

1 **Antibiotics, Antibiotic Resistant Bacteria (ARB), and Antibiotic**
2 **Resistance Genes (ARGs):**
3 **Increasing removal with wetlands and reducing environmental impacts**

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5 **Joan García¹, María Jesús García-Galán¹, John W. Day², Raj Boopathy^{3*}, John R.**
6 **White², Scott Wallace⁴, Rachael G. Hunter⁵**

7 ¹GEMMA-Group of Environmental Engineering and Microbiology, Dept. of Civil and
8 Environmental Engineering, Universitat Politècnica de Catalunya-BarcelonaTech,
9 c/Jordi Girona, 1-3, Building D1, E-08034 Barcelona, Spain.

10 ²Dept. of Oceanography and Coastal Sciences, College of the Coast and Environment,
11 Louisiana State University, Baton Rouge LA 70803, USA.

12 ³Dept. of Biological Sciences, Nicholls State University, Thibodaux LA 70310 USA.

13 ⁴P.O. Box 37, Stillwater MN 55082 USA.

14 ⁵Comite Resources Inc, P.O. Box 66596, Baton Rouge LA 70896 USA.

15

16 *To whom correspondence should be addressed

17 ³ Email: ramaraj.boopathy@nicholls.edu (R. Boopathy)

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19 Running Title: A Review on emerging contaminants removal using wetlands

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22 **ABSTRACT**

23 There is a growing concern of the presence of antibiotics, antibiotic resistant
24 bacteria (ARB) and antibiotic resistant genes (ARGs) in treated wastewaters. In this
25 article we review the occurrence and impact of antibiotics, other pharmaceutical and
26 personal care products (PPCPs), ARB and ARGs in the environment specially addressing
27 the potential of wetlands to their removal. The capacity of different types of constructed
28 wetlands (CWs) including surface flow, and vertical and horizontal subsurface flow is
29 analyzed. Also the efficiency of small onsite systems (septic tank and infiltration system)
30 is evaluated, as well as wetlands receiving secondary treated effluents (natural
31 assimilation wetlands). CWs have proven effective in removing many antibiotics, other
32 PPCPs, ARB and ARGs. Small onsite systems are not effective for removal of such
33 contaminants and can be an important source. Tertiary wetland-based systems are very
34 appropriate for removing these contaminants. In the paper we suggest future research
35 needs in this topic.

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37 **Keywords:** constructed wetlands, assimilation wetlands, antibiotic resistance, green
38 treatments, pharmaceuticals, emerging organic contaminants.

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44 INTRODUCTION

45 In recent years there has been growing concern about the release of organic
46 compounds of anthropogenic origin, known as emerging organic contaminants (EOCs),
47 to the environment. These EOCs include a diverse group of thousands of chemical
48 compounds, such as pharmaceuticals and personal care products (PPCPs), pesticides,
49 hormones, surfactants, flame retardants, plasticizers and industrial additives, among
50 others. Metabolites and intermediate degradation products of parent compounds are also
51 included (Farré et al., 2008). The ubiquity of EOCs in the environment poses a threat to
52 many non-target living organisms since they are designed to remain biologically active
53 for long periods.

54 **Antibiotics are a type of PPCPs and their presence in the environment** is of special
55 concern due to the development of antibiotic resistant bacteria (ARB) and antibiotic
56 resistance genes (ARGs). These substances are extensively used in both human and
57 veterinary medicine against microbial infections and are excreted from the body of the
58 treated organisms, together with their metabolites, within a few days of consumption. It
59 has been widely demonstrated that conventional sewage treatment plants (STPs) are
60 inefficient in the removal of many **antibiotics**, ARBs and ARGs, thus contaminating the
61 receiving ecosystems with a complex mixture of bioactive agents and bacteria (Cacace et
62 al., 2019; Corno et al., 2019; Manaia et al., 2018, 2016).

63 Once in the environment, antibiotics can lead to the continuous selection for ARB
64 that contain ARGs (Choo, 1994; Costanzo et al., 2005; White et al., 2006; Ávila and
65 García, 2015; Sui et al., 2015; Shiffett and Schubauer-Berigan, 2019). Although ARB are
66 a threat to public health, ARGs are the underlying mechanism of an increasing antibiotic
67 tolerant microbial consortia. In recent years, medical professionals and scientists globally

68 have become concerned over the prevalence of ARGs and ARB that have appeared as the
69 result of over prescription/production of antibiotics (Zhang et al., 2009). Overuse of
70 antibiotics can range from doctors prescribing them ineffectively to patients for viral
71 infections (González et al., 1997), patients using other people's antibiotics or old
72 antibiotics and the use of antibiotics as growth promoters and feed additives in livestock
73 and poultry (Kim and Aga, 2007). In 2019, antibiotic-resistant bacteria and fungus caused
74 more than 2.8 million infections and 35,000 deaths in the United States alone (CDC,
75 2019). Currently, there are approximately 260 different antibiotics in about 20 different
76 families or classes (Everage et al., 2014).

77 In this paper, we review the occurrence and impact of antibiotics, ARB and ARGs in
78 the environment and address the potential of wetlands to remove these compounds from
79 wastewaters. We focus on antibiotics among other types of PPCPs because they are the
80 main cause of ARB and ARGs. However, because the review explores the potential of
81 wetlands as bioremediation alternative for EOCs, in the Sections dealing with wetlands
82 we also include descriptions on their potential for other PPCPs different than antibiotics.
83 There are already several reviews on the occurrence of PPCPs in the environment and
84 removal by constructed wetlands (Matamoros et al., 2006; García et al., 2010; Velicchi
85 et al., 2014; Zhang et al., 2014; Vymazal et al., 2017), but few specifically focused on
86 antibiotics, ARB and ARGs (Liu et al., 2019). Also the new feature of the present review
87 is that there are specific Sections dealing on small-scale domestic (septic) treatment
88 systems and natural assimilation wetlands, which are natural wetlands which receive
89 secondary treated effluents.

90

1. Sources of antibiotics, antibiotic resistant bacteria (ARB) and antibiotic resistance genes (ARGs)

After intake, antibiotics rarely become fully metabolized in the body and thus are partially excreted in their original form together with their metabolites through urine and feces within a few days of consumption (Zhang et al., 2009). In rural areas, direct excretion from medicated cattle in animal husbandry facilities is the main entrance route into the environment (Tasho and Cho, 2016; Wei et al., 2011), together with manure application as fertilization amendments (considering also biosolids from STPs), farm runoff (Bird et al., 2019; Chee-Sanford et al., 2009; Dolliver and Gupta, 2008; Sabourin et al., 2009) and fish farming (Sapkota et al., 2008). In urban areas, the regular discharges of STP effluents into aquatic bodies (e.g. rivers, lakes), including hospital wastewater effluents, is the main entrance pathway of these substances into the environment (Hocquet et al., 2016; Rodríguez-Mozaz et al., 2015; Michael et al., 2013). It is estimated that 30-60% of all prescribed antibiotics can end up in STPs, which act as primary reactors creating ARB and ARGs (Costanzo et al., 2005). Recent studies show that incomplete metabolism in humans and improper disposal of antibiotics to sewage treatment plants (STPs) has been a main source of antibiotic release into the environment (Everage et al., 2014; Naquin et al., 2015; Boopathy, 2017; Grabert et al., 2018).

There are many commonly prescribed antibiotics that are found in ng to $\mu\text{g L}^{-1}$ concentration levels in sewage wastewater and treated effluents (Grabert et al., 2018; Bird et al., 2019). These antibiotics exert constant selective pressure on microbial populations in developing antibiotic resistance. Conventional STPs, based on activated sludge (CAS) biological treatment, are an ideal place for the development of ARB and ARGs as the sewage is concentrated in the activated sludge process and the microbes are actively

115 multiplying with constant exposure to low concentrations of a multitude of antibiotic drug
116 types. This mixture of differentially acting drugs and high microbial activity becomes an
117 ideal environment for adaptive evolution of antibiotic resistance in microbes. There are
118 various reports on the occurrence of ARGs in treated municipal wastewater effluents
119 worldwide. Tables 1 and 2 summarize a representative selection of the genes reported,
120 but there are numerous other studies not included here because of the presence of the
121 same genes in different geographical locations.

122

123 **2. Antibiotic resistance acquisition**

124 It is important to understand that antibiotic resistance can be present in all bacteria,
125 not solely pathogenic bacteria (Hawkey, 1998). Bacteria often have two distinct types of
126 resistance to antibiotics, intrinsic and acquired resistance. Intrinsic resistance is a
127 naturally occurring trait in the organism, while acquired resistance is the evolution of
128 sensitive bacteria to resistant bacteria (Hawkey, 1998). Organisms most often develop
129 resistance to antibiotics because of spontaneous mutations in their DNA structure,
130 regardless of the amount of antibiotics actually present.

131 Bacteria with resistance to antibiotics started to appear soon after antibiotic use
132 became widespread (Bronzwaer et al., 2002). Although scientists noted the phenomenon
133 of resistance as early as the mid-twentieth century, it is now understood that antibiotic
134 resistance has likely existed for as long as microorganisms have been able to produce
135 antibiotics naturally. Because antibiotics remove all the susceptible bacteria from the
136 treated individuals, the only bacteria left are those resistant to the treatment, which may
137 flourish and spread resistance throughout the environment. Multi-drug resistant bacteria
138 have been cultured from habitats isolated from anthropogenic disturbances for hundreds

139 of years (Bhullar et al., 2012). It is expected that in the near future many bacteria will
140 evolve to become completely resistant to most or all antibiotics used today that will
141 increase risks to the environment and to humans.

142 Bacteria are able to acquire ARGs through mutations and through gene transfer. The main
143 method of antibiotic gene transfer is through horizontal gene transfer (Salyers et al.,
144 2004). In this method, bacteria acquire the genes from other bacteria within and across
145 different species. Every time bacteria multiply and divide, the ARGs spread vertically in
146 an exponential scale, enabling this rapid spread also in the aquatic environment.
147 Depending on the antibiotic, many different genes may exist that allow the bacteria to
148 survive to antibiotic exposure. For example, 38 different tetracycline resistance genes
149 have been found and described in bacteria (Roberts, 2005; Grabert et al., 2018).

150 **3. Antibiotics, ARB and ARGs in the environment and their impacts**

151 The continued use of antibiotics is likely to increase the frequency of antibiotic
152 resistance in the environment (Gillings and Stokes 2012). For instance, soil samples in
153 the Netherlands were shown to contain up to 15 times more genes-encoding resistance in
154 2008 when compared to soil samples from 1970 (Knapp et al., 2010). Furthermore,
155 antibiotics can survive for extended periods of time in the environment and free DNA
156 carrying ARGs can last up to two years in the soil.

157 Urban water bodies may become reservoirs of ARGs, particularly where
158 wastewater is improperly managed or treated. A clear example of this is demonstrated in
159 wetlands associated with the Tijuana River that discharges to the Pacific Ocean near the
160 US-Mexico border (Cummings et al. 2017). This river receives drainage from the Tijuana
161 metropolitan area including inadequately treated sewage and landfill runoff and

162 stormwater. Cummings et al. (2017) analyzed surface sediments from the river estuary
163 and a nearby urban wetland, Famosa Slough, that is not directly affected by sewage. They
164 found plasmid-mediated quinolone resistance genes *qnrA*, *qnrB*, *qnrS*, *qepA*, and *aac(6')*-
165 *Ib-cr* in the Tijuana River Estuary, and only *qnrB*, *qnrS*, and *qepA* at Famosa Slough.

166 Belding and Boopathy (2018) examined natural surface waters near Chauvin and
167 Port Fourchon, Louisiana, in the lower Mississippi River Delta, and found significant
168 numbers of ARB and ARGs, indicating their widespread presence in coastal waters used
169 for recreation and fishing. Bird et al. (2019) also identified ARB and ARGs in Bayou
170 Lafourche, an important waterway in southeastern Louisiana. In this case, the primary
171 cause for this contamination was related to livestock. Although the antibiotics were found
172 in small concentrations, antibiotics at sublethal concentrations provided the selection
173 pressure needed to promote genetic exchange leading to ARB and ARGs without killing
174 the entire microbial pool (Conkle et al., 2012).

175 Individual septic tanks contribute significantly to the increase and spread of ARGs
176 due to the low level of treatment and lack of any significant disinfection. ARB and ARGs
177 have been reported in the Mississippi River which receives treated wastewater and
178 agricultural runoff from a large expanse of the central United States (Bird et al. 2019).

179

180 **4. Impacts of conventional wastewater treatment on antibiotics, other PPCPs, ARB** 181 **and ARGs**

182 Secondary municipal wastewater treatment using CAS does not substantially
183 remove **antibiotics and other PPCPs**, and removal rates are highly compound specific
184 (Conkle et al., 2008; Matamoros et al., 2006; Ávila and García, 2015; García et al., 2010;
185 Velicchi et al., 2014; Zhang et al., 2014; Vymazal et al., 2017). Removal mechanisms of

186 such compounds during CAS treatment include microbial degradation and sorption to
187 particulate matter (Conkle et al, 2010). However, sludge can retain significant
188 concentrations of **compounds** that can be released back into the environment after
189 biosolids application onto land. Hydraulic retention time (HRT) in CAS treatment is
190 short, often less than 12 hours, and is not generally adequate for **significant removal of**
191 **most of PPCPs compounds, including antibiotics.**

192 In addition, STPs can increase ARB and ARGs in wastewater during the treatment
193 process. In a municipal treatment system in south Louisiana, Boopathy (2017) reported
194 that raw sewage as well as secondary effluent from a treatment plant tested positive for
195 Methicillin-Resistant *Staphylococcus aureus* (MRSA), and free DNA of *mecA* gene. The
196 antibiotic resistance was significantly higher in treated sewage than in raw sewage,
197 indicating that bacteria were acquiring ARGs during the treatment process.

198 There are a number of advanced tertiary treatment technologies that can
199 effectively remove **antibiotics and other PPCPs** such as ozonation, advanced chemical
200 oxidation or ultraviolet (UV) radiation, but these approaches are generally very
201 expensive, especially for small municipalities (White et al., 2006). For these reasons, in
202 the context of small municipalities, Ávila and García (2015) suggested the use of
203 decentralized, low-cost technologies including constructed and natural wetland
204 treatments.

205

206 **5. Removal of antibiotics, other PPCPs, ARB and ARGs in constructed wetlands**

207 CWs for wastewater treatment are nowadays a state of the art technology with
208 thousands of full-scale applications at a global scale. CWs are being increasingly used for
209 decentralized wastewater treatment due to their simple design and because operation and

210 maintenance costs are typically much lower than conventional systems.. CWs can
211 tolerate fluctuations in daily flow rate and load, a signature of wastewater production
212 (Zurita et al., 2012). Wetlands do not require any chemical addition and their sludge
213 production is negligible (Álvarez et al. 2017; Paing et al. 2015). However, constructed
214 wetlands (CWs) are more land intensive and require from 2 to 8 m²/PE (person
215 equivalent) compared to 0.06 m²/PE for conventional treatment. Natural assimilation
216 wetlands used for tertiary treatment need about 30 m²/PE (Figure 1) (White et al., 2006).
217 Efficiency of CWs for the improvement of conventional water quality parameters has
218 been widely evaluated and demonstrated over many decades, and very positive results
219 have also been obtained regarding EOCs removal (Ávila et al. 2016; Ávila and García
220 2015; Conkle et al. 2008; Verlicchi and Zambello 2014). A number of studies have shown
221 that wetlands can remove many antibiotics and other PPCPs (White et al., 2006; Conkle
222 et al., 2008; Chen et al., 2016; Fang et al., 2017; Dires et al., 2018; Hayward et al., 2018;
223 Santos et al., 2019; Yi et al., 2019).

224 CWs are classified based on how water flows through the wetland (i.e., surface
225 flow and subsurface flow). In general, subsurface flow constructed wetlands (SSF-CWs)
226 are used in the framework of decentralized sanitation, while surface flow systems are
227 usually larger in scale than SSF-CWs, and are frequently applied as tertiary treatment.
228 Recent studies on CWs efficiency have shown the capacity of these systems to remove
229 not only antibiotics and other PPCPs, but also ARB and ARGs from wastewaters (Chen
230 et al., 2019; Liu et al., 2019). The direct discharge of STP effluent to natural assimilation
231 wetlands also results in significant removal of these substances (White et al. 2006; Conkle
232 et al. 2008).

233 The main removal mechanisms in CWs include biodegradation, substrate
234 adsorption, precipitation, plant uptake, photolysis and hydrolysis (García et al., 2003;
235 Uggetti et al., 2016; Ávila et al., 2017; Álvarez et al., 2017; Pelissari et al., 2018).
236 However, there is a lack of knowledge on the relative importance of these mechanisms
237 and on the factors influencing the prevalence of one or/and another. Most of the CWs
238 studied achieved desirable antibiotic and other PPCPs, and ARGs removals, and
239 outperformed conventional wastewater treatment systems (Chen and Zhang, 2013; Chen
240 et al., 2016; Hijosa-Valsero et al., 2010; Xu et al., 2015; Zhang et al., 2014). For instance,
241 detectable concentrations of ARGs (*tetA*, *tetM*, and *ampC*) in municipal wastewater were
242 removed in a horizontal subsurface flow constructed wetland (HSSF-CW) mesocosm
243 experiment after 150 days of treatment (Nölvak et al., 2013). These authors observed a
244 clear correlation between the high removal of NH₄-N and organic matter, and the
245 reduction of ARGs in the CW effluent.

246 Matamoros and Bayona (2006) evaluated the removal of 11 PPCPs in a
247 decentralized sanitation plant in Spain, consisting of 2 parallel HSSF-CWs with different
248 water depths, both planted with *Phragmites australis*. Removal was generally greater in
249 the shallower bed due to a higher passive aeration and a less negative redox potential that
250 enhanced biodegradation of the compounds. The most hydrophobic compounds (with
251 higher K_d), such as the musks tonalide and galaxolide, had 80% removal from the aqueous
252 fraction and were retained in the gravel of the filter bed. Only the non-steroidal anti-
253 inflammatories diclofenac and ketoprofen were not efficiently removed in both systems,
254 due to their high hydrophobicity and low biodegradation potential. The recalcitrance of
255 these two compounds has been widely demonstrated in conventional STPs (Gros et al.,
256 2010, 2007; Mamo et al., 2018). In another work by the same authors, the removal of 13

257 PPCPs was studied in a variety of field scale, onsite confined domestic wastewater
258 treatment systems in Denmark, including biological sand filters, compact biofilters,
259 vertical subsurface flow constructed wetlands (VSSF-CWs) and HSSF-CWs serving from
260 2 to 280 PE (Matamoros et al., 2009). The removal rate for PPCPs was generally >80%
261 with the exception again of diclofenac and ketoprofen, and the antiepileptic drug
262 carbamazepine. Carbamazepine was also poorly removed in a surface flow wetland
263 system in Louisiana (Conkle et al., 2008). Matamoros et al. (2009) reported that the VSSF-
264 CWs, with greater oxygenation in the unsaturated gravel filter, yielded better removals.
265 White et al. (2006) reported the complete removal of five out of nine PPCPs occurring in
266 a sewage effluent pumped to a large treatment wetland in Orlando, FL, but again
267 carbamazepine was not efficiently removed.

268 Liu et al. (2019) reviewed the capacity of 106 different CW treatment systems to
269 eliminate 39 antibiotics, including sulfonamides, quinolones, tetracyclines,
270 macrolactones, chloramphenicol, polyethers and beta-lactams. CW configurations
271 included surface flow constructed wetlands (SF-CWs), HSSF-CWs, VSSF-CWs and
272 hybrid flow constructed wetlands, all at microcosm-scale or mesocosm-scale. VSSF-CWs
273 were the most effective in eliminating antibiotics (>70% for most of the antibiotics), and
274 these results were significantly different ($p < 0.05$) from the other types of CWs (Figure
275 2). It should be noted that the systems compared in this study operated under very
276 different conditions (solar radiation, temperature, size, influent quality, etc.).
277 Nevertheless, this review confirmed the crucial role of the wetland configuration in the
278 overall removal efficiency of the system, as confirmed by a number of other studies (Chen
279 et al., 2016; Hijosa-Valsero et al., 2011; Huang et al., 2017, 2015).

280 VSSF-CWs are generally more efficient than HSSF-CWs in the removal of
281 organic matter, ammonia and also EOCs. Intermittent discharge in VSSF-CWs creates
282 unsaturated conditions between pulses, promoting aeration/oxygenation of the filter
283 medium during those intervals (Kahl et al., 2017; Nivala et al., 2019). Nitrification
284 capacity is greatly enhanced by these intermittent, unsaturated conditions (García et al.,
285 2010), which can also enhance the degradation of different antibiotics by co-metabolism
286 (He et al., 2018; Kassotaki et al., 2016; Müller et al., 2013). In contrast, in HSSF-CWs
287 the granular medium remains continuously saturated and still, positive results have been
288 obtained for these systems. Nölvak et al. (2013) demonstrated that detectable
289 concentrations of ARGs (*tetA*, *tetM* and *ampC*) in municipal wastewater influents were
290 removed in a HSSF-CWs mesocosm experiment.

291 A relatively new configuration of VSSF-CW, in which the top part of the filter
292 medium is only partially saturated, enhances a variety of redox gradients through the filter
293 bed and also diversifies the bacterial metabolism developed in the system, improving
294 nitrogen transformation pathways and achieving complete nitrification-denitrification
295 (Ávila et al., 2017; Dong and Sun, 2007; Pelissari et al., 2018, 2017). This partial
296 saturation has already achieved high removal rates of different EOCs including antibiotics
297 such as trimethoprim and sulfamethoxazole (SgROI et al., 2018). All in all, SSF-CWs are
298 more efficient in activating and removing ARGs than SF-CWs (Chen et al., 2016b;
299 Decamp and Warren, 2001). Inside SSF-CWs, VSSF-CWs have a higher efficiency than
300 HSSF-CWs. These findings are in agreement with previous research on organic matter
301 and nutrient removal in CWs (Carvalho et al., 2014; Vymazal, 2011). Greater general
302 efficiency of SSF-CWs than SF-CWs may be due to a greater exposure to particles of the
303 granular medium resulting in higher sorption capacity and more complex biological

304 processes occurring in the filter media with close proximity of aerobic and anaerobic
305 zones. However, a clear correlation has not been established yet between redox mediated
306 biological processes and ARGs removal, and this is a topic that deserves intensive
307 research. The reader has to be aware that SSF-CWs and SF-CWs are not straightforward
308 comparable since they are used for different applications.

309 The removal efficiency of different antibiotic families in CWs varies with the
310 physical characteristics of the compounds (e.g., water solubility, octanol-water
311 distribution coefficient (K_{ow})). Those compounds with the best removal rates (e.g.,
312 quinolones and tetracyclines at 70-90%) generally have a low solubility, a high tendency
313 to adsorb to filter medium of SSF-CWs, and are prone to photodegradation in SF-CWs
314 (Jia et al. 2012). For instance, high K_{ow} values and low water solubilities, as in the case
315 of macrolides, lead to high adsorption by plants and the filter medium (Liu et al. 2019).
316 In this study average removal efficiencies of macrolides were high in VSSF-CWs
317 (81.73%). In contrast, sulfonamides, generally highly hydrophilic, are removed mainly
318 by microbial degradation due to their higher solubility and bioavailability. Average
319 eliminations for sulfonamides have therefore wide ranges of removals from 13% to
320 99.9%. Among the different antibiotics studied, sulfamethoxazole and erythromycin have
321 raised scientific concern due to their high concentrations and frequencies of detection in
322 feed waters to CWs, and the low reduction rates obtained (Liu et al. 2018; Yang et al.
323 2018). Conkle et al., (2008) demonstrated the strong sorption to soil particles of three
324 fluoroquinolone antibiotics individually. However, when mixtures of the 3 antibiotics
325 were applied, there was substantially less sorption of two of the compounds, clearly
326 indicating a competitive sorption among compounds that sorb well alone. Considering

327 how many different antibiotics can be in a particular waste stream, sorption behavior in
328 mixtures needs to be further evaluated.

329 On the other hand, different studies have reported negative removals of antibiotics
330 in CWs, meaning that higher concentrations were detected in the effluents of the systems
331 than in the corresponding influents (Fang et al., 2017; Conkle et al, 2010). These results
332 are not infrequent either in conventional STPs, and are usually related to the presence of
333 second-phase metabolites in influent wastewaters, such as acetylates or glucuronides.
334 These metabolites, which are not considered or measured in most of the studies, can
335 deconjugate and transform back into the parent compound (García-Galán et al., 2012;
336 Kasprzyk-Hordern et al., 2009), thus accounting for the higher concentrations observed
337 in the CWs effluents than in the influent to the systems. For more hydrophobic antibiotics,
338 which are adsorbed and retained in the filter medium of the CWs, their eventual release
339 has also been confirmed, contributing also to these negative removal rates (Li et al. 2014).
340 Indeed, solid particles tend to act as a reservoir for ARB and ARGs in CWs, thus a pulsing
341 regime that allows the systems to “rest” (such as in VSSF-CWs) will favor continued
342 reduction in antibiotics, PPCPs, ARB and ARGs. Fang et al., (2017) observed that the
343 concentration of ARGs increased in the soil of a CW consisting of 3 SF-CWs connected
344 in series and planted with different macrophytes. This facility has been operated for 10
345 years, receiving domestic sewage from a population of roughly 4000 people in Nanchang,
346 (Jiangxi province, China). The authors evaluated ARGs and ARB removal efficiency,
347 and observed that most of the ARGs detected in the aqueous phase were eliminated, but
348 their levels increased in the solid phase. As a result, this phase could become an important
349 source of aqueous ARGs through the desorption and release of microbes from soil to the
350 water phase.

351 It should also be considered that hydraulic loading rate (HLR) and hydraulic
352 retention time (HRT) are also key parameters in the CWs removal efficiencies of ARGs
353 (Anderson et al., 2013; Maal-Bared et al., 2013; Miller et al., 2014). Longer HRT in CWs
354 (in contrast to CAS) may lead to ARGs accumulation in the soil or the medium which
355 eventually can be discharged in the effluent. Seasonal variability in CWs retention
356 efficiencies were also observed in the study by Fang et al., (2017) on SF-CWs. Absolute
357 and relative abundances of ARGs in the CWs soil were higher during summer than winter,
358 due mainly to warmer temperatures promoting the survival of bacterial communities in
359 the soil and also larger communities of ARGs carriers. Higher HLR (and therefore shorter
360 HRT) during summer may have also enhanced the transport and exchange of these ARGs
361 carriers between the soil and water phase, due to a higher mechanical turbulence of the
362 water phase. In VSSF-CWs higher HLRs and infiltration rates, compared to those in
363 HSSF-CWs, would filter out bacteria (size exclusion) and bind extracellular DNA onto
364 soil particles (Cardinal et al., 2014).

365 The type of filter medium has also been studied and considered as a relevant
366 determinant feature of CWs removal efficiency. For instance, different studies have
367 demonstrated that antibiotics and ARGs removal in zeolite were higher than those of
368 volcanic rock in VSSF-CWs (Liu et al., 2013) and also higher than those obtained in
369 oyster shell, medical stone and ceramic media in HSSF-CWs (Chen et al., 2016). Higher
370 relative surface area, micropores and Si-OH structures for chemical sorption and
371 microbial attachment improve the removal of ARGs (Hu et al., 2012). Dires et al. (2018,
372 2019) evaluated the effectiveness of broken brick as filtering material in 8 HSSF-CWs
373 (mesocosms scale) to remove nutrients and ARB from hospital wastewater in Ethiopia.
374 The wetlands achieved 7.1 log₁₀ and 5.1 log₁₀ removal of total and fecal coliforms,

375 respectively. Absolute abundances of ARB were reduced from the influent to the effluent
376 by up to 93.2%.

377 Dires et al. (2018, 2019) also highlighted the role of vegetation in CWs, as they
378 observed that ARB removals were higher in planted CWs (81% - 93%) than in unplanted
379 wetlands (42% - 74%). Plants do not seem to be directly involved in the removal
380 efficiency of ARGs, but they are involved indirectly. They are crucial in filtering solid
381 particles and delivering small amounts of oxygen to microbial communities, which in turn
382 create unfavorable conditions for ARGs (Anderson et al., 2013; Chen and Zhang, 2013;
383 Fang et al., 2017). They also provide the surface for biofilm development, which in turn
384 enhances the microbial removal ability, and their subsequent harvesting in surface
385 systems can reduce ARGs accumulation (Huang et al., 2015). Common reed (*Phragmites*
386 *australis*) is widely used in CWs systems, and its presence has proved quite efficient in
387 reducing ARGs and 16sRNA levels compared to other plants (Fang et al., 2017; Yi et al.,
388 2017). Other aquatic plants such as *Pontederia cordata*, *Myriophyllum verticillatum* and
389 *Cyperus alternifolius* can also contribute to removal of ARGs (Chen et al., 2015). Chen
390 et al., (2016b) observed significant removal of antibiotics and ARGs in 6 different
391 mesocosm-scale CWs, all of them with different flow configurations and plant species.
392 As previously reported, SSF-CWs yielded better aqueous removal rates than SF-CWs,
393 and the authors also observed that biodegradation was the main removal pathway, in
394 terms of mass removal, compared to substrate adsorption or plant uptake. By contrast,
395 Helt et al., (2012) found that adding ciprofloxacin to mesocosm-scale wetlands planted
396 with *P. australis* led to an increase in resistance, not only to ciprofloxacin, but to other
397 classes of antibiotics as well (cephalosporins, penicillins, tetracyclines, and

398 sulfonamides), highlighting the potential for a single antibiotic exposure event to increase
399 the antibiotic resistance profile to different antibiotics.

400 In another study by Chen and Zhang (2013), the fate of ARGs of *tetM*, *tetO*, *tetQ*,
401 *tetW* (from tetracycline) *sull*, *sulll* (sulfadiazine) *int11*, and 16S rDNA genes was
402 evaluated in a HSSF-CW, resulting in a reduction of 1–3 orders of magnitude of the ARGs
403 initial concentrations. The authors concluded that the HSSF-CW could perform better
404 than advanced treatments such as UV disinfection or biological aerated filters.

405 Solar radiation is an important factor in SF-CWs as UV light alters DNA
406 structures and can be lethal for bacteria. In the study by Fang et al., (2017), the removal
407 of 14 ARGs was evaluated in 3 field-scale SF-CWs in series operating since 2005. The
408 authors measured ARGs removal of 78% and 60% in winter and summer, respectively,
409 demonstrating the influence of season on the performance of CWs, as higher temperatures
410 may favor the activity of microbial communities and ARGs-containing microorganisms.
411 The concentration of ARGs in the effluent was still 1 or 2 orders of magnitude higher
412 than the levels in the effluent stream. Indeed, concentrations of five ARG (*sull*, *tetA*,
413 *tetC*, *tetE*, and *qnrS*) in winter and of six ARGs (*sull*, *sul3*, *tetA*, *tetC*, *tetE*, and *qnrS*) in
414 summer increased throughout the treatment process. In contrast, Yi et al., (2017)
415 measured high removal rates for several ARGs (*sull*, *sulll*, *int11*, *qnrA*) and 16 sRNA
416 during a summer study in a 5.1 ha HSSF-CW and reported that the effluent from the CW
417 had ARGs concentrations comparable to those of the receiving surface waters.

418

419 **6. Antibiotics, PPCPs, ARB and ARGs in small-scale domestic (septic) treatment**
420 **systems.**

421 In many areas with a low-density population, such as suburban and semi-rural
422 situations, sewage treatment for a significant proportion of the population is provided by
423 small-scale (septic tanks) and package plant treatment systems. For example, over 85%
424 of the population in some areas of the United States use septic systems (Godfrey et al.
425 2007) mainly because conventional centralized systems for such populations is very
426 expensive (Matamoros et al. 2009). Coastal Louisiana is an example of an area with large
427 numbers of septic systems. The southeastern part of the state is the most populous area in
428 Louisiana, but only in the metropolitan areas of New Orleans and Baton Rouge are most
429 of the population served by conventional STPs. In these two areas, a large proportion of
430 treated municipal effluent is discharged to the Mississippi River. In the rest of that area,
431 and in much of Louisiana, most effluent flows, directly or indirectly, into open water
432 systems. Approximately 1.3 million residents in Louisiana treat and dispose of sewage
433 on-site (not connected to municipal treatment) and an estimated 50% of these systems
434 may be failing or malfunctioning (Figure 3) (LDEQ 2018; Martínez et al. 2019). This is
435 similar in other areas in the US.

436 Septic systems and other on-site treatment systems such as package plants are also
437 important sources of **antibiotics and other PPCPs** (Sui et al., 2015). Most studies of small-
438 scale onsite wastewater treatment systems include limited information on **antibiotics and**
439 **other** PPCPs in septic tanks and/or soil zones (Hinkle et al., 2005; Carrara et al., 2008;
440 [Huntsman et al., 2006](#)). Swartz et al. (2006) monitored the concentrations of caffeine and
441 its metabolite paraxanthine, as well as other micropollutants, in a residential septic system
442 and downgradient groundwater. Concentrations of caffeine and paraxanthine were
443 extremely high (caffeine ranged from 17,000 to 23,000 ng/L and paraxanthine from
444 55,000 to 65,000 ng/L) in the septic tank discharge, resulting in high concentrations of

445 the compounds in the nearest well (>1700 ng/L) that declined with distance and depth. A
446 recent study concerning the occurrence and fate of different micropollutants in
447 groundwater networks affected by septic systems showed that concentrations of several
448 PPCPs such as carisoprodol (a muscle relaxant) and lidocaine (a typical anesthetic) could
449 be greater than 0.1 µg/L in groundwater below and downgradient of the leaching bed
450 (Phillips et al., 2006).

451 Considering that individual septic systems do a very poor job in removing EOCs
452 from wastewater (Phillips et al., 2015; Swartz et al., 2006), the high number of septic
453 systems and other decentralized treatment systems in the US pose a serious threat to the
454 aquatic ecosystems health. For instance, only in Louisiana there are about 90,000 septic
455 systems discharging to natural waters that drain to Lakes Pontchartrain and Maurepas
456 (Figure 3). Thus, **antibiotics, other PPCPs,** ARB and ARGs may be a significant problem
457 in the Lake Pontchartrain Basin due to the large number of individual septic systems.
458 Decentralized treatment systems where septic tanks are connected to CWs, natural
459 wetlands or drainage fields offer an economical and effective way of reducing the
460 concentrations of **antibiotics, other PPCPs,** ARB and ARGs from septic systems.

461 Decentralized treatment approaches for septic systems and package plants offer
462 an economic way of achieving better treatment. In this approach, liquid waste is collected
463 in a small diameter (2-3 in) flexible piping ditch-witched into the ground. This flow can
464 then be further treated in a conventional STP, CW or a natural wetland.

465 **7. Reduction of antibiotics, other PPCPs, ARB and ARGs in natural assimilation** 466 **wetlands**

467 Natural wetlands can also reduce **antibiotics, other** PPCPs, as well as ARB and
468 ARGs, in treated sewage effluents. Direct discharge to natural wetlands after treatment in

469 a centralized STP can often result in significant removal of these compounds in a
470 relatively small area. Hayward et al. (2018) reported that ARGs generally decreased in
471 tundra wetland ecosystems receiving domestic wastewater, and that removal rates were
472 directly correlated with HRT. In this study, short HRTs (2 days) produced the highest
473 ARGs absolute abundance concentrations in the effluents. The authors indicated that soils
474 may act as a reservoir for ARB, potentially enriching overlying waters by desorption.
475 Similarly to the study by Fang et al. (2017) in CWs, seasonal variability in the removal
476 efficiency of the tundra wetlands was also observed, with lower removal rates during
477 summer.

478 Conkle et al. (2008) measured the uptake of various pharmaceutically active compounds
479 (PhACs) in the Mandeville, Louisiana wastewater treatment system (Table 3), which
480 included aerated ponds, a constructed nitrification-denitrification wetland, and the Bayou
481 Chinchuba natural forested wetland system that discharges to Lake Pontchartrain.
482 Thirteen of 15 PhACs studied were detected in the wastewater inflow to the treatment
483 plant. Nine of the 13 compounds were above detection limits in the effluent of the
484 treatment plant but concentrations of most compounds were reduced > 90% within the
485 plant, while carbamazepine and sotalol were only reduced by 51% and 82%, respectively.
486 The removal rates observed in the Mandeville system including the forested wetland
487 (Tables 3 and 4) were greater than those reported for conventional STPs, probably due to
488 the longer total HRT (>30 days). Most of the target PhACs were reduced to very low
489 levels or below detection limits before discharge into the Lake Pontchartrain estuary, and
490 their total annual loading was reduced from greater than 200 kg to less than 1 kg (Conkle
491 et al. 2008). These results can be used to estimate the area of wetland required to reduce
492 PhACs to near background or non-detect levels. The dry weather discharge from the plant

493 is about 6500 m³/day. The area of wetlands required to achieve high reductions in PhACs,
494 considering the results by Conkle et al. (2008) in the overall treatment stream (STP +
495 wetlands) would be about 23 ha. The area of the Bayou Chinchuba wetland is about 40
496 ha and the population of Mandeville is about 13,000 people and, thus, the surface per
497 person equivalent (PE) to achieve the reduction rates reported by Conkle et al. (2008) is
498 about 30 m². This contrasts with the 2 to 8 m²/PE for constructed wetlands and 0.06 m²
499 for conventional STPs (Ávila and García 2015) (Figure 1). Additional reduction can be
500 achieved with larger wetland areas. Because all existing assimilation wetlands in
501 Louisiana have areas that are in excess of what is required to reduce nutrients to
502 background levels, it is likely that most if not all PPCPs are reduced to background or
503 non-detectable levels in these more extensive systems.

504 Nutrient and sediment reduction in secondarily treated municipal effluents using
505 natural assimilation wetlands has a long history in coastal Louisiana (Hunter et al., 2018;
506 Day et al., 2019). There are 11 assimilation wetlands, five of which have operated for
507 27-70 years, with more than a combined 250 system-years of operation. There has been
508 extensive studies of the hydrology, biogeochemistry, vegetation productivity,
509 decomposition rates, faunal communities and PPCPs dynamics in these assimilation
510 wetlands in coastal Louisiana. These studies have demonstrated that, generally, nutrients
511 are reduced to background levels before flowing into open water bodies, vegetation
512 productivity and accretion are enhanced, and decomposition rates are not increased
513 compared to reference wetlands not receiving input of treated effluent. The information
514 included in this review suggests that an optimally managed assimilation wetland could
515 serve to reduce antibiotics, other PPCPs, ARB and ARGs.

516

517 **8. Conclusions and future reseach needs**

518 Paired investigations have shown that constructed wetlands outperformed
519 conventional wastewater treatment systems for the removal of antibiotics, other PPCPs,
520 ARG and ARBs. There is not a clear explanation reason, but much shorter hydraulic
521 retention times could be a strong driver for lower efficiency in conventional systems.

522

523 Constructed wetlands with subsurface flow have higher removals than surface
524 flow systems, and this seems to be linked to the presence of granular medium. Inside
525 subsurface flow, vertical systems ourperform horizontal systems because higher
526 oxygenation (alternance of saturated and unsaturated conditions) and resting periods
527 which provide “time” for sorption. Pilot studies on testing the effects of wetland
528 configuration and design and operation factors such as type and size of granular medium
529 and hydraulic retention time using the same wastewater source are crucial to understand
530 the effect on antibiotics, other PPCPs, ARB and ARGs removal. This type of studies will
531 help to get insight on the relevance of the mechanisms involved in removal. Nowadays
532 there is a clear lack of knowledge on the relative relevance of the different mechanisms.
533 Sorption seems to be quite important because it is generally assumed that is fast in
534 comparison with other processes; however, sorption is not in fact a removal mechanism,
535 just a “change in phase” phenomenon.

536 There is a clear consensus in different studies that solid substrate (granular
537 medium in subsurface wetlands and soil in surface wetlands, including natural
538 assimilation wetlands) can become a source for is a reservoir for ARB and ARGs. Studies
539 have shown lower ARGs removal in summer than in winter suggesting that in warmer
540 periods there is higher survival and activity which favours transport from solid phase to

541 bulk water. This is important in constructed wetlands, but also of high relevance for
542 natural assimilation wetlands. Studies on survival rates on full-scale systems linked to
543 risk assessment are rapidly needed to give light to these issues.

544 Information on removal capacity of antibiotics, other PPCPs, ARB and ARGs in
545 small onsite systems is very scarce. In general, it seems that systems with septic tanks and
546 somekind of infiltration technique have low removals. Connecting septic tanks to new
547 small constructed wetlands will clearly increase efficiency. Retrofitting these systems
548 will be easy and will require low investment from owners. Demonstration projects are
549 needed to encourage this type of development.

550 Natural assimilation wetlands and tertiary surface flow constructed wetlands have
551 a great potential for secondary effluent microbial quality improvement and natural
552 disinfection. Studies at field-scale facilities are needed to study distribution of antibiotics,
553 ARB and ARGs in water and soil. Seasonal variations are also of great interest as well as
554 influence on changes in hydraulic conditions.

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956 **Table 1** ARGs for sulfonamide and tetracycline reported in the sewage treatment plant effluents

957	Reported Gene	Sewage Plant Location	Reference
958	Sulfonamide Resistance Genes		
959	<i>Sul-I</i>	Michigan, USA	Munir et al., 2011
960	<i>Sul-I</i>	Lausanne, Switzerland	Czekalski et al., 2012
961	<i>Sul-II</i>	Lausanne, Switzerland	Czekalski et al., 2012
962	<i>Sul-I</i>	Minnesota, USA	Burch et al., 2013
963	<i>Sul-I</i>	Shafdan, Israel	Negreanu et al., 2012
964	<i>Sul-II</i>	Shafdan, Israel	Negreanu et al., 2012
965	<i>Sul-I</i>	Hangzhou, China	Chen & Zhang, 2013
966	<i>Sul-II</i>	Hangzhou, China	Chen & Zhang, 2013
967	<i>Sul-I</i>	Louisiana, USA	Naquin et al., 2015
968	Tetracycline Resistance Genes		
969	<i>tetW</i>	Michigan, USA	Munir et al., 2011
970	<i>tetO</i>	Michigan, USA	Munir et al., 2011
971	<i>TetQ</i>	Wisconsin, USA	Auerbach et al., 2007
972	<i>tetG</i>	Wisconsin, USA	Auerbach et al., 2007
973	<i>tetC</i>	Hong Kong, China	Zhang et al., 2009
974	<i>tetA</i>	Nanjing, China	Zhang et al., 2009
975	<i>tetA</i>	Minnesota, USA	Burch et al., 2013
976	<i>tetW</i>	Minnesota, USA	Burch et al., 2013
977	<i>tetX</i>	Minnesota, USA	Burch et al., 2013
978	<i>tetM</i>	Hangzhou, China	Chen & Zhang, 2013
979	<i>tetX</i>	Louisiana, USA	Naquin et al., 2015
980	<i>tetA</i>	Louisiana, USA	Bird et al. 2018
981	<i>tetX</i>	Louisiana, USA	Belding&Boopathy, 2018.
982			

983 **Table 2** ARGs for Beta-Lactam and Macrolide sulfonamide and tetracycline reported in sewage
 984 treatment plant effluents

985	Reported Gene	Sewage Plant Location	Reference
986	Beta-Lactam		
987	<i>Bla_{TEM-uni}</i>	Massachusetts, USA	Lachmayr et al., 2009
988	<i>bla_{M-1}</i>	South Carolina, USA	Uyaguari et al., 2011
989	<i>Bla_{-vim}</i>	Germany	Schwartz et al., 2003
990	<i>amp-C</i>	Germany	Schwartz et al., 2003
991	<i>amp-C</i>	Spain, Italy, Belgium	Bockelmann et al., 2009
992	<i>Bla_{shv-5}</i>	Spain, Italy, Belgium	Bockelmann et al., 2009
993	<i>mecA</i>	Gothenburg, Sweden	Borjesson et al., 2009
994	<i>mecA</i>	Louisiana, USA	Boopathy, 2017
995	<i>mecA</i>	Louisiana, USA	Naquin et al., 2015
996	Macrolide Resistance Genes		
997	<i>ermB</i>	Minnesota, USA	Burch et al., 2013
998	<i>ermF</i>	Shafdan, Israel	Negreanu et al., 2012
999	<i>ermB</i>	Shafdan, Israel	Negreanu et al., 2012
1000	<i>ermB</i>	Spain, Italy, Belgium	Bockelmann et al., 2009
1001	Others		
1002	<i>VanA</i>	Spain, Italy, Belgium	Bockelmann et al., 2009
1003	<i>VanA</i>	Germany	Schwartz et al., 2003
1004	<hr/>		
1005			

1006 **Table 3.** Percent removal of pharmaceutially active compounds from the wastewater
 1007 treatment plant at Mandeville Louisiana (USA), from the receiving forested wetland and
 1008 from the total system . Adapted from Conkle et al. 2008 STP= sewage treatment plant,
 1009 ND = non-detected.

Class	Compound	STP (%)	Wetland Discharge	Total % Removal
Alkaloids (stimulants)	Cotinine	>99	-	>99
	Caffeine	>99	-	>99
Psychiatric drugs	Carbamazepine	-53	105	51
	Fluoxetine	ND	ND	ND
β-blockers	Atenolol	>99	6	>99
	Nadolol	77	23	>99
	Propranolol	ND	ND	ND
	Metoprolol	92	8	>99
	Sotalol	30	52	>99
Antibiotics (sulfonamides)	Sulfapyridine	76	24	>99
	Sulfamethoxazole	100	-	>99
Anti-inflammatories	Acetaminophen	100	-	>99
	Naproxen	99	1	>99
	Ibuprofen	>99	-	>99
Lipid regulator	Gemfibrozil	64	31	95

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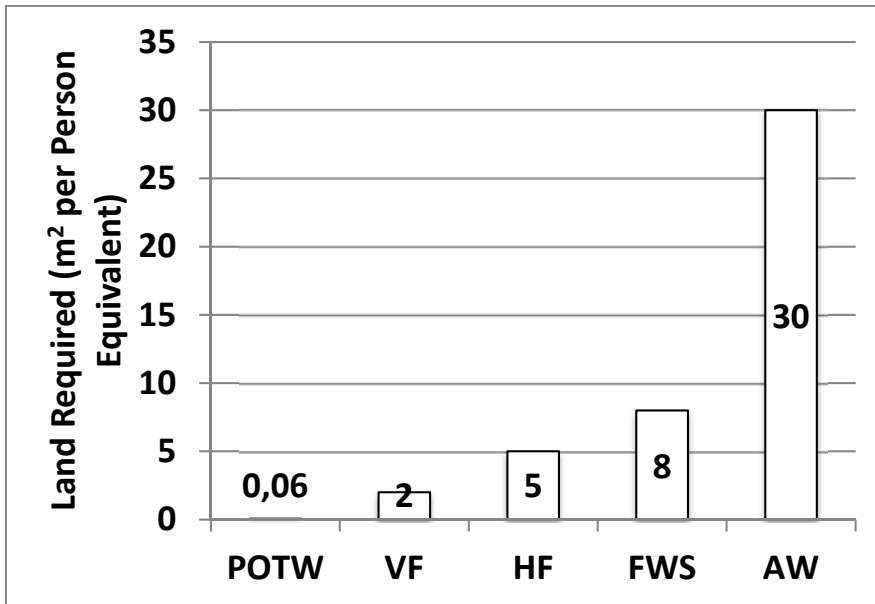
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1012 **Table 4.** Percent removal and concentrations in treated wastewater for target
 1013 pharmaceutical compounds in conventional wastewater treatment systems compared to
 1014 constructed/natural wetland systems. Adapted from Conkle et al. (2008). STP= sewage
 1015 treatment plant, CBZ = carbamazepine, SMX = sulfamethoxazole

Compound	% Reduction in Wetlands	% Reduction in STPs	STPs effluent concentration (µg/L)
Caffeine	>99	94-99	0.18-0.22
Carbamazepine	51	7-30	1.18-2.10
Gemfibrozil	91	69-75	0.18-0.40
Ibuprofen	99	90-96	0.15-0.37
Metoprolol	>99	30-65	0.19
Naproxen	99	66-93	0.25-0.30
Sotalol	82	25	0.25
Sulfamethoxazole	92	24	0.62

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1020 **Figure 1.** Land requirements (m² per person equivalent per 6500 m³/day) for publicly-
 1021 owned treatment works (POTW), vertical flow constructed wetlands (VF), horizontal
 1022 flow constructed wetlands (HF), free water surface wetlands (FWS), and assimilation
 1023 wetlands (AW). Values fro POTW, VF, HF, and FWS from Ávila and García (2015).
 1024 Value for AW calculated from Conkle et al. (2008).

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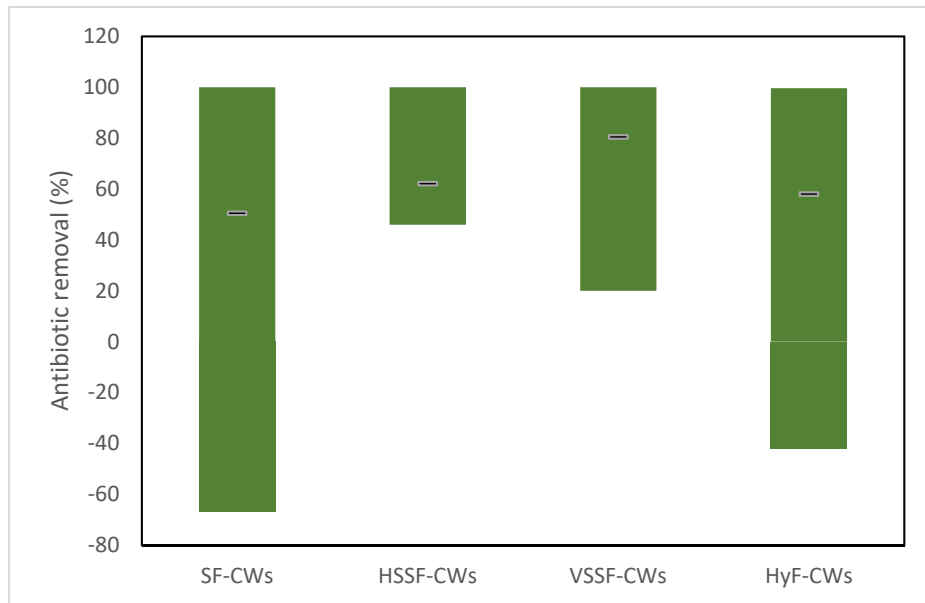
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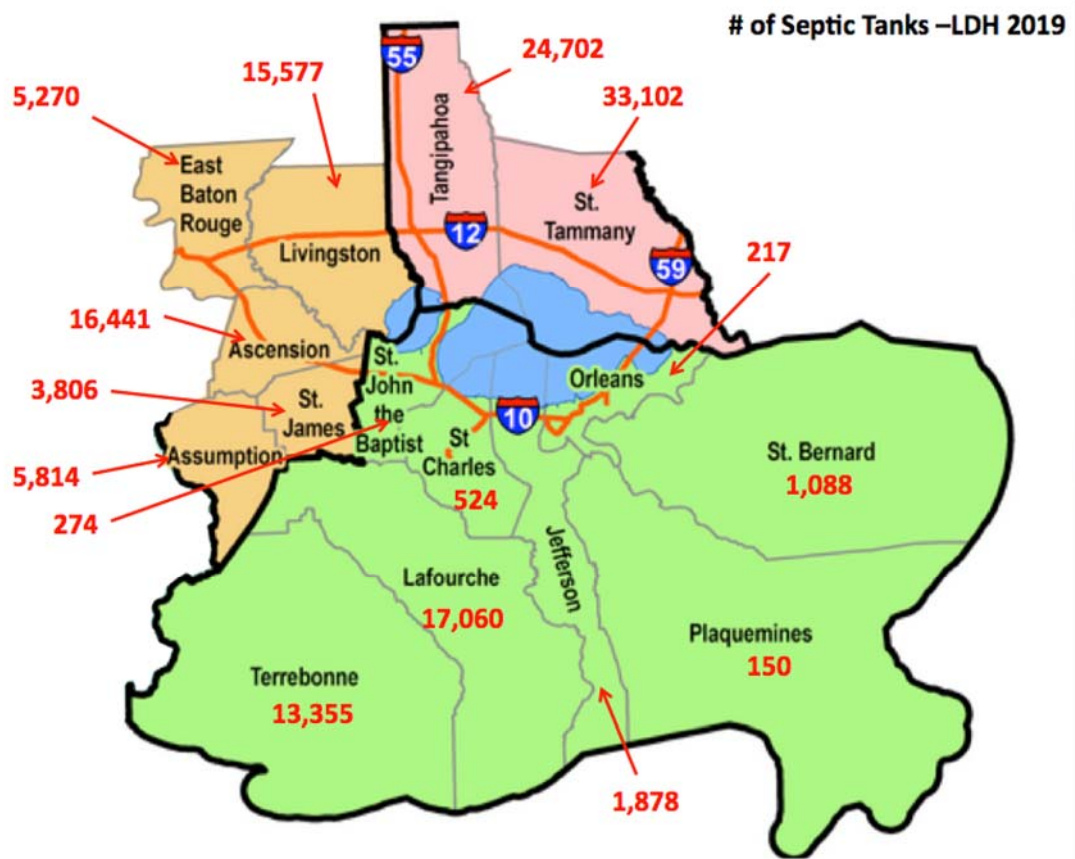
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Figure 2. Antibiotic removal efficiencies of different constructed wetlands (average values). SF-CWs: surface flow constructed wetlands (n=31); horizontal subsurface flow constructed wetland (HSSF-CWs) (n=24); vertical subsurface flow constructed wetlands (VSSF-CWs) (n=31); hybrid flow constructed wetlands (HyF-CWs) (n=20). The different studies were carried out at a microcosm-scale or mesocosm-scale (Liu et al., 2019).



1042

1043 **Figure 3.** Number of individual septic systems by Parish in southeast Louisiana. Lakes
 1044 Pontchartrain and Maurepas are shown in the middle of the figure. (Source LDH 2019).

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