

1 **Laboratory evidence for co-condensed O- and C-rich meteoritic stardust**
2 **from nova outbursts**

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20 **Although their parent stars no longer exist, the isotopic and chemical compositions and**
21 **microstructure of individual stardust grains identified in meteorites provide unique constraints on**
22 **dust formation and thermodynamical conditions in stellar outflows¹⁻⁴. Novae are stellar explosions**
23 **that take place in the hydrogen-rich envelope accreted onto the surface of a white dwarf in a close**
24 **binary system⁵. The energy released by a suite of nuclear processes operating in the envelope**

25 powers a thermonuclear runaway, resulting in the ejection of processed material into the
26 interstellar medium. Spectral fitting of features observed in the infrared spectra of dust-forming
27 novae provided the first evidence of the co-condensation of both carbonaceous and silicate dust in
28 stellar outflows within the 50 to 100 days after explosion⁶⁻¹¹. Here we report the identification of an
29 O-rich inclusion, composed of both silicate and oxide nanoparticles, inside a graphite spherule that
30 originated in the ejecta of a low-mass CO nova¹². This observation provides laboratory evidence of
31 the co-condensation of O- and C-rich dust in nova outbursts, and is consistent with the transport
32 and mixing of materials between chemically distinct clumps within the nova ejecta.

33 Dust in stellar and interstellar environments is traditionally studied using space or ground-based
34 telescopes but the laboratory study of circumstellar (presolar) grains identified in extraterrestrial
35 materials (*e.g.*, meteorites) has opened up a new field in astronomy and astrophysics, providing direct
36 ground-truth information on individual stars. Such presolar grains are nanometer- to micrometer-sized
37 minerals, amorphous grains, or aggregates of them, and can include materials such as nanodiamond,
38 SiC, graphites, oxides, and silicates^{1,2}.

39 Over the last two decades, about 2000 presolar graphite spherules have been identified and studied
40 exclusively from the acid-resistant residues of the three carbonaceous chondrites: Murchison (CM2),
41 Orgueil (Cl1), and Qingzhen (EH3)¹³⁻¹⁵. While most presolar graphite spherules originated from low-
42 metallicity asymptotic-giant-branch stars (~50%) and core-collapse supernovae (~25%), the remaining
43 grains are hypothesized to have also originated from other types of stars, *e.g.*, born-again AGB and J-
44 type stars^{14,15}. A few presolar graphite grains also have isotopic compositions suggesting possible
45 origins in the ejecta of novae¹⁶. Novae are traditionally classified as neon and non-neon, which reflects
46 the composition of the white dwarf that hosts the explosion, *i.e.*, ONe (O- and Ne-rich) and CO (C- and
47 O-rich), respectively⁵. Similarly to other SiC, silicates, and oxides with putative nova origins, these

48 graphite grains have isotopic compositions that are only qualitatively consistent with direct nova model
49 predictions¹⁷⁻²³.

50 Recently, a uniquely ¹³C- and ¹⁴N-rich presolar graphite grain (LAP-149) was identified *in-situ* in the
51 primitive CO_{3.0} carbonaceous chondrite, LaPaz Icefield (LAP) 031117. LAP-149 is about 1μm wide (Fig.
52 1) with a croissant-like shape (Supplementary Figure 2a) and is characterized by one of the largest ¹³C
53 enrichments (¹²C/¹³C = 1.41 ± 0.01) ever measured in any circumstellar graphite grain¹². Comparison of
54 its C, N, Si, and S isotopic compositions to stellar nucleosynthesis model calculations and equilibrium
55 dust-condensation models suggest an origin in the ejecta of a low-mass (0.6 solar mass) CO nova¹².
56 Unlike all other putative nova grains, the isotopic compositions of LAP-149 match both quantitatively
57 and qualitatively CO nova model predictions, providing strong evidence for graphite condensation in
58 nova ejecta¹². However, the O isotopic composition of LAP-149 (¹⁶O/¹⁷O and ¹⁶O/¹⁸O are both within
59 solar values) are inconsistent with CO nova models, which predict both large enrichments in ¹⁷O and
60 depletions in ¹⁸O (¹⁶O/¹⁷O at least 7.5 times lower than solar and ¹⁶O/¹⁸O at least 60 times higher than
61 solar)¹². This inconsistency is a recurring and common problem observed for all known putative nova
62 grains, including O-rich grains (silicate and oxide).

63 A recent study re-examined the isotopic ratios produced by thermonuclear runaways on CO white
64 dwarfs using a Monte Carlo technique that involves a random sampling over the most relevant nova
65 model parameters²⁴. It identified, among all the previously reported nova grain candidates, including
66 grain LAP-149 that we report on here, those that are most likely to have formed in CO novae²⁴. This
67 study considered a grain to have a CO nova origin if all of its measured isotopic ratios are in quantitative
68 agreement with the model predictions (without assuming any dilution of the ejecta). The new
69 calculations identified six grains deemed most likely to have originated in CO novae. We note that they
70 are all SiC, for which the O isotopic compositions were not measured. In comparison, all the grains with
71 the lowest likelihood of a CO nova origin are those for which O isotopic ratios were measured²⁴. In other

72 words, there is a systematic discrepancy on the O isotopic compositions between all putative nova
73 grains and stellar nucleosynthetic models^{12,24}. It was suggested that this discrepancy might reflect
74 equilibration of O isotopic compositions by parent-body alteration or laboratory processing (e.g., acid
75 dissolution), or mixing of the nova ejecta with solar composition material^{17,19,20}. However, in the case of
76 grain LAP-149, the NanoSIMS and Auger nanoprobe data did not show any sign of O diffusion into the
77 grain and it was identified *in-situ* in a thin-section of a minimally altered carbonaceous (CO_{3.0})
78 chondrite, thus excluding any possible isotopic dilution due to laboratory processing¹². Furthermore,
79 new chemical maps of an electron-transparent section of grain LAP-149 obtained by collecting energy-
80 dispersive X-ray spectra (EDS) using scanning transmission electron microscopy (STEM) do not show
81 any sign of O diffusion inside the graphite spherule (Fig. 1). The fact that O diffusion from surrounding
82 matrix material and O isotopic equilibration from laboratory treatments and/or alteration processes can
83 be definitely excluded for grain LAP-149 shows that additional computational models and astronomical
84 observation of nova ejecta are required to reconcile laboratory measurements of all putative nova
85 grains with model predictions for O isotopes.

86 STEM phase-contrast lattice fringe imaging of LAP-149 and a presolar graphite shows that LAP-149
87 is composed of nanocrystalline graphite with an interplanar distance (d_{002} spacings = 0.34 nm)
88 consistent with graphite (Fig. 2). This observation is also consistent with the comparison of the electron
89 energy-loss spectroscopy (EELS) C K energy-loss near-edge structure of LAP-149 and two other
90 presolar graphite (Fig. 3).

91 High-resolution secondary electron, bright-field, and annular dark-field imaging show the presence
92 of an inclusion inside of the LAP-149 graphite grain, measuring approximately 170 × 70 nm (Fig. 1). Both
93 the EELS maps and EDS spectrum images show that the inclusion is composed of O-rich material (Figs.
94 1 & 4). Furthermore, the heterogeneous distribution of Si and O across the inclusion suggests that it is
95 an aggregate composed of silicate and oxide grains. Electron-nanodiffraction patterns of distinct

96 regions within the inclusion show that the silicate and oxide grains are nanocrystalline aggregates (Fig.
97 5). The EDS data reveal that the oxides are Fe- and Al-rich, and the silicates are ferromagnesian in
98 composition (Fig. 4).

99 Based on spatial relationships, this inclusion must have formed before the host graphite, LAP-149,
100 in the same nova ejecta, as it is completely surrounded by the graphite grain (Figs. 1 & 4). In addition,
101 the inclusion could not have filled a crack or cavity in the graphite spherule because it progressively
102 appeared during the focused-ion beam (FIB) thinning process on both sides of the section, confirming
103 that the inclusion was entirely enclosed inside its host graphite. While many different types of
104 refractory inclusions have previously been reported inside of presolar SiC and graphite grains²⁵, to our
105 knowledge, this is the first identification of presolar silicate and oxide grains inside a carbonaceous
106 grain. This observation is not consistent with traditional equilibrium thermodynamic calculations of
107 dust condensation in circumstellar environments that predict formation of carbonaceous grains in C-
108 rich stellar envelopes with $C/O > 1$ and silicate and oxide dust in O-rich envelopes with $C/O < 1$. However,
109 our detection of nanocrystalline silicate and oxide grains inside of a graphite spherule is consistent with
110 astronomical observations over periods of several years in CO nova ejecta that report production of
111 both C-rich (*e.g.*, graphite, SiC and amorphous carbon) and O-rich (*e.g.*, silicates) dust⁶⁻¹⁰.

112 The nanocrystalline silicate and oxide inclusions in LAP-149 provide ground-truth laboratory
113 evidence of the co-condensation of O-rich (silicates and oxides) and C-rich (*e.g.*, graphites) grains in CO
114 nova outbursts. These observations also suggest that either the nova ejecta has temporal or spatial
115 heterogeneities with distinct clumps or layers of C- and O-rich material, or the nova outbursts are
116 significantly asymmetric with different ejecta compositions in different directions^{6,8}. Such asymmetries
117 have been previously observed. For example, multi-wavelength spectroscopic observations of several
118 novae, such as observations of the GK Persei nova ejecta with the NASA Hubble space telescope, the
119 NASA Chandra X-ray observatory, and the National Science Foundation's Very Large Array (VLA)

120 telescope provide evidence of the asymmetric structure of the nova ejecta and show that clumps of
121 material are ejected during nova outbursts²⁶. The chemistry of nova outbursts depends on
122 characteristics of the binary star system, such as the nature of the white-dwarf core (CO- or ONe-rich)
123 and the composition of the gas mixture between the white-dwarf surface and the accreted material
124 from the envelope of the companion star¹⁰.

125 If our observation reflects temporal variations in the nova ejecta, LAP-149 and its silicate-oxide
126 inclusion could have formed within the same clump in which the C/O ratio varied with time. The O-rich
127 inclusion formed first when the C/O ratio was below 1, and the surrounding graphite condensed
128 afterwards in a C-rich environment (C/O ratio above unity). However, this hypothesis is not consistent
129 with astronomical observations of dust condensation in nova ejecta that suggested that C- and O-rich
130 dust formed in different part of the nova outburst, and C-rich grains formed first before the
131 condensation of silicates⁶⁻¹¹. Grain LAP-149 and its inclusion thus likely formed in distinct clumps of the
132 nova ejecta (with O- and C-rich compositions, respectively). In this case, the observation of the silicate-
133 oxide inclusion inside of LAP-149 indicates dust mixing and transportation between distinct clumps
134 within the nova ejecta. Either way, our data suggest a circumstellar environment that defies
135 conventional wisdom, i.e., co-condensation of both C- and O-rich dust and large-scale transport could
136 have occurred and therefore demands a re-examination of the dynamics of these enigmatic stars.

137 All the current nucleosynthesis model predictions for novae rely on one-dimensional (1D)
138 simulations. Recent preliminary work on three-dimensional (3D) hydrodynamics simulations of the
139 interaction between the nova ejecta, accretion disk, and stellar companion suggested that, within one
140 hour of the nova outburst (before the condensation of dust grains), a fraction of the ejecta might collide
141 with the accretion disk and/or the envelope of the companion star²⁷. Other 3D simulations suggest that
142 turbulent convection might be responsible for the observation of highly fragmented and
143 heterogeneous nova ejecta, and could explain transportation of heterogeneous material across the

144 ejecta^{28,29}. Such processes would only affect a small fraction of the ejecta and could potentially provide
145 an explanation for the transport and mixing material between C- and O-rich clumps in the nova
146 ejecta^{28,29}. In this context, our discovery of an O-rich inclusion, composed of nanocrystalline silicate and
147 oxide grains, in a presolar graphite spherule further supports inhomogeneities, mixing and transport of
148 dust within the ejecta and is consistent with a formation model where the nanocrystalline Fe- and Al-
149 rich oxides and ferromagnesian silicates condensed first in an O-rich region of the ejecta, and the
150 silicate-oxide inclusion was then transported to a C-rich region of the ejecta where nanocrystalline
151 graphite condensed around it.

152 Bright-field (BF) and annular dark field (ADF) images also reveal the presence of two different rims
153 on the top and bottom surface of the grain (Fig. 1). While the top layer reflects Cs⁺ ion beam damage
154 due to the NanoSIMS measurements, the rim on the bottom surface of the grain, directly in contact
155 with the surrounding matrix material, was not exposed to either the NanoSIMS measurements or any
156 other laboratory processing. EDS mapping shows that this rim is composed of a mixture of
157 carbonaceous material and O-rich material with an elemental composition consistent with a
158 ferromagnesian silicate (Supplementary Figure S1). EELS measurements of the carbonaceous material
159 in the rim indicate that it is mostly composed of aromatic amorphous carbon (Fig. 3). Because LAP-149
160 was identified in a pristine (unequilibrated) carbonaceous chondrite that experienced only minimal
161 secondary processing, such as thermal metamorphism and aqueous alteration, the rim does not reflect
162 secondary processing on the meteorite parent-body³⁰. Instead, the rim likely reflects processes in the
163 nova ejecta or grain surface processing in the interstellar medium (ISM). While irradiation of the
164 graphite spherule in the ISM could potentially explain the formation of a rim composed of amorphous
165 carbon on the surface of the graphite grain, it would not explain the mixture of both amorphous C and
166 ferromagnesian silicate material. Another possibility is that the rim formed during processing in the
167 parent nova environment. In addition to SiC, graphite and silicates, IR spectroscopic observations of

168 nova ejecta have also suggested the presence of amorphous carbon and aromatic organic
169 nanoparticles⁶⁻¹¹. The surface coating on grain LAP-149 could then reflect the condensation of
170 amorphous carbon and ferromagnesian silicate nanoparticles on the surface of the grain within the
171 nova outburst.

172

173 **Methods.** An electron-transparent cross section of graphite grain LAP-149 was prepared following
174 previously described focused-ion-beam (FIB) techniques³¹, using the dual-beam Helios NanoLab 660
175 FIB-SEM at the University of Arizona (Supplementary Figures S2 and S3). In brief, Pt fiducial markers
176 were first deposited on top of the grain using electron-beam deposition. Using ion-beam deposition, a
177 protective C strap (or capping layer) was then deposited on the top surface of the cross-section to
178 mitigate any potential damage (e.g., Ga⁺ ion implantation and amorphization) during the sputtering
179 process. The section was then cut and extracted from the meteorite thin-section using the EasyLift[®]
180 micromanipulator system. Finally, the section was mounted to the TEM grid and progressively thinned
181 to electron-transparency ($\lesssim 100$ nm) using a range of accelerating voltages and current. Final ion
182 polishing was performed at 5 keV and 0.68 nA to remove any potential surface damage caused by
183 higher-voltage milling.

184 We then carried out coordinated high-resolution STEM imaging (secondary electron, bright-field
185 and annular dark-field), energy-dispersive X-ray spectroscopy (EDS) and electron energy-loss
186 spectroscopy (EELS) measurements of the grain using the 30kV Hitachi SU9000 SEM/STEM located at
187 the University of Arizona. The SU9000 is equipped with an Oxford Instruments X-Max 100LE EDS
188 detector and Hitachi EELS system. All measurements were carried out with a 30kV accelerating
189 voltage. Hole Count EDS spectra acquired in the vacuum just above the FIB section show Cu and Al
190 system peaks (Supplementary Figure S4), confirming that all other elements identified in the sample
191 are indigenous to the grain. Based on the EELS low-loss spectrum (zero-loss and plasmon peaks) of

192 LAP-149, we estimated a t/λ ratio of about 0.55 (where t corresponds to the sample thickness and λ is
193 the local inelastic mean free path), corresponding to a thickness of about 35 nm. This estimate was
194 calculated using the Microscopy & Microanalysis Tool Set³². We tested the accuracy of this method by
195 measuring the t/λ ratio of microtome sections of cyanoacrylate standard with a known thickness (30
196 nm).

197 Using a Gatan Be double-tilt high-resolution holder, we also acquired additional EDS and electron-
198 nanodiffraction patterns of the O-rich inclusion in LAP-149 using the Hitachi HF5000 200keV
199 STEM/TEM at the University of Arizona to obtain further information on its nanoscale microstructure
200 and to confirm the presence of Al in the inclusion (Supplementary Figure S5).

201 Finally, we carried out additional NanoSIMS ion raster imaging of C and O isotopes (¹²C, ¹³C, ¹⁶O, ¹⁷O
202 and ¹⁸O) of the FIB section of grain LAP-149 at Washington University (WUSTL) using a focused Cs⁺
203 primary beam of ~0.3 pA (~50 nm in diameter) that was rastered over the section (covering a surface of
204 $6 \times 6 \mu\text{m}^2$ and 256×256 pixels²) with a dwell time of 1 milliseconds/pixel. Unfortunately, despite
205 using a low primary ion beam, the FIB section directly started to fold onto itself as soon as it was
206 exposed to the Cs⁺ primary ion beam, so we were not able to obtain isotopic measurements of the
207 inclusion. This was likely due to the extremely low thickness (30 nm) of the section.

208

209 **Author contributions.** P.H. prepared the FIB sample cross-section, carried out the TEM and
210 NanoSIMS measurements and wrote the manuscript; J.Y.H., K.K., T.S., A.M. and T.J.Z. helped with the
211 TEM analyses; T.J.Z., S.A., K.L. and J.J. contributed to the data interpretation; All co-authors
212 contributed to the manuscript.

213

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218

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297 **Methods References**

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FIGURES LEGENDS

309

310 **Figure 1. Scanning transmission electron microscopy data of grain LAP-149.** (a) Bright-field (BF) and
311 (b) annular dark field (ADF) images of grain LAP-149. (c) electron energy-loss spectroscopy 3-window C-
312 K edge map of grain LAP-149 showing the carbon distribution, and (d) false-color composite elemental
313 maps (C = red; O = blue).

314

315 **Figure 2. Scanning transmission electron microscopy phase-contrast images of presolar graphite**
316 **grain LAP-149 and a presolar graphite (KFC1b2-gr1) from an acid-residue of the Murchison**
317 **meteorite.** Both grains exhibit lattice fringe with an interlayer graphene distance ($002 d_{\text{spacings}}$) of
318 0.34nm.

319

320 **Figure 3. Energy-loss near-edge structure of the C, K edge of presolar graphite grains.** Comparison
321 of the C K edge energy-loss near-edge structure of LAP-149, the carbonaceous material in its bottom
322 rim and two Murchison presolar graphite grains (KFC1b2-gr1 and KFC1b2-303).

323

324 **Figure 4. Scanning transmission electron microscopy (STEM) imaging and energy-dispersive**
325 **spectroscopy (EDS) data of the inclusion inside LAP-149.** STEM bright-field (BF), annular dark-field

326 (ADF) images and EDS false-color elemental maps (O = blue, C = red, Si = green, Fe = pink and Mg =
327 yellow) of the inclusion inside of graphite grain LAP-149.

328

329 **Figure 5. Transmission electron microscopy (TEM) imaging and electron nanodiffraction data of the**
330 **inclusion inside LAP-149.** Nanodiff 1 & 2 show the diffraction patterns of the nanocrystalline oxides
331 and silicates, respectively.

332