time, min), k_1 is the PFORE rate constant (1/min), k_2 is the PSORE rate constant
194 (g/mg·min), α is the initial adsorption rate (mg/g·min), β (mg/g) is a desorption constant
195 related to the extent of surface coverage and activation energy.

196 The mathematical modelling of the kinetics studies was performed using MATLAB
197 R2018b.

198 **2.3.5 Thermodynamics**

199 Three sets of equilibrium isotherms at different temperatures (22°C -room-, 35°C and
200 45°C were carried out at the same conditions indicated in section 2.3.2. The ΔG^o was
201 calculated according to equation 10:

$$\Delta G^o = -RT \ln K_C \quad (10)$$

202 ΔH^o and ΔS^o were calculated from the slope and intercept of the linear adjustment from
203 the plot of $\ln K_C$ versus $1/T$, following the Van't Hoff equation (11):

$$\ln K_C = \frac{-\Delta H^o}{R} * \frac{1}{T} + \frac{\Delta S^o}{R} \quad (11)$$

204 Where ΔG^o , ΔH^o and ΔS^o are the standard free energy, enthalpy and entropy changes,
205 R is the universal gas constant (8.3144 J/mol K), T is the absolute temperature (K) and
206 K_C is the dimensionless constant calculated from the $10^6 \cdot b$ (Langmuir) constant -
207 equation 2- (Tran et al., 2017a).

208 **2.3.6 Desorption evaluation**

209 To assess the REE recovery from the biomaterial, an adsorption-desorption cycle was
210 carried out using EDTA 0.01 M and HCl 0.1 M as eluents. The recovery percentage was
211 calculated using the equation 12:

$$\text{Recovery \%} = \frac{C_D * V_D}{(C_i - C_e) * V_A} * 100 \quad (12)$$

212 where C_D is the concentration (mg/L) of the desorbed REE in the eluent, C_i and C_e are
213 the initial and equilibrium concentration of REE (mg/L) in the sample before
214 desorption. V_D and V_A are the volume of eluent and the volume of sample before
215 elution, respectively.

216 **3. Results and discussions**

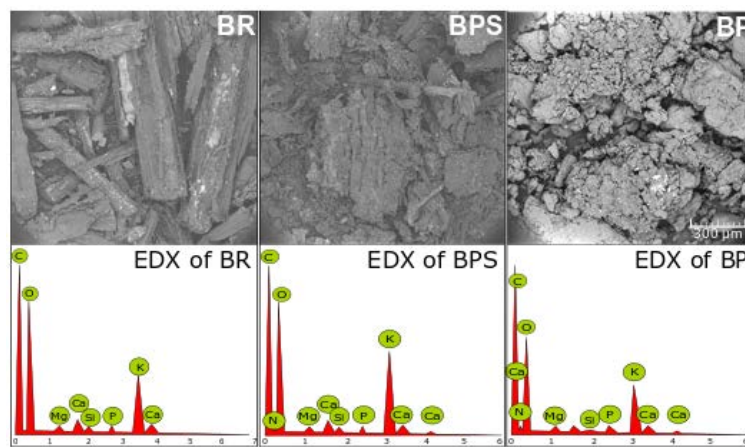
217 **3.1. Composition of lignocellulosic wastes**

218 The composition of BW biomass includes mainly cellulose, hemicelluloses, lignin and
219 ash. Cellulose was found in BR and BPS in similar quantities, while BP's cellulose
220 represents ~ 40% of that found in the former materials. The hemicellulose and ash
221 content were major in BR, followed by BPS and BP. Regarding lignin content, BP
222 presents the largest content, followed by BR and BPS. In terms of secondary cell wall,
223 which is the complex structure composed mainly by cellulose, hemicelluloses and lignin
224 (Rebaque et al., 2017), the content in BR was higher than in BPS and BP. These results
225 are in line with previous reported studies for BR (Florian et al., 2019; Mohapatra et al.,
226 2010); BPS (Mohapatra et al., 2010), and BP (Oberoi et al., 2011). On the other hand,
227 the total acid and basic components released into the aqueous phase were identified
228 showing a larger presence of carboxylic groups in BR than in BPS or BP. Further
229 details are found in Table S1.

230

231 **3.2 Morphological characterization**

232 The three BW materials show different structure morphology (Fig. 1). BR presents
233 simultaneously a rough and homogenous structure and a fibrous topography. BPS
234 presents a heterogeneous surface with fibrous structure and slightly higher roughness
235 than BR. BP shows a grainy structure with lower roughness than BR and BPS. The
236 EDX elemental mapping analysis (Fig. 1. bottom panel) confirm the presence of K, Ca,
237 Mg, Si, in the three BW material surfaces.

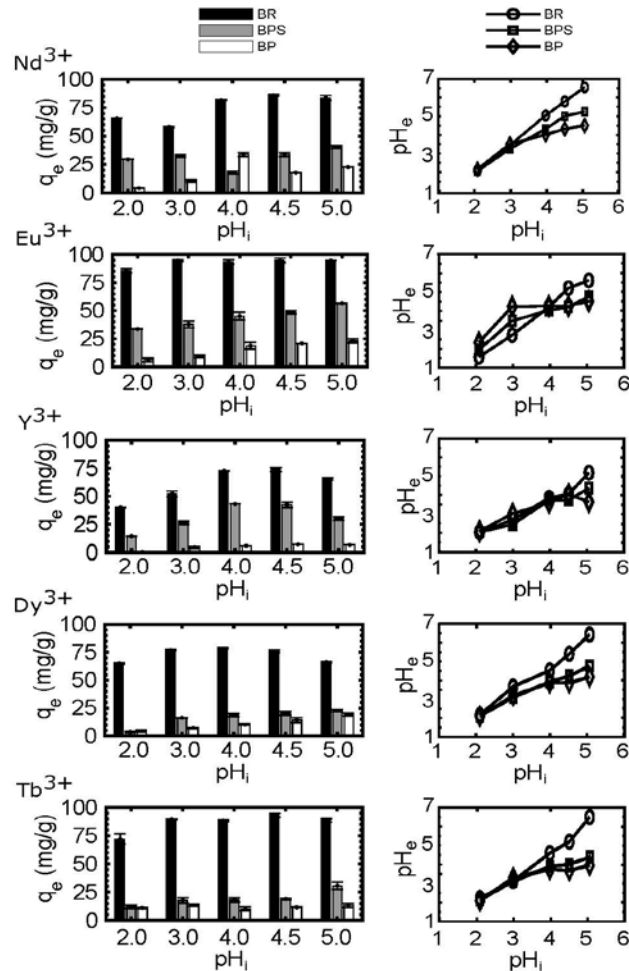


238
239 Fig. 1. Top panel: SEM representative topographical images of BR, BPS, BP. Bottom
240 panel: elemental analysis of the corresponding top panel.

241
242 **3.3 Adsorption pH dependence**

243 The pH affects the surface of the sorbent as well as the ionic state of the chemical species
244 in aqueous phase. The working pH range is selected to avoid the precipitation of REE
245 over pH 6 forming $\text{Nd}(\text{OH})_3(\text{s})$, $\text{Eu}(\text{OH})_3(\text{s})$, $\text{Y}(\text{OH})_3(\text{s})$, $\text{Dy}(\text{OH})_3(\text{s})$ and $\text{Tb}(\text{OH})_3(\text{s})$
246 respectively (Fig. S1). The REE uptake capacity of the three BW materials increased as
247 long as the pH_i was incremented (Fig. 2). In particular, BR particles show the maximum
248 adsorption at pH_i 4.5 for all REE, while BPS and BP present a slightly better adsorption
249 at pH_i 5.0 for most REE (Nd^{3+} , Eu^{3+} , Dy^{3+} and Tb^{3+}). On the contrary, the three materials
250 performed the lowest adsorption at pH_i 2.0 for most of the REE. It is also important to

251 control that the equilibrium pH (pH_e) after the contact between the materials and the
 252 solutions containing each REE avoids the precipitation zone described above. At pH_i 5
 253 BPS and BP materials do not reach the precipitation pH zone (Fig 2), whereas pH_i 4.5 is
 254 necessary for BR to avoid such zone. Therefore, the optimised pH for further experiments
 255 with the three BW materials is set at pH_i 4.5.



256

257 Fig. 2. Left column: Influence of pH in adsorption; right column: pH_e evolution after
 258 materials and REE solutions contact

259 (T: 22 °C; C_i : 100 mg/L; SD: 1 g/L; AS: 180 rpm; CT: 24 h; n=3)

260

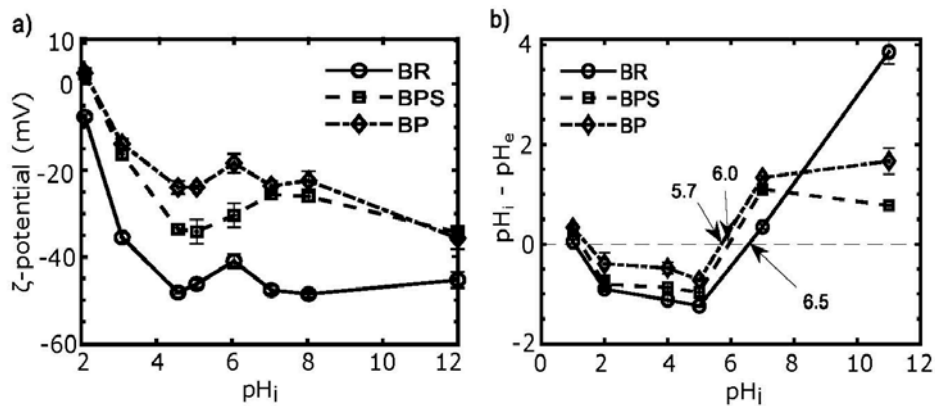
261 The influence of electrostatic forces is crucial to explain the pH dependence phenomena

262 in the adsorption of REE on BW. The surface ζ -potentials of the three materials at

263 different pHs show similar trends each other (Fig. 3a), although different magnitude.

264 The surface ζ -potential decrease from ~ 0 mV to the minimum value as long as the pH
 265 was increased from pH_i 2 to pH_i 4.5 respectively. At higher pH_i (until pH 12) the
 266 surface ζ -potential remains almost constant. BR presents lower surface ζ -potential (-50
 267 mV) at further working pH conditions (pH_i 4.5) than BPS (-35 mV) and BP (-25 mV).
 268 These differences in surface charge induce different adsorption uptake, as it is explained
 269 in section 3.6.

270 Furthermore, the materials pH_{pzc} values help to understand the pH influence in the
 271 adsorption of REE onto BW. The pH_{pzc} represents the pH point where the surface is in
 272 mostly neutral (Kosmulski, 2009) and over the pH_{pzc} the materials are negatively
 273 charged. The pH_{pzc} was shown at 6.5, 6.0 and 5.7 for BR, BPS and BP respectively (Fig.
 274 3b), thus in all cases is higher than the working pH (pH_i 4.5). In addition, the pH_e
 275 observed for most of the REE and materials (Fig. 2, right column) presents a moderate
 276 increase tendency from lower to higher pH_i , which is correlated with the increase of the
 277 uptake capacity as the pH approached the pH_{pzc} (Lapo et al., 2019).



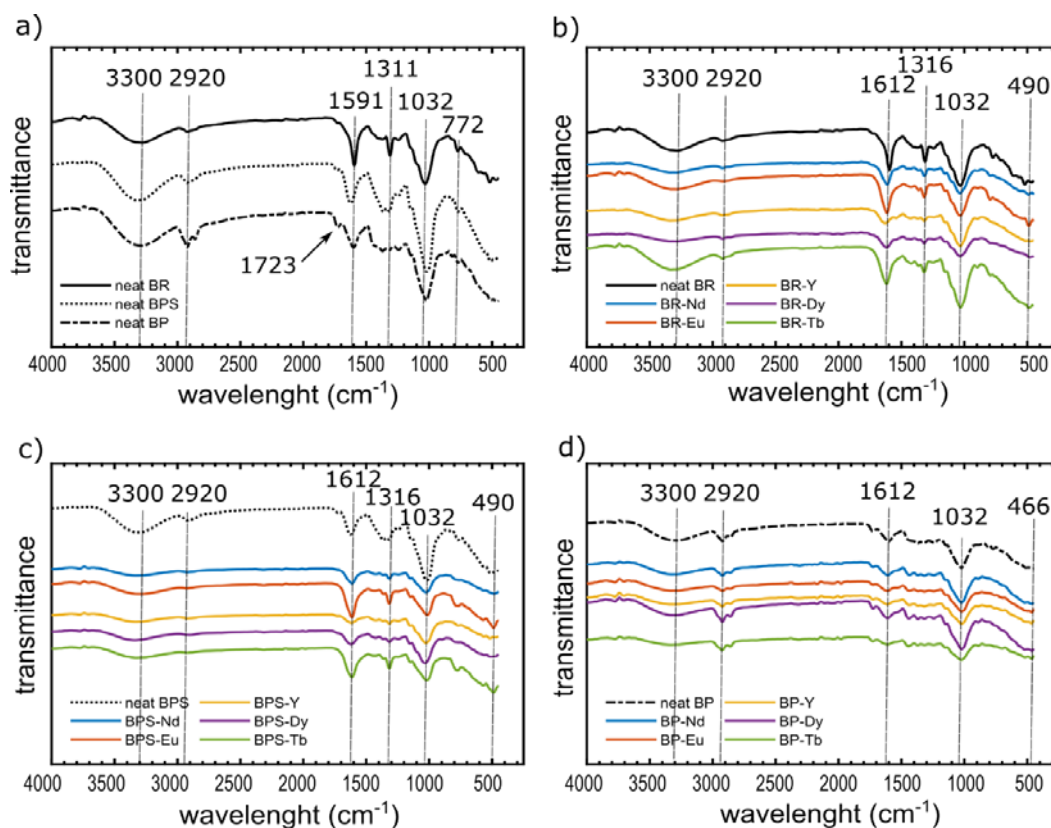
278
 279 Fig. 3. a) Surface ζ -potential and b) pH_{pzc} for BW components BR, BP, BPS
 280

281 3.4 Functional groups involved in the adsorption

282 The functional groups present in BR, BPS and BP before and after adsorption indicate
 283 the participant groups in the recovering of the REE; these aspects were elucidated by
 284 FTIR (Fig. 4) and XPS analysis (Fig. 5).

285 The three neat materials (Fig. 4a) presented intense peaks in the region of $\sim 3300\text{ cm}^{-1}$
286 corresponding to the -OH stretch, a signal at 2920 cm^{-1} attributed to methylene and
287 methyl stretching vibrations, which was stronger for BP than BPS and BR. A shoulder
288 is observed at 1723 cm^{-1} originated from C=O stretching of aldehyde or ketone groups
289 that is better resolved in BP than BPS and BR. The peak at 1591 cm^{-1} in BR, 1630 cm^{-1}
290 in BPS and 1600 cm^{-1} in BP is attributed to the asymmetric stretching of COO^- , whereas
291 the well-defined peak at 1311 cm^{-1} in BR is attributed to methylenes and alcoholic
292 bending of C-O. The strong peak at 1032 cm^{-1} present in BR, but found at 1015 cm^{-1} in
293 BPS and BP is attributed to C-O, O-C-O and C-O-C stretching and the peak at 772 cm^{-1}
294 correlates to CH_2 rocking vibration. Overall, the IR spectra of BW before the adsorption
295 is attributed to groups typically found in cellulose, hemicelluloses and lignin
296 (Guimarães et al., 2009; Hameed et al., 2008; Memon et al., 2008).

297 After REE adsorption, the three materials present an intensity reduction in all the bands
298 related with -OH, -CH, C=O, COO^- and C-O-C groups. On the other hand, it is
299 highlighted the shift of the bands at 1591 cm^{-1} , 1630 cm^{-1} and 1600 cm^{-1} in BR, BPS
300 and BP respectively to 1612 cm^{-1} and the shift from 1311 cm^{-1} to 1316 cm^{-1} for BR and
301 BPS. Altogether indicates the role of carboxylic, carboxyl, hydroxyl and ether groups
302 in the adsorption of REE; these observations were in line with previous works studying
303 the adsorption of metals onto biomaterials (Lim et al., 2008; Memon et al., 2008; Wang
304 et al., 2014). Additionally, the new bands observed at $\sim 490\text{ cm}^{-1}$ and 466 cm^{-1} after the
305 REE adsorption were attributed to the stretching vibration of the complexes established
306 by the REE (Amiri and Shokrollahi, 2013).



307

308 Fig. 4. FTIR spectra of a) neat BW, b) BR before and after adsorption, c) BPS before
 309 and after adsorption, d) BP before and after adsorption

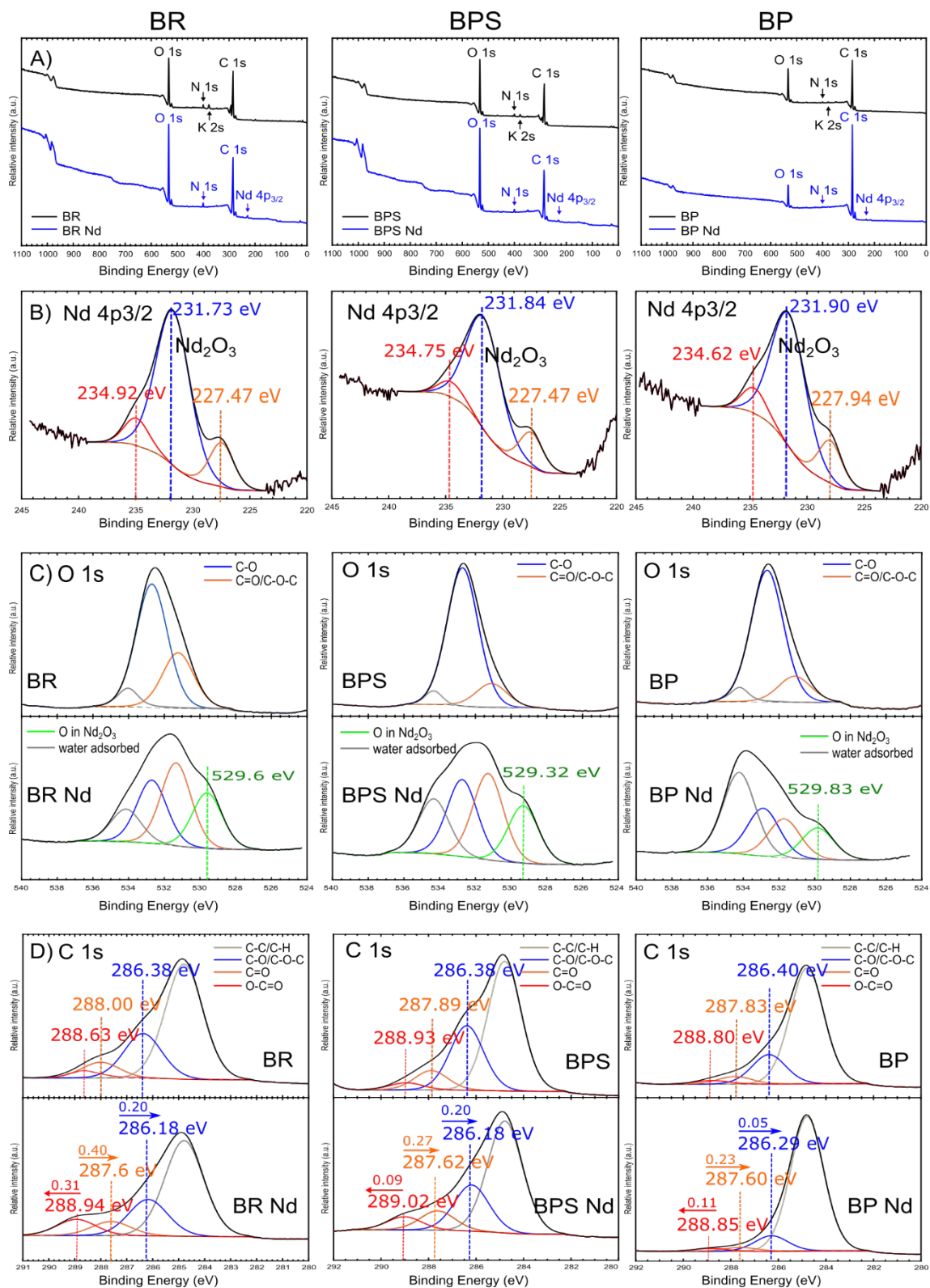
310

311 Core-level XPS analysis was performed (Fig. 5) to elucidate the presence of REE and the
 312 groups involved in the adsorption. Nd^{3+} was chosen as the elemental representative for
 313 REE adsorption for the three BW. The XPS survey of the neat materials (Fig. 5 A) shows
 314 strong signals for C 1s and O 1s, and minor signals corresponding to K 2s and N 1s. After
 315 adsorption, the materials show a similar profile but emerge a new signal at binding energy
 316 (BE) of ~ 230 eV correlated with Nd $4p_{3/2}$. The elucidation at high resolution of that signal
 317 is deconvoluted in three signals (Fig. 5B). The most intense peaks centred at 231.73,
 318 231.64 and 231.90 eV for BR, BPS and BP respectively and is associated to Nd_2O_3
 319 (Haasch et al., 2014; Sienkiewicz-Gromiuk et al., 2016), and two less intense signals
 320 (~ 227 eV) that are attributed to $\text{Nd}(\text{OH})_3$ (Mullica et al., 1995), and ~ 234 eV that is maybe
 321 related to other chemical form of Nd. On the other hand, the elucidation of Nd 3d peaks

322 in the region of 950-1050 eV, which are normally more predominant than Nd 4p_{3/2} was
323 not possible due to the overlap of O KLL Auger signal (with Al source) and C KLL signal
324 (with Mg source) with Nd 3d signal.

325 The deconvolution of the core-level O 1s and C 1s (Fig. 5C and 5D) spectra indicate the
326 interactions of Nd³⁺ with oxygen and carbon surface containing groups of the three BW.

327 The O 1s core-level (Fig. 5C) show a marked difference after Nd³⁺ adsorption, a new
328 signal associated to oxygen bonded to a metal (Baltrus and Keller, 2019; Oliveira et al.,
329 2014) found at 529.6, 529.32 and 529.83 eV for BR, BPS and BP respectively - (Fig. 5C
330 – down panel) and clearly indicate the formation of Nd₂O₃. On the other hand, the
331 adventitious C 1s core-level was deconvoluted in four peaks at BEs of 284.8 eV, 286.4
332 eV, 288.0 eV and 288.63 eV that were assigned to C-C/C-H, C-O/C-O-C, C=O and O-
333 C=O respectively (Feng et al., 2019; Lim et al., 2008). After Nd³⁺ contact, the peaks
334 assigned to C-O/C-O-C and C=O experimented a decrease in their BE of 0.23-0.40 eV
335 and 0.05-0.20 eV respectively, while O-C=O experimented an increase in BE (0.09-0.31
336 eV). Shifts in BE after metal contact are promoted by ligand electron-donor or electron-
337 acceptor; a decrease in BE is caused by an increase in the electron density upon complex
338 formation (Sienkiewicz-Gromiuk et al., 2016; Thomas et al., 2013). Therefore, O 1s and
339 C 1s spectra of BW after Nd³⁺ contact confirm the participation of the oxygen
340 functionalities, including O-C=O, C-O (ether or alcoholic) and C=O functional groups in
341 the adsorption of REE. The major interaction in terms of BE shifts was accounted for BR
342 followed by BPS and BP, which are in concordance with the trend in the adsorption
343 capacity (BR>BPS>BP) – (Fig. 2).



344

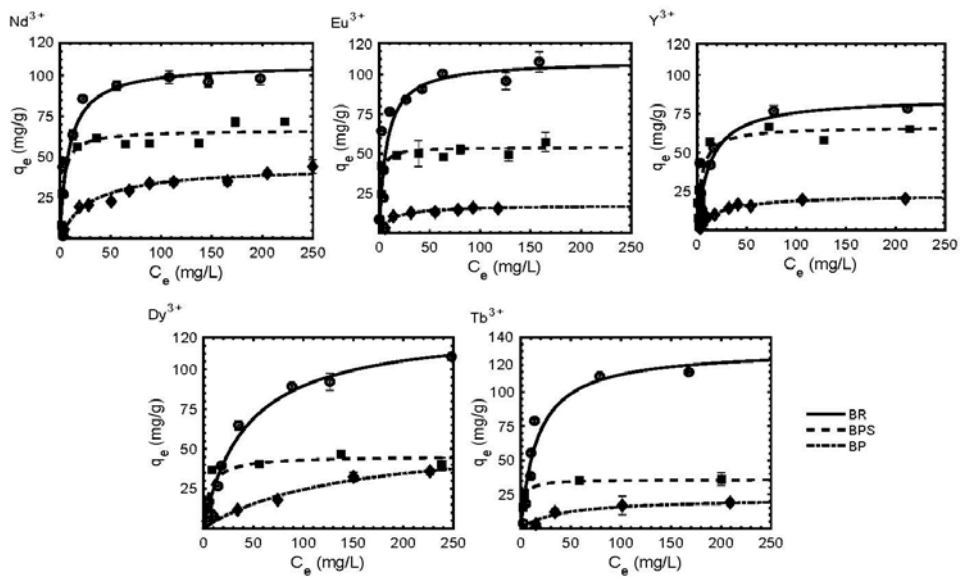
345 Fig. 5. XPS spectra of BR, BPS and BP showed in vertical blocs with the respective: A)
 346 XPS survey, B) high resolution Nd 4p_{3/2} core-level, C) high resolution O 1s core-level,
 347 D) high resolution C 1s core-level.

348

349 **3.5 Adsorption isotherms**

350 Equilibrium adsorption isotherm curves represent the phenomena between the solid-
351 phase and aqueous environment in which a retention, release or mobility of a substance
352 at a constant temperature and pH can occur (Limousin et al., 2007). The use of
353 mathematical models provide physicochemical parameters describing information about
354 the adsorption mechanisms, surface properties, sorbents affinity and the distribution of
355 the molecules on solid phases (Foo and Hameed, 2010; Lapo et al., 2018). Among the
356 three models evaluated (Langmuir, Freundlich and D-R), the adsorption data of REE
357 onto BR, BP, BPS were satisfactory fitted to Langmuir or D-R models. The calculated
358 parameters for the three models corresponding to each material are presented in Table
359 S2. The Langmuir model (Fig. 6), the best fitting, concludes that the separation factor
360 (R_L) was < 1 for all the studied materials in the whole range of initial concentration,
361 indicating that the adsorption process was favourable between the three BW materials
362 and REE (Tran et al., 2017b). Additionally, the affinity of BW materials for REE is
363 confirmed by the high value of “b” constant of Langmuir for the three materials
364 (Kratochvil and Volesky, 1998), which represents the steep initial sorption isotherms
365 slope. Moreover, a plateau with the “L” shape is noted for most of the systems, with the
366 unique exception of Dy^{3+} adsorption onto BR and BP. The achieved plateau indicates a
367 progressive saturation of the solid and a limited adsorption capacity (Limousin et al.,
368 2007). The D-R model (Table S2) shows low adsorption energies (<1 KJ/mol) that is
369 associated to physisorption (Tran et al., 2016) and corroborates the electrostatic
370 attraction discussed in section 3.6.

371



372

373 Fig. 6. Equilibrium isotherms for REE fitted to the Langmuir model
 374 (T: 22 °C; C_i: 100-300 mg/L; pH_i 4.5; SD: 1 g/L; AS: 180 rpm; CT: 24 h; n = 3)
 375

376 The adsorption capacity (q_{\max} from Langmuir model – Table S2) of BR (~100 mg/g) is
 377 higher for all the studied REE than those for BPS (~50 mg/g) and BP (< 35 mg/g). The
 378 adsorption capacity of Nd³⁺, Eu³⁺, Dy³⁺ and Tb³⁺ were higher than Y³⁺ (Fig. 6 and Table
 379 S2), which was associated to the micro-precipitation observed for the former elements
 380 and absence for Y³⁺ (Fig. S3, S4). The adsorption capacities of the BW materials
 381 studied in the current investigation and other adsorbent biomaterials used to uptake REE
 382 are presented in Table S3. BR presents higher adsorption than all the other tested
 383 materials from bioresources, being its capacity only comparable to that of macroalgae
 384 (Oliveira et al., 2009). BR biowaste improves the marketability of the recovering
 385 process as it is low-cost, biodegradable and widely available material, which represent
 386 remarkable advantages for adsorption over other extraction techniques used for metal
 387 recovery/removal from aqueous phase (i.e. solid-liquid extraction, flotation,
 388 coagulation, flocculation, solvent extraction, ion exchange, coprecipitation), which
 389 usually require hazardous chemical reagents or complex facilities.

390 **3.6 Adsorption mechanisms of BW derivates**

391 At pH_i 4.5-5, the highest negative ζ-potential (Fig. 3a) correlates with the maximum
392 adsorption uptake for all REE (Fig. 2), confirming the role of electrostatic attraction
393 between the positively charged REE and the negatively charged surface of BW
394 materials for the REE adsorption.

395 The negative surface charge of the materials is caused by the presence of carboxylic
396 groups in their anionic form (-COO⁻) at our working conditions. The pK_a values (2.0-
397 5.0) of the materials are lower than the working pH, thus the deprotonation of the
398 COOH groups and the negative charge of the surface are induced (Tran et al., 2016). In
399 addition, the cationic metals present in the structure of the biomaterials i.e. K⁺, Ca²⁺,
400 Mg²⁺, Si⁴⁺ (Fig. 1), can be dissociated in aqueous phase, contributing to the formation of
401 the negative material surface charge (Ding et al., 2013; Wang et al., 2014).

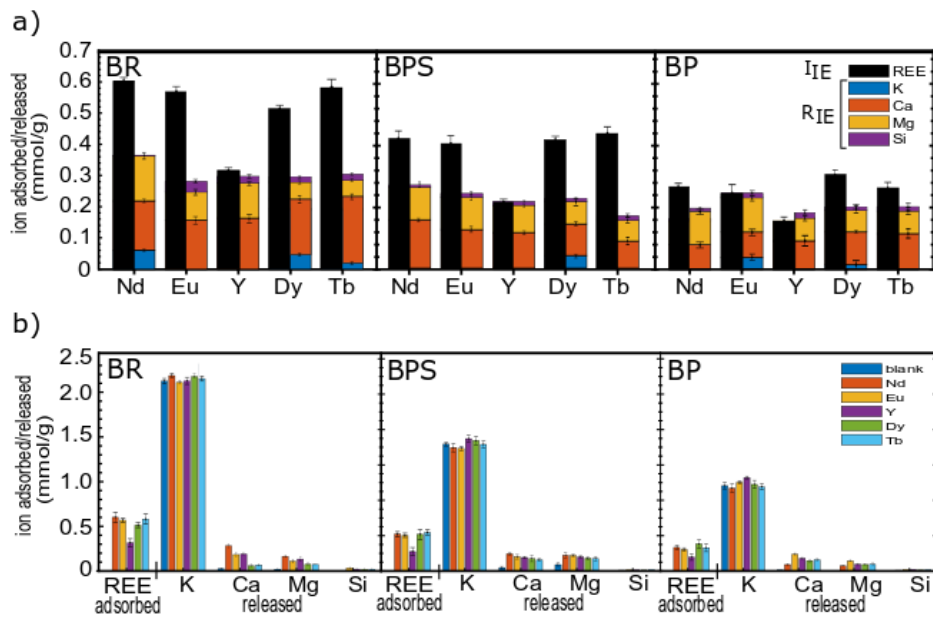
402 The adsorption uptake of REE depends directly on the surface ζ-potential value of the
403 biomaterials (Limousin et al., 2007). In this sense, a linear correlation (Fig. S6) is
404 observed between the surface ζ-potential (Fig. 3a) and the maximum adsorption
405 capacity (parameter calculated by Langmuir model - Table S2) for all REE at pH_i 4.5.
406 Therefore, the higher negative surface ζ-potential of BR particles induced higher
407 adsorption than BPS or BP particles.

408 The contribution of the surface-precipitation mechanism is also relevant since it was
409 observed for most of REE on the surfaces of BR and BPS, but not on BP particles. Fig.
410 S2 is a representative system of those present in Fig. S3, S4, S5. The formation of
411 microcrystals (~ 2 μm) on BR surfaces is observed after Nd³⁺ adsorption mostly
412 accommodated between the microfibrils (Fig. S2). This phenomenon occurs with all the
413 herein studied systems with the exception of Y³⁺, which is in accordance with the lower

414 Y^{3+} adsorption uptake observed. The Nd EDX map (Fig. S2) confirms the Nd presence
415 along the BR surface and the EDX elemental analysis confirms the presence of Nd
416 among other elements already present in neat BR.

417 Surface-precipitation mechanism is usually driven by nucleation points provided by the
418 surface specific sites (Naja and Volesky, 2011), thus indicating that the interactions of
419 the surface functional groups of the material with the REE are mainly responsible for
420 such nucleation. The formation of micro precipitates has been previously reported for
421 rice husks with Cr(VI) (Bansal et al., 2009), crab shell particles with lanthanum
422 (Vijayaraghavan et al., 2009) and crab shell particles with lead (Lee et al., 1997).

423 The third involved adsorption mechanism was ion-exchange (Fig. 7). Ca^{2+} and Mg^{2+}
424 ions participated similarly in almost the total ion exchange produced, whereas K^+ and
425 Si^{4+} had a minor role (Fig. 7a). In blank experiments K^+ was released in major quantity
426 than other cations (Fig. 7b), but presented negligible participation in ion-exchange. Ca^{2+}
427 and Mg^{2+} were released in low quantities and Si^{4+} was not released in the blank
428 solution. The ion exchange capacity is associated with the arising of electrostatic forces
429 that facilitate the replacement of cations of lower oxidation number by those of higher
430 one (Ahalya et al., 2003).



431

432 Fig. 7. Ion-exchange evaluation. a) REE adsorbed vs ion exchange, b) Individual
 433 element interactions
 434 (T: 22 °C; C_i: 100-300 mg/L; pH_i 4.5; SD: 1 g/L; AS: 180 rpm; CT: 24 h; n = 3)

435

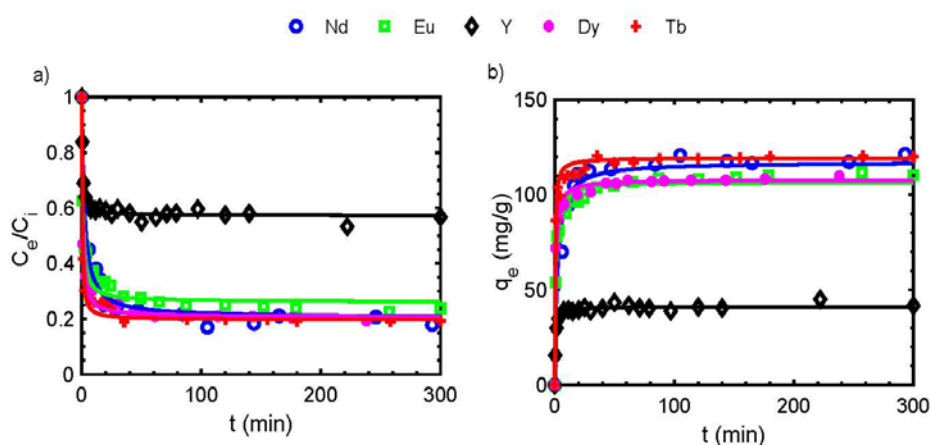
436 Overall, the adsorption mechanism of REE in BW is governed by the presence of
 437 deprotonated carboxylic groups that influence simultaneously the outer-sphere
 438 interactions (electrostatic) and facilitates the surface nucleation of REE microcrystals,
 439 as well as the presence of other oxygen containing groups that establish weak
 440 intermolecular forces. Additionally, the inherent presence of Ca, Mg in BW materials
 441 permits once in solution the ion exchange of these divalent cations with the REE,
 442 contributing to the global recovery of REE from aqueous solutions.

443 Based on the performance evaluation of the three BW, BR was selected as the best
 444 material for REE recovery. Therefore, some technological/engineering aspects were
 445 further studied on BR material in the following sections.

446 3.7 Kinetics

447 An adsorption kinetic study is crucial to establish the reactor design parameters and
 448 REE recovery process scaling up, adsorption rates and limiting mechanisms; as well as

449 the activation energy of the process (Lapo et al., 2019). Two pseudo-steps process are
 450 identified (Fig. 8): i) an initial film diffusion (5-8 minutes) that reached ~60% of REE
 451 adsorption and represents the transport of the adsorbate from the bulk phase to the
 452 external surface of adsorbent, and ii) an intraparticle diffusion (30-50 min) that reaches
 453 the equilibrium. The PFORE, PSORE and Elovich models (eq. 7, 8 and 9) were applied
 454 and the fitting parameters are presented in Table S4. The PSORE model presents the
 455 best fit for the data, indicating a second-order uptake rate vs. the available surface sites
 456 and pointing a diffusion-limited processes (Hubbe et al., 2019).



457

458 Fig. 8. Kinetics isotherms of BR. a) Concentration vs. time, b) q_e vs. time
 459 (T:22 °C; C_i : 100 mg/L; SD: 1 g/L; pH_i: 4.5; AS: 180 rpm; n=3)

460

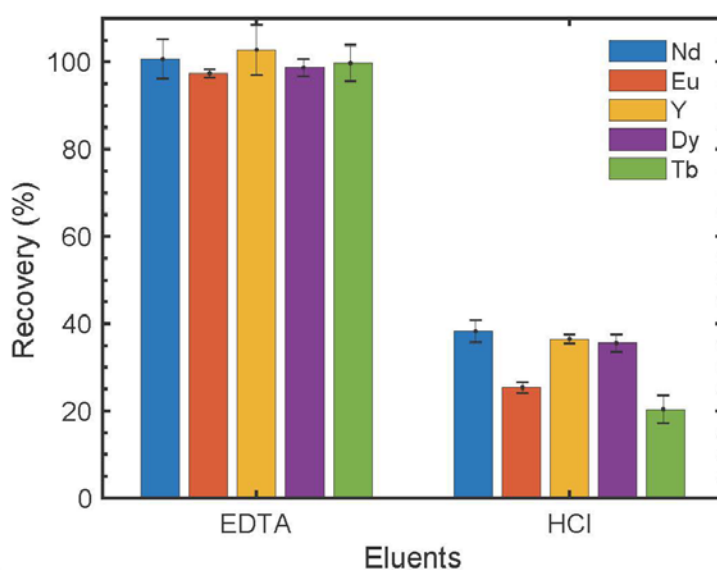
461 3.8 Thermodynamics

462 Thermodynamics experiments are crucial to determine if adsorption or chemisorption
 463 governs the adsorption mechanisms. Nd^{3+} was chosen as the elemental representative of
 464 the adsorption behaviour Nd^{3+} , Eu^{3+} , Dy^{3+} , Tb^{3+} . Y^{3+} was also studied due to it
 465 presented different adsorption behaviour to the abovementioned elements. Temperature
 466 increase results in lower adsorption uptake (Fig. S7), as observed for other
 467 lignocellulosic adsorbing materials, which is explained by the reduction of the number
 468 of available adsorption sites (Tran et al., 2016).

469 The calculated thermodynamic parameters (Table S5) indicate that the adsorption of
470 Nd^{3+} and Y^{3+} onto BR occurred favourably and spontaneously ($\Delta G^\circ < 0$), exothermic
471 ($\Delta H^\circ < 0$) and entropic ($\Delta S^\circ < 0$). The negative ΔH° value (< 40 KJ/mol) and adsorption
472 energy ($E < 0.23$ KJ/mol – Table S2) indicate physisorption (Inglezakis and
473 Pouloupoulos, 2006).

474 3.9 Desorption

475 The desorption stage of REE is essential to close the recovery cycle. EDTA 0.01 M
476 obtained (Fig. 9) the best desorption yield (up to 97%) in contrast to HCl 0.1 M (up to
477 38%). The excellent recovery yield observed for EDTA is mainly attributed to its
478 chelating characteristics towards REE (Zhao et al., 2016). This almost complete elution
479 of REE from BR confirms this material as perfect bioresourced compound for REE
480 recovering from aqueous phase.



481

482 Fig. 9. REE desorption of BR using EDTA and HCl
483 (T: 22 °C; Ci: 100 mg/L; SD: 1 g/L; AS: 180 rpm; CT: 24 h; n=3)
484

485 **4. Conclusions**

486 BW are promising candidates for the recovery of REE from aqueous solutions using the
487 adsorption technology. Among the BW studied herein, BR presents the highest adsorption
488 capacity for all the studied REE, highlighting that BR presents adsorption uptake larger
489 than 100 mg of REE/g adsorbent for most of the REE. Thus, BR is placed among the
490 biosorbents with higher adsorption capacity. The adsorption mechanism is based on the
491 presence of carboxylic groups that induce a combination of electrostatic interactions and
492 nucleation points for REE microcrystals growth coupled to an ion exchange process of
493 the inherently present cations in BR, BPS, BP, as well as other weak interactions arisen
494 between the REE and oxygen containing groups of the BW materials. Therefore, BR has
495 been confirmed as a low-cost and renewal bioresource for adsorption of REE through a
496 sustainable process.

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501 **Notes:**

502 The authors declare no competing financial interest.

503

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706 **7. Figure captions**

707 Fig. 1. Top panel: SEM representative topographical images of BR, BPS, BP. Bottom
708 panel: elemental analysis of the corresponding top panel.

709

710 Fig. 2. Left column: Influence of pH in adsorption; right column: pHe evolution after
711 materials and REE solutions contact
712 (T: 22 °C; Ci: 100 mg/L; SD: 1 g/L; AS: 180 rpm; CT: 24 h; n=3)

713

714 Fig. 3. a) Surface ζ -potential and b) pH_{pzc} for BW components BR, BP, BPS

715

716 Fig. 4. FTIR spectra of a) neat BW, b) BR before and after adsorption, c) BPS before
717 and after adsorption, d) BP before and after adsorption

718 Fig. 5. XPS spectra of BR, BPS and BP showed in vertical blocs with the respective: A)
719 XPS survey, B) high resolution Nd 4p_{3/2} core-level, C) high resolution O 1s core-level,
720 D) high resolution C 1s core-level.

721

722 Fig. 6. Equilibrium isotherms for REE fitted to the Langmuir model
723 (T: 22 °C; Ci: 100-300 mg/L; pH_i 4.5; SD: 1 g/L; AS: 180 rpm; CT: 24 h; n = 3)

724

725 Fig. 7. Ion-exchange evaluation. a) REE adsorbed vs ion exchange, b) Individual
726 element interactions

727 (T: 22 °C; Ci: 100-300 mg/L; pHi 4.5; SD: 1 g/L; AS: 180 rpm; CT: 24 h; n = 3)

728

729 Fig. 8. Kinetics isotherms of BR. a) Concentration vs. time, b) q_e vs. time

730 (T:22 °C; Ci: 100 mg/L; SD: 1 g/L; pHi 4.5; AS: 180 rpm; n=3)

731

732 Fig. 9. REE desorption of BR using EDTA and HCl

733 (T: 22 °C; Ci: 100 mg/L; SD: 1 g/L; AS: 180 rpm; CT: 24 h; n=3)

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