The risks of acute exposure to black carbon in Southern Europe: results from the MED-PARTICLES project

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ABSTRACT

Objectives While several studies have reported associations of daily exposures to PM2.5 (particles less than 2.5 μm) with mortality, few studies have examined the impact of its constituents such as black carbon (BC), which is also a significant contributor to global climate change.

Methods We assessed the association between daily concentrations of BC and total, cardiovascular and respiratory mortality in two southern Mediterranean cities. Daily averages of BC were collected for 2 years in Barcelona, Spain and Athens, Greece. We used case-crossover analysis and examined single and cumulative lags up to 3 days.

Results We observed associations between BC and all mortality measures. For a 3-day moving average, cardiovascular mortality increased by 4.5% (95% CI 0.7 to 8.5) and 2.0% (95% CI 0 to 4.0) for an interquartile change in BC in Athens and Barcelona, respectively. Considerably higher effects for respiratory mortality and for those above age 65 were observed. In addition, BC exhibited much greater toxicity per microgram than generic PM2.5.

Conclusions Our findings suggest that BC, derived in western industrialised nations primarily from diesel engines and biomass burning, poses a significant burden to public health, particularly in European cities with high-traffic density.

INTRODUCTION

Previous associations of acute exposure to ambient fine particles (ie, particles less than 2.5 μm in aerodynamic diameter or PM2.5) are well documented.3 Since PM2.5 is a heterogeneous mix of solid and liquid particles, however, the specific constituents that are responsible for these findings remain uncertain. The US National Academy of Sciences,4 the European Commission II Position Paper on PM1 and the WHO4 have highlighted the importance of identifying the specific particle species that are most harmful to the exposed population. Such knowledge could help prioritise interventions to control ambient air pollution. The importance of this has been highlighted by recent analysis indicating over three million deaths per year worldwide from PM2.5.5

Dark carbonaceous compounds from combustion processes have long been suspected as one important contributor to the health effects associated with particulate matter, prompting limits on coal burning in the UK with the Clean Air Act of 1956. Measurement of these carbon-laden particles has evolved to black carbon (BC), measured by testing optical absorption, and elemental carbon (EC), measured through thermo-optical methods. While the measurement methods are different, these metrics are all highly correlated. In the USA and Europe, the primary source of BC/EC emissions (approximately 50%) is on-road and off-road diesel engines with most of the remainder coming...
from coal and domestic biofuel combustion. About 75% of the PM from diesel mobile sources is BC.6 In contrast, open biomass burning and domestic use of residential fuels are the primary sources of BC emissions in Asia, Africa and some South America regions.7

BC and EC are not yet routinely measured in Europe. As a result, only a few epidemiological studies of their relationship to mortality or hospitalisations have been conducted.8 These studies, and older studies of short-term exposure to black smoke, provide limited evidence that carbon particles are associated with total and cardiovascular-specific mortality and hospitalisation.9 Moreover, recent studies have suggested that the climate forcing effects of BC are larger than previously estimated.10,11 Therefore, reductions in BC could generate important co-benefits when direct health effects are included. However, better identification and characterisation of the health effects of current exposure to BC are needed to assess those benefits.

As part of the MED-PARTICLES project, funded by the European Union under the LIFE+ framework, 2 years of daily data on BC from two Euro-Mediterranean cities were collected and analysed: Barcelona, Spain in the Western end of the Mediterranean Basin and Athens, Greece in the Eastern Mediterranean. This affords a unique opportunity to conduct a two-city study of the impacts of BC using a consistent, predetermined methodology with added variability in some of the underlying population characteristics. Below, we report our findings of an analysis of the mortality impacts of BC, more specifically an equivalent black carbon concentration (EBC) derived from optical instruments after conversion using concurrent thermo-optical elemental carbon (EC) measurements. We examine both single-day and cumulative exposures and consider several disease-specific and age-specific mortality categories.

METHODS

Setting

Among the 12 participating cities in the LIFE+MED-PARTICLES project, only Barcelona and Athens had available daily levels of BC. Barcelona is located at the western end of the Mediterranean and is mainly polluted by road traffic. With a population of 1.5 million inhabitants in 101 km2 (when referring strictly to the city, but 5.0 million when referring to the metropolitan area which covers 3236 km2),11 it is one of the most densely populated cities in Europe. Athens, the capital and largest city of Greece, is located on the southeast coast of the Mediterranean. It covers about 450 km2 and has a population of 3 million. The urbanised area is mainly located in a basin surrounded by mountains on three sides and open to the sea from the south.

BC measurements

BC is a term representing the mass concentration of light absorbing particulate matter in the air. It is derived by optical techniques on filter-based instruments in real time. Terminology for representing the physicochemical properties and effects of BC depending on the measurement techniques employed has been recently proposed.12 The measurement of absorbance or attenuation is usually converted into mass concentration by means of a conversion factor or mass absorption coefficient (MAC). The MAC is experimentally obtained by comparing absorbance units with concurrent EC concentrations measured by a thermo-optical technique in terms of μg/m3. Specifically, the converted EBC=Absorbance units/MAC where the conversion factor may vary according to the type of instrumentation used. Therefore, the results of different instruments are comparable for our analysis, absorbance units are converted into EBC concentrations using the appropriate MACs. For this paper, instead of EBC we retain the term BC for simplicity.

BC measurements in Barcelona were obtained at an urban background-monitoring site located in southwest of the city from 1 January 2009 through 31 December 2010. The site represents both the pollution of the central areas due to sea breeze transport and that from one of the largest traffic arteries of the city, located at around 200 m from the monitoring site. The Multi-Angle Absorption Photometer (MAAP; Thermo TM, model Carusso 5012) was used to calculate absorbance from particles deposited on the filter using measurements of both transmittance and reflectance at two different angles. The absorbance was converted to BC (or EBC following the terminology described earlier) mass concentration using a MAC of 9.2 m2/g was calculated specifically for Barcelona. This was the average value obtained experimentally in situ and simultaneously by determining EC in high volume samples of 24 h by means of the Thermo Optical Transmittance technique13 using the Sunset Laboratory OC-EC analyser and the EUSAAR_2 protocol. These experimental conversion factors were used in this study.14

Twenty-four hour concentrations of BC (EBC) for Athens were obtained from the Demokritos Urban Background station (DEM) by means of an AE-31 Aethalometer (Magee Sci., 7-wavelength model) with the readings at 880 nm wavelength reported here. BC measurements were available from 1 January 2008 to 31 December 2009. Twenty-four-hour mean values were calculated from measurement data recorded every 5 min. EBC concentrations for Athens were also determined by employing measurements of EC with an in situ thermo-optical instrument operating in parallel at the station during a subsequent period. It was found that the internal MAC (16.6 m2/g) value employed by the manufacturer of the aethalometer for conversion of attenuation units to EBC concentration provided a valid conversion to EC within 10% on average. Despite the two different types of instruments used in the two cities, as indicated above, the data were converted into EBC (μg/m3) by using MACs obtained from a calibration of EC values obtained by thermo-optical methods.

The Athens urban background site lies in a field site away from direct emission sources in a vegetated area. The site is in the northeast corner of the Greater Athens Metropolitan Area and is influenced by both the urban area and by incoming air from the northeast representative of regional atmospheric aerosol conditions. Owing to the background nature of this site, located in suburban Athens, direct influence by surrounding sources is minimal and the site is representative of large residential areas of metropolitan Athens. In addition, we examined the correlation among nitrogen dioxide monitors in the metropolitan area since this pollutant is usually highly correlated with BC. The average correlation of data from 11 nitrogen dioxide (NO2) monitors (one monitor near the harbour was excluded since it is more likely to be influenced by nearby ship emissions) was 0.64 indicating that traffic-related pollutants appear to vary reasonably together in the metropolitan area.

Daily data on PM2.5 and NO2 (24 h average) were provided by monitoring networks in the two cities. PM2.5 data in Barcelona were obtained using a Grimm optical counter and hourly concentrations were averaged to 24 h. The data were corrected according to the gravimetric method. PM2.5 in Athens was obtained by gravimetric analysis of Tellon filter samples collected over a period of 24 h. For both cities, NO2 data were obtained using the chemiluminescence method.
Health outcomes and other covariates

For both cities daily counts of all-cause mortality for all ages were collected (excluding deaths from external causes, International Classification of Disease—ICD9: 001799, ICD10 A00R99), as well as daily counts of cardiovascular (ICD9: 390459, ICD10: I00I99), respiratory (ICD9:460519, ICD10: J00J99) and all-cause mortality for those greater than age 65.

Data on daily mean temperature were collected to control for the potential confounding influence of weather. We also controlled for time-dependent factors such as major holidays, summer vacation periods and influenza epidemics. The latter were identified using national influenza surveillance systems, where available or were identified based on daily counts of hospitalisations for influenza (ICD9: 487, ICD10: J09J11).15 16

Statistical analysis

For this analysis, city-specific Poisson regression models were fitted with adjustments for long-term and seasonal time trends, air temperature, holidays and influenza epidemics. Time trend was adjusted for by introducing the three-way interaction term between year, month, and day of the week. The models were also corrected for over dispersion. This method has been demonstrated to be equivalent to a case-crossover design using a time-stratified approach to select control days.17 18 By design, this method controls for factors that do not vary with pollution on a daily basis such as age, smoking, body mass index. We controlled for the influence of temperature by modelling high and low temperatures separately. For high temperatures, we calculated the average temperature on the current and previous day (lag 0–1) and fit a natural spline with 3 degrees of freedom on the lagged variable only for days on which the lag 0–1 of temperature was higher than the median annual temperature for the city as a whole. Similarly, we adjusted for low temperatures by fitting a natural spline with 2 degrees of freedom for the average temperature on previous six days (lag 1–6) only for days on which the lag 1–6 temperature was below the median annual value for the city.19 This method accounts for differences in the lag structures and impacts of cold and warm temperatures on mortality while reducing the correlation between the two spline terms. Holidays, summer population decreases and influenza epidemics were fitted using indicator variables. Finally, BC daily measurements were added to the city-specific regression models. We evaluated the lag structure of the association between BC concentrations and daily counts of health outcomes for single day lags of 0, 1 and 2 days (lag0, lag1, lag2) and for a 3-day cumulative lag structure (ie, an un-weighted moving average of lag0 through lag2 or L02).

Several sensitivity analyses were conducted. First, following Samoli et al15 we analysed the data using a time-series Poisson model with penalised regression splines with both 4 and 8 effective degrees of freedom to control for seasonality. Second, we examined two-pollutant models with a regression specification with BC and PM2.5, and BC and NO2. As indicated by Mostofsky et al20 the parameter for PM2.5 represents the difference in disease risk associated with all other constituents.

All results are expressed as % excess risk, with 95% CI, relative to the relevant IQRs. All analyses were conducted using Stata statistical software, V12.21

RESULTS

Table 1 presents descriptive characteristics for mortality, air pollution and temperature for both cities. The mean daily total number of deaths was almost twice larger in Athens (80 for all cause, 36 for cardiovascular and 9 for respiratory causes) than in Barcelona (40 for all cause, 12 for cardiovascular and 4 for respiratory causes). BC mean concentrations were much higher in Barcelona than in Athens, 2.1 and 0.74 µg/m3, respectively.

Table 2 presents the estimated % change in mortality associated with an IQR increase from lag0 to lag2 and L02. Associations between BC and all natural cause mortality were similar for both sites at all single-day lags, but Athens showed higher risk estimates for the 3-day cumulative average. For example, the estimate at lag1 was 1.8% (95% CI 0.3% to 3.3%) in Athens and 1.8% (95% CI −0.3% to 4.0%) in Barcelona. Statistically significant associations (p<0.05) were observed in Athens for lag1, lag2 and L02 and for Barcelona at L02, with marginal significance (p<0.10) for Barcelona for lag1 and lag2.

For cardiovascular mortality, the strongest single-day associations with mortality were found at lag2 for both cities, but the risk estimate was twice as large in Barcelona (3.4%; 95% CI 1.7% to 9.3%) than in Athens (2.6%; 95% CI 0.4% to 4.8%) for their associated IQRs. Overall estimates were generally highest for respiratory mortality with both cities reaching statistical significance for L0 and L02. For this end point, estimates for Athens were higher than those of Barcelona.

Pooling the results for the two cities using the 3-day moving average indicated associations with excess risks of 2.5% (95% CI 0.7% to 4.3%), 3.6% (95% CI 0.6% to 6.5%) and 8.9%
Table 2 Estimated Effect of Black Carbon on Mortality (% change and 95% CI per IQR change)

<table>
<thead>
<tr>
<th>Lag</th>
<th>Athens (IQR=0.5 μg/m³)</th>
<th>Barcelona (IQR=1.4 μg/m³)</th>
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<tr>
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<td>% change (95% CI)</td>
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<td>central low high</td>
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<td>All-cause</td>
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<td>L0</td>
<td>1.2 −0.3 2.7</td>
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<tr>
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<td>L02</td>
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<tr>
<td>L0</td>
<td>−0.3 −2.4 1.9</td>
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<tr>
<td>L2</td>
<td>2.6 0.4 4.8</td>
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<tr>
<td>L02</td>
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<td>L02</td>
<td>17.9 6.3 30.7</td>
<td>6.3 −0.0 13.0</td>
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*P<0.10; **P<0.05; L0, L1, L2=lags of zero, one and two days; L02=3 day moving average

(95% CI 3.4% to 14.4%) per 1 μg/m³ for all-cause, cardiovascular and respiratory mortality, respectively. Table 2 also summarises the mortality results for those above age 65. For both Barcelona and Athens, stronger associations for this subgroup are apparent for all mortality outcomes with risk estimates in Athens approximately double the estimates for those of all ages.

Figure 1 summarises the results of the sensitivity analysis for all-cause mortality using the 3-day moving average exposure to BC for a change in the IQR. The results show that for Athens, the BC model using case-crossover, the model with both BC and NO2 and the time-series model with 4 degrees of freedom all generated central estimates around 2.3%. Including PM2.5 in the model or using 8 degrees of freedom in the time-series model significantly attenuated the risks estimated for BC. In Athens, the correlation of BC with PM2.5 and NO2 are 0.62 and 0.59, respectively. Effect estimates for Barcelona were more consistent and had tighter CI with several central estimates close to 2%. Interestingly, adding PM2.5 to the model specification increased the risk estimate for BC while adding NO2 decreased it. The correlations of BC with PM2.5 and NO2 were 0.52 and 0.71, respectively.

**DISCUSSION**

We observed associations between daily concentrations of BC and mortality in two Mediterranean cities, Barcelona and Athens. For example, for all-cause mortality, a 3-day moving average in BC was associated with a 4.5% (95% CI 0.7% to 8.5%) and 2.0% (95% CI 0% to 4.0%) for the IQR in Athens and Barcelona, respectively. Much higher risk estimates were detected for respiratory mortality and for mortality among those greater than age 65. These elevated risks are consistent with, among others, those reported in a study of PM2.5 in 27 cities in the USA and with a meta-analysis of worldwide studies of the acute effects of PM2.5.22 23 For those studies conducted in Europe, respiratory mortality effects were about three times those of all-cause mortality and 1.6 times higher than cardiovascular mortality.23 We also found that the results were fairly consistent using either case-crossover or traditional time-series methods, although there was greater sensitivity in Athens possibly due to the larger number of missing data. The estimate in Athens was also attenuated when PM2.5 was added to the model specification.

Major differences were observed between BC concentrations in Athens and Barcelona (0.7 and 1.5 μg/m³). This may be a result of three main factors: first, the Athens monitoring site is just outside the central city, whereas the Barcelona site is an urban background site more influenced by the local road traffic; second, the population density of Barcelona is much greater than that of Athens;24 and third, the proportion of diesel passenger cars is higher in Barcelona, reaching around 55% of the fleet and 70% of annual sales, whereas diesel passenger cars were prohibited from circulating in the city of Athens based on legislation passed in 1991 (since rescinded in 2011). However,
Athens. In addition, a meta-analysis of time-series studies of total mortality in 14 European cities, including Barcelona and BC alone (time series, using 4 df); BC alone (time series, using 8 df). Cities, Ballester et al. performed a time-series analysis of data from 1990 to 1996 for seven Spanish cities. Sensitivity analysis for per cent increase in all-cause mortality associated with an IQR increase using 3-day cumulative average of black carbon (BC). For each of the cities, the order of results is: BC alone (using case-crossover); BC+PM2.5 and BC+NO2 (using case-crossover), BC alone (time series, using 4 df); BC alone (time series, using 8 df).

...taxis and buses were able to use diesel fuel during this period and generate a significant amount of emissions. Based on its location, the monitored concentrations in Barcelona may be high relative to the rest of the city. This may bias downward the estimated effect for a given level of excess mortality and bias upward the IQR. Ultimately, these effects might offset each other. Since the Athens monitor has a suburban location, it is likely a good measure of background exposure but may be low for those living in hot spots in the central city.

While there are few studies of short-term exposure to directly measured BC and mortality, several studies report associations between BC and various markers of cardiovascular disease. As such, they add plausibility to the mortality findings by providing some indications of potential mechanisms. For example, in a study of 91 participants with type-2 diabetes, O’Neill et al. reported associations between short-term exposures to BC and markers of inflammation. Associations for a subset of the markers were observed for BC but not for PM2.5 or sulfate. Likewise, Mordukhovich et al. found associations for BC, but not for PM2.5, on both diastolic blood pressure and systolic blood pressure in a cohort of elderly men. Short-term exposure to BC has also been associated with ST-segment depression, a sign of ischaemia, in controlled and observational studies. In a controlled exposure study to diesel exhaust, Lucking et al. observed increases in thrombus formation. In addition, recent toxicological studies have demonstrated that animals exposed to diesel exhaust experienced the promotion of growth of atherosclerotic plaque.

Associations with short-term exposure to black smoke (BS), a correlate of BC, have also been previously reported. Based on time-series analysis of data from 1990 to 1996 for seven Spanish cities, Ballester et al. observed an association between daily BS, measured by reflectometry and total mortality with an estimate of 0.8% (95% CI 0.4% to 1.1%) per 10 μg/m³ of BS. This is equivalent to about 0.7% per μg/m³ BC if we apply the BS/EB ratio developed experimentally from data in the Netherlands. Katsouyanni et al. reported a 0.6% increase per 10 μg/m³ increase in BS in total mortality in 14 European cities, including Barcelona and Athens. In addition, a meta-analysis of time-series studies of 26 European cities using data covering 1983 to 1996 reported an estimate of 0.6% (95% CI 0.4% to 0.8%) per μg/m³ BS. Mortality studies of EC have also been conducted. The meta-analysis by Janssen demonstrated an association with an estimate of 1.45% (95% CI 1.3% to 1.6%) per μg/m³ of EC. In an analysis of 72 US urban communities, Krall et al. reported an estimate of 0.6% (95% CI 0% to 1.2%). These studies generally relied on single-day lags rather than mulitiday averages.

Associations with longer-term exposure to BC have also been reported. Using data from 380 participants of the Normative Aging Study, Wilker et al. observed an association between 1-year exposure to BC and increases in carotid intima-media thickness—an early indicator of atherosclerotic disease.

It is of interest to compare the relative toxicity of BC to that of generic PM2.5. Using the case-crossover method with a 3-day moving average of PM2.5 for the same years as that of the BC data, we found a pooled estimate for all-cause mortality, using inverse-variance weighting, of 2.5% (95% CI 1.1% to 3.8%) per 10 μg/m³. In comparison, our pooled estimate for 1 μg/m³ of equivalent BC is 2.5% (95% CI 0.7% to 4.3%) so the impact of BC is about 10 times greater per μg/m³. In a review of studies that included estimates for both EC (based on BS) and PM2.5, Janssen et al. found that on a per mass unit basis, the risk estimates for EC were 7–8 times that of PM2.5. Likewise, Smith et al. examined the association of long-term exposure to PM2.5 with EC using the American Cancer Society cohort and reported that the impacts of EC were approximately 10 times that of PM2.5 on a per unit mass basis. Thus it appears that the evaluation of specific properties or sources of PM2.5 is beneficial but not limited to only diesel emissions since other constituents have been identified as toxic.

When comparing the relative impacts of BC and PM2.5 several caveats regarding exposure are necessary. Since PM2.5 is much more spatially homogeneous than BC, exposure misclassification for the latter might be greater, leading to a lower risk estimate, in general. On the other hand, because BC tends to be in the submicron size range, it penetrates more readily into the indoor environment. For example, in a study of four European cities with paired indoor and outdoor samples, correlations between indoor versus outdoor concentrations were higher for BS than PM2.5 and...
outdoor concentrations explained a greater amount of the variation in indoor concentration. In addition, during our study period, exposures to BC and PM2.5 were related with correlation coefficients in Athens and Barcelona of 0.62 and 0.52, respectively. Thus, BC is likely correlated with other constituents of PM2.5 and may be a surrogate for their associations. Also, as noted above, there were more missing data Athens and each city had only 2 years of data. It is difficult to weigh the relative impacts of all of these issues in evaluating the per μg/m³ impacts of BC versus PM2.5, but taken together, the toxicity of BC does appear to be much greater than that of PM2.5.

Our results provide evidence for an association of acute exposure to BC on daily mortality, including mortality relating to both cardiovascular and respiratory diseases, and in fact indicate greater toxicity as compared with generic PM2.5. In addition to its importance as a contributor to global climate change, BC appears to play a vital role in human health. Thus, controlling its sources, such as diesel-fueled vehicles and biomass burning, will help improve urban air quality and, consequently, the health of the exposed population.

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Collaborators


Contributors

BO and AT conceived the design of the study. ES, MS, FF, KK, SR, XB, BJ and JS contributed subject matter expertise, aided in model design and data analysis. QX, AK, KE, ED and SV contributed exposure data and subject matter expertise. BO, AT, ES, KK, QX, AK and KE participated in literature review and the drafting and editing of the final manuscript.

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Competing interests

None.

Provenance and peer review

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