

# On sets of points with few odd secants

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## Abstract

We prove that, for  $q$  odd and large enough, a set of  $q + 2$  points in the projective plane over the field with  $q$  elements has at least  $2q - c$  odd secants, where  $c$  is a constant and an odd secant is a line incident with an odd number of points of the set.

## 1 Introduction

Let  $\text{PG}(2, q)$  denote the projective plane over  $\mathbb{F}_q$ , the finite field with  $q$  elements. An odd secant to a set  $S$  of points of  $\text{PG}(2, q)$  is a line of  $\text{PG}(2, q)$  which is incident with an odd number of points of  $S$ . In [1], P. Balister, B. Bollobás, Z. Füredi and J. Thompson study sets of points which minimise the number of odd secants for a given cardinality. Among other things, they prove that if  $|S| \leq q + 1$  then  $o(S)$ , the number of odd secants, is at least  $|S|(q + 2 - |S|)$  and that equality is achieved if and only if  $S$  is chosen to be an arc, a set of points in which no three are collinear. They also provide a general lower bound for sets of size  $q + 2$  proving that if  $q$  is odd and  $|S| = q + 2$  then  $o(S) \geq \frac{3}{2}(q + 1)$ . This lower bound was subsequently improved to  $o(S) \geq \frac{1}{5}(8q + 12)$  for  $q > 13$  in [9, Theorem 6.17].

Conjecture 11 from [1] maintains that if  $q$  is odd and  $|S| = q + 2$  then  $o(S) \geq 2q - 2$ . Observe that a conic, together with an external point (a point incident with two tangents to the conic) is a set of  $q + 2$  points with  $2q - 2$  odd secants. We will prove the following theorem, which asymptotically proves Conjecture 11 from [1], up to a constant.

**Theorem 1.** *Let  $S$  be a set of  $q + 2$  points in  $\text{PG}(2, q)$ . If  $q$  is odd then there is a constant  $c$  and a  $q_0$ , such that  $o(S) \geq 2q - c$ , for  $q \geq q_0$ .*

In [13, Theorem 3.2], Vandendriessche classifies those point sets  $S$  which satisfy  $|S| + o(S) \leq 2q$ , for  $q$  odd, apart from one possible example which was subsequently excluded in [9, Proposition 6.19].

The number of secants of a set of  $q + 2$  points was first studied in [6] by A. Blokhuis and A. A. Bruen and refined later in [5] by A. Blokhuis. The finite field Kakeya problem in the plane is to determine the minimal size of a Besicovich set, that is, an affine point set

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containing a line in every direction. By duality, this problem is equivalent to asking for the smallest number of lines meeting a set of  $q + 2$  points where one of the points (the point corresponding to the line at infinity) is incident only with bi-secants. Such points of a  $(q + 2)$ -set are called internal nuclei, they were first studied by A. Bichara and G. Korchmáros in [4], where Lemma 2 was proven. In [7], A. Blokhuis and F. Mazzocca proved that in  $\text{PG}(2, q)$ ,  $q$  odd, the dual of a Besicovich set of minimal size is an irreducible conic together with an external point, the same configuration that we mentioned before. In the case  $q$  is even there exist hyperovals in  $\text{PG}(2, q)$ , i.e. arcs of size  $(q + 2)$ . It is straightforward to see that hyperovals do not have odd secants. For stability results on point sets with few odd secants in  $\text{PG}(2, q)$ ,  $q$  even, we refer to [12] by T. Szőnyi and Zs. Weiner.

B. Segre used his celebrated lemma of tangents to prove that the set of tangents to an arc of size  $q + 2 - t$  are contained in a curve of degree  $d$  in the dual plane, where  $t = d$  if  $q$  is even, and  $d = 2t$  when  $q$  is odd [10, 11]. In the paper [8], by A. Blokhuis, A. Seress and H. A. Wilbrink, point sets without tangents were considered and Segre's technique was applied to associate curves to the "long secants", that is, lines meeting the set without tangents in more than two points. In the present paper we apply a coordinate-free, scaled variant of Segre's original argument, developed in [2] and [3], to point sets which admit both tangents and long secants.

## 2 Sets of $q + 2$ points with few odd secants.

Let  $S$  be a set of  $q + 2$  points in  $\text{PG}(2, q)$ .

For  $x \in S$ , let the *weight* of  $x$  be

$$w(x) = \sum \frac{1}{|\ell \cap S|},$$

where the sum is over the lines  $\ell$  incident with  $x$  and an odd number of points of  $S$ .

Let  $S_0$  be the subset of  $S$  of points which are incident with  $q + 1$  bi-secants, so the points of  $S$  of weight zero.

The following lemma is from [4].

**Lemma 2.** *If  $q$  is odd then  $|S_0| \leq 2$ .*

*Proof.* Suppose that  $\{x, y, z\}$  are three points of  $S_0$ . Then  $\{x, y, z\}$  is a basis. With respect to this basis, the line joining  $x$  to  $s = (s_1, s_2, s_3)$  is  $\ker(s_3X_2 - s_2X_3)$ . As we vary the point  $s \in S \setminus \{x, y, z\}$ , we obtain every line  $\ker(X_2 + aX_3)$ , for each non-zero  $a \in \mathbb{F}_q$  exactly once, so we have

$$\prod_{s \in S \setminus \{x, y, z\}} \frac{-s_2}{s_3} = -1.$$

Similarly, from the points  $y$  and  $z$  we obtain,

$$\prod_{s \in S \setminus \{x, y, z\}} \frac{-s_3}{s_1} = -1$$

and

$$\prod_{s \in S \setminus \{x, y, z\}} \frac{-s_1}{s_2} = -1.$$

Multiplying these three expressions together, we have that  $1 = -1$ .  $\square$

Let  $S_{4/3}$  be the subset of  $S$  of points which are incident with precisely one tangent and one 3-secant and  $q - 1$  bi-secants, so the points of  $S$  of weight  $\frac{4}{3}$ .

**Lemma 3.** *If  $|S_{4/3}|$  is less than some constant then there is a constant  $c$  and a  $q_0$ , such that the number of odd secants to  $S$  is at least  $2q - c$ , for  $q \geq q_0$ .*

*Proof.* The number of odd secants is  $\sum_{x \in S} w(x)$ . By Lemma 2,  $|S_0| \leq 2$  and for all  $x \in S \setminus (S_0 \cup S_{4/3})$ , the weight of  $x$  is at least 2.  $\square$

For each point  $x \in S_{4/3}$ , let  $f_x$  denote the linear form whose kernel is the tangent to  $S$  at  $x$  and let  $g_x$  denote the linear form whose kernel is the 3-secant to  $S$  at  $x$ .

The 3-secants meeting  $S_{4/3}$  partition  $S_{4/3}$  into at least  $\frac{1}{3}|S_{4/3}|$  parts, where two points are in the same partition if and only if they are joined by a 3-secant.

Assuming that  $S$  has less than  $2q - c$  odd secants, for some constant  $c$ , Lemma 3 implies that  $|S_{4/3}|$  is more than a constant and so there is a subset  $S'$  of  $S_{4/3}$  with more than a constant number of points, with the property that if  $x$  and  $y$  are in  $S'$  then the line joining  $x$  and  $y$  is not incident with any other point of  $S$ , i.e. where  $S'$  contains at most one point from each part of the partition by 3-secants.

Fix an element  $e \in S'$  and scale  $f_x$  so that  $f_x(e) = f_e(x)$ . Similarly, scale  $g_x$  so that  $g_x(e) = -g_e(x)$ .

**Lemma 4.** *For all  $x, y \in S'$ ,*

$$f_x(y)g_y(x) = -f_y(x)g_x(y).$$

*Proof.* Fix a basis of the vector space so that with respect to the basis  $x = \langle(1, 0, 0)\rangle$ ,  $y = \langle(0, 1, 0)\rangle$  and  $e = \langle(0, 0, 1)\rangle$ . The line joining  $x$  to  $s = (s_1, s_2, s_3)$  is  $\ker(s_3X_2 - s_2X_3)$ . Since  $x \in S_{4/3}$ , as we vary the point  $s \in S \setminus \{x, y, e\}$ , we obtain every line  $\ker(X_2 + aX_3)$ , for each non-zero  $a \in \mathbb{F}_q$  exactly once, except the line  $\ker f_x$  which does not occur, and the line  $\ker g_x$  which occurs twice. Now  $g_x(X) = g_x(y)X_2 + g_x(e)X_3$  and  $f_x(X) = f_x(y)X_2 + f_x(e)X_3$ , so we have

$$\frac{g_x(y) f_x(e)}{g_x(e) f_x(y)} \prod_{s \in S \setminus \{x, y, e\}} \frac{-s_2}{s_3} = -1.$$

Similarly, from the points  $y$  and  $e$  we obtain,

$$\frac{g_y(e) f_y(x)}{g_y(x) f_y(e)} \prod_{s \in S \setminus \{x, y, e\}} \frac{-s_3}{s_1} = -1$$

and

$$\frac{g_e(x) f_e(y)}{g_e(y) f_e(x)} \prod_{s \in S \setminus \{x, y, e\}} \frac{-s_1}{s_2} = -1.$$

Multiplying these three expressions together, we have that

$$g_x(y)f_x(e)g_y(e)f_y(x)g_e(x)f_e(y) = -g_x(e)f_x(y)g_y(x)f_y(e)g_e(y)f_e(x).$$

The lemma now follows, since  $f_e(x) = f_x(e)$ , etc.  $\square$

For  $x, y \in S'$ , let

$$b_{xy}(X) = f_x(X)g_y(X) - g_x(X)f_y(X).$$

**Lemma 5.** For all  $x, y, z, w \in S'$ ,

$$\det(w, x, z)g_w(y)g_z(w)b_{yx}(w) = \det(w, x, y)g_w(z)g_y(w)b_{zx}(w).$$

*Proof.* Since  $f_w(X)$  is a linear form, it is determined by its value at three points. Hence,

$$\det(x, y, z)f_w(X) = f_w(x)\det(X, y, z) + f_w(y)\det(x, X, z) + f_w(z)\det(x, y, X).$$

Since  $f_w(w) = 0$ ,

$$f_w(x)\det(w, y, z) + f_w(y)\det(x, w, z) + f_w(z)\det(x, y, w) = 0.$$

Eliminating  $\det(w, y, z)$  from this equation by, using the similar equation for  $g_w$ , we get

$$(g_w(x)f_w(y) - f_w(x)g_w(y))\det(x, w, z) + (g_w(x)f_w(z) - f_w(x)g_w(z))\det(x, y, w) = 0$$

By Lemma 4,

$$g_w(x)f_w(y) = g_w(y)f_w(x)\frac{f_y(w)}{g_y(w)}\frac{g_x(w)}{f_x(w)}$$

and

$$g_w(x)f_w(z) = g_w(z)f_w(x)\frac{f_z(w)}{g_z(w)}\frac{g_x(w)}{f_x(w)}.$$

Combining these with the previous equation we get the required equation.  $\square$

**Lemma 6.** For all  $x, y, z \in S'$ ,  $b_{xy}(z) \neq 0$ .

*Proof.* Suppose  $b_{xy}(z) = 0$ . Then, applying Lemma 4 twice,

$$g_z(y)f_z(x) - f_z(y)g_z(x) = 0.$$

This implies that  $g_z(y)f_z(X) - f_z(y)g_z(X)$  is zero at  $x, y$  and  $z$  which implies that it is identically zero. Hence,  $\ker f_z = \ker g_z$ , which is a contradiction.  $\square$

For any homogeneous polynomial  $f$  in three variables, let  $V(f)$  denote the set of points of  $\text{PG}(2, q)$  which are zero at  $f$ .

**Lemma 7.** Let  $s, x, y, z \in S'$ . If  $q$  is odd then the points of  $S'$  are contained in  $V(\psi_{xyzs})$ , where  $\psi_{xyzs}$  is the polynomial of degree 6 which, with respect to the basis  $\{x, y, z\}$ , is

$$\psi_{xyzs}(X) = s_1b_{xs}(X)b_{yz}(X)X_2X_3 + s_2b_{ys}(X)b_{zx}(X)X_1X_3 + s_3b_{zs}(X)b_{xy}(X)X_1X_2.$$

*Proof.* Let  $w \in S'$ . By Lemma 5,

$$\det(w, s, z)g_w(y)g_z(w)b_{ys}(w) = \det(w, s, y)g_w(z)g_y(w)b_{zs}(w)$$

and

$$\det(w, s, z)g_w(x)g_z(w)b_{xs}(w) = \det(w, s, x)g_w(z)g_x(w)b_{zs}(w).$$

With respect to the basis  $\{x, y, z\}$ , since  $g_w(w) = 0$ , we have

$$g_w(x)w_1 + g_w(y)w_2 + g_w(z)w_3 = 0.$$

Substituting in the two equations above, eliminating  $g_w(x)$  and  $g_w(y)$  and using

$$g_x(w)b_{ys}(w) - b_{xs}(w)g_y(w) = g_s(w)b_{yx}(w)$$

etc, we get

$$s_1b_{xs}(w)b_{yz}(w)w_2w_3 + s_2b_{ys}(w)b_{zx}(w)w_1w_3 + s_3b_{zs}(w)b_{xy}(w)w_1w_2 = 0.$$

This implies that  $V(\psi_{xyzs})$  contains  $S'$ , where  $\psi_{xyzs}$  is defined as in the statement of the theorem. Observe that, by Lemma 4, the coefficient of  $w_1^4w_2^2$  in the above equation is  $2f_x(y)g_y(x)b_{zs}(x)$ , so  $\psi_{xyzs}(X) \not\equiv 0$ .

To check that permuting the roles of  $s$  and one of  $\{x, y, z\}$  does not change  $V(\psi_{xyzs})$ , we rewrite the above equation as

$$\begin{aligned} & \det(s, y, z)b_{xs}(w)b_{yz}(w) \det(x, w, z) \det(x, y, w) \\ & + \det(x, s, z)b_{ys}(w)b_{zx}(w) \det(w, y, z) \det(x, y, w) \\ & + \det(x, y, s)b_{zs}(w)b_{xy}(w) \det(w, y, z) \det(x, w, z) = 0. \end{aligned}$$

Switching  $s$  and  $x$  in the above, calculating with respect to the basis  $\{x, y, z\}$  and applying

$$b_{xs}(w)b_{yz}(w) + b_{ys}(w)b_{zx}(w) + b_{zs}(w)b_{xy}(w) = 0,$$

we get the equation  $s_1\psi_{xyzs}(w) = 0$ , which implies that  $V(\psi_{xyzs})$  does not change under any permutation of  $\{x, y, z, s\}$ . □

**Lemma 8.** Let  $s, x, y, z \in S'$ . If  $q$  is odd then for each  $u \in \{x, y, z, s\}$ , the point  $u$  is a double point of  $V(\psi_{xyzs})$  and the tangents to  $V(\psi_{xyzs})$  at  $u$  are the kernels of  $f_u(X)$  and  $g_u(X)$ .

Moreover, with respect to the basis  $\{x, y, z\}$ , the terms of  $\psi_{xyzs}$ , which are of degree at least four in one of the variables, are

$$\begin{aligned} & 2s_2s_3b_{zy}(x)f_x(X)g_x(X)\frac{g_s(x)}{g_x(s)}X_1^4 + 2s_1s_3b_{xz}(y)f_y(X)g_y(X)\frac{g_s(y)}{g_y(s)}X_2^4 \\ & + 2s_1s_2b_{yx}(z)f_z(X)g_z(X)\frac{g_s(z)}{g_z(s)}X_3^4. \end{aligned}$$

*Proof.* To calculate the terms divisible by  $X_2^4$  observe that the degree of  $X_2$  in  $b_{yz}(X)$ ,  $b_{ys}(X)$  and  $b_{xy}(X)$  is one. Hence, the terms divisible by  $X_2^4$  come from

$$s_1 b_{xs}(X) b_{yz}(X) X_2 X_3 + s_3 b_{zs}(X) b_{xy}(X) X_1 X_2,$$

and we have to take the coefficient of  $X_2^2$  in  $b_{xs}(X)$  and  $b_{zs}(X)$ , which is  $b_{xs}(y)$  and  $b_{zs}(y)$  respectively. Moreover, we have to take the coefficient of  $X_2$  in  $b_{yz}(X)$  and  $b_{xy}(X)$ , which is  $f_y(X)g_z(y) - g_y(X)f_z(y)$  and  $f_x(y)g_y(X) - g_x(y)f_y(X)$  respectively.

Putting this together we deduce that the terms divisible by  $X_2^4$  are  $X_2^4$  times

$$s_1 X_3 b_{xs}(y) (f_y(X)g_z(y) - g_y(X)f_z(y)) + s_3 X_1 b_{zs}(y) (f_x(y)g_y(X) - g_x(y)f_y(X)).$$

Now, using Lemma 4 and substituting  $f_y(s) = f_y(x)s_1 + f_y(z)s_3$ , etc,

$$\begin{aligned} \frac{s_3 b_{zs}(y)}{s_1 b_{xs}(y)} &= \frac{s_3 (f_z(y)g_s(y) - f_s(y)g_z(y))}{s_1 (f_x(y)g_s(y) - g_x(y)f_s(y))} = \frac{s_3 (f_z(y)g_y(s) + f_y(s)g_z(y))}{s_1 (f_x(y)g_y(s) + g_x(y)f_y(s))} \\ &= \frac{s_3 (f_z(y)g_y(x)s_1 + f_z(y)g_y(z)s_3 + f_y(x)g_z(y)s_1 + f_y(z)g_z(y)s_3)}{s_1 (f_x(y)g_y(x)s_1 + f_x(y)g_y(z)s_3 + g_x(y)f_y(x)s_1 + g_x(y)f_y(z)s_3)} \\ &= \frac{s_3 s_1 (f_z(y)g_y(x) + f_y(x)g_z(y))}{s_1 s_3 (f_x(y)g_y(z) + g_x(y)f_y(z))} = -\frac{g_z(y)g_y(x)}{g_x(y)g_y(z)}. \end{aligned}$$

Therefore, the terms divisible by  $X_2^4$  are  $X_2^4$  times

$$\begin{aligned} &s_1 b_{xs}(y) (X_3 (f_y(X)g_z(y) - g_y(X)f_z(y)) - X_1 \frac{g_z(y)g_y(x)}{g_x(y)g_y(z)} (f_x(y)g_y(X) - g_x(y)f_y(X))). \\ &= s_1 b_{xs}(y) \frac{g_z(y)}{g_y(z)} (X_3 (f_y(X)g_y(z) + g_y(X)f_y(z)) - X_1 \frac{g_y(x)}{g_x(y)} (f_x(y)g_y(X) - g_x(y)f_y(X))). \\ &= s_1 b_{xs}(y) \frac{g_z(y)}{g_y(z)} (X_3 f_y(X)g_y(z) + X_3 g_y(X)f_y(z) + X_1 f_y(x)g_y(X) + X_1 g_y(x)f_y(X)). \\ &= 2s_1 b_{xs}(y) \frac{g_z(y)}{g_y(z)} f_y(X)g_y(X). \end{aligned}$$

By Lemma 4,

$$b_{xs}(y) = f_x(y)g_s(y) - g_x(y)f_s(y) = \frac{g_s(y)}{g_y(s)} (f_x(y)g_y(s) + g_x(y)f_y(s)),$$

which gives

$$b_{xs}(y) = \frac{g_s(y)}{g_y(s)} (f_x(y)(g_y(x)s_1 + g_y(z)s_3) + g_x(y)(f_y(x)s_1 + f_y(z)s_3))$$

and so again by Lemma 4,

$$b_{xs}(y) = \frac{g_s(y)g_y(z)}{g_y(s)g_z(y)}s_3b_{xz}(y).$$

This last expression implies that the terms divisible by  $X_2^4$  are  $X_2^4$  times

$$2s_1s_3b_{xz}(y)f_y(X)g_y(X)\frac{g_s(y)}{g_y(s)}.$$

Interchanging the roles of  $y$  with either  $x, z$  or  $s$ , we have that  $u$  is a double point of  $V(\psi_{xyzs})$  with tangents  $f_u(X)$  and  $g_u(X)$  for all  $u \in \{x, y, z, s\}$ .  $\square$

**Lemma 9.** *Let  $U$  be a subspace of polynomials of  $\mathbb{F}_q[X_1, X_2, X_3]$  and let  $d$  denote the maximum degree of the elements of  $U$ . If  $d \leq q$ , then there exist  $f, g \in U$  such that  $\gcd(U) = \gcd(f, g)$ .*

*Proof.* Let  $b_1, \dots, b_k$  be a basis for  $U$  and denote  $\gcd(U)$  by  $\Gamma$ . After dividing by  $\Gamma$ , we may assume that  $\gcd(U)$  is 1. We may also assume  $k > 2$ , since otherwise the statement is obvious.

Suppose  $k = 3$ . Let

$$H = \{b_1 + \alpha b_2 \mid \alpha \in \mathbb{F}_q\} \cup \{b_2\}.$$

For any two different  $h_1, h_2 \in H$  we have  $\gcd(h_1, h_2)$  divides  $\gcd(b_1, b_2)$ . By assumption,  $\gcd(\gcd(b_1, b_2), b_3) = 1$ . Thus,  $\gcd(\gcd(h_1, b_3), \gcd(h_2, b_3)) = 1$ . Therefore, if  $h$  and  $b_3$  are not coprime for each  $h \in H$  then  $b_3$  has at least  $q + 1$  distinct divisors, a contradiction since  $\deg b_3 < q + 1$ .

For  $k > 3$  the result follows by induction, since in  $U' = \langle b_1, b_2, b_3 \rangle_{\mathbb{F}_q}$  we can find  $f, g$  such that  $\gcd(f, g) = \gcd(U')$  and  $\gcd(U) = \gcd(\gcd(U'), b_4, \dots, b_k) = \gcd(f, g, b_4, \dots, b_k)$ .  $\square$

Let  $\Gamma(X)$  be the maximum common divisor of the polynomials in the subspace generated by

$$\{\psi_{xyzs}(X) \mid \{x, y, z, s\} \subset S'\}.$$

**Lemma 10.** *The set of points  $V(\Gamma)$  contains at least  $|S'| - c$  points of  $S'$ , for some constant  $c$ .*

*Proof.* By Lemma 9, there are two polynomials  $\psi(X), \psi'(X)$  in the subspace generated by

$$\{\psi_{xyzs}(X) \mid \{x, y, z, s\} \subset S'\}$$

whose maximum common divisor is  $\Gamma$ . If  $\psi = \phi\Gamma$  and  $\psi' = \phi'\Gamma$  then, by Bezout's theorem,  $V(\phi) \cap V(\phi')$  contains a constant number of points. The set  $S' \setminus (V(\phi) \cap V(\phi'))$  is contained in  $V(\Gamma)$ .  $\square$

**Lemma 11.** *The variety  $V(\Gamma)$  does not have more than a constant number of double points at the points of  $S'$ .*

*Proof.* Suppose that  $V(\Gamma)$  has more than a constant number of double points. Then, since  $\Gamma$  has degree at most six and any of its derivatives have degree at most five, Bezout's theorem implies that  $\Gamma$  is reducible. Each irreducible subvariety of  $V(\Gamma)$  has a finite number of double points. Distinct irreducible subvarieties of  $\Gamma$  contain a constant number of points of  $S'$  in their intersection, by Bezout's theorem. Therefore,  $V(\Gamma)$  must contain repeated subvarieties in its decomposition into irreducible subvarieties containing more than a constant number of points of  $S'$ .

If  $V(\Gamma)$  has repeated subvarieties which are zero at  $x \in S'$ , then  $V(\psi_{xyzs})$  has a point of multiplicity at least three at  $x$ , since it has a point of multiplicity two at  $x$  with distinct tangents. Then Lemma 8 implies  $b_{zy}(x) = 0$ , contradicting Lemma 6.

Therefore  $V(\Gamma)$  has a constant number of points of  $S'$  in its repeated subvarieties, a contradiction.  $\square$

**Lemma 12.** *The polynomial  $\Gamma(X)$  does not have degree six.*

*Proof.* If  $\Gamma(X)$  is of degree six then it is a constant multiple of  $\psi_{xyzs}$ , for all subsets  $\{x, y, z, s\}$  of  $S'$ . Lemma 8 implies that  $V(\Gamma)$  has a double point at every point of  $S'$ , contradicting Lemma 11.  $\square$

**Lemma 13.** *The polynomial  $\Gamma(X)$  does not have degree five.*

*Proof.* Suppose that  $V(\Gamma)$  does not have points of multiplicity at  $u, v \in S$  and that  $\Gamma$  is of degree five. Then  $V(\psi_{xyuv})$  contains a line, for all subsets  $\{x, y, u, v\}$  of  $S' \cap V(\Gamma)$  and this line is zero at  $u$  and  $v$ , since  $V(\psi_{xyuv})$  has double points at  $u$  and  $v$  and  $V(\Gamma)$  does not. But then  $V(\Gamma)$  has a point of multiplicity at  $x$  and  $y$ , so all other points of  $S' \cap V(\Gamma)$ , contradicting Lemma 11.  $\square$

**Lemma 14.** *The polynomial  $\Gamma(X)$  does not have degree four.*

*Proof.* Define  $\phi_{xyzs}(X)$  by  $\psi_{xyzs}(X) = \Gamma(X)\phi_{xyzs}(X)$ .

Let  $D$  be the set of double points of  $V(\Gamma)$ . By Lemma 11,  $|D|$  is constant.

Suppose that there is an  $x, y, z \in S' \setminus D$  for which  $V(\phi_{xyzs})$  is a non-degenerate conic containing  $x, y, z$  and  $s$ , for more than a constant number of  $s \in S'$ . The tangents at  $u \in \{x, y, z\}$  to  $V(\psi_{xyzs})$  are the kernels of  $f_u(X)$  and  $g_u(X)$ . There are 8 possible conics which are zero at  $x, y, z$  and have tangents either  $f_u(X)$  or  $g_u(X)$  at  $u \in \{x, y, z\}$ . Hence, there is a conic  $V(\phi)$  and a subset  $S''$  of  $S'$ , such that  $V(\phi_{xyzs}) = V(\phi)$  for all  $x, y, z, s \in S''$ , and where  $S''$  consists of more than a constant number of points of  $S'$ . By Lemma 10,  $V(\Gamma)$  contains  $|S'| - c$  points of  $S'$ , so Bezout's theorem implies that  $V(\phi)$  is a subvariety of  $V(\Gamma)$ . But then, for  $s \in S''$ ,  $V(\psi_{xyzs})$  has double points at  $x, y, z, s$  with repeated tangents. By Lemma 8,  $x$  is a double point of  $V(\psi_{xyzs})$  with tangents  $f_x(w)$  and  $g_x(w)$ , and similarly for  $y, z$  and  $s$ , a contradiction.

Therefore, for all  $x, y, z \in S' \setminus D$ , the variety  $V(\phi_{xyzs})$  is a degenerate conic for more than a constant number of points  $s \in S' \setminus D$ . The two lines in  $V(\phi_{xyzs})$  are the tangents to the curve  $V(\psi_{xyzs})$ . Since these two lines contain the four points  $x, y, z, s$  one of them must be the line defined by  $g_x, g_y$ , or  $g_z$ , contradicting the fact that the points of  $S'$  are joined by lines which are not 3-secants to  $S$ .  $\square$

**Lemma 15.** *The polynomial  $\Gamma(X)$  is not irreducible of degree three.*

*Proof.* Let  $D$  be the set of double points of  $V(\Gamma)$  and let  $x, y, z \in S' \setminus D$ .

Let  $\phi_{xyzs}(X)$  be defined by  $\psi_{xyzs}(X) = \Gamma(X)\phi_{xyzs}(X)$ .

By Lemma 8,  $x$  is a double point of  $V(\psi_{xyzs})$  with tangents  $f_x(w)$  and  $g_x(w)$ , and similarly for  $y, z$  and  $s$ .

Since  $V(\Gamma)$  has degree three and simple zeros at  $x, y$  and  $z$ , we have that

$$\Gamma(X) = c_1 X_1^2 h_x^+(X) + c_2 X_2^2 h_y^+(X) + c_3 X_3^2 h_z^+(X) + c_4 X_1 X_2 X_3.$$

Furthermore, we have that  $h_x^+(X)$  is either  $f_x(X)$  or  $g_x(X)$  and similarly for  $h_y^+(X)$  and  $h_z^+(X)$ .

By Lemma 8, the terms of  $\psi_{xyzs}(X)$  which are of degree 4 in one of the variables are

$$\begin{aligned} & 2s_2 s_3 b_{zy}(x) f_x(X) g_x(X) \frac{g_s(x)}{g_x(s)} X_1^4 + 2s_1 s_3 b_{xz}(y) f_y(X) g_y(X) \frac{g_s(y)}{g_y(s)} X_2^4 \\ & + 2s_1 s_2 b_{yx}(z) f_z(X) g_z(X) \frac{g_s(z)}{g_z(s)} X_3^4. \end{aligned}$$

Hence, we have that for some  $d(s)$ ,

$$\begin{aligned} \phi_{xyzs}(X) &= 2c_1^{-1} b_{zy}(x) s_2 s_3 \frac{g_s(x)}{g_x(s)} X_1^2 h_x^-(X) + 2c_2^{-1} b_{xz}(y) s_1 s_3 \frac{g_s(y)}{g_y(s)} X_2^2 h_y^-(X) \\ & + 2c_3^{-1} b_{yx}(z) s_1 s_2 \frac{g_s(z)}{g_z(s)} X_3^2 h_z^-(X) + d(s) X_1 X_2 X_3, \end{aligned}$$

where  $h_x^+ h_x^- = f_x g_x$ , etc.

The coefficient of  $X_1 X_2$  in  $b_{zs}(X) = f_z(X) g_s(X) - g_z(X) f_s(X)$  is

$$f_z(x) g_s(y) - g_z(x) f_s(y) + f_z(y) g_s(x) - g_z(y) f_s(x).$$

Therefore, by Lemma 7 and Lemma 4, the coefficient of  $X_1^3 X_2^3$  of  $\psi_{xyzs}(X)$  is

$$2s_3 f_x(y) g_y(x) (f_z(x) g_s(y) - g_z(x) f_s(y) + f_z(y) g_s(x) - g_z(y) f_s(x)).$$

The coefficient of  $X_1^3 X_2^3$  in  $\Gamma(X)\phi_{xyzs}(X)$  is

$$2s_3 \left( b_1 s_1 \frac{g_s(y)}{g_y(s)} + b_2 s_2 \frac{g_s(x)}{g_x(s)} \right),$$

for some  $b_i$  dependent only on  $x, y, z$ . Equating these two expressions and applying Lemma 4, we have

$$\begin{aligned} & \frac{g_s(y)}{g_y(s)} (f_x(y) g_y(x) (f_z(x) g_y(s) + g_z(x) f_y(s)) - b_1 s_1) \\ & = - \frac{g_s(x)}{g_x(s)} (f_x(y) g_y(x) (f_z(y) g_x(s) + g_z(y) f_x(s)) - b_2 s_2). \end{aligned}$$

By Lemma 5,

$$\frac{g_s(y)}{g_y(s)} b_{yz}(s) s_2 = -\frac{g_s(x)}{g_x(s)} b_{xz}(s) s_1.$$

Thus, combining these last two equations we have that

$$\begin{aligned} \rho_{12}(s) &= s_1 b_{xz}(s) (f_x(y) g_y(x) (f_z(x) g_y(s) + g_z(x) f_y(s)) - b_1 s_1) \\ &\quad - s_2 b_{yz}(s) (f_x(y) g_y(x) (f_z(y) g_x(s) + g_z(y) f_x(s)) - b_2 s_2) = 0. \end{aligned}$$

The coefficient of  $X_1^2 X_3^2$  in  $\rho_{12}(X)$  is

$$2f_x(z) g_z(x) f_x(y) g_y(x) (f_z(x) g_y(z) + g_z(x) f_y(z)).$$

By Lemma 4,

$$f_z(x) g_y(z) + g_z(x) f_y(z) = (f_x(z) g_y(z) - g_x(z) f_y(z)) \frac{f_z(x)}{f_x(z)} = b_{xy}(z) \frac{f_z(x)}{f_x(z)}.$$

By Lemma 6, we have that  $\rho_{12} \neq 0$ . Thus, we get a curve  $V(\rho_{12})$  of degree four which contains at least  $|S'| - c$  points of  $S'$ .

Since  $V(\Gamma)$  is an irreducible curve of degree three containing at least  $|S'| - c$  points of  $S'$ , Bezout's theorem and Lemma 10 imply that  $\rho_{12}(X)$  is a multiple of  $\Gamma(X)$ , i.e.  $\rho_{12}(X) = \alpha_{12}(X) \Gamma(X)$ , for some linear form  $\alpha_{12}(X)$ .

The terms divisible by  $X_1^3$  in  $\rho_{12}(X)$  are  $a_1(g_z(x) f_x(X) - f_z(x) g_x(X))$  for some  $a_1$  dependent only on  $x, y, z$ .

The terms divisible by  $X_1^2$  in  $\Gamma$  are  $c_1 h_x^+(X)$ . Therefore, in the product  $\alpha_{12}(X) \Gamma(X)$ , the terms divisible by  $X_1^3$  are a multiple of  $h_x^+(X)$ . But  $h_x^+(X)$  is either  $f_x(X)$  or  $g_x(X)$ , which implies  $f_x(X)$  and  $g_x(X)$  are multiples of each other, which they are not, or  $a_1 = 0$ . Hence,  $a_1 = 0$  and similarly  $a_2 = 0$ , which implies that  $\rho_{12}(X) = a_3 X_3 \Gamma(X)$ , for some  $a_3 \in \mathbb{F}_q$ .

Note that  $a_1 = 0$  and  $a_2 = 0$  imply that

$$\begin{aligned} \rho_{12}(X) &= f_x(y) g_y(x) X_3 ((f_z(x) g_y(z) + g_z(x) f_y(z)) b_{xz}(X) X_1 \\ &\quad - (f_z(y) g_x(z) + g_z(y) f_x(z)) b_{yz}(X) X_2). \end{aligned}$$

The terms divisible by  $X_1^2 X_3$  in  $\rho_{12}(X)$  are

$$f_x(y) g_y(x) (f_z(x) g_y(z) + g_z(x) f_y(z)) (g_z(x) f_x(X) - f_z(x) g_x(X)).$$

The terms divisible by  $X_1^2$  in  $\Gamma$  are  $c_1 h_x^+(X)$ , so again we have that  $f_x(X)$  and  $g_x(X)$  are multiples of each other, which they are not, or  $f_z(x) g_y(z) + g_z(x) f_y(z) = 0$ . The last equation and Lemma 4 imply that  $b_{xy}(z) = 0$ , contradicting Lemma 6.  $\square$

**Lemma 16.** *Suppose that  $x, y, z$  are points of a  $S_{4/3}$  which lie on a conic  $C$  whose tangent at  $u \in \{x, y, z\}$  is the kernel of  $f_u(X)$ . Then the lines  $\ker g_x$ ,  $\ker g_y$  and  $\ker g_z$  are concurrent with a point. Furthermore, if the line joining  $x$  and  $y$  is  $\ker g_x (= \ker g_y)$  then the line  $\ker g_z$  is incident with  $S \cap \ker g_x \setminus \{x, y\}$ .*

*Proof.* If the line joining  $x$  and  $y$  is not  $\ker g_x$  then, as in the proof of Lemma 4, we have that

$$g_x(y)f_x(z)g_y(z)f_y(x)g_z(x)f_z(y) = -g_x(z)f_x(y)g_y(x)f_y(z)g_z(y)f_z(x).$$

Again, as in the proof of Lemma 4 but using the conic  $C$  in place of the  $S$ , we have that

$$f_x(z)f_y(x)f_z(y) = f_x(y)f_y(z)f_z(x),$$

from which we deduce that

$$g_x(z)g_y(x)g_z(y) = -g_x(y)g_y(z)g_z(x).$$

This is precisely the condition that the point  $(g_y(z)g_x(y), g_x(z)g_y(x), -g_x(y)g_y(x))$  is incident with  $\ker g_x$ ,  $\ker g_y$  and  $\ker g_z$ .

If the line joining  $x$  and  $y$  is  $\ker g_x$  then let  $(1, a, 0)$  be the third point of  $S$  on the line  $\ker g_x$ , coordinates with respect to the basis  $\{x, y, z\}$ . As in the proof of Lemma 4, using  $S \setminus \{(1, a, 0)\}$  instead of  $S$ , we have that

$$f_x(z)f_y(x)g_z(x)f_z(y) = -af_x(y)f_y(z)g_z(y)f_z(x).$$

As before, we have that

$$f_x(z)f_y(x)f_z(y) = f_x(y)f_y(z)f_z(x).$$

Therefore,  $g_z(x) = -ag_z(y)$ , which is precisely the condition that the point  $(1, a, 0)$  is incident with  $\ker g_z = \ker(g_z(x)X_1 + g_z(y)X_2)$ . □

*Proof.* (of Theorem 1) The 3-secants to  $S$  partition  $S_{4/3}$  into at least  $\frac{1}{3}|S_{4/3}|$  parts, where two points are in the same part if and only if they are joined by a 3-secant to  $S$ . Let  $S'$  be a subset of  $S_{4/3}$  of at least  $\frac{1}{3}|S_{4/3}|$  points, which does not contain two points joined by a 3-secant to  $S$ , so no two points from the same part of the partition.

By Lemma 12, Lemma 13, Lemma 14 and Lemma 15, all but at most  $c'$  points of  $S'$  are contained in a conic  $C \subseteq V(\Gamma)$ , for some constant  $c'$ . Since  $C \subseteq V(\Gamma)$  and  $V(\Gamma) \subseteq V(\psi_{xyzs})$ , Lemma 8 implies that the tangent to the conic at the point  $x \in S' \cap C$  is either the kernel of  $f_x$  or the kernel of  $g_x$ .

Let  $X$  be the subset of  $S_{4/3}$  containing all the points of  $S_{4/3} \setminus C$ .

Redefine  $S'$  to be a subset of  $S_{4/3}$  taking a point  $x \in X$  from each part of the partition of  $S_{4/3}$  by the 3-secants and at least  $c' + 5$  points from  $S \cap C$ . Note that  $|X| \leq 3|S' \cap X|$ . Applying the same lemmas to this re-defined  $S'$ , we have that all but at most  $c'$  points of  $S'$  are contained in a conic  $C'$ . But  $C$  and  $C'$  share at least five points, so are the same. Therefore, all but at most  $c'$  points of  $S'$  are contained in  $C$ . However, the points of  $S' \cap X$  are not in  $C$ , so we have  $|S' \cap X| \leq c'$  and so  $|X| \leq 3c'$ . Therefore, all but at most  $3c'$  points of  $S_{4/3}$  are contained in the conic  $C$ .

Recall that, for any  $x \in S$ , the *weight* of  $x$  is

$$w(x) = \sum \frac{1}{|\ell \cap S|},$$

where the sum is over the lines  $\ell$  incident with  $x$  and an odd number of points of  $S$ .

By Lemma 2, there are at most two points of weight zero.

Observe that the number of odd secants is  $\sum_{x \in S} w(x)$ .

Let  $T$  be the subset of  $C \cap S_{4/3}$  such that the tangent to  $C$  at the point  $x$  is  $\ker g_x$ . Let  $T'$  be the set of points of  $S$  which are joined to a point of  $T$  by a 3-secant. For all  $y \in T' \setminus S_{4/3}$ , the weight  $w(y) \geq \frac{8}{3}$ . And note that  $|T' \cap S_{4/3}| \leq 3c'$ , since  $|S_{4/3} \setminus C| \leq 3c'$ . If  $y \in T' \setminus S_{4/3}$  then  $y$  is incident with at most two lines  $\ker g_x$ , where  $x \in T$ , since  $\ker g_x$  is a tangent to  $C$  for  $x \in T$ . Hence, counting pairs  $(y, \ell)$  where  $y \in T'$  is incident with  $\ell$ , a 3-secant incident with a point of  $T$ , we have

$$2|T' \setminus S_{4/3}| + 3c' \geq 2|T|.$$

By Lemma 16, there is a point  $m$ , which is incident with  $\ker g_x$ , for all  $x \in (C \cap S_{4/3}) \setminus T$ . Suppose  $m \in S$ . Then the weight of  $m$  satisfies

$$w(m) \geq \frac{1}{3} \frac{1}{2} |(C \cap S_{4/3}) \setminus T| + \frac{1}{2} |(C \cap S_{4/3}) \setminus T| = \frac{2}{3} |(C \cap S_{4/3}) \setminus T|.$$

The weight of a point in  $S \setminus S_{4/3}$  is at least two, so we have that the number of odd secants

$$\sum_{x \in S} w(x) \geq 2(|S| - |S_0| - |S_{4/3}| - |T'| - 1) + \frac{4}{3}|S_{4/3}| + \frac{2}{3} |(C \cap S_{4/3}) \setminus T| + \frac{8}{3} |T' \setminus S_{4/3}| \geq 2q - c'',$$

for some constant  $c''$ .

Suppose  $m \notin S$ . Then, by Lemma 16, there are no pair of points of  $(C \cap S_{4/3}) \setminus T$  collinear with  $m$ . Therefore, for  $x \in (C \cap S_{4/3}) \setminus T$  the two points of  $(S \cap \ker g_x) \setminus \{x\}$  are of weight at least  $\frac{8}{3}$  or are in  $X$ .

Let  $U$  be the set of points of  $S \setminus S_{4/3}$  which are incident with  $\ker g_x$  for  $x \in C \cap S_{4/3}$ . For a fixed  $u \in U$ , there are at most two 3-secants which are incident with a point  $x \in T$ , since the 3-secants are tangents to the conic  $C$  at the points of  $T$ , and at most one 3-secant which is incident with an  $x \in (C \cap S_{4/3}) \setminus T$ . Hence, each  $u \in U$  is incident with at most three 3-secants, incident with a point  $x \in C \cap S_{4/3}$ . Let  $U_i$  denote the points  $u$  which are incident with  $i$  3-secants, incident with a point  $x \in C \cap S_{4/3}$ .

Counting pairs  $(u, \ell)$  where  $u \in U \cup X$  is a point incident with  $\ell$ , a 3-secant incident with a point  $x \in C \cap S_{4/3}$ , we have

$$2|C \cap S_{4/3}| = c''' + U_1 + 2U_2 + 3U_3,$$

for some constant  $c'''$ , since  $|X|$  is constant.

Observe that  $u \in U_1$  does not have weight  $4/3$ , so its weight is at least  $8/3$ .

The number of odd secants is at least

$$\sum_{x \in S} w(x) \geq 2(|S| - |S_0| - |S_{4/3}| - |U_1| - |U_2| - |U_3|) + \frac{4}{3}|S_{4/3}| + \frac{8}{3}(|U_1| + |U_2|) + 4|U_3|$$

$$\geq 2q + \frac{2}{3}(|S_{4/3}| - |U_2|) - c'''' \geq 2q - c,$$

for some constants  $c''''$  and  $c$ .

□

### 3 Larger sets of points with few odd secants.

Most of the ideas used for sets of size  $q + 2$  carry through to sets of larger size. However, the degree of the curve increases and we are unsure if this is of any use or not. We briefly describe how one might proceed with larger sets, suppressing much of the detail.

Let  $S$  be a set of  $q + t + 1$  points in  $\text{PG}(2, q)$ . For any  $x \in S$ , the *weight* of  $x$  is

$$w(x) = \sum \frac{1}{|\ell \cap S|},$$

where the sum is over the lines  $\ell$  incident with  $x$  and an odd number of points of  $S$ .

Let  $S_t$  be the set of points of  $S$  which are incident with at most one tangent.

**Lemma 17.** *If  $|S_t|$  is less than some constant then there is a constant  $c$  and a  $q_0$ , such that for  $q \geq q_0$ , the number of odd secants to  $S$  is at least  $(2 + \frac{1}{t+3})q - c$  if  $t$  is even and at least  $2q - c'$  if  $t$  is odd.*

*Proof.* The number of odd secants is  $\sum_{x \in S} w(x)$ .

Suppose  $t$  is odd. If  $x \in S \setminus S_t$  then  $w(x) \geq 2 + \frac{1}{t+3}$ , since  $w(x)$  is minimised when  $x$  is incident with two tangents, one  $(t + 3)$ -secant.

On the other hand, suppose  $t$  is even. If  $x \in S \setminus S_t$  then  $w(x) \geq 2$ , since  $w(x)$  is minimised when  $x$  is incident with two tangents.  $\square$

We can prove that  $S_t$  has some algebraic structure when  $t$  is small.

For each  $x \in S_t$ , let  $f_x$  be a linear form whose kernel is the tangent to  $S$  at  $x$ . Let  $g_x$  be the product of  $t$  linear forms whose kernels correspond to the  $i$ -secants, where  $i \geq 3$ , counted with multiplicity, so that an  $i$ -secant is counted  $i - 2$  times.

Let  $S'$  be a subset of  $S_t$  with the property that two points of  $S'$  are joined by a bi-secant to  $S$ . Fix an element  $e \in S'$  and scale  $f_x$  so that  $f_x(e) = f_e(x)$ . Similarly, scale  $g_x$  so that  $g_x(e) = (-1)^t g_e(x)$ .

As in Lemma 4 we have the following lemma.

**Lemma 18.** *For all  $x, y \in S'$ ,*

$$f_x(y)g_y(x) = (-1)^t f_y(x)g_x(y).$$

Interpolating  $g_x(X)$  as in Lemma 3.1 from [2], one can show that the points of  $S'$  are contained in a curve of degree  $t^2 + 5t + 1$  and this can be improved to a curve of degree 12 for  $t = 2$ .

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