Viral replication modes in single-peak fitness landscapes: a dynamical systems analysis

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Positive-sense, single-stranded RNA viruses are important pathogens infecting almost all types of organisms. Experimental evidence from distributions of mutations and from viral RNA amplification suggest that these pathogens may follow different RNA replication modes, ranging from the stamping machine replication (SMR) to the geometric replication (GR) mode. Although previous theoretical work has focused on the evolutionary dynamics of RNA viruses amplifying their genomes with different strategies, little is known in terms of the bifurcations and transitions involving the so-called error threshold (mutation-induced dominance of mutants) and lethal mutagenesis (extinction of all sequences due to mutation accumulation and demographic stochasticity). Here we analyze a dynamical system describing the intracellular amplification of viral RNA genomes evolving on a single-peak fitness landscape focusing on three cases considering neutral, deleterious, and lethal mutants. We analytically derive the critical mutation rates causing lethal mutagenesis and error threshold, governed by transcritical bifurcations that depend on parameters α (parameter introducing the mode of replication), replicative fitness of mutants (k1), and on the spontaneous degradation rates of the sequences (κ). Our results relate the error catastrophe with lethal mutagenesis in a model with continuous populations of viral genomes. The former case involves dominance of the mutant sequences, while the latter, a deterministic extinction of the viral RNAs during replication due to increased mutation. For the lethal case the critical mutation rate involving lethal mutagenesis is

\[ μ_c = \frac{1}{k_1} + \frac{1}{\sqrt{α}} \]

Here, the SMR involves lower critical mutation rates, being the system more robust to lethal mutagenesis replicating closer to the GR mode. This result is also found for the neutral and deleterious cases, but for these later cases lethal mutagenesis can shift to the error threshold once the replication mode surpasses a threshold given by \( \sqrt{α} = \frac{1}{k_1} \).

Keywords: Bifurcations; Dynamical systems; Error threshold; Replication modes; RNA viruses; Single-peak fitness landscape

I. INTRODUCTION

RNA viruses are characterized as fast replacers and reaching enormous populations sizes within infected hosts. However, virus’ fast replication comes with the cost of extremely high mutation rates due to the lack of correction mechanisms of their RNA-dependent RNA polymerases (RdRp) [1, 2]. Indeed, mutation rates are so high that viral populations are thought to replicate close to the so-called error threshold (also named error catastrophe), beyond which it is not possible to retain genetic information as mutant genomes outcompete the mutation-free genome [3]. These mutation rates are orders of magnitude higher than those characteristic for their cellular hosts. While the combination of fast replication, large population size and high mutation rate creates the potential for quick adaptation to new environmental conditions (e.g., changes in host species or the addition of an antiviral drug), in a stable environment such a strategy has the drawback of generating a high load of deleterious mutations. Therefore, natural selection may have favored life history traits mitigating the accumulation of deleterious mutations.

One such life history trait that has received a good deal of attention is the mechanism of within-cell viral replication. In the continuum of possible modes of replication, the two extremes have been particularly well studied. At the one extreme, the stamping machine mode [4], hereafter referred as SMR, implies that the first infecting genome is transcribed into a small number of molecules of opposite polarity that will then be used as templates to generate the entire progeny of genomes. At the other extreme, the geometric replication mode [5], hereafter named as GR, means that the newly generated genome also serves as template to produce new opposite polarity molecules that, themselves, will also serve to generate new progeny genomes, repeating the cycle until cellular resources are exhausted and replication ends. The actual mode of replication of a given virus may lie between these two extremes. Some RNA viruses such as bacteriophages φ6 [6] and Qβ [7] and turnip mosaic virus [8] tend to replicate closer to the SMR. In contrast, for other RNA viruses such as poliovirus [9] or vesicular stomatitis virus [10], replication involves multiple rounds of
copying per cell, and thus a mode of replication that should be closer to the GR. For DNA viruses, GR is the most likely mechanism of replication given their double-stranded nature, e.g., bacteriophage T2 [5]. Exceptions may be single-stranded DNA viruses, such as bacteriophage φX174, that replicate via the SMR mode because it uses a rolling circle mechanism [11].

At which point of the continuum between these two extreme modes of genome replication resides a particular virus has important evolutionary consequences. Unlike the SMR only the parental virus is used as template for the production of progeny. In this case the distribution of mutants remains purely Poisson because mutants do not replicate. The resulting Poisson distribution has the characteristic of its mean and variance being the same. On the other hand, under the GR, the mutant progeny also serves as template for additional progeny and the resulting distribution has a variance larger than the mean because mutant progeny produce more mutant viruses. This particular distribution is known as the Luria-Delbrück distribution [12]. For this reason, it has been suggested that the SMR model has been selected for more efficiently in RNA viruses because it compensates for the extremely high error rate of their RdRps [13–15]. Alternatively, by having a larger variance in the number of mutant genotypes may be beneficial in terms of evolvability under fluctuating environments. However, it remains unknown whether a given virus can modify its replication mode in response to specific selective pressures to promote or down-regulate mutational output.

Despite some previous theoretical results aiming to explore the implications of the different replication modes on the accumulation of mutations and possible population extinctions, the evolutionary dynamics and, especially, the bifurcations tied to both the SMR or the GR modes are not fully understood. For example, the role of the topography of the underlying fitness landscape on error thresholds and, especially, on lethal mutation genesis have not been investigated in RNA viruses with asymmetric replication modes. Lethal mutagenesis, as an additional source of variation. This dynamical system is investigated analytically and numerically focusing on three main parameters: mutation rates, the mode of replication, and the fitness of the mutant sequences which may be possible as a function of the mechanism (either the extreme cases: purely SMR or GR, or a mixture of replication modes, see Fig. 1a). The dynamics is assumed to take place on the Swetina-Schuster single-peak fitness landscape (see Fig. 1b) [23]. This landscape, albeit being an extreme oversimplification of highly rugged [24] and time-varying [25] fitness landscapes identified in RNA viruses, has been widely investigated [26–28].

The single-peak fitness landscape allows us to group together the entire mutant spectrum into an average sequence with a lower or equal fitness than the mutation-free (master) sequence, which is located at the top of the only peak in the landscape. Such a landscape allows us to consider the three different cases for the mutant sequences, given by a pool of (1) neutral, (2) deleterious and (3) lethal mutants, thus making the distance from the optimum to the base of the peak and its steepness as large as desired. Indeed, an additional well-studied property of the Swetina-Schuster landscape is the error threshold, which emerges as an inherent property of the landscape for deleterious mutations. To keep it as simple as possible, the model does not incorporate recombination as an additional source of variation. This dynamical system is investigated analytically and numerically focusing on three main parameters: mutation rates, the mode of replication, and the fitness of the mutant sequences which allow us to consider three different mutational fitness effects mentioned above.

The structure of the paper is as follows. In Section II we introduce the basic properties of the mathematical model that will be analysed in the following sections. The existence of non-trivial equilibrium points, that is, situations in which coexistence of mutants and master sequences may be possible as a function of the mechanism of replication are evaluated in Section III, while their stability is analysed in Section IV. In Section V we describe the type of bifurcations found in the model and their properties in terms of virus dynamics. Finally, Section VI is devoted to summarize and draw some conclusions from the previous sections. In the Appendix Section we provide the proofs for the propositions developed in Sections III and IV. It is presented keeping in mind more pathogen
FIG. 1. (a) Schematic diagram of the processes modeled by Eqs. (1)-(4), which consider (+) and (−) sense viral genomes (denoted by variables $p$ and $n$, respectively). Upon infection, the viral genome is released within the host cell. Such a genome can be amplified following the Stamping Machine Replication (SMR) mode, the Geometric Replication (GR) model, or mixed modes. Asymmetries in replication are introduced through parameter $\alpha$ (studied as $\sqrt{\alpha}$): with $0 \leq \alpha \leq 1$ for SMR modes; $0 < \alpha < 1$ for mixed modes; and $\alpha = 1$ for GR. Note that for SMR the offspring is produced from the (−) sense template, while for GR each RNA strand is replicated with the same efficiency. (b) The model includes evolution on a Swetina-Schuster single-peak fitness landscape with master $(p_0, n_0)$ and mutant $(p_1, n_1)$ genomes. At low mutation, the quasispecies is located at the peak, but at high mutations the quasispecies can suffer an error catastrophe and the population falls to the valley.

II. MATHEMATICAL MODEL

Here we introduce a minimal model describing the dynamics of symmetric and differential replication modes between (+) and (−) RNA viral genomes. As a difference from the model investigated in [14], which considered a more detailed description of the intracellular amplification kinetics, our model only considers the processes of replication and mutation, together with the degradation of RNA strands and their competition. The model considers four state variables: master and mutant classes of (+) sense genome and master and mutant classes of (−) sense viral genomes, labeled as $p$ and $n$, respectively. Subindices 0 and mutant 1 indicate whether we are dealing with master or mutant types, respectively (see Fig. 1). The dynamical equations are defined by:

\[
\begin{align*}
\frac{dp_0}{dt} &= k_0(1 - \mu)n_0 \cdot \phi(\vec{p}, \vec{n}) - \varepsilon_0 p_0, \\
\frac{dn_0}{dt} &= \alpha k_0(1 - \mu)p_0 \cdot \phi(\vec{p}, \vec{n}) - \varepsilon_0 n_0, \\
\frac{dp_1}{dt} &= (k_0 \mu p_0 + k_1 n_1) \cdot \phi(\vec{p}, \vec{n}) - \varepsilon_1 p_1, \\
\frac{dn_1}{dt} &= \alpha (k_0 \mu p_0 + k_1 p_1) \cdot \phi(\vec{p}, \vec{n}) - \varepsilon_1 n_1.
\end{align*}
\]

The concentration variables or population numbers span the 4th-dimensional open space:

\[\mathbb{R}^4 : \{p_0, p_1, n_0, n_1; -\infty < p_i, n_i < \infty, i = 0, 1\},\]

only part of which is biologically meaningful:

\[\Pi^4 \subset \mathbb{R}^4; \Pi^4 : \{p_0, p_1, n_0, n_1; p_j, n_j \geq 0, j = 0, 1\}.\]

The constants $k_0 > 0$ and $k_1 \geq 0$ are the replication rates of the master and the mutant genomes, respectively. Mutation rate is denoted by $0 \leq \mu \leq 1$. Since we are studying deleterious fitness landscapes and lethality, we will set $k_0 = 1$. The term $\phi$, present in all of the equations, is a logistic-like constraint, which introduces competition between the viral genomes and bounds the growth of the system [22]. This term is given by

\[
\phi(\vec{p}, \vec{n}) = 1 - K^{-1} \sum_{i=0}^{1} (p_i + n_i),
\]

$K$ being the carrying capacity (hereafter we assume $K = 1$). Parameters $\varepsilon_0$ and $\varepsilon_1$ correspond to the spontaneous degradation rates of master and mutant genomes, with $0 < \varepsilon_{0,1} < 1$. Finally, parameter $\alpha$ introduces the mode of replication for the RNAs [22]. Two extreme cases can be identified: when $\alpha = 1$, both (+) and (−) sense strands replicate at the same rates, following GR that results in exponential growth at low population numbers [14]. When $0 \leq \alpha < 1$, the contribution from (+) as templates to produce (−) strands is much lower, and thus
the progeny of genomes is mainly synthesized from the
initial (-) sense templates transcribed at the beginning
of the infection process, giving rise to an SMR mode. The
initial replication dynamics for the SMR replication might
thus follow sub-exponential growth [14]. Between these
two extremes, our model considers a continuum of
asymmetrical replication modes i.e., 0 < α < 1. These dy-
namical behaviors are well reproduced by Eqs. (1)-(4),
as shown in Fig. 2, where the different initial kinetics of
the strands is displayed for several replication modes.

To simplify the exposition, we will assume the follow-
ing non-restrictive assumptions on our model: (H1) equal
degradation rates ε = ε1 = ε and, as mentioned, a fixed
fitness value for the master genomes, setting k0 = 1; (H2)
the degradation rate ε is smaller than the mutation rate,
that is, 0 < ε ≤ min {1 − µ, k1}.

Our model assumes no backward mutations, that is,
molecular sequences of one polarity can not give rise to mas-
ter sequences of the complementary polarity. The length
of RNA viral genomes (about 10^6 nucleotides) makes the
probability of backward mutations to be extremely low.
This is a common assumption in quasispecies models that
simplifies the dynamical equations (see e.g., [26–28]).

The quasispecies studied here inhabits a single-peak
fitness landscape (Swetina-Schuster; Fig. 1b). Differ-
ent heights of this fitness landscape can be studied by
fitting 0 ≤ F1 ≤ 1, considering different mutational fit-
ness effects. The aim of abstract quasispecies models
since conceived by Eigen in his seminal work [3] was to
understand the dynamics of mutation and selection of
molecular replicators in a well mixed environment. It is
assumed that the fitness of such replicators depends on
their mutational load in a generic manner, which means
that fitness is assigned according to the value of the mu-
tations carried by a genome rather than by the effect
these mutations may have on protein activity. From a
real-life virology perspective, this is an extreme simpli-
fication as the fitness of the virus would depend on the
activity and interactions of encoded proteins, the ability
of the virus to spread and infect other cells and, finally,
be transmitted among individuals. However, for the sake
of simplicity, hereafter we follow Eigen’s approach and
refer to fitness as a property of the molecular replicators.
In general terms, mutations can be deleterious, neutral,
lethal, or beneficial for the replicators in their intracel-
lar environment. Some quantitative descriptions of the
fitness effects of mutations reveal that about 40% of mu-
tations are lethal, and about 20% are either deleterious or
neutral. For the within-cell replication time-scale, bene-
ficial mutations were produced with a very low percent-
age i.e., about 4% (see [29, 30] and references therein).
Specifically, in our model we will distinguish three differ-
ent cases:

1. Neutral mutants (k0 = k1 = 1). Mutations are
neutral and thus mutant genomes have the same
fitness than the master ones.

2. Deleterious mutants (0 ≤ k1 < k0 = 1). This case

3. Lethal mutants (k1 = 0). For this case, mutations
are assumed to produce non-viable, lethal gen-
types which can not replicate.

At this point, we want to emphasise that our model is
only considering different viral genotypes with different
kinetic properties since we are interested in the impact
of differential RNA amplification in simple fitness land-
scapes. This is why fitness is introduced as genomes’
replication speed. Our model could be used to introduce
further complexity in terms of fitness landscapes and/or
in terms of the within-cell infection dynamics, following
the spirit of Ref. [14].

III. EQUILIBRIUM STATES

In this section we first compute the equilibrium points
of Eqs. (1)-(4) and characterize their existence condi-
tions. That is, under which parameter values the fixed
points live at the boundaries or inside the phase space Π.
Let us define the following constants, which will appear
in the equilibrium states (see Proposition 1) and also in
their stability discussion

\[
\nu_0 := \frac{\varepsilon}{1 - \mu}, \quad \nu_1 := \frac{\varepsilon}{k_1}, \quad c_\alpha := \frac{1}{\sqrt{\alpha (1 + \sqrt{\alpha})}},
\]

and

\[
\delta := \frac{\mu \nu_0}{k_1 (1 - \nu_0)}, \quad \delta^0 := \frac{\mu \nu_0}{\varepsilon}.
\]

From these definitions, one has the equivalences:

\[
k_1 < (1 - \mu) \iff \nu_0 < \nu_1, \tag{7}
\]

\[
k_1 = (1 - \mu) \iff \nu_0 = \nu_1 = \nu, \tag{8}
\]

\[
k_1 > (1 - \mu) \iff \nu_1 < \nu_0. \tag{9}
\]

Moreover hypothesis (H2) implies that 0 < \nu_0 ≤ 1 and
0 < \nu_1 ≤ 1.

Proposition 1 System (1) presents the following equi-
libria:

1. In the Deleterious (0 < k_1 < 1) and neutral (k_1 = 1)
cases, there are three possible equilibrium points:

\begin{itemize}
  \item Total extinction: the origin, O = (0, 0, 0, 0).
  \item Master sequences’ extinction: if \sqrt{\alpha} > \nu_1 one has the point \(P_1 = p_1^*(0, 0, 1, \sqrt{\alpha}), \) where \(p_1^* = c_\alpha (\sqrt{\alpha} - \nu_1).\)
\end{itemize}
FIG. 2. (a) Strands’ initial dynamics with $\mu = 0$ and $p_0(0) = n_0(0) = 0.005$. The growth for the GR mode ($\alpha = 1$) is exponential for small population sizes, resulting in a straight line in a linear-log scale: here $p_0$ (solid black line) and $n_0$ (red circles). The two curves below, which follow sub-exponential growth, correspond to the SMR with $\alpha = 0.05$: $p_0$ (dashed black) and $n_0$ (red dashed). (b-c) Initial amplification phase with $\mu = 0.25$ and $p_{0,1}(0) = n_{0,1}(0) = 0.005$. In (b) we show the dynamics for GR with $\alpha = 1$: $p_0$ (black solid); $p_1$ (black circles); $n_0$ (red solid); and $n_1$ (red circles). In (c) we display the same results of (b) but considering SMR with $\alpha = 0.05$. For comparison, the blue dashed line corresponds to the growth of $p_0$ with $\alpha = 1$ shown in (b), which results in a straight line. In all panels we set: $k_{0,1} = 1$ and $\varepsilon_{0,1} = 10^{-5}$.

FIG. 3. Existence of equilibria in four different scenarios: (deleterious and neutral) $0 < k_1 < 1-\mu$, $k_1 = 1-\mu$, $k_1 \geq 1-\mu$; and (lethal) $k_1 = 0$, respectively. The result are displayed as increasing $\sqrt{\alpha}$ from the SMR model, with $0 \leq \sqrt{\alpha} < 1$ to show the GR, with $\sqrt{\alpha} = 1$ models. Here $\nu_0 = \varepsilon/(1-\mu)$ and $\nu_1 = \varepsilon/k$. Note that y-axes do not contain any information.

$q_0^0 = c_0(\sqrt{\alpha} - \nu_0)\
\frac{1}{1 + \delta}$. Note that for the lethal case no equilibrium state corresponding to an error threshold is found, and only lethal mutagenesis is the alternative state to the persistence of all sequences. Figure 3 displays a diagram with the existence of the different equilibria in terms of the values of $\sqrt{\alpha}$ and the parameters $\nu_0$, $\nu_1$. The emergence of the non-trivial fixed points $P_1$, $P_2$ and $P_2^0$ as a function of $\sqrt{\alpha}$ illustrates the transcritical bifurcations identified in the system (see Section IV below).

Remark 1 The coexistence points $P_2$ and $P_2^0$ are located on straight lines passing through the origin and director vectors $(1, \sqrt{\alpha}, \delta, \delta \sqrt{\alpha})$ and $(1, \sqrt{\alpha}, \delta^0, \delta^0 \sqrt{\alpha})$.

In the case $\mu = 1$, there are no master sequences $p_0 \leftrightarrow n_0$, since all master sequences mutate with probability 1. For this case, the equilibria are:

Proposition 2 If $\mu = 1$, system (1) presents the following equilibria:

1. In the deleterious and neutral cases: the origin $O$ (for any value of $\sqrt{\alpha} \in [0, 1]$) and the point $P_1$ given at the Proposition 1 provided $\sqrt{\alpha} > \nu_1$.

2. In the lethal case, the unique equilibrium is the origin $O$, for any value of $\sqrt{\alpha} \in [0, 1]$.

Figure 4 displays time series achieving the equilibrium points previously described. For low mutation rates, both (+) and (-) sense strands persist, and thus $P_2$ is stable (Fig. 4a). Note that close to the SMR the relative frequency of (+) and (-) strands is asymmetric, as expected, while for GR both polarities achieve similar population values at equilibrium (see also Fig. 2). The
increase in mutation rates can involve crossing over the error thresholds (since $P_1$ becomes stable), and the quasi-species is dominated by the mutant sequences (Fig. 4b) with $\alpha = 0.1$ and Fig. 4c for $\alpha = 0.1$ and $\alpha = 0.9$. The relative population of master (green) and mutant (blue) (+) sense sequences is displayed in the second and fourth columns of Fig. 4. Here also the relative frequencies of $p_0(t)/(n_0(t) + p_0(t))$ (green) and $p_1(t)/(n_1(t) + p_1(t))$ (blue) indicating that the production of both strands polarities occurs at similar rates.

Figure 5 displays the equilibrium populations of the four state variables at increasing mutation rates computed numerically. These results illustrate the scenarios of lethal mutagenesis (all-sequences extinction) and error threshold mainly depends on the fitness of sequences, and that the mode of replication has the strongest impact low-fitness mutants, driving to lethal mutagenesis.

In the following sections we generalize the results displayed in Figs. 4-6 by means of a deep analysis of the stability and the bifurcations of Eqs. (1)-(4).

IV. LOCAL STABILITY OF THE EQUILIBRIA

After determining the equilibrium points, our next step is to evaluate their stability to small variations in the model parameters. An stable equilibrium would mean that the complex viral population composed by master and mutants of both polarities is robust to external perturbations whereas an unstable equilibrium would mean that the viral population will rapidly change in response to perturbations without returning to the equilibrium. This section is devoted to the study of the linear (and also in the majority of cases of the nonlinear) stability of the equilibria found in the previous section. We will consider separately the three equilibrium points $O$, $P_1$ and...
Consider the following two cases:

(i) If \( k_1 < 1 - \mu \) or, equivalently, \( \nu_0 < \nu_1 \): The origin \( \mathcal{O} \) is asymptotically stable (a sink) for \( \sqrt{\alpha} < \nu_0 \) and unstable for \( \sqrt{\alpha} > \nu_0 \). For \( \sqrt{\alpha} = \nu_0 \) we have the birth of \( \mathcal{P}_2 \). More precisely, if \( \nu_0 < \sqrt{\alpha} < \nu_1 \) then dim \( W^u_{\text{loc}}(\mathcal{O}) = 1 \) and if \( \sqrt{\alpha} > \nu_1 \) then dim \( W^u_{\text{loc}}(\mathcal{O}) = 2 \), where \( W^u_{\text{loc}}(\mathcal{O}) \) denotes the local unstable invariant manifold of the equilibrium point \( \mathcal{O} \).

(ii) If \( k_1 = 1 - \mu \) or, equivalently, \( \nu_0 = \nu_1 = \nu \): In this situation, \( \mathcal{O} \) is asymptotically stable (a sink) for \( \sqrt{\alpha} < \nu \) and unstable for \( \sqrt{\alpha} > \nu \). This change in its stability coincides with the birth of \( \mathcal{P}_1 \). Recall that if \( \nu_0 = \nu_1 \) the point \( \mathcal{P}_2 \) does not exist. Moreover, when crossing the value \( \sqrt{\alpha} = \nu \) one has that dim \( W^u_{\text{loc}}(\mathcal{O}) \) passes from 0 to 2.

(iii) If \( k_1 > 1 - \mu \) or, equivalently, \( \nu_1 < \nu_0 \): Again, the origin is asymptotically stable (a sink) for \( \sqrt{\alpha} < \nu_0 \) and unstable for \( \sqrt{\alpha} > \nu_0 \), coinciding with the birth of the equilibrium point \( \mathcal{P}_1 \). As in the precedent case, no point \( \mathcal{P}_2 \) exists. As above, if \( \nu_1 < \sqrt{\alpha} < \nu_0 \) then dim \( W^u_{\text{loc}}(\mathcal{O}) = 1 \) and if \( \sqrt{\alpha} > \nu_0 \) then dim \( W^u_{\text{loc}}(\mathcal{O}) = 2 \).

2. Lethal case \( (k_1 = 0) \): Taking into account again Proposition 1, the origin \( \mathcal{O} \) changes its stability from asymptotically stable (a sink) to unstable (a saddle) when \( \sqrt{\alpha} \) crosses \( \nu_0 \). As above, this coincides with the birth of \( \mathcal{P}_2 \).

Cases (i), (ii), and (iii) are displayed in Fig. 6a, 6b, and 6c, respectively. Specifically, the local stability of the origin for each case is shown as a function of \( \sqrt{\alpha} \); the upper panels in Fig. 6 displays how the origin becomes unstable as the replication model changes from SMR to mixed modes. This means that under SMR the sequences are more prone to extinction, as suggested in [22]. These stability diagrams are also represented by means of the eigenvalues \( \lambda_1, \ldots, \lambda_4 \). The phase portraits display the orbits in the subspace \((p_1, n_1)\). Note that the label of each phase portrait corresponds to the letters in the upper panels. Panels a.1, b.1, and c.1 show results when the origin is a global attractor. Panels a.2 and a.3 display the orbits when the origin is unstable and the stable fixed point is \( \mathcal{P}_2 \), where the four genomes coexist. Finally, panels b.2, c.2, b.3, and c.3 display examples of a full dominance of the mutant genomes. For these latter examples, the increase of \( \sqrt{\alpha} \) involves the change from the full extinction to survival of the mutant sequences. Biologically, this means that at very high mutation rates, SMR can be driven to extinction whereas GR maintains a population replicating into the error catastrophe regime (i.e., no more master sequences exist).
different neutral-deleterious cases:

\[ \omega + \omega + \omega + \omega + \omega + \omega \]

\[ a.1 \quad a.2 \quad a.3 \]

\[ \lambda_1 < 0 \quad \lambda_1 > 0 \quad \lambda_2 > 0 \]

\[ \lambda_1 < 0 \quad \lambda_1 > 0 \quad \lambda_2 > 0 \]

\[ a.1 \quad a.2 \quad a.3 \]

\[ n_1 \]

\[ P \]

\[ 0 < k_1 < 1 - \mu; \quad k_1 = 1 - \mu; \quad k_1 \geq 1 - \mu \] (AS means “asymptotically stable”; U denotes “unstable” and in all these cases means saddle type). Below each case we plot the eigenvalues of \( DF(O) \) increasing \( \sqrt{\alpha} \) with \( \mu = 0.5, \epsilon = 0.1, \) and: \( k_1 = 0.25 \) (a); \( k_1 = 0.5 \) (b); and \( k_1 = 0.75 \) (c). Here \( \lambda_1 \) (red), \( \lambda_2 \) (blue), \( \lambda_3 \) (green), and \( \lambda_4 \) (magenta). Phase portraits projected in the subspace \((p_1, n_1)\) of the phase space \( \Pi \) are displayed setting \( \mu = 0.6, \epsilon = 0.1, \) and \( k_1 = 0.15 \) (a), \( k_1 = 0.4 \) (b), and \( k_1 = 0.75 \) (c). Each panel corresponds to a value of \( \sqrt{\alpha} \): 0.15 (a.1); 0.25 (a.2); 0.75 (a.3); 0.15 (b.1); 0.5 (b.2); 0.95 (b.3); 0.09 (c.1); 0.2 (c.2); 0.5 (c.3). Fixed points: \( O \) (magenta); \( P_1 \) (blue); \( P_2 \) (orange). The red orbit in panel a.2 shows a trajectory that approaches the origin \( O \) but then returns to \( P_2 \).

**B. Stability of the point \( P_1 \)**

**Proposition 4** Let us assume \( \sqrt{\alpha} > \nu_1 \), in order for the equilibrium points \( P_1 \) to exist. Then, the eigenvalues of the jacobian matrix \( DF(P_1) \) are all real and they are
FIG. 7. Bifurcations of the equilibrium points $O, P_1, P_2$ (deleterious-neutral cases) and $P_1^0$ (lethal case). From top to bottom and left to right: deleterious-neutral case, (i) $0 < k_1 < 1 - \mu$, (ii) $k_1 = 1 - \mu$, (iii) $k_1 > 1 - \mu$; and (iv) lethal case. The phase portraits correspond to the parameter values indicated with the letters in the bifurcation diagrams with: $k_1$ and $\sqrt{\alpha} = 0.85$ (a); $k_1 = 0.4$ and $\sqrt{\alpha} = 0.5$ (b); $k_1 = 0.75$ and $\sqrt{\alpha} = 0.5$; and $k_1 = 0$, $\sqrt{\alpha} = 0.5$ (b). Initial conditions: $p_1(0) = r_{11}(0) = 0$ (a); $p_0(0) = r_{00}(0) = 0.1$ (b); and $p_0(0) = r_{00}(0) = 0$ (c-d). In all of the panels we use $\mu = 0.6$ and $\varepsilon = 0.1$. Fixed points: $O$ (magenta); $P_1$ (blue); $P_2$ (orange); $P_1^0$ (green).

476 given by

$$\lambda_1 = -\varepsilon + (1 - \mu)\nu_1,$$

$$\lambda_2 = -\varepsilon - (1 - \mu)\nu_1,$$

$$\lambda_3 = -2\varepsilon,$$

$$\lambda_4 = \varepsilon - k_1 \sqrt{\alpha}.$$  

477 The eigenvalues $\lambda_2$ and $\lambda_3$ are always negative. $\lambda_4 < 0$ if $\mu$, it is easy to check that:

$$\lambda_1 < 0$$ if $\nu_1 < \nu_0$, 

$$\lambda_1 = 0$$ if $\nu_1 = \nu_0$, 

$$\lambda_1 > 0$$ if $\nu_1 > \nu_0$.

478 Therefore, in the deleterious-neutral case we have the following subcases:

(i) If $k_1 < 1 - \mu$ or, equivalently, $\nu_0 < \nu_1$: $P_1$ is unstable (saddle). Indeed, $\dim W_{loc}^{u}(P_1) = 3$ and $\dim W_{loc}^{s}(P_1) = 1$, where $W_{loc}^{s,u}(P_1)$ denote the stable and unstable local invariant manifolds of $P_1$.

(ii) If $k_1 = 1 - \mu$ or, equivalently, $\nu_0 = \nu_1 = \nu$: $P_1$ has a 1-dimensional neutral direction (tangent to the eigenvector associated to the eigenvalue $\lambda_1 = 0$) and a 3-dimensional local stable manifold.

(iii) If $k_1 > 1 - \mu$ or, equivalently, $\nu_1 < \nu_0$: In this case $P_1$ is a sink so, therefore, a local attractor.

Regarding the lethal case ($k_1 = 0$), the eigenvalue $\lambda_4 = \varepsilon$ is always positive and so $P_1$ is unstable (saddle).

492 The proof follows from straightforward computations.
C. Stability of the points $P_2$ and $P_2^0$

From Section III we know that the equilibrium point $P_2$ exists if $\sqrt{\alpha} > \nu_0$ and in the following two cases:

1. In the deleterious case ($0 < k_1 < 1$) provided that $0 < k_1 < 1 - \mu$ (or, equivalently, $\nu_0 < \nu_1$).

2. In the lethal case ($k_1 = 0$).

Next proposition determines the local stability of $P_2$ in these two situations.

**Proposition 5** Let us assume that $\sqrt{\alpha} > \nu_0$ in order $P_{2\text{d}}$ and $P_{2\text{l}}^0$ to exist. Then, the eigenvalues of the differential $DF(P_2)$ and $DF(P_2^0)$ are, respectively:

1. In the deleterious case ($0 < k_1 < 1$) provided that $0 < k_1 < 1 - \mu$ (or, equivalently, $\nu_0 < \nu_1$):

   \[ \lambda_1 = -2\varepsilon, \quad \lambda_2 = -\varepsilon - k_1\nu_0, \]

   \[ \lambda_\pm = -\frac{1}{2(1-\mu)} \left( A \pm \sqrt{A^2 - 4(1-\mu-k_1\varepsilon)} \right), \]

   where $A = \sqrt{\alpha}(1-\mu)^2 - k_1\varepsilon$. Notice that assumptions $\sqrt{\alpha} > \nu_0$ and $0 < k_1 = 1 - \mu$ imply that $A > 0$.

2. In the lethal case ($k_1 = 0$):

   \[ \lambda_1 = -2\varepsilon, \]

   \[ \lambda_2 = -\varepsilon, \]

   \[ \lambda_\pm = -\frac{(1-\mu)}{2} \sqrt{\alpha} \pm \frac{(1-\mu)}{2} \sqrt{\alpha} - \varepsilon. \]

   Then, in both cases all four eigenvalues are real and negative, and so the equilibrium points $P_2$ and $P_2^0$ are sinks for any $\sqrt{\alpha} > \nu_0$.

V. BIFURCATIONS

As mentioned, the identification of the bifurcations as well as their nature (whether they are smooth or catastrophic) is important to understand how viral sequences can enter into either error threshold or lethal mutagenesis states. Essentially, the system under investigation only experiences transcritical bifurcations. This means that the collapse of the viral sequences or their entry into error threshold is governed by smooth transitions. These bifurcations coincide with the appearance of a new equilibrium point, $P_1$, $P_2$, or $P_2^0$. It is remarkable that the latter equilibria, once becoming an interior fixed point, remains a sink, not undergoing any bifurcation. Let us detail them in all our cases. Namely,
FIG. 9. Phase diagrams for the deleterious-neutral case computed numerically in the parameter space \((\sqrt{\alpha}, \mu)\). The equilibrium state is represented using the same colors than in Fig. 10a. The critical mutation rates involving the entrance into extinction error threshold is displayed in red. The yellow arrows indicate the entrance into lethal mutagenesis. This plot has been built using \((p_0(0) = 0.1, n_0(0) = 0, p_1(0) = 0, n_1(0) = 0)\) as initial conditions. The same results are obtained with initial conditions \((1, 0, 0, 0)\). Notice that lethal mutagenesis is replaced by the error catastrophe as \(\alpha\) increases.

1. Deleteterious-neutral case \((0 < k_1 \leq 1)\):

   (i) Case \(0 < k_1 < 1 - \mu\) (that is, \(\nu_0 < \nu_1\)): the origin \(O\) is a sink up to \(\sqrt{\alpha} = \nu_0\). At that point, the equilibrium point \(P_2\) appears. Then, \(O\) changes its stability by means of a transcritical bifurcation, becomes a saddle point (unstable), with \(\dim W^u_{\text{loc}}(O) = 1\). The coexistence equilibrium point \(P_2\) is a sink (i.e., an attractor) for \(\sqrt{\alpha} \in (\nu_0, 1)\). At \(\sqrt{\alpha} = \nu_1\), the equilibrium point \(P_2\) appears. It will be a saddle point (with \(\dim W^u_{\text{loc}}(P_2) = 1\)) for \(\sqrt{\alpha} \in (\nu_1, 1)\). At this point, \(\sqrt{\alpha} = \nu_1\), the dimension of \(W^u_{\text{loc}}(O)\) increases to 2, remaining like this up to \(\sqrt{\alpha} = 1\).

   (ii) Case \(k_1 = 1 - \mu\) (that is, \(\nu_0 = \nu_1\)): in this situation there are only two equilibrium points, \(O_{990}\) and \(P_1\), the latter appearing at \(\sqrt{\alpha} = \nu_0 = \nu_1\). As above, the origin \(O\) is a sink up to \(\sqrt{\alpha} = \nu_0 = \nu_1\).

   With the appearing of \(P_1\) it undergoes a transcritical bifurcation, becoming a saddle point with \(\dim W^u_{\text{loc}}(O) = 2\). Concerning the point \(P_1\), linearisation criteria do not decide its non-linear local stability since it has (linear) centre and stable local invariant manifolds of dimension 1 and 3, respectively. No others bifurcations show up.

   (iii) Case \(k_1 > 1 - \mu\) (that is, \(\nu_1 < \nu_0\)): similarly to the precedent cases, the origin is a sink (an attractor) until the appearance of the equilibrium \(P_1\) at \(\sqrt{\alpha} = \nu_1\). At this point, \(O\) becomes unstable, a saddle with \(\dim W^u_{\text{loc}}(O) = 1\). Later on, at \(\sqrt{\alpha} = \nu_0\), the dimension of \(W^u_{\text{loc}}(O)\) increases to 2, keeping this dimension until \(\sqrt{\alpha} = 1\). No bifurcations undergone by the point \(P_1\), which is a sink for \(\sqrt{\alpha} \in (\nu_0, 1]\).

2. Lethal case \((k_1 = 0)\): there are only two equilibria: the origin \(O\) and the coexistence point \(P_2^0\), this latter appearing at \(\sqrt{\alpha} = \nu_0\). The origin is a sink for \(\sqrt{\alpha} \in (0, \nu_0)\), undergoes a transcritical bifurcation at \(\sqrt{\alpha} = \nu_0\), becoming unstable (saddle point) with \(\dim W^u_{\text{loc}}(O) = 1\). The point \(P_2^0\) is always a sink.

Figure 7 summarizes the bifurcations found in Eqs. (1)-(4) obtained by choosing different values of \(k_1\) and tuning \(\alpha\) from the SMR to the GR model. Here, for completeness, we overlap the information on stability for the origin, \(O\), displayed in Fig. 6. Several phase portraits are displayed for each case. The panel in Fig. 7a shows the orbits for \(\sqrt{\alpha} = 0.85\) in the subspace \((p_0, n_0, q_0)\), close to the GR mode. Here the attractor is \(P_2\), which is asymptotically globally stable and involves the coexistence between master and mutant genomes. For the case \(k_1 = 1 - \mu\) and for \(\sqrt{\alpha} = 0.5\) the attractor achieved is \(P_1\), indicating that the population is dominated by the pool of mutants at equilibrium (Fig. 7b). The same asymptotic dynamics is found in the phase portrait of Fig. 7c. Finally, for \(k_1 = 0\) we plot a case for which \(P_2^0\) is also globally asymptotically stable, while \(O\) is unstable (Fig. 7d).

Let us now focus our attention on the bifurcation diagram for the deleterious-neutral case. In this context, for a given value \(0 < \mu < 1\) we consider a plane in the parameters \(\sqrt{\alpha}\) and \(k_1\). By hypothesis (H2), the diagram is restricted to the rectangle \(\sqrt{\alpha} \in [0, 1] \times [\varepsilon, \alpha]\). The bifurcation curves \(\sqrt{\alpha} = \nu_1\) and \(\sqrt{\alpha} = \nu_0\) are, respectively, the hyperbola \(\sqrt{\alpha} = \varepsilon\) and the vertical line \(\sqrt{\alpha} = \varepsilon/(1 - \mu)\). The three colored areas in Fig. 8a correspond to the \(\omega\)-limit (i.e., stationary state achieved in forward time) of the solution starting with initial conditions \(p_0(0) = 1, n_0(0) = n_1(0) = n_1(0) = 0\) (the same result hold with \(p_0(0) = 0.1, n_0(0) = p_1(0) = n_1(0) = 0\)). Namely, convergence to the origin \(O\) (red area); convergence to the equilibrium point \(P_1\) (light green area); attraction by the equilibrium point \(P_2\) (blue area). Observe that, when crossing these two bifurcation curves the equilibrium points change stability - by means of a transcritical bifurcation - or change the dimension of its associated local unstable invariant manifold (when they are saddles).

Similarly, we can plot a bifurcation diagram in the lethal case \((k_1 = 0\), Fig. 8b), now depending on the parameters \((\sqrt{\alpha}, 1 - \mu)\). Again, hypothesis (H2) implies that it takes places in the rectangle \([0, 1] \times [\varepsilon, 1]\). The bifurcation curve \(\sqrt{\alpha} = \nu_0\) becomes a branch of the hyperbola \(\sqrt{\alpha}(1 - \mu) = \varepsilon\). This curve also divides the
FIG. 10. Phase diagrams for the deleterious-neutral case displayed in Fig. 10a. We display the asymptotic dynamics in the parameter space \((\sqrt{\alpha}, k_1)\), with (a) \(\mu = 0.25\) and \(\varepsilon = 0.1\); (b) \(\mu = 0.5\) and \(\varepsilon = 0.1\); (c) \(\mu = 0.75\) and \(\varepsilon = 0.15\); (d) \(\mu = 0.9\) and \(\varepsilon = 0.09\). Legend: origin \(O\) (dark blue); \(P_1\) (light-blue); \(P_2\) (light-grey); and “no convergence” (dark red). Below the phase diagrams we display the equilibrium populations obtained numerically for variables: \(p_0 + n_0\) (upper row); \(p_1 + n_1\) (mid row); and \(p_0 + p_1\) (lower row) \(O\). The horizontal white lines in the upper row display those critical values \(k_1\) involving the dominance of the mutant sequences.

Domain in two coloured areas: a blue one, at the left-hand side of the hyperbola, characterized by the facts that the equilibrium point \(O\), the origin, is the \(\omega\)-limit of the solution starting at the initial conditions \(p_0(0) = 1, p_1(0) = n_0(0) = n_1(0) = 0\); an orange one, located on the right-hand side of the hyperbola, where the equilibrium point \(P_2^0\) is this \(\omega\)-limit. Figure 9 displays the regions in the parameter space \((\sqrt{\alpha}, \mu)\) where the different asymptotic states (obtained numerically) can be found for the deleterious-neutral cases: sequences extinction (red); dominance of mutant sequences (green); and coexistence of sequences (blue). Notice that these results obtained numerically perfectly match with the analytical results derived in the article. In this plot we can identify the critical mutation values causing lethal mutagenesis (yellow arrows in Fig. 9), which occurs for \(\sqrt{\alpha} < \varepsilon/k_1\). Above this threshold, lethal mutagenesis is replaced by the error catastrophe (red line in Fig. 9), with a critical mutation rate not depending on \(\alpha\). Notice that when the replication mode is close to the SMR lethal mutagenesis is achieved for lower mutation rates. This means that replication modes departing from the SMR provide the sequences with more resistance to lethal mutagenesis.

Finally, in Fig. 10 we display the basins of attraction of the fixed points for the neutral and deleterious mutants displayed in Fig. 8a. The red arrows indicate those values of \(k_1\) responsible for the dominance of the mutant sequences (first and second rows in Fig. 10). Also, we numerically computed the relative populations for the master genomes (second row in Fig. 10), as well as for the mutants (third row) and the master and mutant (+) sense sequences.
VI. CONCLUSIONS

The evolutionary dynamics of RNA viruses has been largely investigated seeking for critical thresholds involving error catastrophes and lethal mutagenesis [26, 28, 31]. Typically, the so-called error catastrophe has been investigated using differential equations model, thus assuming continuous populations [3, 31]. The error catastrophe and lethal mutagenesis concepts are rather different. Error catastrophe is an evolutionary shift in sequence space [17], typically causing the outcompetition of the nonmutant master sequence by the complex cloud of mutants. Lethal mutagenesis has been described as a demographic process whereby viruses achieve extinctions due to a large accumulation of mutants of low fitness that reduce the effective population size thus making stochastic extinction events more likely [17]. This process was suggested by Loeb et al. [18] as the mechanism behind the abolishment of viral replication for HIV-1 during in vitro mutagenic experiments. Further evidence on lethal mutagenesis in eukaryotic viruses has been found in lymphocytic choriomeningitis virus [19] or influenza A virus [20]. Recently, evidence for lethal mutagenesis in vivo have been reported for a plant virus [21].

Previous research on viral RNA replication modes has focused on theoretical and computational studies aiming at describing the evolutionary outcome of RNA sequences under the SMR and GR modes of replication. Smooth transitions have been identified in models for viral replication [14, 27]. For instance, a simple model considering (+) and (-) sense genomes under differential replication modes identified a transcritical bifurcation [22]. This model, however, did not consider evolution. In this article we have studied a simple model considering both (+) and (-) sense sequences with differential replication [20] modes evolving on a single-peak fitness landscape. Despite the simplicity of this landscape, being highly unrealistic, it has been used in multiple models as a simple approach to the dynamics of RNA viruses [26–28].

The model studied here has allowed us to derive the critical mutation values involving error thresholds and lethal mutagenesis considering three different types of mutant spectra, given by neutral, deleterious, and lethal mutations. We must note that lethal mutagenesis has been described as a demographic extinction (i.e., due to finite population effects) [17]. Here we provide an analogous mechanism for continuous populations (see below).

In the deleterious case, there are three possible scenarios: (i) when increasing the value of $\mu$ (we omit the trivial total extinction solution which is always assumed as the possible equilibrium): if $0 < k_1 \sqrt{\alpha} < \varepsilon$, that is, close to the SMR mode, there is no nontrivial equilibrium solution. This happens for any $\mu > 0$. In the region of parameters $\varepsilon < \sqrt{\alpha} \leq \varepsilon/k_1$, between the SMR and GR modes (depending on the particular values of $\varepsilon$ and $k_1$), the bifurcation undergone by the equilibria is quite steep. It passes from a situation with coexistence equilibrium to total extinction equilibrium when crossing the curve $\mu = \mu_c = 1 - (\varepsilon/\sqrt{\alpha})$. For $\varepsilon/k_1 < \sqrt{\alpha} < 1$, which always includes the GR case. When increasing $\mu$, the systems shifts from coexistence to master sequences’ extinction when crossing the critical value $\mu = 1 - k_1$.

Summarizing, the error threshold is achieved when the mutation rate is above the critical value $\mu_c$, in the deleterious case is given by $\mu_c = 1 - \frac{\varepsilon}{\sqrt{\alpha}}$. If $\varepsilon < \sqrt{\alpha} \leq \varepsilon/k_1$, then the only two scenarios: for $0 < \sqrt{\alpha} < \varepsilon$ (that is, almost pure SMR-mode), there are no nontrivial equilibria. For the rest of the cases, that is, $\varepsilon < \sqrt{\alpha} < 1$ the possible equilibrium solution goes from coexistence to total extinction.

Our results have allowed us to relate the processes of lethal mutagenesis and error catastrophe for continuous populations of viral genomes. Typically, these two processes, suggested to impair viral persistence [17, 18, 27, 31], have been treated separately. Our model establishes the parametric conditions allowing theoretical viral quasispecies to shift from one process to the other taking into account different replication modes.

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### VII. APPENDIX

#### A. Proof of Proposition 1

Let us deal, first, with the deleterious case ($0 < k_1 < 1$). In this framework, equilibrium states will come from the solutions of the following system of non-linear equations:

$$ (1 - \mu)n_0 \phi = \varepsilon p_0, \quad (10) $$

$$ \alpha(1 - \mu)p_0 \phi = \varepsilon n_0, \quad (11) $$

$$ (\mu n_0 + k_1 n_1) \phi = \varepsilon p_1, \quad (12) $$

$$ \mu(p_0 + k_1 p_1) \phi = \varepsilon n_1. \quad (13) $$

It is clear that the origin $O$ is a fixed point of our system in all the cases. To find nontrivial solutions we distinguish three different scenarios for these equilibria: (i) master sequences extinction; (ii) mutant sequences extinction and (iii) coexistence among all sequences.

(i) Case $p_0 = n_0 = 0$ (master sequences extinction):

If we assume $p_1 = 0$, substituting in equation (13) and using that $\varepsilon \neq 0$, we get $n_1 = 0$ and therefore, the equilibrium is $O = (0, 0, 0, 0)$, the trivial...
solution. A symmetric situation undergoes when we take $n_1 = 0$.

Thus, let us assume that $p_1 \neq 0$ and $n_1 \neq 0$. Replacing $p_0 = n_0 = 0$ in equations (12)–(13) and dividing such equations we get $p_1 / n_1 = n_1 / (\sqrt{\alpha} p_1)$ and so $n_1 = \sqrt{\alpha} p_1$. This division is well-defined since $p_1 > 0, k_1 > 0$ and $\phi \neq 0$ (if $\phi = 0$ it is straightforward to check that it leads to the origin $O$ as fixed point). From equation (13) we obtain

$$p_1 = p_1^* = \frac{1}{\sqrt{\alpha} (1 + \sqrt{\alpha})} (\sqrt{\alpha} - \nu_1),$$

where $\nu_1$ and $c_\alpha$ have been defined in (5). Therefore, since $n_1 = \sqrt{\alpha} p_1$ we get the equilibrium point $p_1^* = (0, 0, 1, \sqrt{\alpha})$ provided $\sqrt{\alpha} > \nu_1$ (since we are interested in nontrivial equilibrium points with biological meaning).

(iii) Coexistence sequences equilibria: multiplying equation (11) by $p_0$ and subtracting equation (10) multiplied by $n_0$ it turns out that $(1 - \mu) (\sqrt{\alpha} p_0 - n_0^2) = 0$. Since $0 < \mu < 1$, this leads to three possibilities, namely, (a) $\phi = 0$ (that is $p_0 + n_0 + p_1 + n_1 = 1$) or (b) $n_0 = \sqrt{\alpha} p_0$ with $\phi \neq 0$ and (c) $\phi = 0$ and $n_0 = \sqrt{\alpha} p_0$.

Case (c) does not apply. Indeed, substituting $\phi = 0$ and $n_0 = \sqrt{\alpha} p_0$ into equation (10) one gets that $p_0 = 0$ and so $n_0 = 0$, which is not possible. A similar argument shows that case (a) does not happen. In fact, taking $\phi = 0$ in equations (10)–(13) leads to $p_0 = n_0 = p_1 = n_1 = 0$ which contradicts $\phi = 0 \Leftrightarrow p_0 + n_0 + p_1 + n_1 = 1$. Thus, let us deal with case (b).

Substituting $n_0 = \sqrt{\alpha} p_0$ in (10) and using that $p_0 \neq 0$ (if $p_0 = 0 \Rightarrow n_0 = 0$, which corresponds to the master sequences extinction case) it turns out that

$$(1 - \mu) \sqrt{\alpha} \phi = \varepsilon \Rightarrow \phi \sqrt{\alpha} = \frac{\varepsilon}{1 - \mu} \Rightarrow \phi \sqrt{\alpha} = \nu_1.$$
with \( \nu_0, c_\alpha, \delta \) defined in (5)–(6), which leads to the coexistence equilibrium state

\[
P_2 = q_0 (1, \sqrt{\alpha}, \delta, \delta \sqrt{\alpha}),
\]

for \( \sqrt{\alpha} > \nu_0 \) and \( \nu_0 < \nu_1 \).

Concerning the neutral case \((k_1 = 1)\), it is easy to check that all the computations carried out for the deleterious context are also valid for this case.

And the last, but not least, case corresponds to the lethal framework \((k_1 = 0)\). Equilibrium states must be solution of the system

\[
(1 - \mu)n_0 \phi - \varepsilon p_0 = 0, \quad \alpha (1 - \mu)p_0 \phi - \varepsilon n_0 = 0, \quad \mu n_0 \phi - \varepsilon p_1 = 0, \quad \alpha p_0 \phi - \varepsilon n_1 = 0.
\]  

Again, the origin \( O \) is a trivial fixed point. To seek for nontrivial equilibria we take into account two scenarios:

(a) \( p_0 = 0 \); (b) \( p_0 \neq 0 \).

(a) Case \( p_0 = 0 \): From the equation (16) we get \((1 - \mu)n_0 \phi = 0\). Since \( 0 < \mu < 1 \) we have three possibilities: \( n_0 = 0, \phi = 0 \) or both. It is obvious that first and third cases lead to the origin \( O \). Regarding to the case with \( \phi = 0 \), it follows that \( n_0 + n_1 + p_1 = 1 \). Substituting it into equations (17)–(19) we get \( n_0 = p_1 = n_1 = 0 \), which contradicts the previous equality.

(b) Case \( p_0 \neq 0 \): From (16) we have that neither \( n_0 \) nor \( \phi \) vanish. Performing \( n_0 \times (16) \) minus \( p_0 \times (17) \) one gets that \( (1 - \mu)\phi(n_0^2 - \alpha p_0^2) = 0 \) and so \( n_0 = \sqrt{\alpha} p_0 \). Since \( 0 < \mu < 1 \) and \( \phi \neq 0 \), Substituting the latter equality into (16) it follows that \( (1 - \mu)\sqrt{\alpha} \phi = \varepsilon \sqrt{\alpha} \phi = \nu_0 \).

Subtracting \( n_0 \times (19) \) from \( \alpha p_0 \times (18) \) one has \( \varepsilon p_0 \sqrt{\alpha} \phi(\sqrt{\alpha} p_1 - n_1) = 0 \), so then \( n_1 = \sqrt{\alpha} p_1 \). On the other hand, \( \sqrt{\alpha} \phi = \nu_0 \Rightarrow 1 - (1 + \sqrt{\alpha})(p_0 + p_1) \)

\[
= \frac{\nu_0}{\sqrt{\alpha}} \Rightarrow p_0 + p_1 = \frac{\sqrt{\alpha} - \nu_0}{\sqrt{\alpha}(1 + \sqrt{\alpha})} = c_\alpha(\sqrt{\alpha} - \nu_0).
\]

And last, from (19) and using that \( \sqrt{\alpha} \phi = \nu_0 \) and \( n_1 = \sqrt{\alpha} p_1 \) we get \( \alpha p_0 \sqrt{\alpha} \phi = \varepsilon n_1 \Rightarrow p_1 = \delta^0 p_0 \).

Therefore the equilibrium point is given by

\[
P_0^1 = q_0^1 (1, \sqrt{\alpha}, \delta, \delta \sqrt{\alpha}),
\]

where \( q_0^1 = c_\alpha(\sqrt{\alpha} - \nu_0)/(1 + \delta^0) \) and provided that \( \sqrt{\alpha} > \nu_0 \) (to have biological meaning).

### B. Proof of Proposition 2

As mentioned before, the case \( \mu = 1 \) corresponds to the situation when there is no autocatalysis in the master sequence and so it mutates with probability 1. Thus, concerning their equilibrium points we have:

- In the deleterious and neutral cases, substituting \( \mu = 1 \) into equations (10)–(13), one gets the equations

\[
\varepsilon_0 p_0 = 0, \quad \varepsilon n_0 = 0, \quad (n_0 + k_1 n_1) \phi = \varepsilon p_1, \quad \alpha (p_0 + k_1 p_1) \phi = \varepsilon n_1.
\]

From the two first equations it follows that \( p_0 = n_0 = 0 \) and, consequently

\[
k_1 n_1 \phi = \varepsilon p_1, \quad \alpha k_1 p_1 \phi = \varepsilon n_1.
\]

Again, we distinguish several possibilities:

- If \( n_1 = 0 \) then \( p_1 = 0 \) and so we obtain the origin.
- If \( p_1 = 0 \) then \( n_1 = 0 \) and therefore the equilibrium point is again the origin.
- In case that \( n_1 + p_1 = 1, n_1 \neq 0, p_1 \neq 0 \) it follows that \( \phi = 0 \) and so \( p_1 = n_1 = 0 \) which is a contradiction with the fact that \( n_1 + p_1 = 1 \).
- Finally, if \( n_1 \neq 0, p_1 \neq 0, \phi \neq 0 \), we can divide them and get \( \alpha p_1/n_1 = n_1/p_1 \). Consequently, \( n_1 = \sqrt{\alpha} p_1 \). This gives rise to an equilibrium of the form \((0, 0, p_1, \sqrt{\alpha} p_1)\). Substituting this form into the first equation of (20), one obtains \( p_1 = c_\alpha(\sqrt{\alpha} - \nu_1) \), defined provided \( \sqrt{\alpha} > \nu_1 \), which corresponds to the point \( P_1 \) in Proposition 1.

- In the lethal case, equilibria system (16)–(19) reduces to \( \varepsilon p_0 = 0, \varepsilon n_0 = 0, n_0 \phi = \varepsilon p_1, \alpha p_0 \phi = \varepsilon n_1 \).

From the first two equations we have \( p_0 = n_0 = 0 \) and substituting in the second ones, it turns out \( p_1 = n_1 = 0 \), that is, the origin.

### C. Proof of Proposition 3

As usual, we use stability analysis of the linearised system around the equilibrium to determine, when possible, the local nonlinear stability of the point for the complete system.

1. Deleterious and neutral case \((0 < k_1 \leq 1)\): the eigenvalues of the differential matrix

\[
A_\phi = DF(O) = \begin{pmatrix}
-\varepsilon & 1 - \mu & 0 & 0 \\
0 & -\varepsilon & 0 & 0 \\
\alpha \mu & 0 & -\varepsilon & k_1 \\
\alpha \mu k_1 & -\varepsilon & 0 & 0
\end{pmatrix}
\]
are \( \lambda_1 = -\varepsilon + \sqrt{\alpha}(1 - \mu) \), \( \lambda_2 = -\varepsilon - \sqrt{\alpha}(1 - \mu) \), \( \lambda_3 = -\varepsilon + k_1 \sqrt{\alpha} \), and \( \lambda_4 = -\varepsilon - k_1 \sqrt{\alpha} \). It is easy to verify that \( v_3 = O\mathcal{P}_1 = (0, 0, 1, \sqrt{\alpha}) \) and \( v_4 = (0, 0, -1, \sqrt{\alpha}) \) are eigenvectors of \( \lambda_3 \) and \( \lambda_1 \), respectively. It is also straightforward to check that

\[
\begin{align*}
\lambda_1 &< 0 \text{ if } \sqrt{\alpha} < \nu_0, \\
\lambda_1 &= 0 \text{ if } \sqrt{\alpha} = \nu_0, \\
\lambda_1 &> 0 \text{ if } \sqrt{\alpha} > \nu_0,
\end{align*}
\]

and

\[
\begin{align*}
\lambda_3 &< 0 \text{ if } \sqrt{\alpha} < \nu_1, \\
\lambda_3 &= 0 \text{ if } \sqrt{\alpha} = \nu_1, \\
\lambda_3 &> 0 \text{ if } \sqrt{\alpha} > \nu_1.
\end{align*}
\]

Thus, we have the following three cases:

- Case 0: \( k \leq 1 - \mu \) or, equivalently, \( \nu_0 < \nu_1 \): the origin is a sink (an attractor) for \( \alpha \in (0, \nu_0) \) and unstable (saddle) for \( \sqrt{\alpha} \in (\nu_0, 1) \). For \( \alpha \in (\nu_0, 1) \) one has \( W_{loc}^u(O) = 1 \) and if \( \sqrt{\alpha} > \nu_1 \) then \( \dim W_{loc}^u(O) = 2 \).

- Case 1: \( 1 - \mu < k_1 < 1 \) or, equivalently, \( \nu_0 = \nu_1 \): the origin is a sink if \( \sqrt{\alpha} < \nu_1 \) and unstable (a saddle) for \( \sqrt{\alpha} > \nu_1 \). The dimension of \( W_{loc}^u(O) \) goes from 1 to 2 when \( \sqrt{\alpha} \) crosses \( \nu_0 \).

2. Lethal case \( (k_1 = 0) \): The eigenvalues of \( A_O = DF(0, 0, 0, 0) = \left( \begin{array}{cccc} -\varepsilon & 1 - \mu & 0 & 0 \\ \alpha(1 - \mu) & -\varepsilon & 0 & 0 \\ 0 & \mu & -\varepsilon & 0 \\ \alpha \mu & 0 & 0 & -\varepsilon \end{array} \right) \) are in this case

\[
\begin{align*}
\lambda_1 &= -\varepsilon + \sqrt{\alpha}(1 - \mu), \\
\lambda_2 &= -\varepsilon - \sqrt{\alpha}(1 - \mu), \\
\lambda_3 &= -\varepsilon, \\
\lambda_4 &= -\varepsilon.
\end{align*}
\]

Observe that \( \lambda_2 < 0 \), \( \lambda_3 < 0 \) and \( \lambda_4 < 0 \) so the stability of \( \mathcal{O} \) depends only on \( \lambda_1 \). Indeed,

\[
\begin{align*}
\begin{cases}
\lambda_1 < 0 & \text{if } \sqrt{\alpha} < \nu_0, \\
\lambda_1 &= 0 & \text{if } \sqrt{\alpha} = \nu_0, \\
\lambda_1 > 0 & \text{if } \sqrt{\alpha} > \nu_0.
\end{cases}
\end{align*}
\]

Therefore, the origin is asymptotically stable for \( \sqrt{\alpha} < \nu_0 \) and becomes unstable for \( \sqrt{\alpha} > \nu_0 \). This situation is represented in Fig. 6.

D. Proof of Proposition 5

Recall that \( \sqrt{\alpha} > \nu_0 \) since \( \mathcal{P}_2 \) exists. We distinguish two cases:

1. Case 1: deleterious mutants \( (0 < k_1 < 1) \) with \( 0 < k_1 < 1 - \mu \) (that is, equivalently, \( \nu_0 < \nu_1 \)). The expression of the eigenvalues can directly from algebraic computations. They are all real. Observe that \( \lambda_1, \lambda_2 \) and \( \lambda_+ \) are negative. Concerning \( \lambda_- \), notice that

\[
|A - 2((1 - \mu) - k_1)\varepsilon| < A \\
\Leftrightarrow 0 < A - ((1 - \mu) - k_1)\varepsilon < A.
\]

The second inequality is trivially satisfied since \( (1 - \mu) - k_1 > 0 \) and \( \varepsilon > 0 \). Regarding the first one, one can check that

\[
0 < A - ((1 - \mu) - k_1) \\
\Leftrightarrow \sqrt{\alpha}(1 - \mu)^2 - k_1 \varepsilon > (1 - \mu)\varepsilon - k_1 \varepsilon \\
\Leftrightarrow \sqrt{\alpha} > \nu_0,
\]

which is satisfied by hypothesis. Therefore, \( A - |A - 2((1 - \mu) - k_1)\varepsilon| > 0 \) and, consequently, \( \lambda_- < 0 \). This implies that the point \( \mathcal{P}_2 \) is a sink for any \( \sqrt{\alpha} > \nu_0 \).

2. Case 2: lethal mutants \( (k_1 = 0) \). As above, the expression for the eigenvalues follows from linear algebra and straightforward computations. Again, \( \lambda_1, \lambda_2, \) and \( \lambda_- \) are all three real and negatives. Concerning \( \lambda_+ \) (real), we define \( B = (1 - \mu)\sqrt{\alpha}/2 \). This implies that \( \lambda_+ = -B + |B - \varepsilon| \). Observe that \( |B - \varepsilon| < B \Leftrightarrow 0 < 2B - \varepsilon \). Right-hand inequality is trivial since \( \varepsilon > 0 \). Left-hand is also satisfied since it is equivalent to \( \sqrt{\alpha} > \nu_0 \). So, all four eigenvalues are real and negative which means that the point \( \mathcal{P}_2 \) is a sink for any \( \sqrt{\alpha} > \nu_0 \).