

1 **Model-based evaluation of a trickling filter facility upgrade to biological**
2 **nutrient removal**

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13 **Abstract**

14 This article presents the feasibility evaluation and preliminary design of a wastewater treatment
15 plant upgrade supported by simulation. The existing facility was based on trickling filters, and
16 the objective of the upgrade was to achieve nutrients removal. The proposed solution modifies
17 the existing primary clarifier to host an anaerobic-anoxic suspended growth reactor, which is an
18 alternative that, to our knowledge, has not been proposed or explored so far. The trickling filters
19 would remain as aerobic reactors. In this study, the novel treatment scheme has been assessed for
20 the first time, through model simulations. The modified treatment train was simulated, showing
21 that the anoxic zone is able to denitrify satisfactorily achieving the required effluent nitrogen
22 concentration. However, to promote biological phosphorus removal, an additional aerobic zone
23 combined with a bypass of activated sludge from the anoxic zone to the first trickling filter is
24 needed, in order to provide aerobic conditions to the phosphate accumulating organisms. Several
25 combinations of additional aerobic volume and sludge bypass flowrate were found to
26 successfully achieve both nitrogen and phosphorus removal, using the existing facilities without
27 the need for new reactors neither implementing modifications that could put the trickling filters'
28 physical integrity at risk. The novel treatment scheme could be applied in other cases with
29 similar flowsheet in the same context.

30

31 **Keywords:** trickling filter retrofitting; modeling and simulation; anaerobic-anoxic biological
32 reactor; nitrogen removal; enhanced biological phosphorus removal; integrated process

33

35 **1. Introduction**

36 Nitrogen and phosphorus are the main nutrient elements discharged along with wastewaters,
37 whose presence in the receiving water bodies significantly contributes to eutrophication. The
38 need for nutrient removal is pursued by stringent regulation for the protection of water bodies,
39 such as Directive 91/271/EEC in Europe. In addition, due to the reviews of the water quality
40 objectives, there is an increased number of areas being declared as sensitive to eutrophication
41 which, therefore, require nitrogen and phosphorus removal from wastewater before it is
42 discharged into such areas (European Union, 1991; European Union, 2000). This fact implicates
43 a need for upgrades or retrofits of a significant number of wastewater treatment plants (WWTP)
44 for nutrient removal. Conventional configurations for biological nutrient removal (BNR) require
45 anaerobic and anoxic compartments, in addition to aerobic ones, which must be large enough to
46 establish nitrification. This results in a substantial increase in the complexity of wastewater
47 treatment configurations when compared to those needed for organic matter removal only.

48 Facilities based on trickling filters (TF) have been widely used in many countries for organic
49 matter removal. TFs' inherent advantages include operational simplicity, resistance to toxic and
50 shock loads, and low energy requirements (Daigger and Boltz, 2011). These features make TF
51 facilities suitable for small and medium-sized communities, as the case presented in this paper.
52 Many TF facilities have been upgraded because they have become undersized due to increasing
53 influent loadings. Generally, these upgrades consist on incorporating suspended growth reactors,
54 giving place to combined or coupled processes, such as the TF/solids contact (TF/SC) and the
55 roughing filter/activated sludge (RF/AS) (Harrison, 2017). However, those processes are
56 inherently aerobic processes, facing only organic matter removal and in some cases nitrification.
57 Examples and studies about TF/SC and RF/AS processes are presented in Harrison et al. (1984),
58 Harrison and Lum (1994) and Harrison (2017).

59 For total nitrogen removal, facilities must be upgraded for denitrification as well, which can be
60 achieved by means of pre or post-anoxic suspended growth or biofilm reactors (Mehlhart, 1994).
61 Vanhooren et al. (2003) observed that at high organic loading rates with insufficient oxygen
62 supply to the biofilm, denitrification could be induced in TFs by providing the biofilm with
63 external nitrate. Indeed, several full-scale case studies have been reported in the literature using
64 TFs for denitrification. Dorias and Baumann (1994) reported three cases in Germany where TFs
65 were modified for denitrification: the TFs were covered and the aeration openings were
66 impounded. Successful results are presented, comparable to those obtained by pre-anoxic
67 denitrification in the activated sludge process. Nasr et al. (2000) presented the upgrade of the
68 WWTP of Salisbury (Maryland), in which a TF was flooded to provide anoxic conditions for
69 denitrification. The preliminary tests results were successful, however, due to the lack of
70 backwashing and air scour, the biofilm grew excessively and the desired denitrification
71 performance was not achieved. Eventually, when the anoxic TF was drained to take it out-of-
72 service, the media support collapsed due to the biofilm weight without the buoyant force of
73 water in the tank. Manzano et al. (2018) presented the upgrade of a medium-sized WWTP in
74 southern England, where a pre-denitrification submerged anoxic filter was installed downstream
75 the primary settling and prior to the TFs. Different operational strategies were studied, achieving
76 successful nitrogen removal. In a different approach, Dai et al. (2013) integrated pre-anoxic
77 denitrification in a primary settling tank to enhance nitrogen removal in a TF facility. By
78 recycling the nitrified effluent from the TF to the primary settling tank, an improvement of
79 nitrogen removal was achieved through denitrification in the activated settling tank.

80 Regarding phosphorus removal, biological processes are preferred over chemical ones, due to the
81 lower operational cost. Indeed, the application of innovative processes for chemical phosphorus
82 removal from TF effluents, such as electrocoagulation, has shown not to be a feasible alternative
83 to conventional processes (Stafford et al., 2014). Therefore, additional anaerobic tanks are

84 needed for enhanced biological phosphorus removal (EBPR). Moreover, alternate anaerobic-
85 aerobic/anoxic conditions are required to promote the growth of phosphate accumulating
86 organisms (PAO), responsible for EBPR. Few studies have been found that address both nitrogen
87 and phosphorus biological removal at full-scale TF facilities. Most of them have proposed the
88 extension of the TF process with additional anaerobic, anoxic and aerobic activated sludge tanks
89 (Christensen, 1991; Morgan et al., 1999) or converting the TFs into suspended growth reactors
90 (Dichtl et al., 1994).

91 In the case study presented in this paper, the objective of the upgrading is to achieve nitrogen
92 and phosphorus effluent standards, and the primary constraint for the process selection is the
93 limited available space. It should also be considered that the WWTP serves a medium-sized
94 community of fewer than 20,000 inhabitants, so that alternatives involving low investment and
95 operating costs would be prioritized. In this framework, a number of alternatives were proposed
96 and preliminarily analyzed in order to upgrade the existing facility to nutrient removal. The first
97 alternative, consisting of post-anoxic denitrification in biofilters and chemical precipitation of
98 phosphorus, corresponds to conventional and consolidated technology and makes it possible to
99 reach a good quality effluent. However, the main drawbacks of this alternative are the
100 implementation of an additional post-treatment, and the need for an external carbon source and
101 chemical addition for denitrification and phosphorus precipitation, respectively. These facts
102 would imply a high investment and operational cost.

103 Another alternative was pre-anoxic denitrification, which could be carried out in the first TF or
104 in the primary clarifier. Those possibilities do not require an external carbon source addition and
105 do not imply the construction of new tanks or reactors for nitrogen removal, but, as a drawback,
106 phosphorus should be removed by chemical precipitation. In order to avoid the use of chemicals,
107 and therefore reduce the operational cost, a plant extension including anaerobic suspended

108 growth reactors was proposed, in order to provide alternating anaerobic-aerobic/anoxic
109 conditions to promote the growth of PAO.

110 Specifically, the ultimate alternative proposed consists of a modification of the existing primary
111 clarifier to host an anaerobic-anoxic sludge blanket reactor. The main goals of this alternative are
112 to achieve BNR (i.e. no need for chemicals and low sludge production) and to reuse the existing
113 facilities (i.e. no need for construction of new tanks or reactors). These goals entail a low
114 investment and operational cost compared to conventional upgrade alternatives. In spite of the
115 apparent suitability of such a process there is no literature in the state-of-the-art reporting similar
116 configurations. The possibility of reusing primary clarifiers and converting them into activated
117 anaerobic-anoxic reactors for biological removal is an alternative that, to our knowledge, has not
118 been proposed or explored so far in attempts to upgrade TF facilities. In addition, the proposed
119 modification is inspired in a patent of the authors (Tejero et al., 2010) that allows combining
120 anaerobic-anoxic zones and clarifying functionality in the same reactor, which would cover the
121 aforementioned proposed goals. If feasible, the proposed configuration could be applied in many
122 other cases with similar flowsheet in the same context. Nonetheless, the hypothesis of achieving
123 the proposed goals with the conversion of the primary clarifier to an anaerobic-anoxic reactor
124 should be tested prior to full-scale implementation. A model-based approach is proposed for the
125 feasibility evaluation and preliminary design of the facility upgrade. The capabilities of
126 mathematical models for assessing and comparing different alternatives have proven their
127 usefulness to make decisions about existing facilities' retrofits (Hvala et al., 2002; Mucha and
128 Mikosz, 2016). Model simulations have been shown to be useful for the design, optimization and
129 upgrading of WWTP, aiding to estimate the optimal design configuration, reactor sizes and
130 operational strategies, and providing an estimation of the expected response (Daigger and
131 Nolasco, 1995; Salem et al., 2002; Seco et al., 2004; Guerrero et al., 2011; Chen et al., 2018;

132 Kroiss and Klager, 2018). Furthermore, modeling is of particular interest in BNR processes due
133 to the large number of interacting phenomena.

134 The objective of this paper is to assess the feasibility and to preliminarily design and optimize a
135 novel process for the retrofitting of an existing TF WWTP to BNR, by means of mathematical
136 model simulations. This study is a required preliminary step prior to the real full-scale
137 implementation, in order to assess the feasibility of the proposed solution, avoiding or reducing
138 the risk and uncertainty of classical and conventional design procedures. The configuration of
139 this novel process consists of an anaerobic-anoxic sludge blanket reactor, hosted in the existing
140 primary clarifier, followed by the existing TFs and clarifiers.

141 **2. Methodology**

142 **2.1. Case study**

143 The existing WWTP began operations in 2005. It serves a Spanish community with a population
144 of approximately 15,000 inhabitants, discharging into the Ebro river basin. The wastewater
145 treatment scheme, consisting of a two-stage TF process with intermediate clarification, is shown
146 in Figure 1. The process consists of pretreatment (5-mm screening and grit removal), primary
147 clarification, first stage TF, intermediate clarification, second stage TF and secondary
148 clarification. The TFs are filled with a random plastic media type (specific surface area 100 m^2
149 m^{-3} ; void space 95%), occupying a volume of $3,181 \text{ m}^3$ in each filter. The three clarifiers
150 (primary, intermediate and secondary) are identical, with an individual volume of $1,823 \text{ m}^3$.

151 The influent and effluent annual average available data are summarized in Table 1. These values,
152 provided by the public company Navarra de Infraestructuras Locales S.A. (NILSA, Gobierno de
153 Navarra), were obtained from the operation of the WWTP during a whole year. Satisfactory
154 organic matter removal and nitrification were achieved, while denitrification and phosphorus
155 removal did not occur. The new discharge permit requires both nitrogen and phosphorus removal

156 with an annual average effluent TN and TP concentration of 15 mg L⁻¹ and 2 mg L⁻¹,
157 respectively, according to European regulation Directive 91/271/EEC, for treatment plants of
158 less than 100,000 population equivalent discharging into sensitive areas.

159 **2.2. Process description**

160 The proposed configuration is based on the reuse of the existing primary clarifier to
161 accommodate an anaerobic-anoxic sludge blanket reactor, as depicted in Figure 2(A). The
162 overall proposed treatment scheme, shown in Figure 2(B), claims that both nitrogen and
163 phosphorus biological removal using the existing facilities avoids the construction of new tanks
164 or reactors, does not require an external carbon source or chemicals addition, and does not imply
165 modifications that could put the TFs' physical integrity at risk.

166 At first glance, the primary clarifier volume, with an average hydraulic retention time (HRT) of
167 8.4 h, seems to be large enough to hold the anaerobic and anoxic zones. The anaerobic-anoxic
168 modified primary clarifier (MPC) would provide the environmental conditions needed for
169 phosphate release and denitrification (with its corresponding uptake of organic matter), while the
170 existing TFs would provide the aerobic stage for the removal of remaining organic matter,
171 phosphate uptake and nitrification. Mainly, the first TF is aimed at organic matter removal and
172 phosphate uptake, operating as a hybrid process (biofilm and suspended biomass coexisting in
173 the same reactor), while the second filter is aimed at nitrification.

174 Coupling the existing TFs with a suspended biomass reactor (the MPC) leads to an integrated
175 process. It has the additional advantage of enabling separate control of both the slower-growing
176 nitrifying biomass, which usually prefers to reside on biofilms, and the faster-growing
177 heterotrophic biomass including denitrifiers and PAO, which would reside in the suspended
178 activated sludge. This feature facilitates the optimization of simultaneous nitrogen and
179 phosphorus removal processes (Onnis-Hayden et al., 2011).

180 The modification of the primary clarifier is based on an anaerobic-anoxic sludge blanket reactor
181 for BNR, named AnoxAn, which was proposed by Tejero et al. (2010). The AnoxAn reactor was
182 conceived with the objective of unifying the anaerobic and anoxic zones of a wastewater
183 treatment process for BNR in a single reactor, aimed at achieving high compactness and
184 efficiency. A clarification zone at the top of the reactor avoids the escape of large amounts of
185 biomass, thus promoting high sludge concentration in a sludge blanket type reactor. Moreover,
186 simultaneous denitrification and phosphate uptake could be achieved. Overall, the AnoxAn
187 configuration claims anaerobic phosphate release, anoxic denitrification and phosphate uptake in
188 a single reactor. Its hydrodynamic and biological feasibility was demonstrated in an upflow
189 AnoxAn prototype (Díez-Montero et al., 2015; Díez-Montero et al., 2016).

190 However, in this case study, due to the shape and dimensions of the primary clarifier (26 m
191 diameter and 3.0 m depth), a concentric configuration was proposed instead of a vertically
192 compartmentalized upflow reactor, which in addition is expected to provide a simplification of
193 the hydrodynamic behavior. The primary clarifier modification can be implemented by means of
194 a cylindrical inner wall dividing the clarifier into two different zones: (i) central anaerobic zone
195 with a volume of 800 m³, and (ii) outer anoxic zone with a volume of 1,013 m³. The influent
196 wastewater is fed into the anaerobic zone, where it is mixed with activated sludge recycled from
197 the anoxic zone (anoxic recycle, AR). A submersible mixer would provide mixing in the
198 anaerobic zone, and the mixed liquor would flow to the anoxic zone through openings in the
199 upper part of the cylindrical inner wall. A nitrate rich stream recycled from the second TF
200 (nitrate recycle, NR) would enter the anoxic zone together with the sludge recycled from the
201 intermediate clarifier (return activated sludge, RAS), where submersible mixers provide
202 intermittent mixing. The effluent would then be withdrawn through submerged outlet tubes.
203 Underneath the outlet tubes, a set of lamellas would be assembled to provide a final clarification

204 zone. The intermittent mixing in the anoxic zone would, therefore, cause settling cycles, which
205 together with the lamellas, would aid to reduce the amount of biomass escaping from the MPC.
206 Inside the MPC, the biomass would alternate anaerobic and anoxic environmental conditions, so
207 that denitrifying PAO would be promoted. Furthermore, a certain amount of activated sludge
208 would be bypassed (sludge bypass, SB) from the anoxic zone to the first stage TF in order to
209 provide aerobic conditions to the PAO and enhance the phosphorus removal efficiency. The
210 simulated SB, expressed as a percentage of the influent flowrate, covered a range from 0 to 50%.
211 Finally, the inclusion of an aerobic zone in the MPC has also been considered, correspondingly
212 reducing the available anoxic volume. This additional aerobic volume would be needed to
213 improve the EBPR and to achieve the desired phosphorus removal efficiency. The aeration could
214 be performed in a specific volume of the anoxic zone, by means of submerged air diffusers,
215 therefore reducing the actual anoxic volume. Several aerobic volumes (AV) have been
216 simulated, from 100 m³ to 800 m³ (accordingly reducing the anoxic volume), which correspond
217 to 9.8% to 78.2% of the original anoxic volume. Besides, aeration could be carried out
218 continuously or intermittently, depending on the oxygen demand. Therefore, the process would
219 provide flexibility to control the addition of electron acceptors depending on influent
220 characteristics.

221 **2.3. Mathematical model**

222 A model of the current secondary treatment WWTP was implemented in BioWin Process
223 Simulator v4.0 (EnviroSim Associates Ltd., Ontario, Canada), as shown in Figure 3(A). The
224 biological processes were described according to the BioWin General Model (ASDM), which
225 has fifty state variables and sixty process expressions, including ordinary heterotrophic biomass
226 activity under aerobic and anoxic conditions, nitrification (ammonium oxidation and nitrite
227 oxidation), and enhanced biological phosphorus removal. For details on parameters description

228 and process expressions, the reader is referred to the BioWin user manual (freely available on the
229 internet).

230 A TF process flowsheet element is included in BioWin v4.0, which can be configured for various
231 media packing types and characteristics. In the model, the depth of the TF is divided into three
232 equal layers to simulate oxygen levels and removal gradients from top to bottom. The biofilm
233 model used in BioWin is a 1D model as described by Wanner and Reichert (1996) and Reichert
234 and Wanner (1997). For details on fundamental equations, the reader is referred to those
235 documents. The settling tanks were implemented as ideal clarifiers. The influent wastewater
236 characteristics were adopted from the available data, including total and soluble COD, TN, NH₄-
237 N, NO₃-N, NO₂-N, TP and TSS. Further fractionation of the influent characteristics was obtained
238 using the BioWin default parameters.

239 In this case study, typical municipal wastewater with negligible industrial contribution is treated
240 in the WWTP, and the environmental conditions are not extreme. Within this context, it was
241 expected that the default model parameters would not need to be significantly modified.
242 Nonetheless, steady-state simulation results were compared with the annual average operational
243 results of the WWTP shown in Table 1. Some model parameters were adjusted in order to
244 improve the agreement between predicted results (simulations) and operating results in the
245 existing secondary treatment, based on a trial and error method as in Simsek et al. (2012).
246 Afterwards, the model was modified to represent the proposed upgrade for BNR, as shown in
247 Figure 3(B), without modifying the model parameters neither the influent wastewater
248 characteristics. In order to represent the physical upgrades, the primary clarifier was divided into
249 two chambers to host the anaerobic and anoxic zones, or three chambers to host also the aerobic
250 one. A final settling tank was included at the end of the MPC, to represent the clarification zone.
251 The AR from the anoxic to the anaerobic zone and the NR from the second TF to the anoxic
252 zone were set to 2 and 3 times the influent flowrate, respectively, while the RAS from the

253 intermediate clarifier to the anoxic zone flowrate was set equal to the SB. The excess sludge
254 waste was adjusted in order to achieve suitable biomass concentration in the MPC in the
255 simulations, compared to conventional activated sludge systems, not exceeding TSS
256 concentration of approximately 3 g L^{-1} . The biomass concentration in the MPC was kept
257 reasonably similar in all the simulations, allowing to compare the different scenarios under
258 similar conditions.

259 A set of steady-state simulations has been performed covering a range of different configurations
260 and operational conditions: Run001-Run011 for different SB; Run101-Run188 for different
261 combinations of additional aerobic volume (AV) and SB; and Run201-Run207 for different
262 dissolved oxygen (DO) concentration in the additional aerobic zone, as shown in Table 2.

263 **3. Results and Discussion**

264 **3.1. Simulation of the current WWTP**

265 The steady-state effluent quality predicted by the model with the default values of the model
266 parameters was slightly better compared to the effluent quality observed during operation of the
267 WWTP. Therefore, five model parameters were adjusted in order to fit the real plant behavior:
268 ammonium oxidizing bacteria maximum specific growth rate and half-saturation coefficient,
269 ordinary heterotrophic organisms anoxic yield, phosphorus content in biomass, and phosphorus
270 content in the endogenous residue, as shown in Table 3. Through this parameters adjustment, the
271 model nitrifying and denitrifying activities and the biological phosphate uptake were reduced.
272 Therefore, the adjusted model avoids overly optimistic simulation results, being on the safe side.
273 Being aware that it cannot be considered a complete model calibration, the simulated effluent
274 matched pretty well the real average effluent concentrations. The acceptance criteria were a
275 difference lower than 5 mg L^{-1} for TSS, 10% for total and soluble COD, and 1 mg L^{-1} for NT,
276 $\text{NH}_4\text{-N}$, $\text{NO}_3\text{-N}$, TP, as proposed in Water Environment Federation (2013). Default values of the
277 biofilm model (number of biofilm layers, liquid boundary layer thickness, and attachment and

278 detachment parameters) were kept unchanged, since there were no experimental data to adjust
279 them and the simulation results fulfilled the acceptance criteria.

280 **3.2. Performance of the anaerobic-anoxic modified primary clarifier with sludge bypass to** 281 **the first trickling filter**

282 The adjusted model was used to simulate the modified WWTP. It should be pointed out that the
283 modified treatment train includes suspended growth reactors, but since there are not real
284 operating results with suspended biomass, it has not been possible to calibrate the model
285 parameters for such biomass. The proposed treatment train has not been implemented in the
286 existing secondary treatment WWTP. Therefore, no experimental data regarding the novel
287 treatment train are available. The previously adjusted parameters have been used in order to
288 avoid overly optimistic results, remaining on the conservative side and keeping the uncertainty
289 within the limits to provide trustworthy results.

290 The overall effluent quality obtained with the modified treatment train is displayed in Table 4,
291 along with the MPC effluent nitrate concentration and the TSS concentration in the hybrid TF,
292 and in the anaerobic and anoxic zones of the MPC. Satisfactory nitrogen removal was achieved
293 with effluent TN concentration lower than 15 mgN L^{-1} in all of the simulated scenarios. Nitrate
294 concentration in the MPC effluent resulted in being negligible ($< 0.1 \text{ mgN L}^{-1}$), confirming that
295 pre-anoxic denitrification performed successfully in the MPC, which could be attributed to a
296 sufficiently high anoxic HRT (4.7 h) with moderate suspended sludge concentration (up to $2,869$
297 mgTSS L^{-1}). However, increasing the bypass of biomass from the anoxic zone to the first stage
298 TF resulted in an increase of the effluent TN concentration. Effluent ammonium concentration
299 rose from 2.9 mgN L^{-1} (Run001) to 6.6 mgN L^{-1} (Run011), denoting that nitrification was
300 adversely affected. For this reason, configurations with SB above 50% of the influent flowrate
301 were not simulated.

302 The lower nitrification efficiency obtained for higher SB is attributed to the increasing
303 particulate and soluble COD concentration in the nitrifying TF influent (second stage TF). The
304 importance of maintaining low influent suspended solids and biodegradable organic matter to
305 achieve good performance in nitrifying TFs has been previously reported (Parker et al., 1989;
306 Parker et al., 1995; Mofokeng et al., 2009; Dai et al., 2013). In these investigations, it has been
307 suggested that the influence of influent biodegradable organic matter on nitrification is due to the
308 development of a heterotrophic population, which competes with the nitrifiers for oxygen,
309 thereby reducing nitrification rates. For instance, Parker et al. (1989) and Mofokeng et al. (2009)
310 reported that influent biodegradable soluble COD concentration higher than 30 mg L^{-1} could
311 promote such competition. The simulations showed that the influent biodegradable soluble COD
312 to the nitrifying TF (second stage) ranged from 33.9 mg L^{-1} (Run001) to 38.5 mg L^{-1} (Run011),
313 which are slightly higher than the threshold reported by Parker et al. (1989) and Mofokeng et al.
314 (2009). The organic loading rate to the nitrifying TF was increased compared to the one obtained
315 with the existing WWTP flowsheet. Such an increase, regarding biodegradable soluble COD
316 loading rate, ranged from 2.5 (Run001) to 3.9 (Run011) times the loading rate in the existing
317 WWTP, which was detrimental to nitrification. In addition, the BOD_5 and TKN volumetric
318 loading rates recommended by the German standard for the dimensioning of TFs with
319 nitrification were exceeded in the second stage TF in runs with SB above 15% (Run005-
320 Run011), confirming the inability to perform successful nitrification (DWA, 2001). According to
321 this standard, the dimensioning of trickling filters should sum the volumes corresponding to
322 organic matter removal and nitrification, obtained with the relevant parameters $0.4 \text{ kgBOD}_5 \text{ m}^{-3}$
323 d^{-1} and $0.1 \text{ kgTKN m}^{-3} \text{ d}^{-1}$, respectively.

324 Regarding phosphorus removal, the desired effluent TP concentration was not achieved in any
325 simulation and was not improved by increasing SB. Negligible phosphate release in the
326 anaerobic zone (results not shown) confirmed that EBPR would not take place. It is considered

327 that the influent wastewater was not carbon deficient, according to the high C/N ratio
328 (COD/TN=14), and the aforementioned excessive organic loading rate, so that the inability to
329 achieve EBPR was attributed to the short HRT under aerobic conditions in the hybrid TF (first
330 stage). Taking into account that the volume of water in a TF corresponds only to a thin layer
331 trickling over the support media and the biofilm, the actual residence time of wastewater (and
332 suspended biomass) in TFs is relatively low compared to other types of hybrid processes. For
333 instance, the HRT in integrated fixed film activated sludge (IFAS) reactors corresponds to the
334 total volume of the reactor, which is in the range of hours.

335 **3.3. Performance of the anaerobic-anoxic modified primary clarifier with additional** 336 **aeration and sludge bypass to the first trickling filter**

337 In order to increase the aerobic HRT for the suspended growth biomass, an additional aerobic
338 reactor should be included in the treatment train. Due to the large size of the primary clarifier
339 and the excellent denitrification capability shown in the aforementioned simulations, the use of a
340 section of the anoxic zone of the MPC to provide aerobic conditions is proposed. In order to
341 represent the aerobic zone, an additional aerobic reactor has been included in the model next to
342 the anoxic one, with a DO concentration of 2.0 mg L⁻¹. This alternative has been assessed in
343 combination with the SB previously discussed. A range of combinations (AV – SB) was
344 analyzed. Three-dimensional surface plots of the effluent TN and TP concentrations for each
345 combination of AV and SB are shown in Figure 4. It can be observed that most of the scenarios
346 analyzed fulfill the required effluent quality. The effluent TN, NH₄-N, NO₃-N and TP
347 concentrations, NO₃-N concentration in the MPC effluent, and TSS concentration in the
348 anaerobic zone, anoxic zone and hybrid (first stage) TF, for each simulation (Run101-Run188),
349 can be found in Supplementary Information (Table S1).

350 Excellent nitrogen removal was obtained, with an effluent TN concentration lower than 15 mgN
351 L⁻¹ in all of the simulated scenarios. However, the extent of nitrification and denitrification

352 varied depending on the AV – SB combination. Without the additional aerobic zone, it was
353 previously discussed how nitrification was deteriorated as the SB was increased, due to an
354 excessive organic loading into the nitrifying TF (second stage). This issue was improved by
355 including an aerobic zone in the anoxic zone of the MPC, where a certain amount of organic
356 matter was removed. An AV as small as 100 m³ (corresponding to 9.8% of the original anoxic
357 volume) was enough to reduce the biodegradable soluble COD loading rate into the nitrifying TF
358 by 25.5% compared to the simulations without AV, as well as to fulfill the BOD₅ and TKN
359 volumetric loading rates recommended by the German standard for dimensioning of TFs with
360 nitrification (DWA, 2001). Larger AV volumes provided higher organic loading decreases.
361 Furthermore, it was observed that an aerobic volume higher than 48.9% of the original anoxic
362 volume had an adverse effect on denitrification, thereby increasing the nitrate concentration in
363 the MPC effluent (up to 4.3 mgN L⁻¹) and the TN concentration in the overall effluent (up to
364 11.7 mgN L⁻¹). In such scenarios, denitrification was not complete, which was attributed to the
365 reduced anoxic volume wherein the aerobic zone replaced more than 48.9% of the original
366 anoxic volume. Under the conditions of the present case study, the minimum anoxic volume that
367 guarantees suitable denitrification is 523 m³, which provides an HRT of 2.4 h and corresponds to
368 an aerobic occupancy of 48.9% of the original anoxic volume. Therefore, the implementation of
369 large aerobic volumes is not recommended on account of the fact that the TN effluent quality is
370 slightly deteriorated due to the reduction of denitrification ability.

371 Regarding phosphorus removal, effluent TP concentration exceeded 2 mgP L⁻¹ in several runs,
372 all of them characterized by low AV and/or low SB. This indicates that EBPR could not be
373 achieved by means of only SB or only AV. When no additional AV was implemented, the EBPR
374 failure was attributed to the reduced aerobic HRT provided for suspended biomass in the TF. On
375 the other hand, when an excessively large AV was added, the increasing nitrate concentration in
376 the anoxic zone due to incomplete denitrification led to nitrate recycle into the anaerobic zone,

377 hampering or avoiding the occurrence of EBPR. Nonetheless, excellent phosphorus removal was
378 achieved by the combination of AV and SB. The effluent TP concentration was reduced as both
379 the AV and the SB were increased, and eventually, most of the scenarios analyzed provided an
380 effluent TP concentration below 2 mgP L⁻¹. This effluent TP concentration came along with
381 significant phosphate release in the anaerobic zone (results not shown), thus confirming the
382 occurrence of EBPR, which was attributed to the increase of the aerobic HRT for suspended
383 biomass, provided by the combination of the hybrid TF (first stage) and the additional AV
384 included in the MPC.

385 Overall, a broad range of combinations of AV and SB was found to fulfill the required removal
386 of both nitrogen and phosphorus (effluent TN and TP below 15 mgN L⁻¹ and 2 mgP L⁻¹,
387 respectively) using the existing facilities, without the construction of new tanks or reactors. This
388 range is depicted in green in Figure 5. Moreover, there is an optimal range of combinations AV –
389 SB able to achieve more restrictive requirements (effluent TN and TP below 10 mgN L⁻¹ and 1
390 mgP L⁻¹, respectively), which is displayed in light green in Figure 5. In addition, biomass
391 concentration in the anoxic/aerobic zone ranged between 2,475 and 3,107 mgTSS L⁻¹, which
392 appears to be moderate enough to allow for a final clarification of the MPC effluent.

393 Finally, in order to optimize the aeration in the additional aerobic volume, further simulations
394 have been performed reducing the DO concentration in the aerobic zone from 2.0 mg L⁻¹ to 0.01
395 mg L⁻¹ (Run201-207). The configuration implemented in Run140 (39.1% of AV and 30% of SB)
396 has been selected as one of the optimal solutions and has been used as the basis for the following
397 simulations. Results are depicted in Figure 6.

398 Excluding the simulations with 0.02 and 0.01 mg L⁻¹, it was observed that the effluent TN and
399 TP concentrations were similar to those obtained with DO concentration of 2.0 mg L⁻¹. BNR
400 performed successfully with DO concentration as low as 0.1 mg L⁻¹, while it was deteriorated
401 when the DO was further reduced due to the loss of nitrification and the reduction of PAO

402 activity, similarly to the simulations without aerobic zone. These results imply that the aerobic
403 reactor could be operated with low DO concentration and support the viability of including the
404 aerobic zone inside the anoxic zone by means of intermittent aeration of a partial volume of the
405 anoxic zone. The DO concentration could be controlled to a low set point during the aeration
406 period, thereby allowing oxygen transfer efficiency to be optimized and the energy requirement
407 reduced, therefore reducing the operational cost of the additional aerobic zone.

408 **4. Conclusions**

409 The upgrading of an existing secondary treatment TF WWTP to achieve BNR is proposed and
410 assessed through model simulations. The proposal is based on the modification of the existing
411 primary clarifier to host an anaerobic-anoxic sludge blanket reactor, and therefore to provide the
412 conditions required for BNR. By means of this facility upgrade, BNR resulted feasible by using
413 the existing facilities in the current WWTP, without the addition of any new tanks neither
414 implementing modifications that could put the TFs' physical integrity at risk. The proposed
415 treatment train upgrade would be advantageous from the economic point of view, reducing both
416 the investment and operational cost compared to conventional upgrade alternatives.

417 Nitrogen removal was successfully achieved in all the simulated scenarios, with TN effluent
418 concentration below 15 mgN L^{-1} . The anoxic zone in the modified primary clarifier performed
419 satisfactorily, and proper denitrification was maintained reducing the anoxic HRT up to 2.4 h.
420 Further reduction of the anoxic volume led to incomplete denitrification.

421 Biological phosphorus removal was not achieved by solely alternating anaerobic and anoxic
422 conditions. A reduction of the anoxic volume to host an additional aerobic zone in the same
423 modified primary clarifier, in combination with the bypassing activated sludge from the anoxic
424 zone to the first stage TF, in order to provide aerobic conditions to the PAO biomass, was found
425 to achieve EBPR successfully. Several combinations of aerobic volume – sludge bypass obtained

426 a TP effluent concentration below 2 mg L⁻¹, while maintaining excellent nitrogen removal.
427 Furthermore, there is an optimal range of combinations of aerobic volume and sludge bypass
428 able to achieve more restrictive requirements (effluent TN and TP below 10 mgN L⁻¹ and 1 mgP
429 L⁻¹, respectively).

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532

533 Figure captions:

534 Figure 1 - Wastewater treatment scheme of the existing WWTP

535 Figure 2 - (A) Primary settling tank modification to anaerobic-anoxic sludge blanket reactor, and

536 (B) Wastewater treatment scheme of the WWTP upgrading for BNR

537 Figure 3 - BioWin flowsheet of: (A) the existing WWTP; and (B) the modified treatment train

538 Figure 4 - Simulated effluent TN (left) and TP (right) concentration for each combination of

539 aerobic volume (AV) and sludge bypass (SB)

540 Figure 5 - Range of simulated combinations of aerobic volume and sludge bypass fulfilling the

541 required effluent quality (green, $\text{TN} < 15 \text{ mgN L}^{-1}$ and $\text{TP} < 2 \text{ mgP L}^{-1}$) and more restricting

542 requirements (light green, $\text{TN} < 10 \text{ mgN L}^{-1}$ and $\text{TP} < 1 \text{ mgP L}^{-1}$)

543 Figure 6 - Simulated overall effluent TN, $\text{NH}_4\text{-N}$ and TP concentration, MPC effluent $\text{NO}_3\text{-N}$

544 concentration, and $\text{PO}_4\text{-P}$ concentration in the anaerobic zone, versus DO concentration in the

545 aerobic zone

546

Table 1 - Existing WWTP influent and effluent flow and concentration (annual average)

	Influent	Effluent
Flow rate (m ³ day ⁻¹)	5239	
Total COD (mg L ⁻¹)	524	43
Soluble COD (mg L ⁻¹)	204	32
TN (mg L ⁻¹)	37.3	24.7
NH ₄ -N (mg L ⁻¹)	21	0.6
NO ₃ -N (mg L ⁻¹)	0.1	21.3
NO ₂ -N (mg L ⁻¹)	0.0	0.4
TP (mg L ⁻¹)	4.7	3.2
TSS (mg L ⁻¹)	267	7

COD = Chemical Oxygen Demand; TN = Total Nitrogen;
TP = Total Phosphorus; TSS = Total Suspended Solids

Table 2 - Set of simulations performed to assess the feasibility of the modified WWTP and preliminary design and optimize the MPC

Run	SB (% of influent flowrate)	AV (% of anoxic volume)	DO in aerobic fraction of MPC (mg L ⁻¹)
001-011	0-50	0	-
101-188	0-50	9.8-78.2	2
201-207	30	39.1	0.01-2

SB = Sludge Bypass; AV = Aerobic Volume; DO = Dissolved Oxygen

Table 3 - Model parameters adjustment

Model Parameter	Default value	Adjusted
OHO anoxic yield	0.54	0.90
P in biomass AOB, NOB, OHO (mgP mgCOD ⁻¹)	0.022	0.012
P in endogenous residue (mgP mgCOD ⁻¹)	0.022	0.012
AOB maximum specific growth rate μ (d ⁻¹)	0.9	0.5
AOB half-saturation coefficient K_N (mgN L ⁻¹)	0.7	1.0

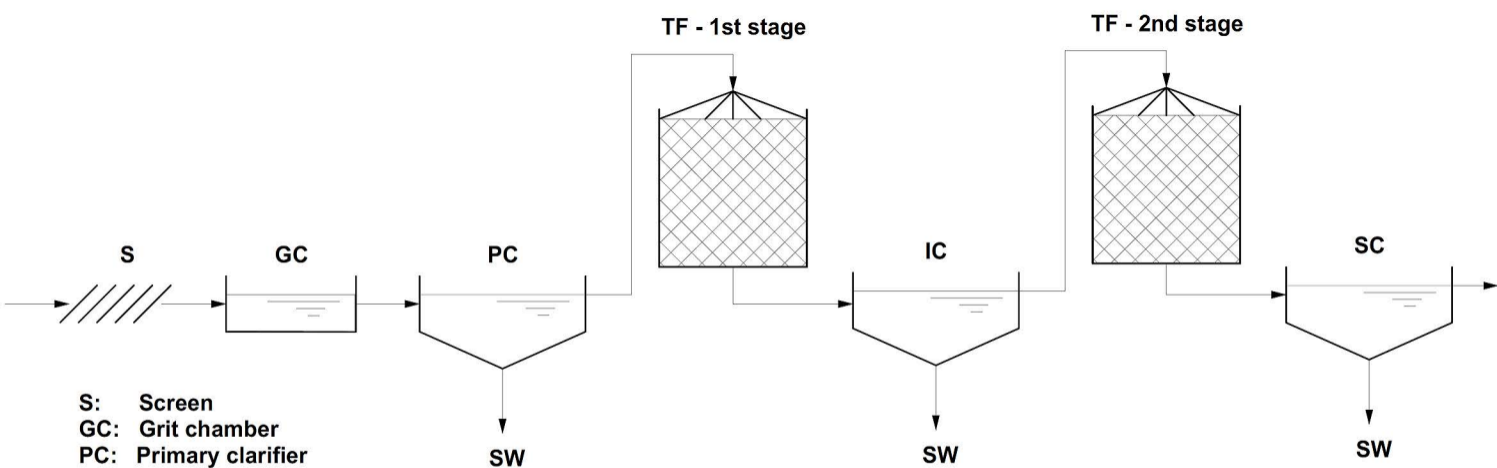
OHO = Ordinary Heterotrophic Organisms; AOB = Ammonia Oxidizer Bacteria;
 NOB = Nitrite Oxidizer Bacteria

Table 4 - Simulated overall effluent quality, MPC effluent concentration of nitrate, and TSS concentration in the modified treatment train

	SB (%)	Total suspended solids (mg L ⁻¹)			Overall effluent (mg L ⁻¹)						MPC effluent (mg L ⁻¹)
		Anaerobic zone	Anoxic zone	Hybrid trickling filter	Total COD	Soluble COD	TN	NH ₄ -N	NO ₃ -N	TP	NO ₃ -N
Run001	0	1959	2798	90	34.8	30.3	9.5	2.9	4.5	3.2	0.07
Run002	5	1838	2615	195	35.3	30.8	9.4	2.9	4.4	3.2	0.05
Run003	10	1917	2734	234	35.3	30.6	9.4	3.0	4.3	3.2	0.04
Run004	15	1950	2784	270	36.2	30.2	10.6	4.5	3.9	3.2	0.04
Run005	20	2001	2861	307	36.7	30.0	11.2	5.4	3.6	3.2	0.03
Run006	25	2007	2869	338	37.3	30.0	11.6	6.0	3.5	3.2	0.03
Run007	30	1987	2839	364	37.8	30.1	11.7	6.2	3.4	3.2	0.03
Run008	35	1952	2786	385	38.4	30.3	11.9	6.4	3.3	3.2	0.03
Run009	40	1908	2721	403	39.0	30.6	11.9	6.5	3.2	3.1	0.02
Run010	45	1860	2649	417	39.6	30.9	12.0	6.6	3.2	3.1	0.02
Run011	50	1810	2572	430	40.2	31.2	12.0	6.6	3.1	3.1	0.02

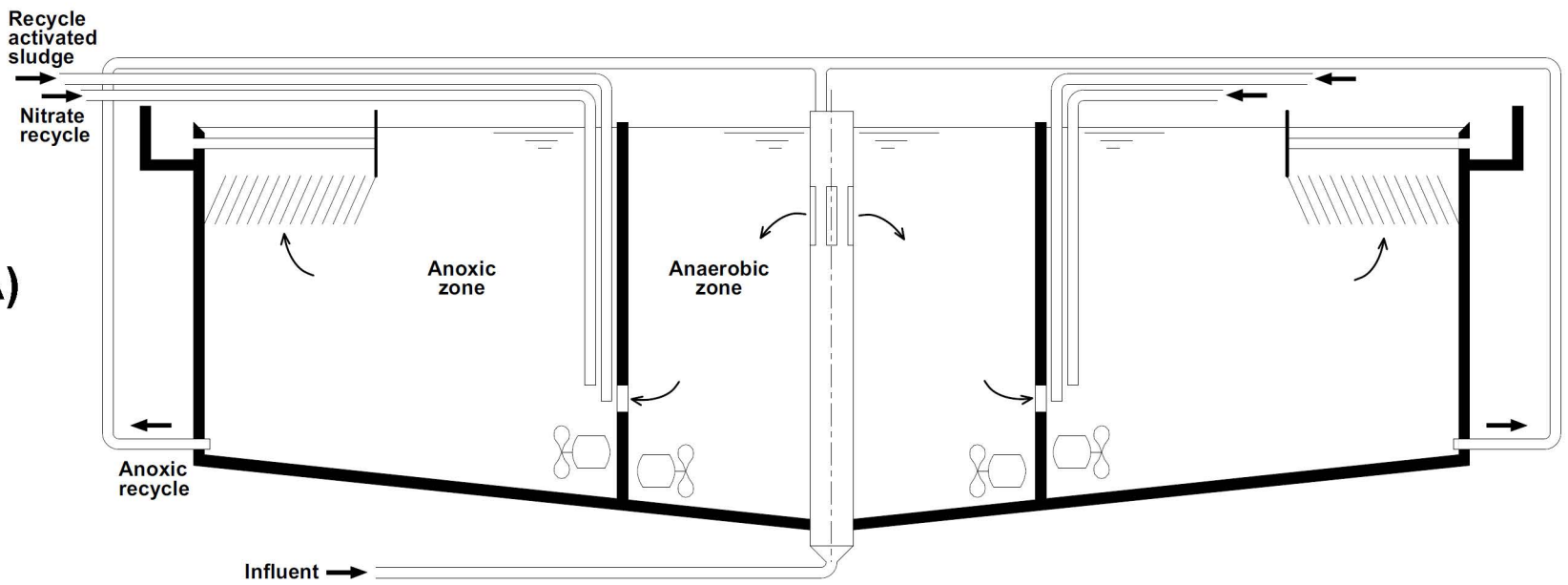
SB: sludge bypass from the anoxic zone to the first stage TF, expressed as percentage of the influent flowrate

MPC: modified primary clarifier

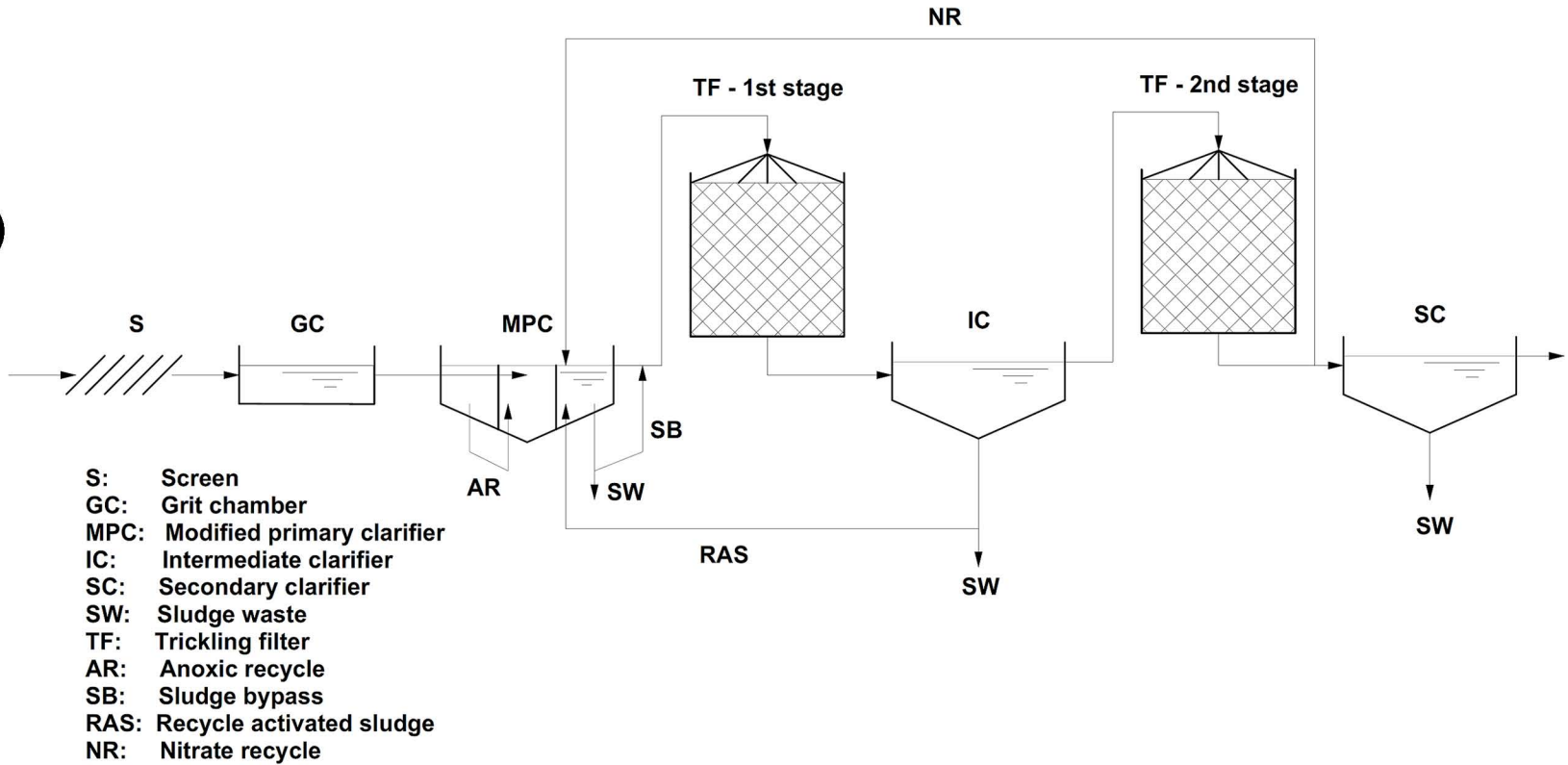


- S:** Screen
- GC:** Grit chamber
- PC:** Primary clarifier
- IC:** Intermediate clarifier
- SC:** Secondary clarifier
- SW:** Sludge waste
- TF:** Trickling filter

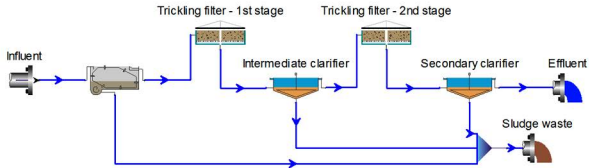
(A)



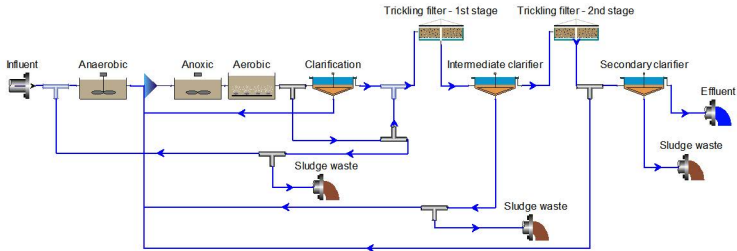
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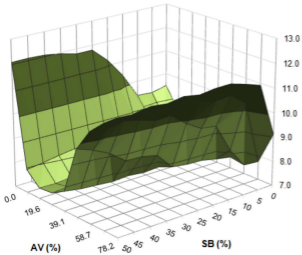


(A)

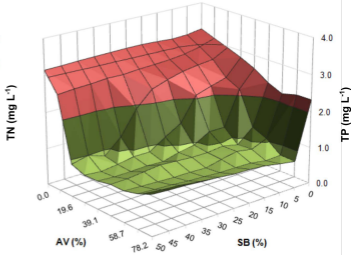


(B)





■ 7.0-10.0 ■ 10.0-13.0



■ 0.0-1.0 ■ 1.0-2.0 ■ 2.0-4.0

