

AN UPDATE ON OPEN ACCESS TO PUBLICATIONS: CHALLENGES AND WAY FORWARD

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CESAER TFOS Open Access WG

OVERVIEW

- An update on last year's presentation at the Open Science WS in Bucharest
- Open Access: a swiftly evolving landscape
- Some recent developments in the OA domain
- Workplan for the OA WG within the CESAER TFOS: working papers
- Factors that contribute to a successful institutional Open Access implementation
- Open Access and Engineering conference papers

CESAER OA WG A STABLE FORUM

PART I THE OPEN ACCESS WG

- Coordinated by Uni Strathclyde (Pablo de Castro) & TIB Hannover (Marco Tullney)
- Representatives from: the Netherlands (TU Delft), Germany (TIB Hannover, RWTH Aachen), Austria (TU Wien), Finland (Aalto Uni), Poland (Gdansk Uni Technology), Czech Republic (Czech Technical Uni Prague), Italy (Politecnico di Milano), Spain (Polytechnic Uni Catalonia, Polytechnic Uni Valencia), Switzerland (ETHZ), Sweden (Lund Uni), the UK (Strathclyde Uni) and Israel (Technion Haifa)
- Emphasis on the disciplinary approach to OA implementation, looking into differences by countries and institutions
- Monthly online calls, plus face-to-face meetings at CESAER Annual Conference

De Castro, Pablo (2018) "**Open Access to publications: challenges and way forward**".
CESAER Annual Meeting 2018, Oct 17, 2018, Polytechnic University Bucharest,
<https://strathprints.strath.ac.uk/65818/>

12 countries

OPEN ACCESS: A SWIFTLY EVOLVING LANDSCAPE

- Plan S and its potential implications extensively discussed at the Bucharest WS last year
- Detailed policy guidelines for Plan S only released after this Oct'18 CESAER Annual Meeting
- Parallel developments taking place in various countries: R&P agreements, PAR deals, push for responsible metrics, “Plan U” to promote pre-print deposit, among others.
- Pace of landscape evolution too quick to try and capture it in a white paper on OA from our WG

RECENT OA DEVELOPMENTS: A FEW MILESTONES

- Plan S details (Nov'18 for feedback then May'19)



The screenshot shows the top navigation bar of the cOAlition S website. The logo on the left features an orange square with a white 'a' icon and the text 'Plan S' and 'Making Full and Immediate Open Access a reality'. The navigation menu includes 'Why Plan S', 'Principles and Implementation', 'Who's involved', 'About', and 'Contact'. The main content area has a headline: 'cOAlition S Releases Revised Implementation Guidance on Plan S Following Public Feedback Exercise'. Below the headline is the date '31/05/2019' and a paragraph of text. To the right of the text is a section titled 'Further Information' with a link to 'Revised Plan S principles and implementation guidance'.

Plan S
Making Full and Immediate Open Access a reality

Why Plan S Principles and Implementation Who's involved About Contact

cOAlition S Releases Revised Implementation Guidance on Plan S Following Public Feedback Exercise

31/05/2019

Today cOAlition S releases [revised guidance on Plan S implementation](#) which has been approved by all coalition members. "Plan S is a bold step forward which the European Commission, along with a growing number of national funders, is committed to implement" explained Carlos Moedas, the European Commissioner for Science, Research and Innovation.

Further Information

> [Revised Plan S principles and implementation guidance](#)

<https://www.coalition-s.org/revised-implementation-guidance/>

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RECENT OA DEVELOPMENTS: A FEW MILESTONES

- Discussions on institutional Plan S implementation (Jun'19)

**Plan S implementation
by institutions and libraries
OAI11 workshop RESULTS**

The original presentation is online at:
<https://tinyurl.com/plans-oai11>
All files archived at:
<https://doi.org/10.5281/zenodo.3251666>

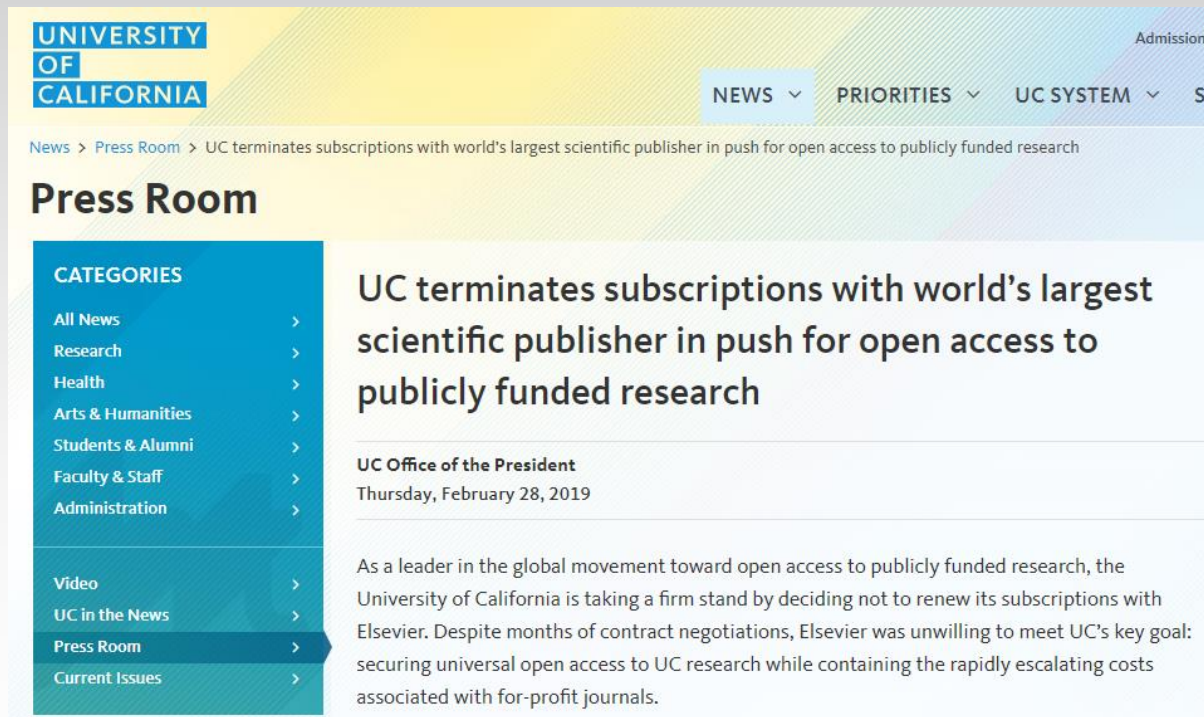
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RECENT OA DEVELOPMENTS: A FEW MILESTONES

- Sweden cancels ScienceDirect, UC follows suit in Feb'19



The screenshot shows the University of California Press Room page. The header includes the University of California logo and navigation links for NEWS, PRIORITIES, UC SYSTEM, and Admissions. The main content area features a news article titled "UC terminates subscriptions with world's largest scientific publisher in push for open access to publicly funded research". The article is dated Thursday, February 28, 2019, and is attributed to the UC Office of the President. The article text discusses the University of California's decision to terminate its subscriptions with Elsevier, citing the goal of securing universal open access to UC research while containing the rapidly escalating costs associated with for-profit journals.

UNIVERSITY OF CALIFORNIA Admissions

NEWS ▾ PRIORITIES ▾ UC SYSTEM ▾ SL

News > Press Room > UC terminates subscriptions with world's largest scientific publisher in push for open access to publicly funded research

Press Room

CATEGORIES

- All News >
- Research >
- Health >
- Arts & Humanities >
- Students & Alumni >
- Faculty & Staff >
- Administration >

Video >

UC in the News >

Press Room >

Current Issues >

UC terminates subscriptions with world's largest scientific publisher in push for open access to publicly funded research

UC Office of the President
Thursday, February 28, 2019

As a leader in the global movement toward open access to publicly funded research, the University of California is taking a firm stand by deciding not to renew its subscriptions with Elsevier. Despite months of contract negotiations, Elsevier was unwilling to meet UC's key goal: securing universal open access to UC research while containing the rapidly escalating costs associated with for-profit journals.

<https://www.universityofcalifornia.edu/press-room/uc-terminates-subscriptions-worlds-largest-scientific-publisher-push-open-access-publicly>

RECENT OA DEVELOPMENTS: A FEW MILESTONES

- National-level deals in Germany with Wiley (Jan'19) and Springer (Aug'19)

<https://www.projekt-deal.de/faq-wiley-contract/>

FAQ Wiley Contract



The present FAQ addresses some general questions of interest to the international community.

*A more detailed FAQ in German has already been provided directly to the research institutions covered in the **present agreement**. Updates for the German research and library communities will be provided on the dedicated*

Pressekonferenz zur Unterzeichnung des Memorandum of Understanding mit SN

👤 Gottfried Haufe 📅 26. August 2019 💬 Comments Off



<https://www.projekt-deal.de/pressekonferenz-zur-unterzeichnung-des-memorandum-of-understanding-mit-sn/>

Im Rahmen der Unterzeichnung des Memorandum of Understanding (MoU) am 22. August fand in den Räumlichkeiten der Hochschulrektorenkonferenz in Berlin eine Pressekonferenz statt.

RECENT OA DEVELOPMENTS: A FEW MILESTONES

- Funders and institutions pushing for responsible metrics (May'19 – Wellcome Trust)



Open access policy 2021

Our open access (OA) policy is changing.

3. We will no longer cover the costs of OA publishing in subscription journals. Grant applicants cannot ask for these costs in their grant application, and grantholders will not be allowed to use their grant funds to pay for these costs.

However, from 1 January 2021 to 31 December 2024, organisations can use Wellcome OA funds for publication costs associated with [transformative OA arrangements](#). These costs must be in proportion to the ~~number of OA articles supported by Wellcome.~~

7. All Wellcome-funded researchers are strongly encouraged to:
 - post [preprints](#) of their completed manuscripts
 - publish them under a CC-BY licence.

9. We are committed to making sure that when we assess research outputs during funding decisions we consider the intrinsic merit of the work, not the title of the journal or publisher.

All Wellcome-funded organisations must publicly commit to this principle. For example, they can sign the [San Francisco Declaration on Research Assessment](#), [Leiden Manifesto](#) or equivalent.

<https://wellcome.ac.uk/funding/guidance/open-access-policy>

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RECENT OA DEVELOPMENTS: A FEW MILESTONES

- “Plan U” unveiled by BiorXiv (Feb’19) – an alternative / a complement to Plan S

Plan U: A proposal to achieve universal access to scientific and medical research via funder preprint mandates

Plan U would:

- Immediately achieve universal free access to academic research
- Speed research through earlier and wider dissemination of results
- Produce opportunities for new initiatives in peer review and research evaluation

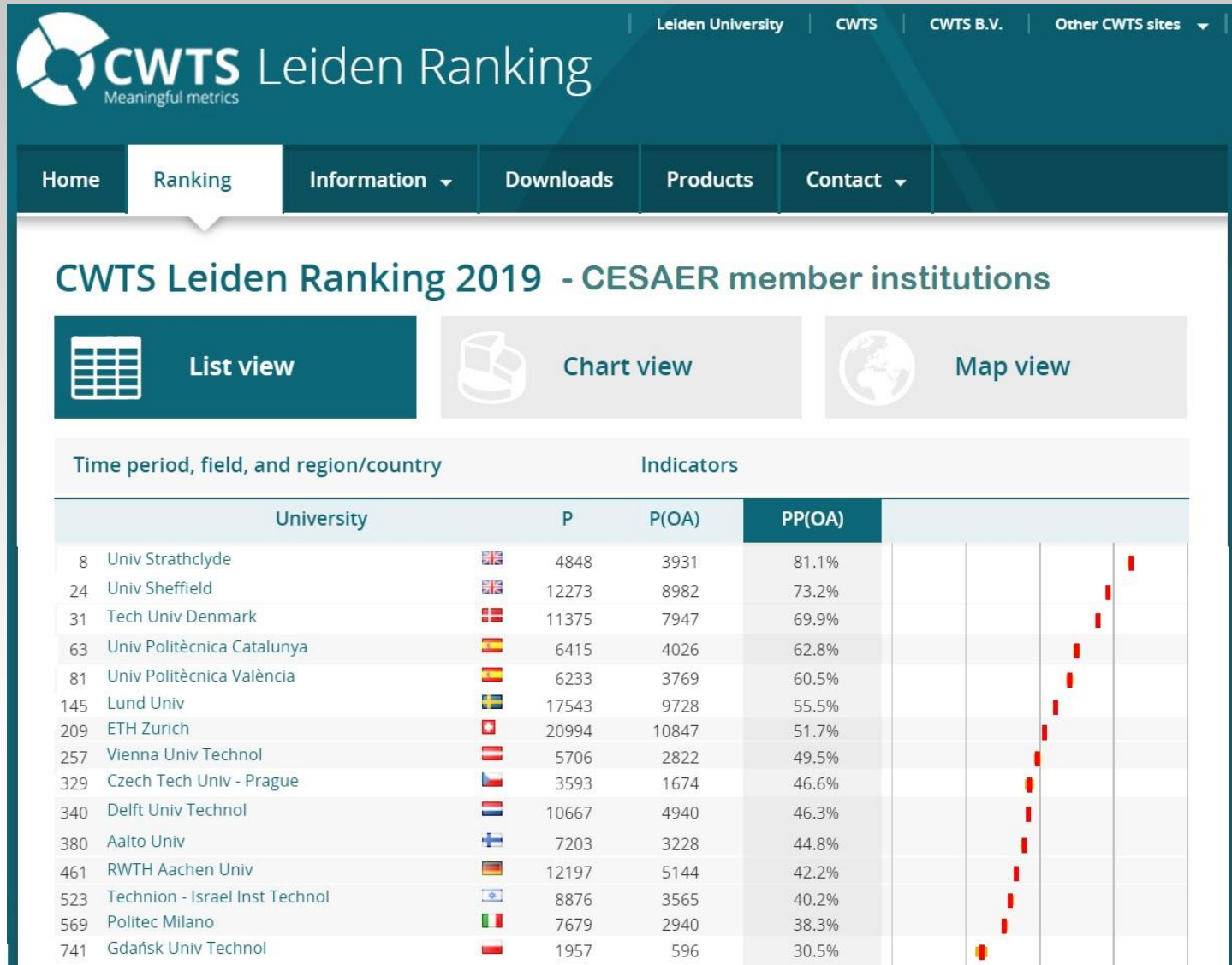
HOW TO DESCRIBE SUCH A SWIFTLY EVOLVING LANDSCAPE?

- At the Jul 10-11, 2019 face-to-face OA WG meeting at TU Delft Library, we decided we would instead go for a *series of working papers* – to be narrower in scope and so, more durable.
- These WPs aim to focus on a *specific approach to Open Access from the Engineering sciences*, also keeping in mind the wider network of CESAER member institutions not just those in the WG.

CESAER OPEN ACCESS WORKING PAPERS: TO-DO LIST

1. Factors for a successful institutional Open Access implementation
2. Open Access and conference papers in the Engineering disciplines
3. Quantitative analysis of CESAER members' publishing profiles
4. Position paper taken by CESAER on addressing publishers in the field of Engineering

THE CWTS LEIDEN MAY'19 OPEN ACCESS RANKING



FACTORS FOR A SUCCESSFUL OPEN ACCESS IMPLEMENTATION

- Could we try to map the factors that make OA WG institutions succeed in the CWTS Leiden OA ranking?
- 4 key elements identified as “Factors for a successful institutional Open Access implementation”
 - Open Access policies
 - System availability and configuration
 - Staff
 - Advocacy
- First OA WP: Release scheduled for Q4 2019

OPEN ACCESS WITHIN THE CESAER NETWORK

- Identification of key factors will allow to survey the status of Open Access across the CESAER institutional network
- Exploration shows large differences in OA implementation and awareness across CESAER institutions and countries – even within the OA WG itself

OA & ENGINEERING CONFERENCE PAPERS

- High relevance of conference papers for the Engineering disciplines
- But,
- Little emphasis on conference papers by the Open Access community

OA & ENGINEERING CONFERENCE PAPERS

- Figures in OA WG institutions about conference papers versus articles
- Self-archiving policies conferences
- Persistent identifiers: DOI for conferences papers
- Advocacy

