

Exploring the public's willingness to reduce air pollution and greenhouse gas emissions from private road transport in Catalonia.

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Abstract

Internalizing environmental externalities is a market-driven approach to correcting people's private costs and benefits. One way of quantifying these externalities is estimating the willingness to pay (WTP) of people to reduce them. To better understand the determinants of this WTP, we use the Theory of Planned Behavior (TPB), which is a commonly used approach for predicting behavioral intentions. Our study focuses on air pollution and greenhouse gas (GHG) emissions from private road transport. We gathered survey data from 406 residents of Catalonia to explore the relationships among the psychological factors determining willingness to pay to quantify the mentioned externalities. We expanded the TPB by adding as antecedent Environmental Concern (EC) prior to the theory's three main factors (Attitude, Subjective Norms and Perceived Behavioral Control). Next, we used Structural Equation Modeling (SEM) to calculate an estimate of these externalities. The results of our study show that environmental concern is positively related to the three main factors of TPB. Our model accounts for most of the variation of WTP (R-squared is 94.7%). Our results also reveal that a majority of the respondents in Catalonia are willing to pay to reduce air pollution and GHG emissions from private road transport.

Keywords: Theory of Planned Behavior, Willingness to pay, Air pollution, GHG emissions, Structural Equation Model

1. Introduction

Among all transport externalities, especially those from road transport, greenhouse gases (GHG) and air pollution are the most challenging externalities to deal with (van Essen et al., 2011). Transport emissions are projected to double between 2010 and 2050 (OECD, 2012). In 2010, all modes of transport produced 24.3% of total greenhouse gases emissions (GHG) of EU-27. Road transport accounts for 72.1% of these emissions (European Commission, 2013a). From the society point of view,

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34 these externalities are costs that are not taken into account by transport users. Having an estimate of
35 these costs facilitates the planning and implementation of corrective policies (e.g. “polluter pays”
36 policy) (Layton and Brown, 2000; van Essen et al., 2012). These externalities are estimated as
37 representing 40% of total external costs of transport in EU-27, which was around 641€/inhabitant—
38 excluding congestion—in 2008 (van Essen et al., 2011). Many studies endeavor to quantify the
39 environmental external costs of transport; some cover all negative externalities of transport (e.g. Kumar
40 Sen et al., 2010) and others try to quantify specific external costs related to transport such as accident
41 (Martin, 2005), noise (Arsenio et al., 2006), climate change (Lemp and Kockelman, 2008) air pollution
42 and GHG emissions (Creutzig and He, 2009; Desaignes et al., 2011; Guo et al., 2010). These studies
43 use various methods and conceptual frameworks to quantify externalities.

44 To design tools and implement plans to mitigate negative externalities, behavioral and
45 technological changes are required. The cost of these changes should be estimated in order to assess the
46 difficulty of implementing a corrective policy in terms of social acceptance of the policy, cost of
47 technological change implied by the policy, and the like. For example, initially the public may approve
48 a mitigation policy, but it is necessary to evaluate the degree of support for this policy as it will imply
49 concrete changes that the public may not have been aware of (Bamberg et al., 2011; Layton and Brown,
50 2000). To address this, different surveying techniques can assess the public benefits of clean air and a
51 stable climate. Nevertheless, the stated preferences methods approach is the only technique capable of
52 estimating total economic value of these benefits in monetary terms (Bateman et al., 2004).

53 In the case of evaluating individual preferences and estimating the price of non-marketed goods
54 such as air quality, one of the most popular, practical and recommended tools is the willingness-to-pay
55 (WTP) approach (Bateman et al., 2002; Maibach et al., 2008; U.S. Environmental Protection Agency,
56 2011). Eliciting WTP from hypothetical situations can be done by any of several varieties of the
57 Contingent Valuation (CV) methods (Bateman et al., 2004). Contingent Valuation, as a stated
58 preference method, is a survey-based economic valuation approach that makes it possible to estimate
59 the total economic value of a public good with no previous market value (Mitchell and Carson, 1989).
60 It has been widely used by researchers to determine values of various environmental amenities and
61 environmental damage, nature conservation and restoration, reduction of health risk and improvement
62 of health indices, as well as public policy, cultural goods and other fields of study (Baranzini et al.,
63 2010; Longo et al., 2012; Santagata and Signorello, 2000; Spash et al., 2009; Wang and Mullahy, 2006;
64 Wang et al., 2015).

65 On the one hand, there is a growing interest in understanding public perceptions about air pollution
66 and GHG emissions and how these perceptions influence the public’s behavior regarding the
67 environment (Dunlap et al., 2000). Some studies have focused on age, education, gender, income and
68 marital status as socio-economic factors to analyze the essence of behavior towards the environment
69 (Olofsson and Ohman, 2006; Torgler and García-Valiñas, 2007). Due to the limited power of previous
70 studies to explain the variance of environmental behavior, researchers have recently shifted their

71 attention to other psychosocial constructs such as values, beliefs and attitudes. These variables seem to
72 have been useful factors for predicting pro-environmental behavior (Hoyos et al., 2009; Oskamp, 1995;
73 Sauer and Fischer, 2010; Spash et al., 2009; Steg and de Groot, 2010; Vlek, 2000).

74 On the other hand, based on the findings in social psychology, attitude is the most commonly used
75 predictor of economic value of a good (Ajzen and Peterson, 1988). WTP as an environmental attitude
76 can be evaluated by using psychological concepts of behavioral theories (Ajzen, 1991; Ajzen and
77 Madden, 1986; Gifford et al., 2011; Pouta and Rekola, 2001). In other words, analysis of psychological
78 factors is needed to understand the behavioral intentions of individuals, such as their intention to pay or
79 stated WTP (e.g. Ajzen et al., 1996; Spash et al., 2009). There are plenty of studies that have analyzed
80 intentions and attitudes by estimating people's WTP for using and conserving environmental goods
81 (Hoyos et al., 2009; López-Mosquera et al., 2014) or reducing negative environmental impacts (Lera-
82 López et al., 2013; Pouta and Rekola, 2001), as well as many studies on WTP estimation for air pollution
83 and GHG emissions reduction. Some studies consider public and households' WTP for CO₂ emission
84 reductions and mitigation (Adaman et al., 2011; Yang et al., 2014) or try to estimate households' WTP
85 for improving air quality (Carlsson and Johansson-Stenman, 2000) and for reducing CO₂ emissions
86 among different countries (Carlsson et al., 2012). Still other studies focus on air travelers' WTP for CO₂
87 mitigation (Brouwer et al., 2008) or their voluntary carbon offsets in an aviation context (MacKerron
88 et al., 2009); and one discusses car buyers' consideration of a car's CO₂ emission performance in making
89 car choices (Achtnicht, 2011).

90 In our study we use the Theory of Planned Behavior (TPB), one of the most commonly used
91 approaches in the area of predicting behavioral intentions to estimate the value of non-marketed goods
92 (e.g. Armitage and Conner, 2001; Bamberg et al., 2003; Bamberg and Schmidt, 2001; Collins and
93 Carey, 2007; Fielding et al., 2008; Oreg and Katz-Gerro, 2006).

94 This paper aims to contribute to existing knowledge in the environmental economics literature by
95 investigating how people feel and think about pollution reduction and how these factors can explain
96 their intentions to engage in pro-environmental behavior. For this purpose, by using an extended model
97 of TPB integrating environmental concern, we attempt to distinguish more clearly the psychosocial
98 factors that play a role in determining individuals' WTP to reduce environmental externalities from
99 private road transport.

100 In our study, we try to value air pollution and GHG emissions related to private car use according to
101 the assessment of people in Catalonia (Spain). This study, in comparison with previous studies, has two
102 elements which differentiate it from them. The first one refers to the model which is used. We extended
103 the TPB model by adding environmental concern prior to the factors of the original model. The second
104 one, is that we use this extended model to examine the intention to pay to reduce air pollution and GHG
105 emissions in case of private road transport. Extended versions of TPB have been used in the literature
106 to explain different types of pro-environmental intentions, such as willingness to pay for abatement of
107 forest regeneration (Pouta and Rekola, 2001), willingness to reduce personal car use (Nordlund and

108 Garvill, 2003), willingness to pay for improving biodiversity (Spash et al., 2009) or for conserving a
109 suburban park (López-Mosquera and Sánchez, 2012). However, to our knowledge there have been no
110 studies that use the proposed extended model of TPB to examine WTP to reduce air pollution and GHG
111 emissions in case of private road transport.

112 The paper is organized as follows. In the following sub-sections we lay out our theoretical
113 framework, research hypotheses and theoretical model. In Section 2, the study methodology is
114 presented. The results of analytical model are examined in Section 3. Section 4 contains the discussion
115 and Section 5 summarizes the main conclusions.

116 **1.1. Theoretical framework and research hypotheses**

117 The Theory of Planned Behavior (TPB) assumes that attitudes, subjective norms and perceived
118 behavioral control help us to better understand environment-related behaviors, such as “the behavior of
119 paying money for a good” (Ajzen, 1991; Ajzen et al., 1996; Kaiser et al., 2005). Attitude refers to
120 individuals’ positive or negative evaluation of performing a behavior. Subjective norms represent the
121 social pressure from the members of a reference group to act out a given behavior. Perceived behavioral
122 control concerns the perceived ease or difficulty of performing a behavior. The majority of the studies
123 using TPB have revealed that the individual’s intention to engage in the behavior under investigation
124 should be enhanced by a positive attitude, stronger subjective norms and higher perceived behavioral
125 control (Ajzen, 1991; Liebe et al., 2011). Using this theory combined with the Contingent Valuation
126 (CV) method from the stated preferences approach, we estimate the monetary value of the externalities.
127 The Contingent Valuation (CV) method is a survey-based and direct value elicitation method that is
128 commonly applied in hypothetical situations (Mitchell and Carson, 1989). Several CV studies use TPB
129 as their theoretical underpinning (Ajzen et al., 1996; Liebe et al., 2011; Meyerhoff, 2006; Pouta and
130 Rekola, 2001).

131 Many studies try to address CO₂ emissions and climate change effects from different transport
132 modes (see MacKerron et al., 2009), but few use the WTP approach and the original and extended TPB
133 model (to consider the role of behavioral motives) to analyze the public’s intention to reduce air
134 pollution and GHG emissions from transport. Abrahamse et al. (2009) studied the intention to reduce
135 car use and its related emissions. Others have considered consumer purchase intentions towards
136 environmentally friendly and less polluting vehicles (Afroz et al., 2015; Nayum et al., 2013). Some
137 have sought to identify the motives behind the choice of travel mode (Bamberg et al., 2003; Donald et
138 al., 2014) or behind the decision to use public transport (Bamberg et al., 2007; Heath and Gifford, 2002).
139 Van Birgelen et al. (2011) considered TPB to estimate willingness to compensate CO₂ emissions of air
140 travel. Our study uses an approach similar to that of Van Birgelen et al. (2011) but we apply it to private
141 road transport.

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144 **1.2. The basic model of TPB**

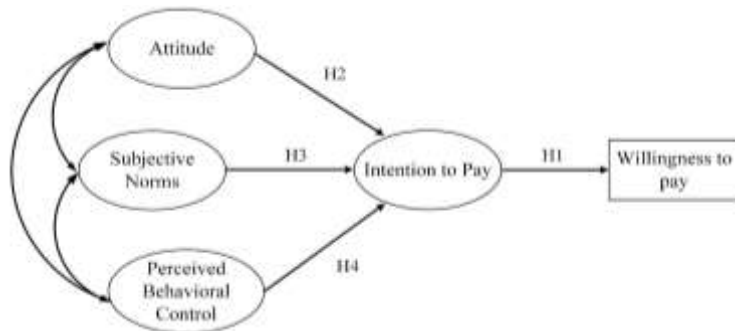
145 According to previous studies on TPB, we expect greater intention to pay to reduce pollution and GHG
146 emissions from individuals who (1) have a positive evaluation of the proposed payment (attitude), (2)
147 feel the support from family and friends to do this payment (subjective norms), and (3) rely on their
148 own strength to perform this payment (perceived behavioral control). This intention to pay (where the
149 amount of the payment is not mentioned to the respondents) should lead to higher stated WTP (where
150 the amount of the payment is mentioned to the respondents) and, finally, higher payment (behavior)
151 (see Fig. 1). To better document the relationship between behavioral motivation and WTP to reduce air
152 pollution and GHG emissions from private road transport, this study makes the following hypotheses:

153 *H1:* There is a significant and positive relation between a person’s intention to pay and his/her
154 stated willingness to pay to reduce air pollution and GHG emissions.

155 *H2:* Attitude toward payment to reduce air pollution and GHG emissions will positively predict
156 a person’s intention to pay for these reductions.

157 *H3:* Subjective norms toward payment to reduce air pollution and GHG emissions will be
158 positively related to a person’s intention to pay for these reductions.

159 *H4:* Perceived behavioral control toward payment to reduce air pollution and GHG emissions
160 will positively predict a person’s intention to pay for these reductions.



168 Fig. 1. Initial model based on the original components of TPB to explain WTP.

169 Note: Circles denote latent constructs; squares denote observed variables.

170 **1.3. The extended model of TPB**

171 The TPB has received many criticisms because it neglected complementary variables and left a
172 considerable unexplained percentage of variance of the analyzed behavior (Ajzen, 1991; Han and
173 Hansen, 2012; Kaiser, 2006). For this reason, and in order to enhance the original TPB’s explanatory
174 power, various authors have tried to propose an extended model of TPB by adding new variables
175 (Bamberg et al., 2007; Han and Hansen, 2012; Heath and Gifford, 2002; Kaiser, 2006; Peters et al.,
176 2011). Among these variables, a number of studies have emphasized the role of environmental concern
177 in predicting pro-environmental attitude, intentions and behavior (Bamberg, 2003; Chen and Tung,
178 2014; Donald et al., 2014; Groot et al., 2007; Hansla et al., 2008; Hartmann and Apaolaza-Ibáñez,

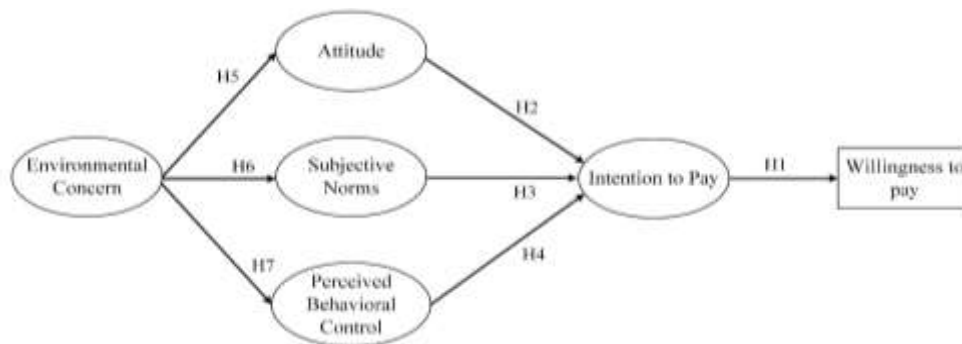
179 2012). In our study, we will also introduce this variable in the model. Therefore, we propose
 180 environmental concern as the antecedent of the variables of the TPB model and formulate the following
 181 hypotheses:

182 *H5:* There is a significant and positive relation between individuals' environmental concern and
 183 attitude toward paying to reduce air pollution and GHG emissions.

184 *H6:* There is a significant and positive relationship between individuals' environmental concern
 185 and subjective norms toward paying to reduce air pollution and GHG emissions.

186 *H7:* The relationship between a person's environmental concern and his/her perceived
 187 behavioral control toward paying to reduce air pollution and GHG emissions is significant and
 188 positive.

189 Fig. 2 shows the extended TPB model with regard to WTP.



197 Fig. 2. Extended model of the TPB to explain WTP.

198 Note: Circles denote latent constructs; squares denote observed variables.

199 **2. Methodology**

200 **2.1. Sampling area**

201 Catalonia is ranked as the 2nd autonomous community in Spain with the most vehicles: around 16%
 202 of the country's vehicles are circulating in Catalonia. At the end of 2016, there were 5,093,500 vehicles;
 203 of these, 3,436,271 were private road vehicles. Catalonia comprised 2,949,700 households and its
 204 population was 7,448,332 inhabitants (almost 16% of Spain's population). This means that, on average,
 205 each household had more than one private vehicle (IDESCAT, 2016; INE, 2016). Transport is
 206 responsible for more than 34% of CO₂ emissions and more than 50% of main air pollutants in Catalonia
 207 (Generalitat de Catalunya, 2010; Marti Valls et al., 2010; Parra Narváez, 2004).

208 **2.2. Procedure and measures**

209 Data were collected through an online survey in May and June 2015 in Catalonia. Questionnaires
 210 were sent to a sample of Catalan residents. We received 525 answers, of which 406 were valid. Quota
 211 sampling was employed in this study by controlling for gender (female, male), age (over 18 years old)
 212 and geographical location of the respondents. In order to ensure the user-friendliness and validity of the

213 survey, prior to implementing the main questionnaire, a pilot survey was realized on a sample of 40
 214 respondents. This survey, with the help of comments and recommendations of experts and the
 215 respondents of a focus group, allowed us to make the necessary adjustments. The sample consisted of
 216 63.7% women and 36.3% men; 72.4% in the age range of 30 to 64; 45.8% with only primary or
 217 secondary education or less; and 51.35% with a monthly disposable income between 1,125€ and 3,000€.
 218 The composition of our sample corresponds to that of the Catalan population, at least in terms of age
 219 range (64% of people over 18 are between 30 and 64 years of age) and income (46% with middle class
 220 disposable income). However, in terms of gender our sample is not representative of the actual
 221 population (IDESCAT, 2015).

222 The questionnaire that was developed to obtain the needed data for this study is composed of five
 223 parts. The survey starts with a concise introduction which presents the topic of the survey: “Policy
 224 against climate change and air pollution”. Respondents are offered a brief introduction: definitions of
 225 GHG emissions and air pollution and their possible harms and hazards to the environment.

226 In the second part, questions related to the citizens’ behavioral profile are asked. This part focuses
 227 on the extended TPB model, asking respondents about their environmental concern, attitudes, subjective
 228 norms, perceived behavioral control and intentions in relation to air pollution and GHG emissions. In
 229 order to be consistent with prior research, the constructs are measured through indicators adapted from
 230 the literature (see Table 1).

231 **Table 1** Constructs and indicators of the extended TPB model.

Constructs	Indicators	Response scale (1-5)	References used
Environmental Concern (EC)	Think about climate change and air pollution. How much concern do you have about the effects of these environmental issues on your personal health or well-being? (EC1)	No concern – Very high concern	(Fujii, 2006; Wang et al., 2016)
	I think climate change and air pollution problems are becoming more and more serious in recent years. (EC2)	Strongly disagree - Strongly agree	
	The problem of climate change and air pollution is ... for my family and me. (EC3)	Not serious at all - Extremely serious	
Attitude (AT)	I think the idea of paying to reduce emissions is very responsible. (AT1)	Strongly disagree - Strongly agree	(Chen and Tung, 2014; Han et al., 2010; López-Mosquera et al., 2014)
	For me, in general, paying to reduce emissions is ... (AT2)	Extremely negative - Extremely positive	
	Generally speaking, I think the idea of paying to reduce emissions is very intelligent. (AT3)	Strongly disagree - Strongly agree	
Subjective Norms (SN)	People whose opinions I value would prefer that I pay for reducing emissions. (SN1)		(Chen and Tung, 2014; Han et al., 2010; Han and Kim, 2010; López-Mosquera et al., 2014; Wang et al., 2016)
	The people who are important to me expect that, in general, I will pay for reducing emissions. (SN2)	Strongly disagree - Strongly agree	
	Most people who are important to me think that one should pay for reducing emissions. (SN3)		
Perceived Behavioral Control (PBC)	Whether or not I pay for reducing emissions is completely up to me. (PBC1)		(Chen and Tung, 2014; Han et al., 2010; Han and Kim, 2010; López-Mosquera et al., 2014)
	I am confident that if I want, I can pay for reducing emissions. (PBC2)	Extremely disagree - Extremely agree	
	I have resources, time, and opportunities to pay to help reduce emissions. (PBC3)		
Intention to Pay (IP)	I will make an effort to pay for reducing CO2 emissions and air pollution. (IP1)	Extremely disagree - Extremely agree	(Chen and Tung, 2014; Franzen and Vogl, 2013; Han et al., 2010; Han and Kim, 2010; López-Mosquera et al., 2014)
	I am willing to pay for reducing air pollution and CO2 emissions. (IP2)		
	How willing would you be to pay for reducing air pollution and CO2 emissions? (IP3)	Very Unwilling-Very Willing	

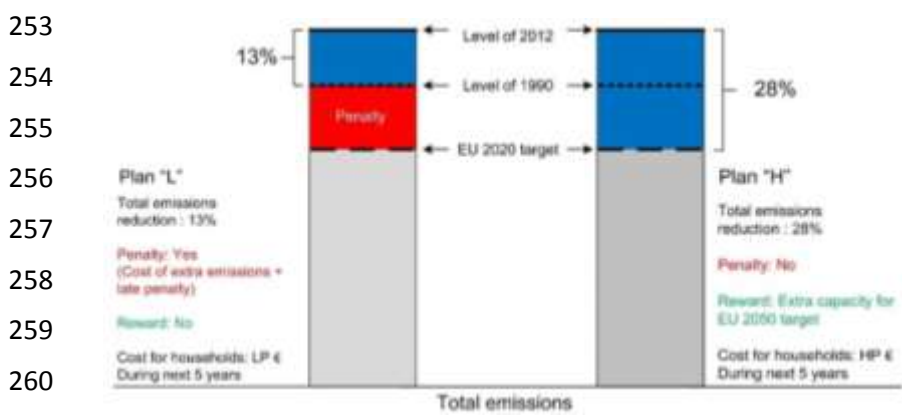
232 Part 3 contains the scenario and related monetary valuation question using the Contingent Valuation
 233 Method (CVM) to elicit individuals’ WTP (Mitchell and Carson, 1989). Prior to asking the valuation

234 questions, a hypothetical valuation scenario² was presented to respondents in as clear and simple a
 235 manner as possible. Respondents were reminded of the main benefits and services the hypothetical
 236 policy offers to citizens (i.e. less polluted air and GHG emissions through, for example, support to
 237 biofuel production, investment in public transport development and encouragement of the use of electric
 238 cars); also it reminded them of the main disadvantages of GHG emissions and air pollution.
 239 Respondents were then asked to indicate their willingness to make a financial contribution of a specific
 240 amount (i.e. a compulsory annual vehicle tax or transport tax³ for 5 years that would be managed by the
 241 Catalan government) to fund a policy that would reduce air pollution and GHG emissions.

242 The fourth part covers socio-economic characteristics of the respondents (age, gender, income,
 243 etc.). The final part of the questionnaire serves to profile the characteristics of the car owner's vehicle(s).

244 The purpose of the hypothetical policy choice was to reinforce the credibility of the proposed
 245 scenario and to minimize misunderstandings and misconceptions that can pose a problem for contingent
 246 valuation analysis. In the questionnaire, respondents face two dichotomous choices (single-bounded
 247 WTP questions) to carry out proposed policy to reduce GHG emissions and air pollution in Catalonia:
 248 (1) by 13% compared to the level of 2012 (back to 1990 level) and pay a penalty to the EU (Plan "L");
 249 (2) by 28% compared to the 2012 level (meet EU 2020 target) without paying a penalty and enjoying
 250 an extra capacity of emissions for the next phase of EU 2050 plan as a reward (Plan "H").

251 Fig. 3 provides the graphical representation of the emission reduction and the penalties and rewards
 252 according to EU and Kyoto targets which was shown to the respondents.



261 Fig. 3. Graphical representation of advantages and disadvantages of each scenario.

262 According to the multiple CV questions literature, single-bounded dichotomous-choice question was
 263 used as elicitation question format for this study. Therefore, in order to minimize ordering effects, WTP
 264 for the two plans was randomly distributed among respondents (Hoehn and Loomis, 1993; Longo et al.,

² The proposed valuation scenario and the contingent valuation questions are presented in the Appendix.

³ Tax is a means of payment which is completely known for most of Spanish people and they are familiar with the process and time of money collection, therefore they can plan for it in household budget; accordingly, tax is selected as the payment vehicle for this study. Based on Wiser (2007), this payment method facilitates acceptance and understanding of the CVM scenario from respondents' point of view. Also, after comparing different payment vehicles, Bateman et al. (2003) have found stated WTP which is obtained via taxes is significantly higher than the stated amount obtained through voluntary donations. This tax can be defined as "earmarked tax" which is raised and allocated to specific expenditure programs (IMF, 2007).

265 2012; Payne et al., 2000). This approach allowed us to gather higher quality data and to minimize protest
 266 answers (Poe et al., 1997). Using standard form of dichotomous choice CV questions, five different bid
 267 amounts⁴ for the proposed tax were randomly presented to five different groups of respondents. Each
 268 group had to indicate whether they agreed to pay a specific tax for plan “L” and a specific tax for plan
 269 “H”. For plan “L”, these amounts varied between €13 and €96 to finance the policy reducing GHG
 270 emissions and air pollution by 13% compared to the level of 2012. For plan “H”, these amounts varied
 271 from €24 to €185 to finance the policy reducing emissions and pollution by 28% compared to the level
 272 of 2012. Respondents were randomly assigned to one of these possible groups. The distribution of WTP
 273 according to the bid amount is shown in Table 2.

274 **Table 2** Bid values and percentage of respondents willing to pay the proposed plan

	Number of respondents	Plan “L”		Plan “H”			
		Bid (€/year)	% yes		Bid (€/year)	% yes	
			First step	Confirmed		First step	Confirmed
Group 1	94	13	57.45	25.53	24	60.64	50.00
Group 2	70	32	61.43	30.00	61	41.43	28.57
Group 3	79	54	49.37	32.91	102	45.57	32.91
Group 4	89	69	48.31	29.21	134	34.83	23.60
Group 5	74	96	43.24	18.92	185	41.89	33.78

275 The valuation question was asked in two steps. In the first step, respondents had to state whether
 276 they are “in favor” or “against” each of the two plans. In the next step, they had to confirm their choice
 277 and select one of the following three options: plan “L”, plan “H” or “neither one”.

278 Following the examples of Jorgensen et al. (2001) and Bateman et al. (2002), we introduced a control
 279 question to determine the reasons why the respondents were unwilling to pay the proposed tax. Using
 280 Longo et al. (2012) and our focus group observations, we offered the following motives for not wanting
 281 to pay the proposed tax: (1) The proposed tax is a fixed tax and I am in favor of a variable tax (e.g. tax
 282 per km driven); (2) Companies are the major causes of climate change and air pollution, and therefore
 283 they should pay for it; (3) The proposed policies are unrealistic; (4) The government should pay for
 284 climate change and air pollution, not the citizens; (5) I am not concerned about climate change and air
 285 pollution; (6) I do not feel responsible for climate change and air pollution; therefore, I should not pay
 286 for it; (7) I feel that climate change is a global problem and people in Catalonia should not be the ones
 287 to pay for it; or (8) I already pay high taxes and face high transport costs.

288 **2.3. Econometric model of WTP**

289 The mean WTP is calculated by means of the contingent valuation method (Mitchell and Carson,
 290 1989). Mean WTP was calculated by integrating under a logit function where price was truncated at

⁴ The bid amounts for both Plans (“L” and “H”) are hypothetically proposed in this study. They are calculated based on national and regional information in Spain and Catalonia provided by Marti Valls et al. (2010); Parra Narváez (2004) and Generalitat de Catalunya (2010); also based on previous studies at the EU level and at the country level reported in Korzhenevych et al. (2014); Maibach et al. (2008); van Essen et al. (2011); European Commission (2013b) and (EEA, 2015).

291 96€ and 185€ for plan “L” and “H” and bounded to be positive based on the work of Bateman et al.
292 (2002) and Hanley et al. (2007).

293 The mean WTP is calculated by:

$$294 \text{ Mean WTP} = \int_0^T [1 - G_{wtp}] dW \quad (1)$$

295 where G_{wtp} is the distribution function of the true WTP. T is infinite for the true intention to pay and is
296 truncated at some value for the purpose of estimation.

297 3. Results

298 3.1. WTP analysis

299 Mean WTP was estimated by means of a logit model. Dependent variable was extracted from the
300 continuous variable for the following structural equation modeling estimations. According to equation
301 (1), the results of the estimation of the logit model for the overall user sample ($n = 406$) reveal that the
302 mean WTP is 64.47€ for implementing plan “L” and 120.17€ for implementing plan “H”. The
303 significance of the two bid price variables ($t = 6.454$; $p < 0.01$; and $t = 5.502$; $p < 0.01$), indicates
304 the presence of starting-point bias. In addition, another logit model with covariates was used to
305 determine the influence of socio-economic variables on the WTP of the respondents. Higher WTP
306 amounts for reducing emissions and air pollution in both scenarios were obtained from people with a
307 higher income level ($t = 2.782$; $p < 0.01$; and $t = 2.934$; $p < 0.01$) and people younger in age
308 ($t = 2.432$; $p < 0.05$; and $t = 2.981$; $p < 0.01$).

309 3.2. Measurement and structural model

310 The structural model specified in Fig. 2 is estimated by using Maximum Likelihood. Following the
311 two-stage approach proposed by Anderson and Gerbing (1988), we first tested the measurement model
312 by means of Confirmatory Factor Analysis (CFA) and then estimated the Structural Model. At the
313 beginning, in an attempt to ensure convergent and discriminant validity as well as the reliability of the
314 measures, a confirmatory factor analysis (CFA) was conducted to test the measurement quality of the
315 model (Anderson and Gerbing, 1988). Second, we tested the structural relationship among the latent
316 variables of the model in Fig. 2. Sequentially, SPSS 22.0 and LISREL 8.80 were used to assess the
317 hypotheses presented in this study. Once we had assessed the unidimensionality of each reflective
318 construct, we checked whether all loadings (λ in Table 3) of the reflective indicators per factor were
319 above .65. Then reliability was assessed using Cronbach's (1951) α , which requires that the items be
320 tau-equivalent. When this assumption was not fulfilled alpha is biased (Raykov, 1997), we then used
321 instead the simplest alternative, Heise and Bohrnstedt's Ω (Heise and Bohrnstedt, 1970), which only
322 requires a unidimensional factor analysis model fitted to the indicators of each factor.

323 Next, the convergent validity was assessed by the Average Variance Extracted (AVE; i.e., the
324 average communalities per competency) for each factor, which should exceed 0.5 (Hair et al., 1998) for
325 all reflective constructs. Finally, discriminant validity was measured by comparing the square root of
326 the AVE (Table 3) of each reflective construct with the correlations between the constructs (Table 4).

327 As far as the goodness of global fit is concerned, the following fit indices were considered to
 328 determine how the model fitted the data: Satorra-Bentler χ^2 (chi-square); χ^2/df ratio; CFI
 329 (Comparative Fit Index), GFI (Goodness Fit Index) and NFI (Normed Fit Index) indices should be close
 330 to 0.9 or 1.0 and the RMSEA (Root Mean Squared Error Approximation) should ideally lie between
 331 0.05 and 0.08 (Hooper et al., 2008; Hu and Bentler, 1999).

332 It should be noted that mentioned indexes based only on statistical significance could lead to
 333 inaccurate conclusions (Sarlis et al., 2009). Accordingly, rather than only focusing on overall model fit
 334 in the diagnostic stage, we considered more detailed diagnosis indicators such as: 1) reasonable
 335 estimated values in the expected direction, 2) addition of justified correlated specificities and 3) the
 336 assessment of modification indexes and their expected parameter changes, which led to plausible
 337 estimates. This process, in line with the proposal of Sarlis et al. (2009), considers significance as well as
 338 the power of the test, paying more attention to identifying misspecification errors than just looking for
 339 the global fit.

340 3.2.1. Construct validity and Confirmatory Factor Analysis (CFA)

341 First, the measurement model was assessed by means of a CFA of the estimated extended model of
 342 TPB, which included all latent variables (intention to pay, attitude, subjective norms, perceived
 343 behavioral control and environmental concern). The data show a very good fit with the hypothesized
 344 structural model ($\chi^2=152.527$; $df=87$; $GFI=0.950$; $CFI=0.995$; $NFI=0.989$; $SRMR=0.030$;
 345 $RMSEA=0.043$).

346 As can be seen in Table 3, all the indicators are reflective and Tau-equivalents (α and Ω have
 347 similar values) and show high reliability of the constructs. Moreover, as mentioned, AVE is always
 348 above 0.5, the usual threshold for convergent validity, and the comparison of its square root with the
 349 correlations among factors (Table 4) shows strong evidence discriminant validity.

350 **Table 3** Reliability and Confirmatory Factor Analysis for the extended TPB model.

Constructs	Indicators	Mean (s.d.) ^a	λ	α	Ω	AVE
<i>Intention to pay (IP)</i>	IP1	3.17 (1.05)	.867	.925	.926	.792
	IP2	3.04 (1.12)	.912			
	IP3	2.95 (1.15)	.890			
<i>Environmental concern (EC)</i>	EC1	4.09 (0.65)	.807	.783	.790	.557
	EC2	4.46 (0.63)	.646			
	EC3	3.78 (0.78)	.777			
<i>Attitude (AT)</i>	AT1	3.38 (1.06)	.874	.907	.908	.765
	AT2	3.18 (1.04)	.882			
	AT3	3.05 (1.11)	.867			
<i>Subjective norms (SN)</i>	SN1	3.06 (1.01)	.822	.906	.907	.764
	SN2	2.93 (1.02)	.906			
	SN3	2.93 (1.02)	.892			
<i>Perceived behavioral control (PBC)</i>	PBC1	2.94 (1.12)	.744	.863	.866	.683
	PBC2	3.07 (1.03)	.888			
	PBC3	2.77 (1.08)	.841			

351 ^as.d: Standard deviation; λ : factor loading; α : reliability (Cronbach's α); Ω : Omega coefficient; AVE: Average variance extracted;

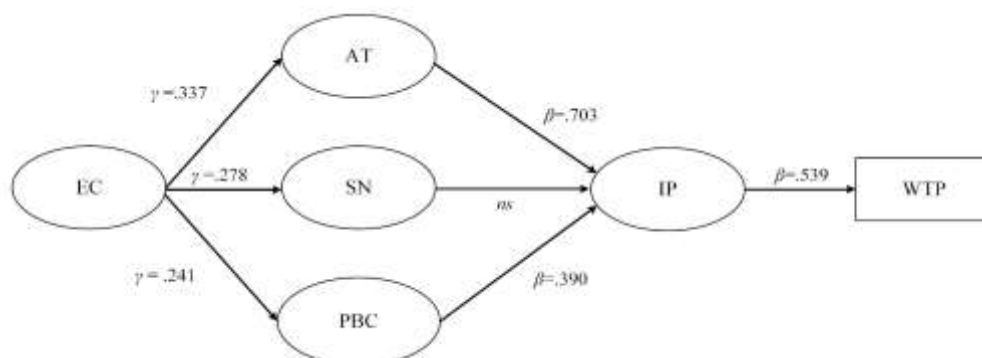
352 Table 4 shows the correlations among the factors of the extended model. High correlation among
 353 attitude, subjective norms and perceived behavioral control is likely to lead to multicollinearity
 354 consequences in the structural model estimates.

355 **Table 4.** Correlations matrix among factors.

	1	2	3	4	5	6
1. Willingness to pay	1.000					
2. Intention to pay	0.539	1.000				
3. Attitude	0.511	.948	1.000			
4. Subjective norms	0.484	.898	0.894	1.000		
5. Perceived behavioral control	0.478	.886	0.796	0.877	1.000	
6. Environmental concern	0.165	.311	0.337	0.278	0.241	1.000

356 **3.2.2. Structural models**

357 Once we ensured that measurements could be trusted, we proceeded to estimate the parameters of
 358 the extended structural model (Fig. 4). Global fit indexes show a very good fit
 359 ($\chi^2 = 157.861$; $df = 92$ $GFI = 0.948$; $CFI = 0.995$; $NFI = 0.989$; $SRMR = 0.031$; $RMSEA =$
 360 0.042), and following the strategy of Saris et al. (2009) we detected no misspecification errors. The
 361 relevant structural coefficients of this model are significant ($P < 0.01$) and agree with the expected
 362 direction. Intention to pay on WTP showed a positive significant relation which supports H1, being the
 363 standardized regression coefficient ($\beta = 0.539$; $t = 12.998$). Attitude appears to have the strongest
 364 effect ($\beta = 0.703$ $t = 7.393$) on intention to pay followed by the perceived behavioral control ($\beta =$
 365 0.390 . $t = 4.431$), thus H2 and H4 are also supported. However, we did not find evidence of a
 366 relationship between the subjective norms and intention to pay ($\beta = -0.073$; $t = -0.620$), so H3
 367 would seem, on the one hand, to have to be rejected. On the other hand, we suspect that this point
 368 estimate has been distorted due to the aforementioned multicollinearity in Table 4. The relationships
 369 between environmental concern and attitude ($\beta = 0.337$; $t = 5.670$; $P < 0.01$), subjective norms
 370 ($\beta = 0.278$; $t = 4.516$, $P < 0.01$) and perceived behavioral control ($\beta = 0.241$; $t = 3.773$; $P <$
 371 0.01) were supported, so H5, H6 and H7 are acceptable hypotheses based on our data.

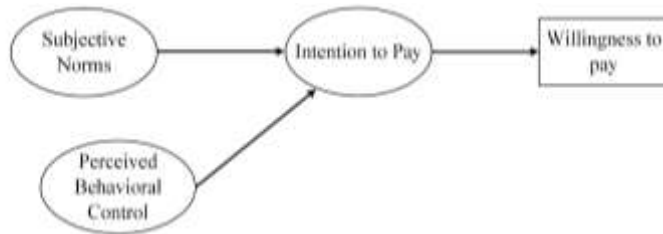


379 Fig. 4.

380 Structural model of WTP based on the extended Theory of Planned Behavior model.

381 β and γ : standard regression weight. ns: non-significant

382 In order to see the aforementioned effect of multicollinearity, we have specified a model (Fig. 5)
 383 excluding attitude (due to its high inter-factor correlations). Results show a very good fit ($\chi^2 =$
 384 63.207; $df = 31$ $GFI = 0.966$; $CFI = 0.996$; $NFI = 0.992$; $SRMR = 0.020$; $RMSEA = 0.051$),
 385 and demonstrate that, as mentioned, both the contribution of subjective norms on IP ($\beta = 0.524$. $t =$
 386 4.523) and the contribution of perceived behavioral control on IP ($\beta = 0.424$. $t = 3.566$) are actually
 387 positive and statistically significant. Results confirm that the previous estimates were distorted by the
 388 multicollinearity among attitude, subjective norms and perceived behavioral control.



393 Fig. 5. A part of extended model—excluding attitude—to account for the multicollinearity effect.

394 In total, our results imply that the extended TPB model could predict households’ intention to pay
 395 for the improvement of air quality and mitigation of climate change. Fortunately, multicollinearity
 396 doesn’t affect global goodness of fit indices, so we can trust the predictive power of the specified model.
 397 Thus, R-square –percentage of variance of WTP accounted for IP is 29.1%, while Attitude, Subjective
 398 Norms and Perceived Behavioral Control explain 94.7% of the intention’s variance.

399 **4. Discussion**

400 The TPB model, which was proposed by Ajzen (1991), is adopted in the current study to investigate
 401 households’ intention to pay to reduce air pollution and GHG emissions from private road transport.
 402 Empirically, the study shows that the proposed extended TPB model offers a useful framework for
 403 identifying the attitudinal factors motivating this intention to pay. As has been argued in many studies,
 404 entering additional constructs to the TPB model, such as the case of environmental concern, leads to an
 405 improvement of the explanatory power of this theory (Bamberg, 2003; Chen and Tung, 2014; Donald
 406 et al., 2014).

407 As we reported, the mean WTP, which was calculated through the contingent valuation method,
 408 indicated that households are willing to pay €64.47 and €120.17 for reducing CO₂ emissions and air
 409 pollution, respectively, under plan “L” and plan “H”. The percentage of positive WTP answers in our
 410 study is similar to the percentage obtained in previous studies in Spain and other studies around the
 411 world (see Table 5). Mean WTP differs in each study because of different social, political and economic
 412 situations of the respondents, the time of the survey and the valuation scenarios used in the study.

413
 414
 415

416 Table 5

417 Mean WTP to reduce air pollution and GHG emissions in previous studies.

Author (year)	Country	Estimated Mean WTP			% of Positive	Scenario	
Carlsson and Johansson-Stenman (2000)	Sweden	2000 SEK/year (235€/year ^a)			66%	50% reduction of harmful substances	
Adaman et al. (2011)	Turkey (26 cities)	150 TL/year (69.77€/year)			63.7%	Decrease CO ₂ emissions by making existing power plants more efficient and green	
		US\$/year (€)	30%	60%	85%		
Carlsson et al. (2012)	Sweden, USA, China	Sweden	21.7 (18)	39.54 (33)	54.24 (50)	92%	Reduce CO ₂ emissions by 30%, 60%, and 85%
		USA	17.27 (15)	27.95 (25.5)	36.43 (34.3)	75%	
		China	4.99 (4.2)	8.32 (7.52)	11.18 (9.3)	88%	
Longo et al. (2012)	Spain (Basque Country)	16% 281.61 €(PH/Y) ^c	4% 176.24 €(PH/Y)	0.5% 132.01 €(PH/Y)	> 65%	Reduce GHG emissions by 16%, 4%, and 0.5%	
Kotchen et al. (2013)	USA	Between \$79 and \$89 per year (58.6€ to 66€ per year)			49.6%	17% reduction in emissions by 2020	
Lera-lópez et al. (2013)	Spain	9.31€/year and 9.56€/year			53.9% and 54.2%	Reduce air pollution for mildly and severely affected populations	
Istamto et al. (2014)	NL, UK, DE, ES, FI ^b	1	2	3	43.6% (General)	1: General health risk 2: Half year shorter life expectancy 3: 50% decrease in road-traffic air pollution	
		130 €/(PP/Y) ^d	80 €/(PP/Y)	330 €/(PP/Y)			
Yang et al. (2014)	China (Suzhou)	314.4 CNY/year (80€/year)				30% carbon mitigation	
Current study		Plan "L"		Plan "H"	61.42%	Plan "L": Reduce 13% Plan "H": Reduce 28% In air pollution and GHG emissions	
		64.47 € (PH/Y) ^d		120.17 € (PH/Y)			

418 Source: Own elaboration

419 ^a. Costs are proximately exchanged to euro based on the related rates in the period of study.420 ^b. NL: Netherlands; UK: United Kingdom; DE: Germany; ES: Spain; FI: Finland.421 ^c. PH/Y: Per Household per Year.422 ^d. PP/Y: Per Person per Year.

423 The empirical results of our study reveal that respondents' WTP to reduce air pollution and GHG
424 emissions, as the closest factor influencing their behavior, is in turn affected by intention to pay. The
425 latter is significantly motivated by people's attitude and perceived behavioral control. Finally, we
426 observe a positive relationship between environmental concern and attitude (H5), subjective norms (H6)
427 and perceived behavioral control (H7).

428 This study represents, for the first time, the application of the TPB extended by the addition of
429 environmental concern, in the context of reducing CO₂ emissions and air pollution from private road
430 transport in Catalonia. It improves understanding of how psycho-social determinants motivate the
431 intention to pay to reduce GHG emissions and air pollution from private road transport. As a result, the
432 proposed extended TPB, as a psychological approach, may be useful in public policy, for example in
433 order to propose a new vehicle tax system.

434 Policy makers must try to understand which factors lead people to pro-environmental behavior,
435 especially in the case of reducing GHG emissions and air pollution. Accordingly, investigation of the
436 persuasive constructs that affect this behavior, such as attitude and environmental concern, is

437 recommended. This information would be useful in supporting efforts to reduce the attitude–behavior
438 gap and to encourage pro-environmental behavior.

439 One research finding is that people’s attitude and perceived behavioral control towards payment for
440 reducing GHG emissions and air pollution leads them to be more willing to pay for the policy if their
441 environmental concern is first increased. In line with previous studies, environmental concern was
442 directly related to attitude (Gardner and Abraham, 2010; Groot et al., 2007), subjective norms (Chen
443 and Tung, 2014) and perceived behavioral control (Bamberg, 2003).

444 As expected, we found a significant and positive relation between intention, which is defined as the
445 extent of effort an individual is planning to exert to perform a specific behavior (Ajzen, 1991), and
446 willingness, which is an individual’s openness to performing a certain behavior (H1). Despite
447 confirmation of this hypothesis, results demonstrated that “intention to pay” and “willingness to pay”
448 are not a same construct.

449 The attitude of an individual regarding paying for GHG emissions and air pollution reduction was
450 found to be the strongest determinant of intention to pay (H2). Numerous authors have shown the same
451 significant direct relation between attitude and intention to behave pro-environmentally (Spash et al.,
452 2009; Wall et al., 2007).

453 The component that had the second greatest impact on intention to pay to reduce GHG emissions
454 and air pollution was people’s perceived behavioral control (H4). This supports the results found in
455 other studies that mentioned PBC as one of the incentives for people to pay for improvements in
456 environmental issues (Pouta and Rekola, 2001; Spash et al., 2009).

457 It should be emphasized that the extended TPB model of our study had extraordinary model fit and
458 comparing indices. More than confirming most of our research hypotheses, the square multiple
459 correlations indicate that 29.1% of willingness to pay and 94.7% of intention to pay is explained by the
460 constructs of the study.

461 Finally, the results found here cannot yet be generalized to Catalonia as a whole. The sample used
462 represents all citizens who have and who don’t have vehicles, but it needs more deep studies to find the
463 best way of separating vehicle tax from other types of transport taxes. In addition, since respondents
464 were asked only about private road transport, the results obtained cannot be generalized to all types of
465 transport nor to other environmental goods.

466 **5. Conclusions**

467 The results of our study give support for the usefulness of enriching the TPB framework by
468 introducing into it a new factor—environmental concern. As this study has demonstrated,
469 environmental concern significantly affects attitude, subjective norms and perceived behavioral control.
470 Individuals with positive attitudes and perceived behavioral control present higher intention to pay and
471 WTP for the reduction of CO₂ emissions and air pollution. As we discussed, the relationship between
472 subjective norms and intention to pay is not confirmed statistically, but the high correlation among

473 constructs of TPB indicates that subjective norms influence intention to pay. An increase in intention
474 to pay thus relies on the combined effects of environmental concern and other elements of the extended
475 TPB model as suggested by Wang et al. (2016).

476 Environmental activists and urban managers should try to inform citizens about the importance of
477 reducing GHG emissions and air pollution, thus increasing the public's environmental concern. This in
478 turn will positively affect the public's economic valuation of policies that make such reductions
479 possible. A potential target population segment of this awareness-raising campaign should be that part
480 of the society which shows less environmental concern and therefore less WTP to reduce air pollution
481 and GHG emissions. It should be especially focused on people in the mid-income level range and in the
482 following age groups: middle-aged adults (age 30-55 years) and older adults (age 55 and above). All
483 types of media such as social networks, TV, radio and the printed press are helpful tools for promoting
484 environmental knowledge and pro-environmental behaviors in that they can educate people in car-use
485 reduction habits, promote the use of travel alternatives, encourage WTP to reduce pollution, and so
486 forth (see Gärling and Schuitema, 2007). These behavioral changes can improve the effectiveness of
487 economic tools such as taxes (higher WTP, less car use and more use of alternative transport modes).

488 In addition, for supporting the message of the campaign, drawing people's attention to the type of
489 payment vehicle for these policies (i.e. an earmarked tax) could be a positive point. Individuals may
490 increase their intention to pay if they are informed that the tax revenue will only be used to tackle the
491 specific environmental problems mentioned.

492 Regarding the limitations of this study, at the theoretical level, we would like to point out that it
493 would be interesting to examine the influence of motivational factors of the proposed model on real
494 payment rather than WTP. According to Ajzen (1991), the most accurate prediction of behavior will be
495 provided by an appropriate measure of intention. However, there is a gap between adoption intention
496 and actual behavior (Ajzen and Fishbein, 1980).

497 Furthermore, the sample used was a general sample based on the Catalonia census. In order to obtain
498 a more specific result based on vehicle ownership status, it would be interesting to do the same study
499 on two different and independent samples: one on vehicle owners and another one on individuals or
500 households without vehicles. A proper sample size of the two groups would help to determine whether
501 there are differences in their respective environmental profiles regarding the economic valuation of the
502 reduction of air pollution and GHG emissions.

503 Concerning future lines of research, we first suggest that people's WTP should be examined by their
504 level of trust in the government, at both local and national level, as well as in the legal system for
505 collecting taxes. A positive mindset towards the efficiency and honesty of the government facilitates
506 this intention to pay for the policy. Accordingly, a comparison of WTP a tax in different countries could
507 be interesting in revealing the consequences of people's trust in their government.

508 Second, other kind of taxes, instead of the annual obligatory transport tax, could be considered in the
509 survey; these might include taxes in function of the pollution generated (e.g. tax per kilometer or tax

510 based on vehicle pollution category) or taxes in function of income of the taxpayer. If people consider
511 the tax fairer, they will likely be more willing to pay for the environmental policy. Other payment
512 vehicles, such as voluntary payment, could also be considered as an alternative for a tax. Research could
513 also demonstrate whether there are changes in WTP amount and percentage of positive answers
514 according to the payment vehicle (e.g. Akcura, 2015; Wiser, 2007).

515 Third, it would be interesting to use other methodological frameworks of stated preference such as
516 Choice Experiments (Adamowicz et al., 1994) instead of contingent valuation method. This technique
517 offers an attribute-based definition of the good in question. As Bateman et al. (2002) explained, Choice
518 Experiment is easier for people to understand because this technique does not openly ask “How much
519 are you willing to pay?” In fact, here the design of the valuation scenario is different with the one in
520 Contingent Valuation.

521 Finally, there are cultural differences between territories (countries and regions), and that is why, in
522 order to increase the generalizability of the model, we need to study the effect of the cultural specificity
523 of the territory on the psycho-social factors and intention to pay to reduce GHG emissions and pollution.

524 We believe the findings of this study can contribute to the discussion about environmental valuations
525 and its implications for policies related to road transport GHG emissions and air pollution.

526

527 **Appendix**

528 Questions used in the contingent valuation:

529 Valuation scenario

530 The energy we use in transportation is the main cause of air pollution and greenhouse gas (GHG)
531 emissions in Catalonia, Spain and the second largest in Europe. Scientists say that GHG emissions,
532 mainly CO₂, are the main sources of global warming, irregular rainfall and sea level rise; on the other
533 hand, air pollutants, such as particulate matter (PM_{2.5} and PM₁₀), are the main reasons for pulmonary
534 infections and serious asthma attacks, especially among children and the elderly.

535 The Catalan government is considering measures to reduce the emissions and air pollution caused
536 by all sectors, so that in 2020 total emissions have to be 20% lower than in 1990. For this purpose they
537 have a new plan and the government needs more financial resources.

538 What is the Catalan government plan?

539 This program, regarding private transport, includes policies such as requiring oil companies to produce
540 gasoline and diesel that has lower GHG emissions and pollutants per liter, support bio-fuel production
541 by paying subsidies, invest in public transport development and pay subsidies and encourage the use of
542 electric cars, etc.

543 The current income is not enough to implement this plan. So if all households would agree to voluntarily
544 pay more for transportation during the next 5 years, the Catalan government will be able to implement

545 all proposed projects and will hit the target of the Europe 2020 (20% lower than 1990 level) for air
546 pollution and GHG emissions.

547 Let's assume the Catalan government has proposed two options:

548 1. Reduce air pollution and GHG emissions to 1990 levels (13% lower than 2012) and pay a penalty to
549 the EU (Plan L). Studies have shown that the cost of this policy (plan "L") is equal to X € per year for
550 each household during the next 5 years.

551 2. Reduce air pollution and GHG emissions levels to meet EU 2020 target (28% lower than 2012)
552 without paying a penalty, and enjoying an extra capacity of emissions for the next step of EU 2050 plan
553 as a reward (Plan H). Studies have shown that the cost of this policy (plan "H") is equal to X € per year
554 for each household during the next 5 years.

555 Valuation questions

556 Please think about "Plan L" and "Plan H".

557 "Plan L": Pay X €/year for 5 years as a transportation tax to reduce air pollution and GHG emissions to
558 1990 levels (13% lower than 2012) and pay a penalty to the EU.

559 "Plan H": Pay X €/year for 5 years as a transportation tax to reduce air pollution and GHG emissions to
560 meet EU 2020 target (28% lower than 2012). Not only does this plan have no penalty; it also has an
561 extra emissions capacity as a reward for Catalonia.

562

563 If an election were being held today, would you vote in favor of or against this policy that would
564 promote a 13% reduction in air pollution and GHG emissions, and cost each household in Catalonia X
565 €/year for 5 years as a transportation tax?

566 In Favor Against

567

568 If an election was being held today, would you vote in favor of or against this policy that would promote
569 a 28% reduction in air pollution and GHG emissions, and cost each household in Catalonia X €/year
570 for 5 years as a transportation tax?

571 In Favor Against

572

Reason for unwillingness to pay

- This is a fixed tax and I'm in favor of a variable tax. (e.g. pay per Km)
 - Companies are the major causes of climate change and air pollution: they should pay for it.
 - I find the proposed policies unrealistic.
 - The government should pay for climate change and air pollution, not the citizens.
 - I am not concerned with climate change and air pollution.
 - I do not feel responsible for climate change and air pollution; therefore I should not pay for it.
 - Climate change is a global problem; people in Catalonia should not be the ones to pay for it.
 - I already pay high taxes and transport costs.
-

573

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