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Optimization of goat milk vacuum-assisted block freeze concentration using response surface methodology and NaCl addition influence

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Dear Editor,

The credit author statements of the manuscript entitled: “**Optimization of goat milk vacuum-assisted block freeze concentration using response surface methodology and NaCl addition influence**” (LWT-D-19-04952) was the following:

- (1) Maria Helena Machado Canella was responsible for the manuscript production by the conceptualization, methodology, validation, formal analysis, investigation, writing (original draft and review and editing), visualization, and project administration.
- (2) Adriana Dantas was responsible for the manuscript production by the conceptualization, investigation, and writing (review and editing).
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- (6) Elane Schwinden Prudencio is the corresponding author and was responsible for the manuscript production by the conceptualization, methodology, validation, investigation, resources, writing (original draft and review and editing), visualization, supervision, project administration, and funding acquisition.

1 **Optimization of goat milk vacuum-assisted block freeze concentration using**
2 **response surface methodology and NaCl addition influence**

3

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23 Abstract

24 Response Surface Methodology was applied to optimize the effects of freezing time,
25 vacuum conditions, and time under vacuum regarding concentrated yield response,
26 resulting from optimal parameters of the milk vacuum-assisted block freeze
27 concentration process. Additionally, it was verified the NaCl influence, using different
28 salt contents (0.5, 1, 1.5, and 2 %) addition and freezing time of 1 day, vacuum equal to
29 10 kPa, and time under vacuum 60 min, in goat milk vacuum-assisted freeze
30 concentration performance. The concentrate with 1.5 and 2 % of NaCl addition showed
31 the highest values for the total solids (35.06 and 36.21 g 100 g⁻¹) and protein contents
32 (10.43 and 10.70 g 100 g⁻¹), while the concentrate without NaCl addition concentrated
33 more lactose content (17.42 g 100 g⁻¹). The samples with 1.5 and 2% of NaCl addition
34 reached parameters of the process more satisfactory with a concentrate yield of 85.79
35 and 92.14 %, concentration percentage of 28 and 32 %, and efficiency of process
36 approximately of 90 %. Finally, the best performance was observed when used 1.5 and
37 2 % NaCl addition in the goat milk submitted to the vacuum-assisted freeze
38 concentration process.

39

40 **Keywords:** Concentration, caprine milk, optimization, sodium chloride, vacuum
41 thawed.

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48 **1. Introduction**

49

50 Verruck, Dantas, and Prudencio (2019) highlighted that goat milk has attracted
51 huge amounts of attention in the dairy industry and by the researchers in the elaboration
52 because it can be considered a reliable alternative/if not a replacement to cow milk. The
53 increased rates of cow protein allergies of children, credited to the α s1-casein (Albenzio
54 et al., 2012), has encouraged goat product development, such as goat's milk yogurt
55 (Beltrán, Morari-Pirlog, Quintanilla, Escriche, & Molina, 2018) and probiotic fermented
56 goat milk beverages (Mituniewicz-Małek, Zielińska, & Ziarno, 2019). However, the
57 scarcity of scientific information on new technologies concentration use, such as the
58 freeze concentration process, and their effects on composition effects is still evident for
59 goat milk.

60 The freeze concentration process involves a controlled decrease in temperature
61 of the liquid food below the freezing point, with the purpose to avoid the eutectic
62 temperature where the components of the product frozen (Raventós, Hernández,
63 Auleda, & Ibarz, 2007). The block freeze concentration is one type of freeze
64 concentration processes able to result in a concentrated and an ice fraction separated,
65 which can be separated by the use of external forces, such as the vacuum (Aider &
66 Halleux, 2008). Petzold, Orellana, Moreno, Cerda, and Parra (2016) mentioned that the
67 suction by the use of vacuum as an assisted technique in freezing concentration focuses
68 on improving concentration performance. However, according to the author's
69 knowledge, the goat milk vacuum-assisted freeze concentration has not been pursued
70 before in literature, including the addition of salts in this milk as a step before the freeze
71 concentration process.

72 The addition of NaCl, in milk in the production of dairy products has a
73 preservative effect, extending shelf life. On the other hand, the NaCl addition into the
74 milk prior to the preparation of a dairy product results, for example, in greater salt
75 homogeneity in the matrix and in a reduction in the salting step during cheese making
76 (Yanachkina, McCarthy, Guinee, & Wilkinson, 2016). It knows the presence of sodium
77 chloride changed the mechanism of freezing and thawing in milk solution by lowering
78 their freezing point. The ice crystal grows in the form of dendritic instead of a planar
79 form. As the ice crystal grew, both sodium chloride and other solutes were concentrated.
80 These concentrated salt solutes were released through the channel formed during the
81 melting of these dendritic ice crystals. However, according to Yee, Wakisaka, Shirai,
82 and Hassan (2004), the concentration index varies according to the amount of sodium
83 chloride added in the milk, which could or not increase the recovered solutes of milk.

84 Whilst the results of this approach highlight the acceptability of this process for
85 goat milk, they also suggest a potential alternative to the current concentration methods
86 adopted by goat dairy industries. This process, due to its cheaper capital and operating
87 costs, could be an attractive alternative for dairy industries to pursue. Bearing this in
88 mind, firstly was investigated the optimal operating parameters of the goat milk
89 vacuum-assisted freeze concentration process by Response Surface Methodology. In the
90 sequence, the best parameters, founded previously, were used to evaluate the NaCl
91 addition influence about goat milk vacuum-assisted freeze concentration process
92 performance.

93

94 **2. Material and methods**

95

96 **2.1. Material**

97

98 Semi-skimmed UHT goat milk (COVAP®, Córdoba, Spain), used as the start
99 material, was obtained from a local supermarket in the area of Barcelona (Spain). The
100 goat milk composition was 9.93 ± 0.01 g total solids 100 g^{-1} , 3.53 ± 0.07 g total protein
101 100 g^{-1} , 5.08 ± 0.22 g lactose 100 g^{-1} and 1.60 ± 0.03 g fat 100 g^{-1} . All reagents were of
102 analytical grade.

103

104 **2.2. Goat milk vacuum-assisted block freeze concentration performance**

105

106 The goat milk (45 mL) placed in plastic tubes was frozen in a static freezer at
107 $-20 \pm 1^\circ\text{C}$. During the freezing process, the external surface of the plastic tubes was
108 covered with thermal insulation made of foamed polystyrene for that the heat transfer
109 mainly occurred unidirectional form. After the freezing process, vacuum goat milk was
110 performed according to the procedure described by Petzold, Niranjana, and Aguilera
111 (2013), to achieve the separation of the most concentrated ice solution. The suction was
112 generated by connecting a vacuum pump to the bottom of the frozen sample at ambient
113 temperature (Fig. 1).

114

115 *2.2.1. Experimental design*

116

117 The response surface methodology (RSM) was used to determine the optimum
118 condition for goat milk vacuum-assisted block freeze concentration. It was used a
119 central composite design (CCD) with the following three independent factors: vacuum
120 (V) (10, 40 and 70 kPa), time under vacuum (T) (20, 40 and 60 min), and freezing time
121 (F) (1, 7 and 14 days). The variation of independent factors values was obtained by

122 preliminary tests. Based on Park and Drake (2016) and Sharma, Patel, and Patel (2016)
123 were done a preliminary test with this pressure value equal to 74.5 kPa and between
124 14.6–8.0 kPa, respectively. However, the separation of the concentrated from the ice
125 fraction was observed when the vacuum pump reached 70 kPa until 10 kPa. After these
126 steps, new tests were realized to decide the time under vacuum variation. Therefore, in the
127 pressure equal to 70 kPa the separation of both fractions (concentrated and ice) was only noted
128 after the time under pressure of 20 min. On the other hand, at a pressure equal to 10 kPa with a
129 time under vacuum above 60 min was not possible to continue the vacuum-assisted
130 freeze concentration process due to cracks formation in the ice structure, resulting in
131 absence of vacuum in the freeze concentration process. For this reason, the variation of the
132 independent factor for the times under vacuum choice ranged from 20 to 60 min. It is
133 also pointed out that for economic reasons; the small and medium goat dairy industry stored
134 the goat milk for a minimum of 1 and a maximum of 14 days. Because of this was used
135 freezing time range from 1 to 14 days. For better understanding, were also evaluated the
136 average of these three independent factors, such as vacuum, time under vacuum and freezing
137 time of 40 kPa, 40 min and 7 days, respectively.

138 The experimental design was composed of seventeen combinations of the
139 independent variables (-1 and 1); eight factorials; six axial; and three repetitions in the
140 central point, as shown in Table 1. All tests are performed in triplicate. In order to avoid
141 systematic errors, all the experiments were carried out at random in order to minimize
142 the effect of unexplained variability on the responses obtained. The response variable
143 was the concentrate yield (Y) using total solids contents. After assessing the fit of the
144 initial regression model, the number of variables was reduced according to stepwise
145 methods. Stepwise selection is an algorithmic procedure used to simplify the initial
146 model and to find a reduced model that best explains the data. The Central Composite
147 Design (CCD) for the two-level and three-factor scheme used is described in Table 1.

148 The optimal condition was chosen by higher concentrate yield (Y). It is important to
149 note that the pressures indicated in this study (10, 40 and 70 kPa) are absolute pressures
150 (the absolute atmospheric pressure is 101 kPa) and corresponding approximately to 90,
151 60 and 30 kPa of vacuum.

152

153 *2.2.2. Influence of NaCl content*

154

155 Optimal conditions previously determined, such as vacuum, time under vacuum,
156 and freezing time, were employed to evaluate the influence of NaCl in the goat milk
157 vacuum-assisted block freeze concentration performance. Based on the results obtained
158 by Yee, Wakisaka, Shirai, and Hassan (2004), different NaCl content (0.5 g 100g⁻¹, 1 g
159 100g⁻¹, 1.5 g 100g⁻¹, and 2 g 100g⁻¹) were added to initial goat milk, which was frozen,
160 and submitted in triplicate to the freeze concentration procedure. In this procedure, the
161 goat milk without NaCl additions was used as a control sample. In this step were
162 obtained from the goat milk with 0 (control), 0.5, 1, 1.5, and 2 g of NaCl addition per
163 100 g of milk, their concentrate and ice fractions. Therefore, the concentrate and the ice
164 fractions were denoted as follows: concentrate control and ice control; concentrate 0.5
165 and ice 0.5; concentrate 1 and ice 1; concentrate 1.5 and ice 1.5; concentrate 2 and ice 2,
166 respectively. The total solids, protein, and lactose contents were determined for initial
167 goat milk, and for all concentrate and ice fractions.

168

169 **2.3 Physicochemical analysis**

170

171 The total solids content was estimated by °Brix using an Atago refractometer
172 (DBX-55, Japan) with an accuracy of 0.1 and measurement range of 0 to 55 °Brix a

173 temperature of 20 ± 5 °C, according to Muñoz et al. (2018) and Floren, Sischo, Crudo,
174 and Moore (2016), with some modifications. Firstly, a standard curve of total solids
175 content ($\text{g } 100 \text{ g}^{-1}$) against °Brix readings was plotted using different concentrations of
176 semi-skimmed goat milk. The curve points were constructed from samples consisting of
177 freeze-dried semi-skimmed goat milk by applying different dilutions (5%, 10%, 15%,
178 20%, 25%, 30%, 35%, 40%, and 50%). Through a linear regression ($y = 0.9285x +$
179 0.2764 , $R^2 = 0.999$) the °Brix results of the tests were converted and expressed as total
180 solids content ($\text{g } 100 \text{ g}^{-1}$).

181 Protein contents ($\text{g } 100 \text{ g}^{-1}$) were carried out by the Kjeldahl method, converting
182 the sample nitrogen content to protein content by a factor equal to 6.38 (AOAC, 2005).
183 The lactose content procedure was realized according to Schuster-Wolff-Bühning,
184 Michael, and Hinrichs (2010), with modifications. Hewlett Packard 1100 Series HPLC
185 System (Agilent Technologies, Waldbronn, Germany) with tracer carbohydrate ($250 \times$
186 4.6 mm , $5 \mu\text{m}$) *column* (Teknokroma, Sant Cugat del Vallès, Barcelona, Spain) and C-8
187 column and refraction index as detector was used for determination. The mobile phase
188 was a mixture of acetonitrile (Panreac Química SLU, Castellar del Vallès, Spain) and
189 distilled water (75:25). The flow rate and column temperature were maintained as
190 1.3 mL min^{-1} and 28 °C, respectively. Before the determinations, a portion of 1 mL
191 samples was diluted with 8 mL of distilled water and mixed. Thus, 0.5 mL of Carrez
192 Reagent 1 and 2 were added and mixed for 1 min. The mixture was allowed to settle for
193 15 min, and subsequently, filtered by a nylon syringe filter ($0.45 \mu\text{m}$ of diameter pore)
194 (Agilent, Santa Clara, California, United States). Each sample was prepared and
195 injected in triplicate.

196

197 **2.4. Concentrate yield (Y)**

198

199 Goat milk vacuum-assisted block freeze concentration performance and
 200 influence of NaCl content were evaluated by the concentrate yields (Y), which were
 201 calculated in accordance with Miyawaki, Liu, Shirai, Sakashita, and Kagitani, (2005),
 202 and Moreno, Hernández, Raventós, Robles, and Ruiz (2013), using the Equation 1.

203

$$204 \quad Y (\%) = \left(\frac{\text{concentrate fraction total solids (g 100 g}^{-1}) \times \text{concentrate fraction mass (g)}}{\text{initial goat milk total solids (g 100 g}^{-1}) \times \text{initial goat milk mass (g)}} \right) \times 100 \quad (1)$$

205

206 **2.5. Concentration percentage (CP) and efficiency of process (*eff*)**

207

208 In order to elucidate the influence of different NaCl contents about goat milk,
 209 the concentration percentage (CP) and the efficiency of the process (*eff*) were calculated
 210 using Equation 2 and 3, respectively.

211

$$212 \quad CP (\%) = \left(\frac{\text{initial mass of frozen fraction (g)} - \text{final mass of ice fraction (g)}}{\text{initial mass of frozen fraction (g)}} \right) \times 100 \quad (2)$$

213

$$214 \quad \text{eff} (\%) = \left(\frac{\text{concentrate fraction total solids (g 100 g}^{-1}) - \text{ice fraction total solids (g 100 g}^{-1})}{\text{concentrate fraction total solids (g 100 g}^{-1})} \right) \times 100 \quad (3)$$

215

216 **2.6. Results validation**

217

218 According to Belén, Sánchez, Hernández, Auleda, and Raventós, (2012), Burdo,
 219 Kovalenko, and Kharenko, (2008), and Sánchez, Hernández, Auleda, and Raventós
 220 (2011), the experimental results were validated by the experimental mass balance of
 221 each sample calculation. The experimental results were compared with the theoretical

222 value from NaCl content influence, using Equation 4, where W_{pred} is the predicted ice
 223 fraction mass ratio (kg ice/kg goat milk). To determine the deviation between
 224 experimental and theoretical data was calculated the root mean square deviation (RMS)
 225 (Equation 5), where W_{exp} and W_{pred} are the ratios of experimental and predicted ice
 226 mass, respectively, and N is the number of test repetitions.

227

$$228 \quad w_{pred} = \frac{\text{initial goat milk total solids (g 100 g}^{-1}) - \text{concentrate fraction total solids (g 100 g}^{-1})}{\text{ice fraction total solids (g 100 g}^{-1}) - \text{concentrate fraction total solids (g 100 g}^{-1})} \quad (4)$$

229

$$230 \quad RMS (\%) = 100 \sqrt{\frac{\sum \left(\frac{w_{exp} - w_{pred}}{w_{exp}} \right)^2}{N}} \quad (5)$$

231

232 **2.7. Statistical analysis**

233

234 The regression coefficients for linear, quadratic, and interaction terms were
 235 determined by using multiple linear regressions. The significance of each regression
 236 coefficient was judged statistically by computing the t-value from pure error obtained
 237 from the replicates at the central point of this experiment. The regression coefficients
 238 were then used to generate response surfaces. Results were expressed as a mean \pm
 239 standard deviation. To determine significant differences ($P < 0.05$) between results of
 240 NaCl content influence, it was used one-way analysis of variance (ANOVA), and Tukey
 241 studentized range test. All statistical analyses were performed using Minitab 18 for
 242 Windows (Minitab Inc. State College, PA, USA).

243

244 3. Results and discussion

245

246 3.1. Experimental design

247

248 The responses obtained for concentrate yield (Y) from the seventeen
249 experiments are shown in Table 1. The *P*-values of the reduced model are shown in
250 Table 2, which shows that all the individual effects in the reduced model were
251 significant ($P < 0.05$). Regarding the quadratic terms, the time under vacuum had an
252 effect ($P < 0.05$). It was also possible to observe that an interaction between the vacuum
253 and freezing time ($P < 0.05$), and between time under vacuum and freezing time ($P <$
254 0.05) had an effect on the concentrate yield (Y).

255 The reduced model was obtained in order to eliminate the redundant information
256 by means of the method of variable selection step-by-step (α to enter 0.15, α to remove
257 0.15). The regression equation of the reduced model is presented in Equation 6, being
258 its R^2 value equal to 0.99. In this equation *V* is the vacuum (kPa), *T* is the time under
259 vacuum (min), and *F* is the freezing time (days).

260

$$261 Y = 40.66 - 0.1024 V - 2.579 T + 0.601 F + 0.05415 T \times T - 0.00774 V \times F + 0.01361 T \times F \quad (6)$$

262

263 Fig. 2 (a,b,c) and 3 (a,b) show the contour and surface plot, respectively,
264 elaborated from the regression model, which represents the trend of factor selection for
265 better concentrate yield (Y). These contour and surface plots showed that there was an
266 increase for Y value when time under vacuum was equal to 60 min (Fig. 2c and 3a,b).
267 In Fig. 2 c also was noted that the best concentrate yield was determined when used a
268 vacuum and freezing time equal to 10 kPa and 1 day, respectively, reaching values

269 higher than 77.5 %. A close result for Y (between 76 to 83%) was obtained by Muñoz et
270 al. (2018), after the progressive freeze concentration of skimmed cow milk with an
271 agitated vessel. Tribst et al. (2020) verified that the goat milk freezing time was affected
272 by the milk particle size distribution. According to these authors, the
273 interaction/adsorption of casein micelles with fat globules is responsible for the higher
274 volume of larger particles, indicating that part of the fat globules was clumped or part of
275 proteins were aggregated. Therefore, these clumped/aggregated can compromise the
276 separation of total solids between concentrate and ice fractions. Park, Kim, Hong, Kwak,
277 and Min (2006), evaluating the effect of ice recrystallization on freeze concentration of
278 milk solutes, highlighted that the ice morphology changed during a long freezing time,
279 affecting the solute recovery. These authors affirmed that ice crystal size increased with
280 the freezing time, because most of the ice crystals exhibited an agglomerated and
281 compacted form, reducing the dendritic form crystal, which is founded in shorter
282 freezing times. Therefore, the compacted form may have caused a decrease in the ice
283 channels, reducing the total solids of milk output from the ice fraction. This behavior
284 leads us to believe that the crystal geometry obtained in a long freezing time, is not
285 adequate for the scape of concentrate solution from the ice fraction, resulting in a
286 decrease of the concentrate yield.

287

288 **3.2. Influence of NaCl content**

289

290 Under optimal conditions (vacuum equal to 10 kPa, time under vacuum of 60
291 min, and freezing time of 1 day), the vacuum-assisted block freeze concentration was
292 applied in the goat milk samples without (control) and with different NaCl contents
293 additions. The total solids, protein and lactose contents determined in the concentrate

294 (control, 0.5, 1, 1.5, and 2) and ice fractions (control, 0.5, 1, 1.5, and 2) are shown in
295 Table 3. All concentrates fractions showed higher total solids content than the initial
296 goat milk. However, in relation to the total solids and protein contents, the best freeze
297 concentration performance was observed when added 1.5 and 2%, and 1 to 2 % of
298 NaCl, respectively. These concentrates showed approximately 4 times more ($P < 0.05$)
299 for total solids content and 3 times more ($P < 0.05$) for protein contents, than the initial
300 goat milk. However, in the present study, all total solids contents of concentrates were
301 higher than those determined by Muñoz et al. (2018) and Balde and Aider (2016), for
302 skimmed cow milk, using the progressive freeze concentration and the block freeze
303 concentration, respectively. This behavior is expected because, in accordance with
304 Petzold et al. (2013), the high separation of solids and protein contents obtained by
305 vacuum-assisted freeze concentration is a consequence of using an external driving
306 force (vacuum) that improves the natural separation of gravitational thawing. Between
307 the concentrate fractions, the lower ($P < 0.05$) total solids content was found for the
308 concentrate 0.5. As expected, all ice fractions showed lower ($P < 0.05$) total solids
309 content than goat milk.

310 Overall, our results indicated in the concentrates fractions that the increase of
311 salt addition resulted in an increase of total solids and protein contents. Yee et al. (2003)
312 stated that the sodium chloride addition, a monovalent salt, influenced the mechanism
313 of freezing and thawing by lowering the freezing point of a protein solution. In this
314 case, this behavior made us believe that the greatest concentration of sodium chloride
315 transition changes the form of ice crystal from planar to dendritic. According to Yee et
316 al. (2003) is expected the growth of dendritic ice crystal during the freezing of solutions
317 with sodium chloride addition, as well as the freezing point becomes lower. Therefore,
318 these dendritic ice crystals melted upon thawing, to form channels that allow the

319 concentrate to be drained out, resulting in the increase of total solids and protein
320 contents.

321 The lactose content was higher ($P < 0.05$) for the concentrate control, without
322 NaCl addition, when compared with the others concentrates from goat milk with
323 different NaCl additions. Bhargava and Jelen (1996) concluded that salt addition
324 decreases the lactose solubility. Allan, Gruch, and Mauer (2020) related that the form in
325 which lactose crystallizes into ice crystal is dependent on the water activity, temperature
326 conditions during crystallization, among other factors. Chandrapala, Wijayasinghi, and
327 Vasiljevic (2016) also observed that salts may change the solubility of lactose which
328 leads to supersaturation, thereby affecting the growth of lactose ice crystal. Thus, this
329 fact could have affected the output of lactose from the ice fraction.

330 The concentrate yield (Y) from the total solids contents is shown in Fig. 4.
331 Concentrate yield highest values ($P < 0.05$) were obtained when used 1.5 % (85.79 %)
332 and 2 % (92.14 %) of NaCl. Similar values were founded using vacuum-assisted block
333 freeze concentration for wine by Petzold et al. (2016) and, for blueberry juice by
334 Orellana-Palma, Petzold, Pierre, and Pensaben (2017b). Similar behavior was also
335 observed for the concentration percentage (CP) values, whose concentrate 1.5 and 2
336 showed the highest values, is equal to 28 % and 32 %, respectively (Fig. 5). As cited
337 before, these facts are related to the higher content of total solids present in concentrates
338 (Table 3).

339 The efficiency of the process (eff) had a progressive increase ($P < 0.05$) with the
340 increase of the NaCl content (Fig. 6). However, the best eff was noted for the process
341 with the control and goat milk with 1.5 and 2 % of NaCl content, which achieved values
342 approximately 90 %. Similar values were reached for the freeze concentration of whey
343 by Aider, Halleux, and Melnikova (2009), and for the skim milk by Balde and Aider

344 (2016) and Canella et al. (2019). These studies credited the highest *eff* from freeze
345 concentration fractions to their dependence on the total solids contents. The vacuum
346 improved the efficiency over atmospheric conditions in freeze concentration due to the
347 positive effect of pressure difference on the movement of the concentrated liquid
348 fraction in block freeze-concentration, showing conform Pardo and Sánchez (2015)
349 higher efficiency than those in similar processing conditions that used gravity as the
350 separation method.

351 To validate the experimental results, the mass balance was calculated and
352 compared with the theoretical value from NaCl content influence. The ice mass ratio
353 had an expected downward trend with NaCl addition (Fig. 7), which can be attributed to
354 the NaCl addition. Besides, an agreement was observed between the experimental
355 (W_{exp}) and predicted (W_{pred}) ice mass ratios over the NaCl content. With the root
356 mean square (RMS) values were observed a good adjustment of the process since these
357 values were equal to 4.14%, 5.71%, 7.84%, 9%, and 10.52% for the vacuum-assisted
358 block freeze concentration, goat milk without and with 0.5 %, 1%, 1.5 % and 2% of
359 NaCl addition. Lewicki (2000) highlighted that a freeze concentration process is
360 considered an acceptable fit when RMS value was lower than 25 %. Comparing with
361 tests using vacuum-assisted freeze concentration process, Petzold et al. (2013); Petzold
362 et al. (2016); Orellana-Palma, Petzold, Torres, and Aguilera (2017a); and Orellana-
363 Palma et al. (2017b) achieved RMS values of 4.9 % for sucrose solutions; 6.8 % and 9.5
364 % for wine; 5.1 % and 8.7 % for orange juice; and 3.1 % and 9.6 % for blueberry juice,
365 respectively. Comparing these literature results with our study, we confirm that the goat
366 milk could be submitted to the vacuum-assisted block freeze concentration, considered a
367 recent innovation of food concentration. The results of this approach highlight the
368 acceptability of this process for goat milk is a potential alternative to the current

369 concentration methods adopted by dairy industries. The vacuum-assisted freeze
370 concentration process, due to its cheaper capital, operating costs, and energy consumed,
371 in comparison with the traditional concentration process, such as the vacuum
372 evaporation, is an attractive alternative for goat dairy industries. Balde and Aider (2017)
373 emphasized that the use of freeze concentration is energetically highly interesting,
374 because of the low water latent heat of freezing in comparison with the water latent heat
375 of vaporization (80 kcal/kg *versus* 540 kcal/kg). Moreover, the concentration of goat
376 milk frozen is also important, because of the seasonality of milk production, of the low
377 animal productivity and of the short periods of lactation. Therefore, frozen goat milk is
378 commonly used to overcome these limitations, allowing its storage for days, reaching a
379 compatible volume with dairy production, mainly when the objective is the use of one
380 concentration process. Goat milk concentration shows advantages in terms of
381 processing, packaging, transportation, and handling. Since most changes occur in an
382 aqueous environment, the removal of some part of goat milk water results in milk
383 preservation. It is noteworthy that dairy industries are concerned principally with food
384 preservation and the production of high-quality products.

385 The results about the influence of NaCl content on the goat milk freeze
386 concentration performance encourage us to recommend the use of concentrates 1.5 and
387 2 as an ingredient in dairy products development. Therefore, the vacuum-assisted freeze
388 concentration process associated with NaCl addition could have a detrimental effect on
389 the physical and chemical properties of skimmed goat milk, as well as consumer
390 acceptance, which could affect the commercialization potential of these new products.

391 Sun and Zheng (2006) noted that the flavor and taste of the food products had
392 been substantially increased, after the use of unitary operations which used low
393 temperatures associated with the vacuum. Therefore, it is expected that skimmed goat

394 milk submitted to the vacuum-assisted freeze concentration process could have different
395 sensorial properties. Ranadheera et al. (2019) cited that, for the monitoring and
396 adjustment of sensory characteristics to optimize the acceptability of goat milk
397 products, descriptive tests present great applicability, such as descriptive analysis. This
398 analysis is recognized as an adequate technique to determine the sensory profile of
399 processed foods, thus providing detailed, robust, and reproducible results (Esmerino et
400 al., 2017). In addition, to information on the sensory characteristics of the product,
401 methods that take into account the needs, beliefs, feelings and motivations of consumers
402 are also important for the elaboration of a food product. According to Gambaro (2018),
403 the projective techniques lies in the fact that they lead consumers to express themselves
404 beyond the rational, and allow access to underlying or deep attitudes and emotions,
405 revealing non-conscious or not openly accepted motivations in their buying behavior.
406 These methods do not require training, have a low financial impact, optimize time and
407 resources in dairy industries, and provide information highly correlated with traditional
408 methods (Varela & Ares, 2012), providing a total assessment of products and take all
409 sensory traits into account (Esmerino et al., 2017).

410

411 **4. Conclusion**

412 Applying the Response Surface Methodology to optimize and evaluate the
413 effects of freezing time, vacuum, and time under vacuum to a frozen goat milk sample it
414 was noted that all factors presented effect in the concentrate yield of the sample. To
415 obtain the higher value of concentrate yield the optimal conditions of vacuum-assisted
416 freeze concentration process are vacuum, time under vacuum, and freezing time equal to
417 10 kPa, 60 min, and 1 day, respectively. The concentrates fractions from goat milk with
418 1.5 % and 2 % of NaCl addition are recommended because they showed the best

419 characteristics in relation to total solids and protein contents, which increased 4 and 3
420 times, respectively, when compared with initial goat milk. The recommendation of both
421 concentrates is also based on their best results obtained to concentrate yield ($> 85\%$),
422 concentration percentage ($\geq 28\%$), and efficiency of the process (approx. 90%) values,
423 as well as a good adjustment of the process, resulting in RMS values less than 11% .

424

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432

433 **Declaration of competing interest**

434 The authors declare that they have no conflict of interest.

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Fig. 1. Vacuum suction procedure.

Fig. 2. Contour plot of the concentrated yield (Y) at 20 (a), 40 (b), and 60 (c) minutes of time under vacuum.

Fig. 3. Surface plot of the interaction effect of (a) time under vacuum (min) and freezing times (days); (b) time under vacuum (min) and vacuum (kPa) on concentrate yield (Y).

Fig. 4. Concentrate yield (Y) as function of NaCl content added to samples (0%, 0.5%, 1%, 1.5%, and 2%) of semi-skimmed goat milk.

Fig. 5. Concentration percentage (CP) as function of NaCl content added to samples (0%, 0.5%, 1%, 1.5%, and 2%) of semi-skimmed goat milk.

Fig. 6. Efficiency of process (*eff*) as function of NaCl content added to samples (0%, 0.5%, 1%, 1.5%, and 2%) of semi-skimmed goat milk.

Fig. 7. Experimental (■) and predicted (-□-) ice mass ratios as a function as function of NaCl content added to samples (0%, 0.5%, 1%, 1.5%, and 2%) of semi-skimmed goat milk.

Table 1

Central Composite Design (CCD) for three variables levels, and responses of concentrate yield (%) based on vacuum (kPa), time under vacuum (min), and freezing time (days).

Assay ^a	Type	Variables levels ^b			Response
		Vacuum (kPa)	Time under vacuum (min)	Freezing time (days)	Concentrate yield (%)
1	Factorial	10 (-1)	20(-1)	1(-1)	10.02 ± 2.34
2	Factorial	10(-1)	60(1)	1(-1)	77.97 ± 5.48
3	Factorial	10(-1)	20(-1)	14(1)	12.32 ± 0.37
4	Factorial	10(-1)	60(1)	14(1)	74.13 ± 1.04
5	Factorial	70(1)	20(-1)	1(-1)	3.95 ± 0.44
6	Factorial	70(1)	60(1)	1(-1)	73.35 ± 3.56
7	Factorial	70(1)	20(-1)	14(1)	1.16 ± 0.35
8	Factorial	70(1)	60(1)	14(1)	62.54 ± 5.08
9	Axial	40(0)	20(-1)	7(0)	6.81 ± 1.74
10	Axial	40(0)	60(1)	7(0)	79.70 ± 4.65
11	Axial	40(0)	40(0)	1(-1)	22.74 ± 3.94
12	Axial	40(0)	40(0)	14(1)	21.37 ± 4.27
13	Axial	10(-1)	40(0)	7(0)	27.46 ± 0.96
14	Axial	70(1)	40(0)	7(0)	12.07 ± 0.51
15	Center	40(0)	40(0)	7(0)	19.74 ± 0.75
16	Center	40(0)	40(0)	7(0)	15.35 ± 1.76
17	Center	40(0)	40(0)	7(0)	14.24 ± 1.79

^aExperiments were conducted randomly.

^bCoded levels are within brackets

Table 2

Analysis of variance of the values of concentrated yield of semi-skimmed goat milk vacuum-assisted block freeze concentration.

Source	<i>P</i> Value
Linear	
Vacuum	0.000*
Time under vacuum	0.000*
Freezing time	0.013*
Quadratic	
Time under vacuum * Time under vacuum	0.000*
Interaction	
Vacuum * Freezing time	0.038*
Time under vacuum * Freezing time	0.016*

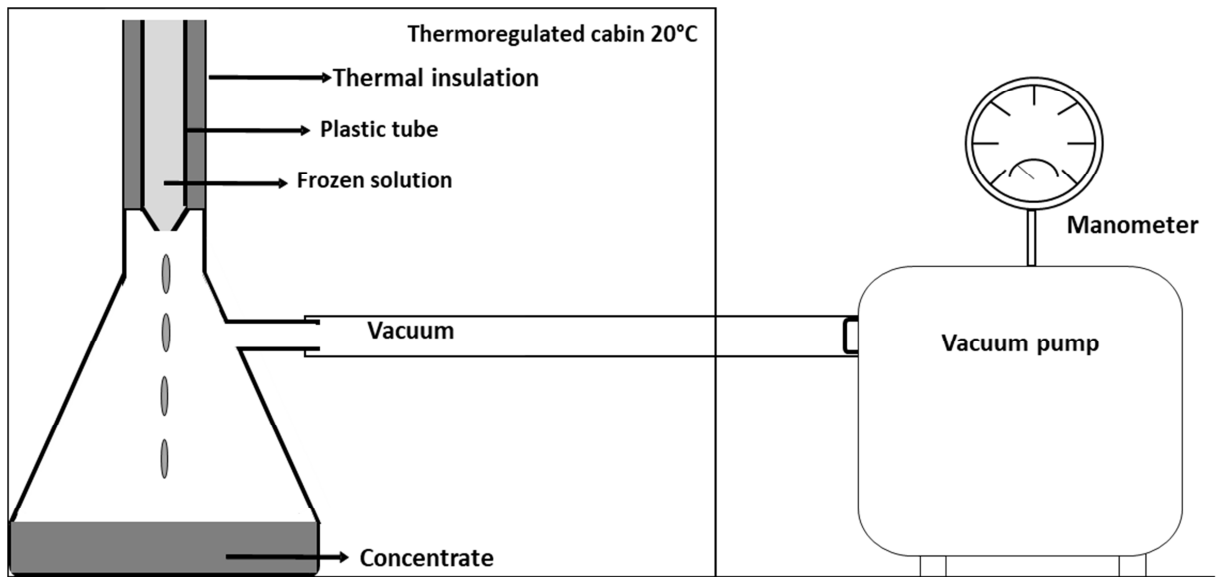
*Values significantly different ($P < 0.05$).

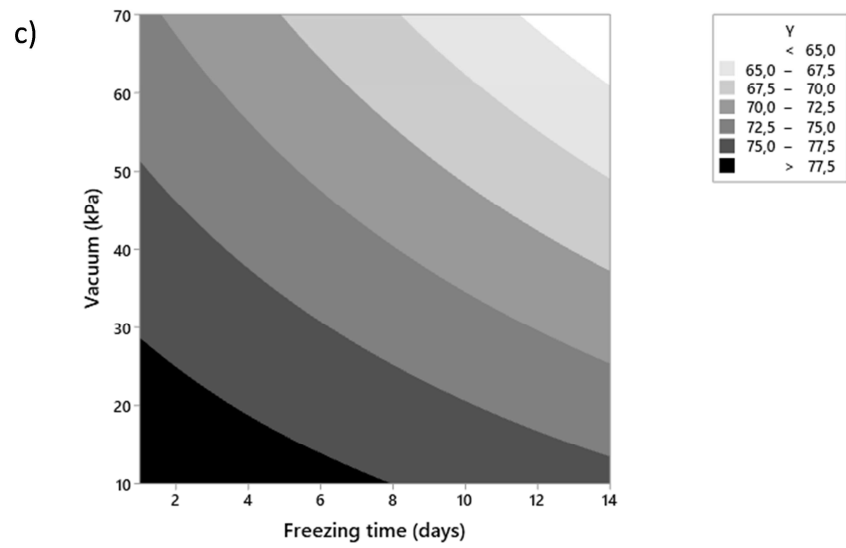
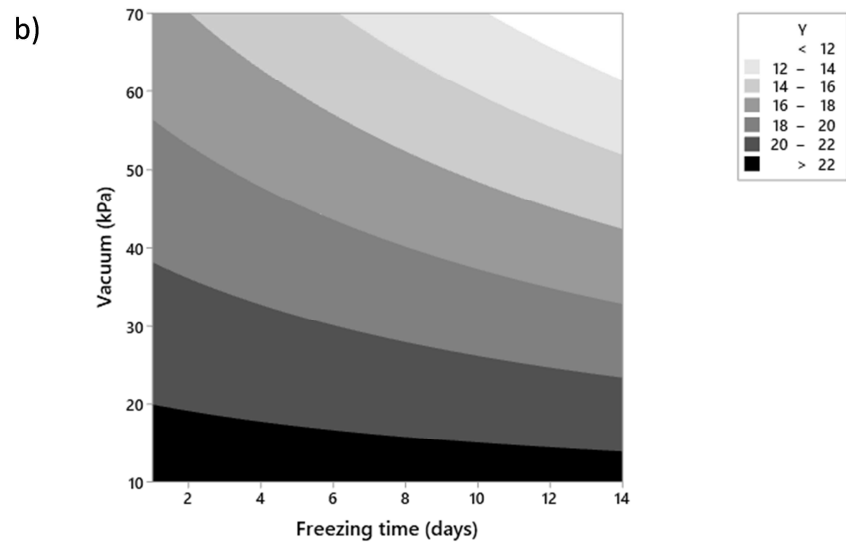
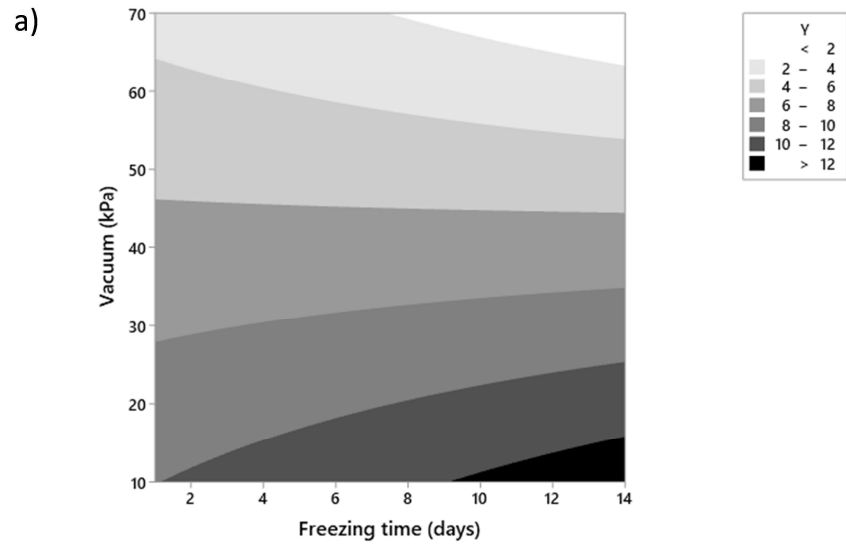
Table 3

Total solids, protein and lactose content ($\text{g } 100 \text{ g}^{-1}$) of initial semi-skimmed goat milk, concentrates, and ice fractions obtained by vacuum-assisted block freeze concentration.

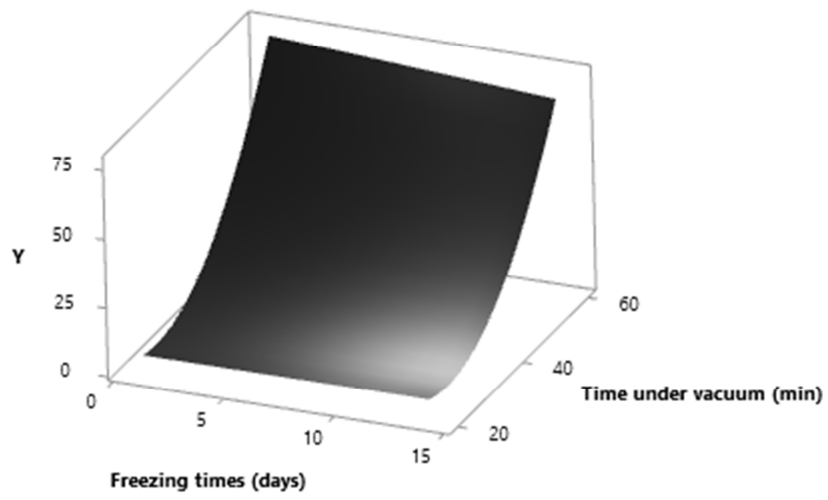
Samples	Total solids ($\text{g } 100\text{g}^{-1}$)	Protein ($\text{g } 100\text{g}^{-1}$)	Lactose ($\text{g } 100\text{g}^{-1}$)
Semi-skimmed goat milk	$9.94 \pm 0.01^{\text{eA}}$	$3.53 \pm 0.07^{\text{cA}}$	$5.08 \pm 0.22^{\text{IA}}$
Concentrate control	$32.87 \pm 1.31^{\text{bc}}$	$9.43 \pm 0.11^{\text{b}}$	$17.42 \pm 0.12^{\text{a}}$
Ice control	$3.71 \pm 0.53^{\text{F}}$	$1.14 \pm 0.08^{\text{D}}$	$1.53 \pm 0.08^{\text{D}}$
Concentrate 0.5	$28.07 \pm 1.18^{\text{d}}$	$9.31 \pm 0.22^{\text{b}}$	$12.45 \pm 0.08^{\text{e}}$
Ice 0.5	$9.72 \pm 0.04^{\text{B}}$	$3.46 \pm 0.16^{\text{A}}$	$2.77 \pm 0.09^{\text{B}}$
Concentrate 1	$30.57 \pm 1.34^{\text{c}}$	$10.45 \pm 0.03^{\text{a}}$	$14.40 \pm 0.09^{\text{c}}$
Ice 1	$6.18 \pm 1.03^{\text{C}}$	$2.17 \pm 0.01^{\text{B}}$	$2.42 \pm 0.13^{\text{C}}$
Concentrate 1.5	$35.06 \pm 2.76^{\text{ab}}$	$10.70 \pm 0.39^{\text{a}}$	$15.63 \pm 0.12^{\text{b}}$
Ice 1.5	$5.07 \pm 0.20^{\text{D}}$	$1.71 \pm 0.04^{\text{C}}$	$1.61 \pm 0.14^{\text{D}}$
Concentrate 2	$36.21 \pm 1.21^{\text{a}}$	$10.43 \pm 0.01^{\text{a}}$	$14.06 \pm 0.09^{\text{d}}$
Ice 2	$3.90 \pm 0.01^{\text{E}}$	$0.96 \pm 0.25^{\text{E}}$	$1.01 \pm 0.10^{\text{E}}$

^{a,b,c} Within a column, means \pm standard deviations with different superscript lowercase letters denote significant differences ($P < 0.05$) between the semi-skimmed goat milk and the concentrated fraction of each mixture of milk and NaCl content ($\text{g } 100 \text{ g}^{-1}$). ^{A,B,C} Within a column, means \pm standard deviations with different superscript uppercase letters denote significant differences ($P < 0.05$) between the semi-skimmed goat milk and the ice fraction of each mixture of milk and NaCl content ($\text{g } 100 \text{ g}^{-1}$). Concentrate control and ice control, Concentrate 0.5, Ice 0.5, Concentrate 1, Ice 1, Concentrate 1.5, Ice 1.5, Concentrate 2, and Ice 2 were the concentrates and ice fractions obtained by vacuum-assisted block freeze concentration of semi-skimmed goat milk without and with the addition of 0.5, 1, 1.5, and 2 g of NaCl per 100 g of milk, respectively.

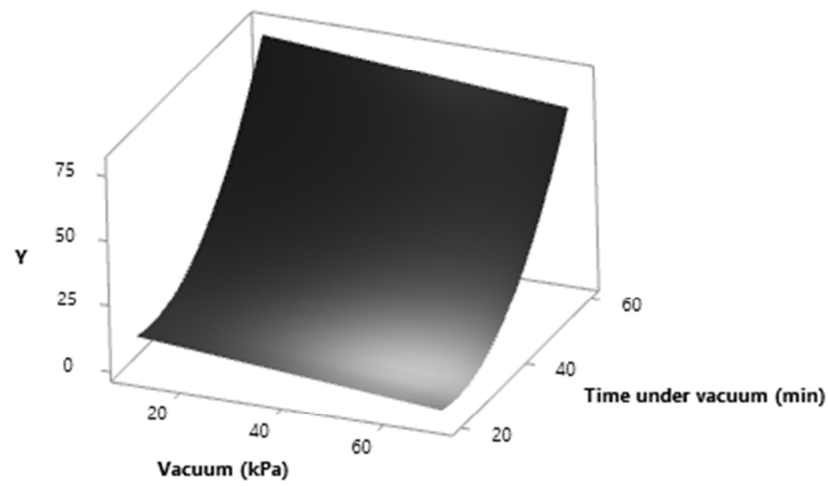


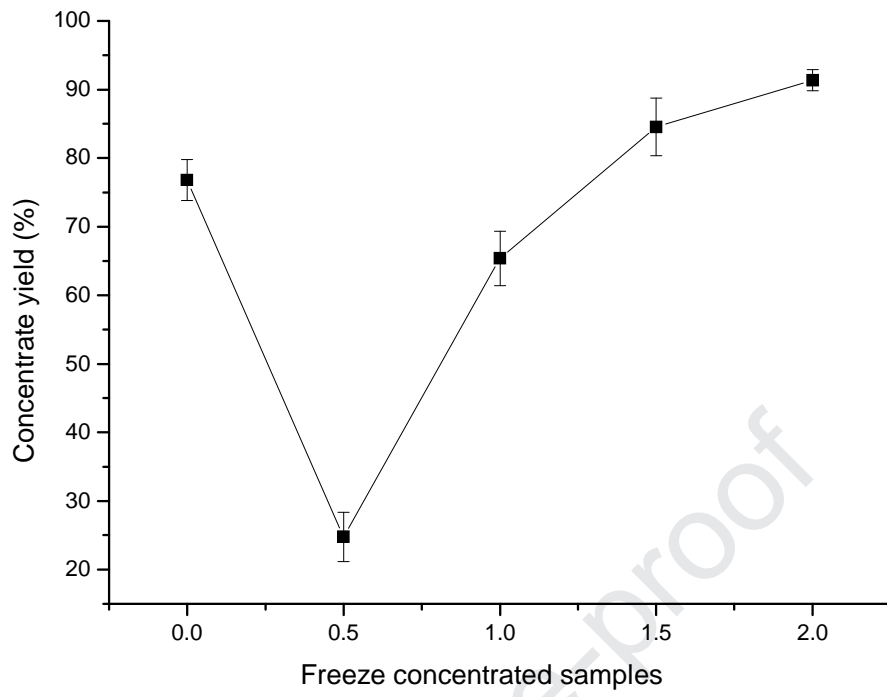


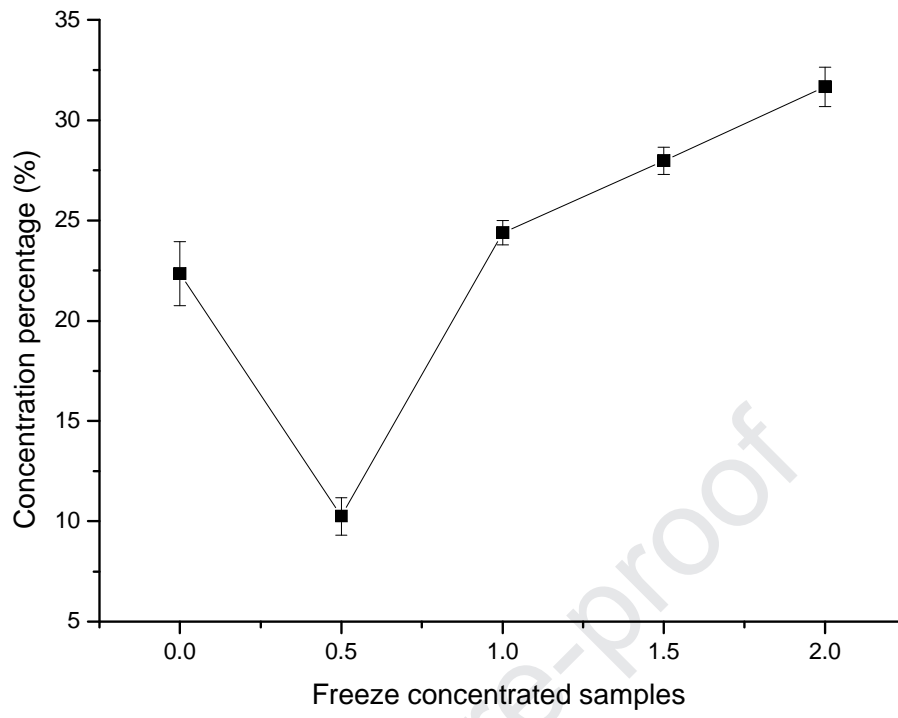
a)

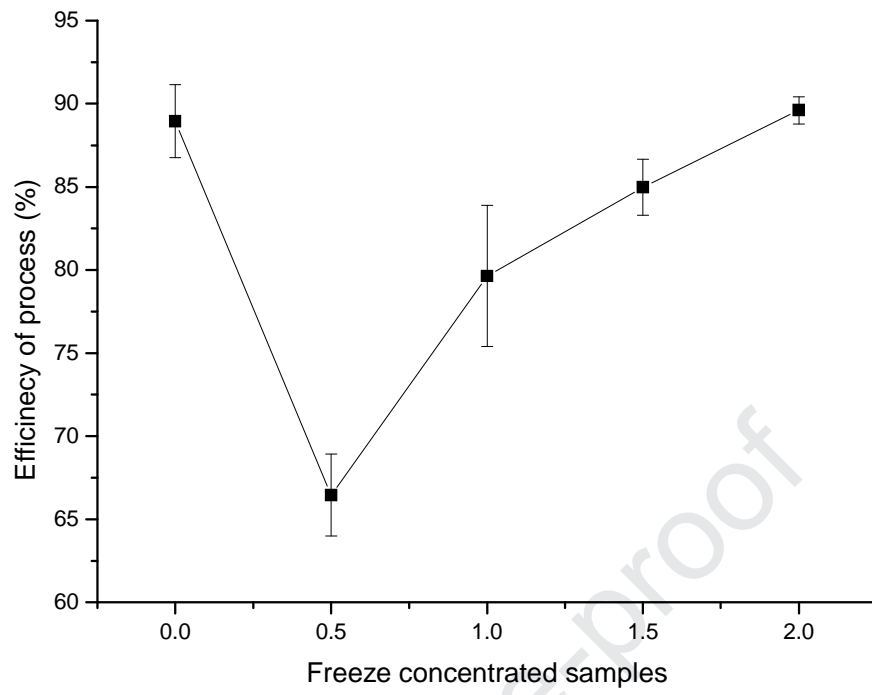


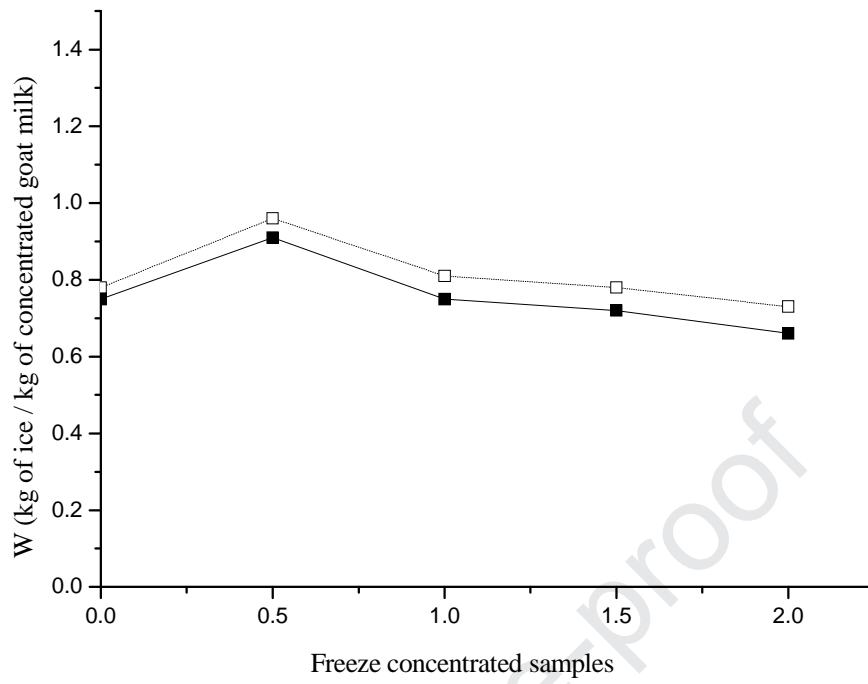
b)











Vacuum-assisted freeze concentration process influenced about the goat milk concentrated yield.

The optimal condition of goat milk concentration was found for 10 kPa of vacuum, 60 min, and 1 day.

The salt (1.5 and 2 %) into the goat milk increased 4 and 3 times the solids and protein values.

Concentrates from goat milk with 1.5 and 2 % of NaCl were more efficient after concentrations.



Florianópolis, December 06, 2019.

To: Rakesh K. Singh

Declaration of interest

Dear Editor,

The authors declare that they have no conflict of interest.

Best regards,

Prof. Dr. Elane Schwinden Prudencio

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