

1 **Abstract**

2 Urban agriculture has emerged as an alternative to conventional rural agriculture seeking to  
3 foster a sustainable circular economy in cities. When considering the feasibility of urban  
4 agriculture and planning for the future of food production and energy, it is important to  
5 understand the relationships between energy flows throughout the system, identify their strengths  
6 and weaknesses, and make suggestions to optimize the system. To address this need, we  
7 analyzed the energy flows for growing tomatoes at a rooftop greenhouse (RTG). We used life  
8 cycle assessment (LCA) to identify the flows within the supply chain. We further analyzed these  
9 flows using ecological network analysis (ENA), which allowed a comparison of the industrial  
10 system to natural systems. Going beyond LCA, ENA also allowed us to focus more on the  
11 relationships between components. Similar to existing ENA studies on urban metabolism, our  
12 results showed that the RTG does not mimic the perfect pyramidal structure found in natural  
13 ecosystems due to the system's dependency on fossil fuels throughout the supply chain and each  
14 industry's significant impact on wasted energy. However, it was discovered that the RTG has  
15 strong foundational relationships in its industries, demonstrating overall positive utility; this  
16 foundation can be improved by using more renewable energy and increasing the recycling rates  
17 throughout the supply chain, which will in turn improve the hierarchy of energy flows and  
18 overall energy consumption performance of the system.

19 **Keywords:** life cycle assessment, food-energy nexus, urban agriculture, food security, circular  
20 economy

21

## 22 **1. Introduction**

23 The rising demand for food and energy in cities puts increasing pressure on our existing  
24 production systems. Currently, 50% of the people live in cities, but these areas are expected to  
25 host up to 66% of the world population by 2050 (United Nations, 2015). Feeding this population  
26 will be very energy intensive. About 40% of the world's energy is used by the agri-food sector  
27 (Food and Agriculture Organization of the United Nations (FAO), 2011). With increasing  
28 demand for both food and energy in urban areas, it will be increasingly important to optimize the  
29 energy used in producing food. The current food production system has a high consumption of  
30 energy resources throughout its life cycle; hence, this issue should be addressed in sustainable  
31 urban modeling of the future (European Environment Agency, 2010).

32 Urban agriculture is a possible solution to address the increasing food and energy demand in  
33 cities and is one of the initiatives that cities worldwide include in their circular economy action  
34 plans (Petit-Boix and Leipold, 2018). While urban agriculture can take different forms (e.g.,  
35 community gardens or vertical farms), rooftop gardening has received great attention in the  
36 literature and in practice as a viable option for partially meeting the vegetable needs and  
37 promoting the self-sufficiency of urbanized regions (Astee and Kishnani, 2010; Goldstein et al.,  
38 2016; Orsini et al., 2014; Pons et al., 2015; Saha and Eckelman, 2017). For instance, rooftop  
39 greenhouses (RTGs) offer environmental benefits by reducing the transportation needed to move  
40 food into the cities and by optimizing water management through rainwater and greywater use  
41 (Cerón-Palma et al., 2012). Buildings with plants on roofs use less energy (Eumorfopoulou and  
42 Aravantinos, 1998; Wong et al., 2003), which correlates with economic savings (Castleton et al.,  
43 2010; Kosareo and Ries, 2007). When the entire supply chain of tomato production is  
44 considered, an RTG can reduce the energy demand of the system by 74% compared to

45 conventional linear production (Sanyé-Mengual et al., 2013). Additionally, using a rooftop for  
46 food production maximizes usable surface area of a building and increases profitability (Cerón-  
47 Palma et al., 2012). Socially, RTGs bring food closer to consumers, which results in short and  
48 direct producer-consumer relations, allowing for fresher, locally produced food. RTGs also have  
49 the potential to create jobs and social cohesion (Cerón-Palma et al., 2012; Kingsley and  
50 Townsend, 2006; Wallgren and Höjer, 2009).

51 To realize the promise of RTGs as a sustainable and circular solution for urban food production,  
52 we need insight on the structure and the interactions of the system components. Understanding  
53 the relationships among energy flows within the food system is essential to reduce the energy  
54 impacts of food production. The primary tools involved in this assessment have traditionally  
55 been life cycle assessment (LCA) and input-output (IO) tables, which have been widely applied  
56 to agricultural systems (e.g., Hatirli et al. (2005), Ozkan et al. (2004) and Roy et al. (2009)).  
57 These tools provide a good basis of inputs and outputs and, in particular, the energy flows in  
58 technological systems but they do not consider in detail the relationships among indirect  
59 interactions or indirect flows of energy within the system. Given the complexity of life-cycle  
60 inventories, addressing their network interactions through additional tools, such as ecological  
61 network analysis (ENA), might help identify elements in the network's structure that support  
62 sustainability in supply chains (Navarrete-Gutiérrez et al., 2016). In fact, ENA has already been  
63 applied in cities to study a variety of interactions among urban flows, including monetary  
64 transactions (Tan et al., 2018), energy (Fath et al., 2010), water (Zhang et al., 2010), and carbon  
65 flows (Chen et al., 2018; Chen and Chen, 2012). In the case of urban agriculture, this type of  
66 analysis remains unexplored.

67 We aim to address this literature gap by using ENA to provide richer details on the relationships  
68 of the energy flows within the food system. We focused on an existing RTG that was previously  
69 analyzed from an LCA lens. With ENA, we made an analogy to ecosystems and identified the  
70 ‘trophic levels’ (‘who eats whom’) within the technological system. We also used ENA tools to  
71 determine the symbiotic, control, and dependence relationships among system components.

## 72 **2. Materials and Methods**

### 73 **2.1 Steps for adapting ecological network analysis to engineered systems**

74 ENA was first proposed by Patten (1978) to model natural ecosystems. It was derived from an  
75 economic IO analysis (Leontief, 1951) to study the structure and function of different members  
76 of an ecosystem. Since the first propositions made by Patten (1978) and Finn (1978), the ENA  
77 methodology has evolved and additional analyses were added to the model (Fath, 2007; Fath and  
78 Patten, 1998; Matamba et al., 2009; Patten, 1991). More recently, this method has been used in  
79 modeling hybrid socioeconomic and ecological systems from IO data (Li et al., 2018; Liang et  
80 al., 2018; Schaubroeck et al., 2012) and a few studies adapted ENA to engineered systems (Lu et  
81 al., 2015; Navarrete-Gutiérrez et al., 2016; Pizzol et al., 2013; Schaubroeck et al., 2012; Yang  
82 and Chen, 2016). Our approach builds upon this work with a focus on LCA data.

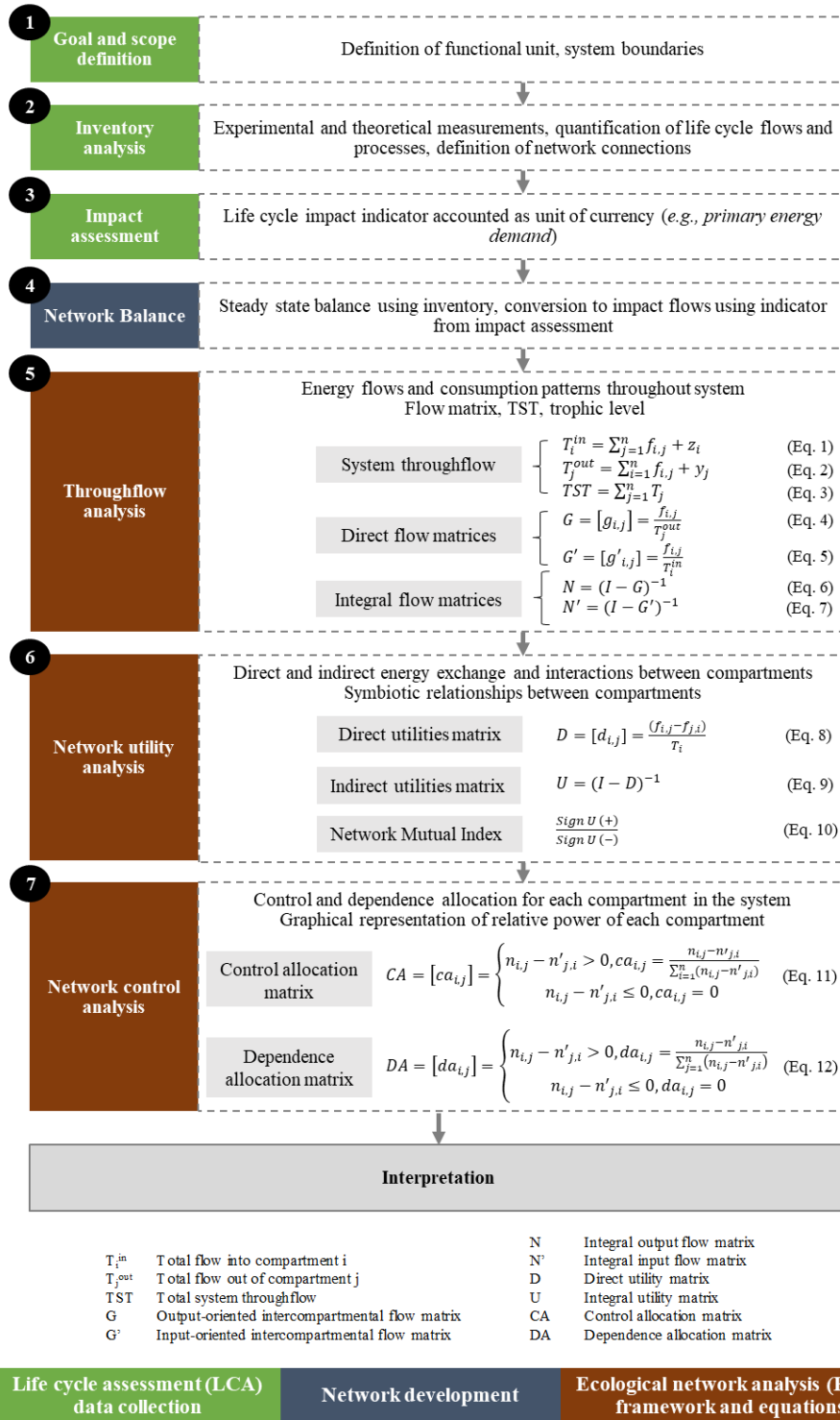
83 Our adaptation of ENA to urban agriculture included seven steps (Figure 1). The first three steps  
84 were taken from conventional LCA modeling (ISO, 2006). In goal and scope (step 1), the  
85 functional unit and system boundaries of the system were defined. In the life cycle inventory  
86 (LCI) (step 2), the material and energy flows were quantified from unit or aggregated processes.  
87 In the life cycle impact assessment (LCIA) (step 3), the LCI is generally translated into specific  
88 environmental indicators (e.g., primary energy demand (PED), global warming, resource

89 depletion, toxicity, etc.). In LCA, modeling multiple impact categories is important to avoid  
90 burden shifting from one impact to another. However, in ENA the inter-compartmental flows are  
91 modeled using only one unit of currency (Fath et al., 2007). Examples include currencies based  
92 on energy, carbon or water flows (Fang and Chen, 2015; Lu et al., 2015; Mao and Yang, 2012;  
93 Schaubroeck et al., 2012; Yang and Chen, 2016; Yang et al., 2012; Zhang et al., 2010). In this  
94 study, we focused on the PED indicator, which is an appropriate currency for illustrating the  
95 interactions of the food-energy nexus.

96 In transitioning from LCA to ENA, the LCA data were disaggregated into the compartments to  
97 be used in ENA. LCA data should ideally be balanced in material and energy flows but this is  
98 often not the case when large systems are analyzed. In addition, disaggregation, which can  
99 change the conceptual description of the system, can result in unbalanced material and energy  
100 flows. In our study, we did not find unbalanced flows to be a major problem. We tracked LCI  
101 flows throughout the network and converted them into PED. Since we had a small number of  
102 nodes, we could manually balance the flows using an energy balance based on the aggregation of  
103 materials between compartments. Other methods (Ulanowicz et al., 2004) and dedicated software  
104 (e.g. ENA-r (Borrett and Lau, 2014) and EcoNet (Kazanci, 2007)) may be needed for balancing  
105 the flows in more complex systems.

106 Once the system was modeled as a balanced network of compartments and flows, ENA  
107 calculations were carried out. Our analysis included the throughflow analysis (TA), network  
108 utility analysis (NUA), and network control analysis (NCA). These steps were adopted from  
109 Yang and Chen (2016). It should be noted that there are multiple other calculations that can be  
110 employed in ENA; however, adaptation of these tools to engineered systems is in its infancy.

111 Other ENA metrics that may be used in the future include the efficiency and redundancy  
112 analyses for network organization, network robustness, and indices to describe network synergy,  
113 mutualism, and diversity (Chen and Chen, 2012; Fang and Chen, 2015; Lu et al., 2015).



114

115 Figure 1 The seven-step framework used in this study. LCA data and analysis are adapted to  
 116 ENA in step 4. ENA tools are used in steps 5-7 to identify the trophic relationships, symbiotic  
 117 relationships and control and dependence within the system.

### 118 **2.1.1 Throughflow analysis (TA) calculations**

119 The input and output flows for each compartment and the total system throughflow (TST), which  
120 is the sum of all stock flows through the system, were calculated from Equations 1-3. The direct  
121 flow matrices (equations 4-5) were used to calculate the fraction of flows coming into the row  
122 compartment  $i$  from the column compartment  $j$  ( $G$ ) and the fraction of flows leaving  $j$  that are  
123 going to  $i$  ( $G'$ ). The Leontief inverse of the direct flow matrices was then used to calculate the  
124 integral flow matrices (equations 6-7) that represent both the direct and the indirect pathways  
125 that a stock takes through the system. Leontief inverse captures the direct (I), first tier indirect  
126 ( $G$ ), second tier indirect ( $G^2$ ), and all other 'n' number of indirect flows in the direct flow matrix  
127  $[(I-G)^{-1} = I+G+G^2+G^3+G^4+\dots = \sum_{n=0}^{\infty} G^n ]$ . Each power of the  $G$  or  $G'$  matrix correlates to the  
128 flow path length between compartments. Taking the sum of each power converges to equations 6  
129 and 7.

130 As part of the TA, we also calculated the trophic levels by dividing each flow ( $T_{i,in}$ ) by the TST  
131 which provided the fraction of flow that each compartment requires of the total system of energy  
132 flows that travel through all compartments. Trophic level analysis is analogous to natural  
133 systems. It allowed us to identify the function (i.e., producer, consumer, decomposer) of each  
134 compartment within the hierarchy of the urban agricultural system (Zhang et al., 2014).

### 135 **2.1.2 Network utility analysis (NUA) calculations**

136 We further analyzed the relationships among compartments using NUA. We calculated the direct  
137 utility matrix (D) to represent the exchange of materials between two compartments based on  
138 direct flows of path length one (i.e., direct connection between two compartments) (Zhang et al.,  
139 2014). The components in the matrix represented the fraction of total throughflow into



140 compartment  $i$  that are associated with the stock gained or lost by compartment  $i$  from  $j$   
141 (Equation 8). Following the same summation technique in equations 6 and 7 with the Leontief  
142 inverse, we also calculated the integral utility matrix ( $U$ ) which represented the exchange of  
143 materials between two compartments, taking into consideration all path lengths.

144 The signs of these matrices indicated if there is a gain or loss of materials between  
145 compartments. The sign matrix was determined for both the direct and indirect relationships  
146 between compartments. The results from the sign matrices in NUA were analyzed to describe the  
147 symbiotic relationships between compartments, defined by Yang and Chen (2016). NUA also  
148 included the network mutual index (NMI) which compared the number of positive exchanges to  
149 negative exchanges of a stock between compartments throughout the entire system (Fath and  
150 Patten, 1998). This showed if positive utility is greater than negative utility.

### 151 **2.1.3 Network control analysis (NCA) calculations**

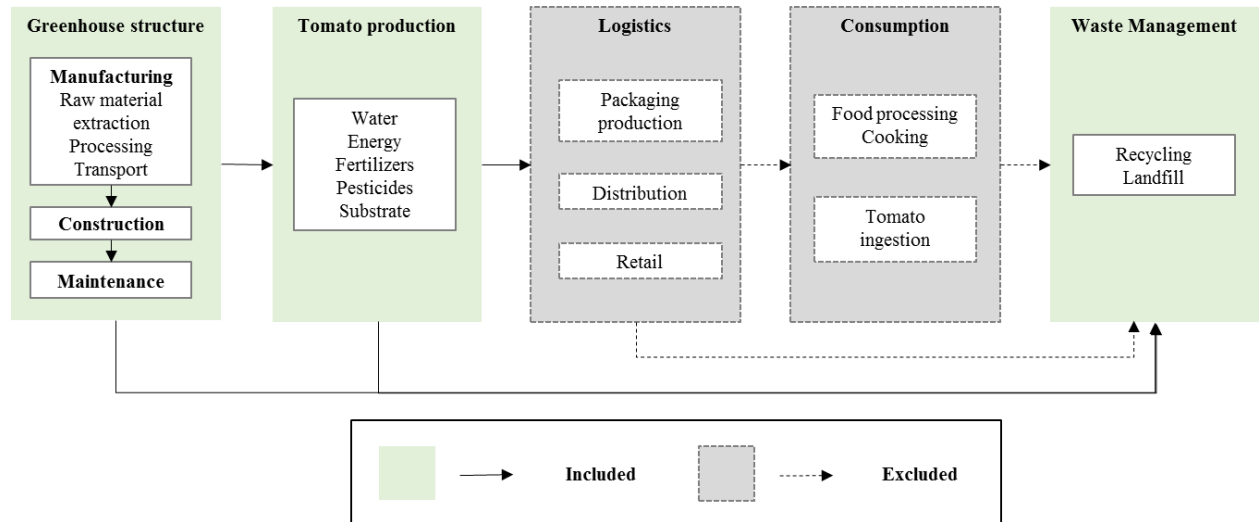
152 To identify the influence of each compartment on another, we used NCA which included the  
153 control allocation (CA) matrix (Equation 11) and dependence allocation (DA) matrix (Equation  
154 12) (Figure 1). Components  $ca_{i,j}$  represent the relative amount of control that compartment  $j$   
155 allocates to compartment  $i$ , and  $da_{i,j}$  represents the amount of relative dependence that  
156 compartment  $i$  has on compartment  $j$ .

## 157 **2.2 Application of ENA to the life cycle inventory of an RTG**

158 The RTG we modeled is an integrated RTG (i-RTG) of the ICTA-ICP building at the  
159 Autonomous University of Barcelona in Spain. This RTG is considered to be an i-RTG since it is  
160 symbiotic with the building, sharing resources and increasing the efficiencies of both systems

161 (Pons et al., 2015; Sanjuan-Delmás et al., 2018b). All data we used for this paper were taken  
162 from a theoretical analysis conducted by Sanyé-Mengual et al. (2015), where details of the  
163 system and the LCA model are described. The i-RTG is housed in a six-floor building and  
164 occupies 900 m<sup>2</sup> of space on the roof that is used as a harvesting surface. The functional unit is 1  
165 kg of beef tomatoes grown in a hydroponic system, where the yield is assumed to be 25 kg of  
166 tomatoes per square meter. The system boundary definition was also taken from Sanyé-Mengual  
167 et al. (2015), where the greenhouse structure was assessed from cradle to grave and the tomato  
168 production, from cradle to farm gate (Figure 2). The i-RTG has a lifespan of 50 years. The  
169 compartments we created for the ENA match the system processes described in Sanyé-Mengual  
170 et al. (2015) (Table 1). Yet, we assumed that the tomatoes were consumed in the building,  
171 excluding packaging and transport to other consumption points. Any flows related to  
172 consumption (i.e., tomato processing or food waste) were excluded from the analysis. The  
173 inventory data are further described in Table S1 of the Supporting Information. In addition, we  
174 added three new compartments to provide additional insight: fossil fuels, renewable energy, and  
175 dissipation. Background LCI data for each process and material were retrieved from the GaBi 6  
176 Professional database (Thinkstep, 2016). From GaBi, we calculated the primary energy demand  
177 (PED) (in MJ) as an indicator of the energy flows between compartments. Dissipation was  
178 calculated as the difference between the net and gross calorific value obtained for each material  
179 and process. This value represents the energy that is not consumed in system processes. Fossil  
180 fuels and renewable energy contribution to each process was defined from the disaggregated  
181 PED indicators obtained through GaBi at the LCIA stage. All energy inputs to the system were  
182 classified as either fossil fuels or renewable energy. These inputs were considered as the primary  
183 energy of the system that flows through system processes and is stored in materials as embedded

184 energy. This allowed for the calculations to account for and describe the interactions each  
185 compartment has with fossil fuels and renewable energy.



186

187 Figure 2 System boundaries considered in the LCA of the i-RTG adapted from Sanyé-Mengual

188 et al. (2015)

189

190

191 Table 1 Description of compartments used in the ENA

Compartment	Acronym	Elements included in the energetic assessment
Fossil Fuels	FF	All nonrenewable energy used for each process, including diesel in transportation
Renewable Energy	RENEW	All forms of renewable energy used for each process
Manufacturing	MAN	Extraction and processing of materials used in the i-RTG
Power Grid	POWER	Electricity from the power grid (2014)
Construction	CONSTR	Building of the greenhouse structure
Maintenance	MAINTEN	Maintenance needed for the greenhouse structure
Production	PROD	Processes and materials required for producing tomatoes including water, electricity, fertilizers, pesticides, and substrate
Waste Management	WASTE	Waste treatment of all materials used in the system, including recycling of steel
Dissipation	DISS	The difference between gross PED and net PED that is dissipated from each process

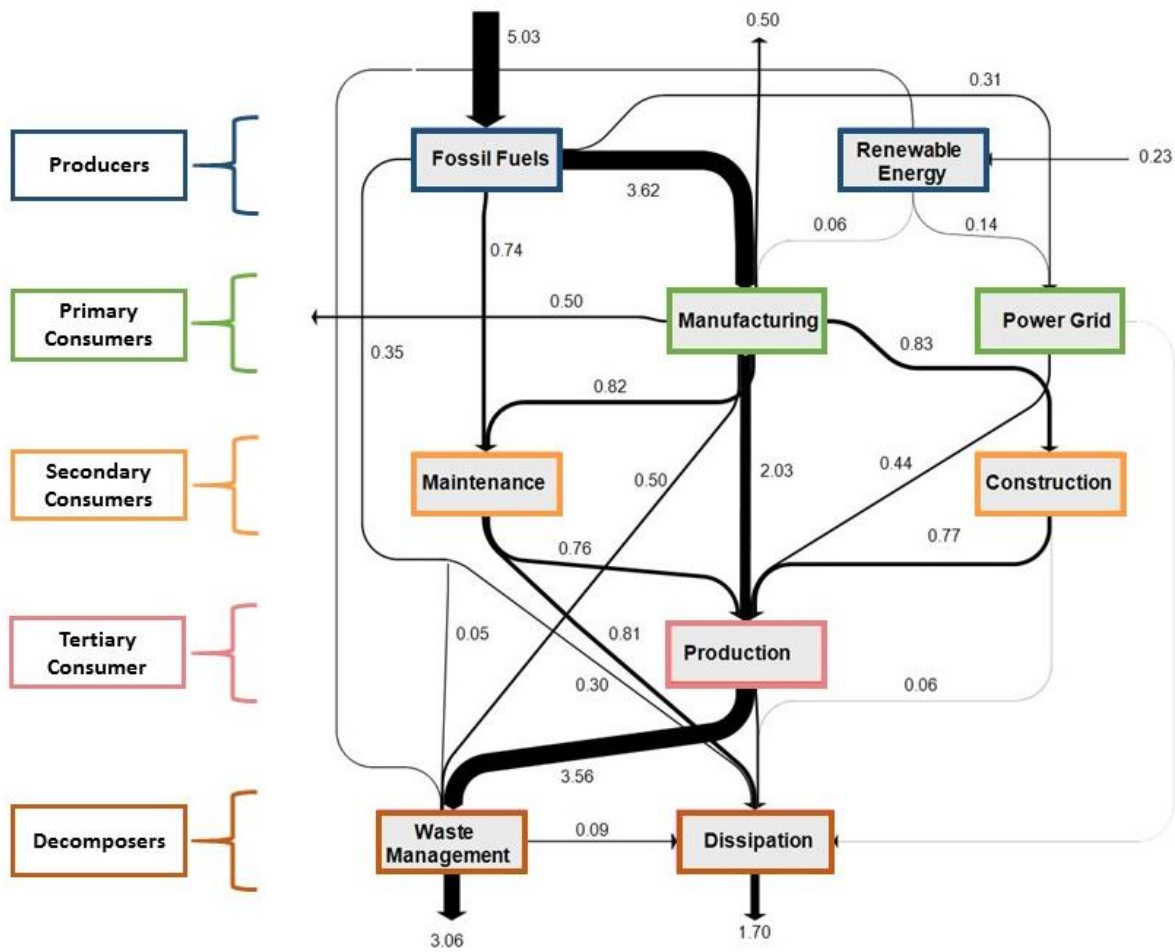
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### 193 3. Results

#### 194 3.1 Energy flows within the i-RTG's supply chain

195 After disaggregating the life-cycle data to create a network of energy flows, we were able to  
196 identify the trophic structure of the RTG supply chain (Figure 3). Considering the sequence of  
197 connections between compartments, the trophic levels correspond with the life cycle stages of  
198 the system. The producers are fossil fuels and renewable energy, which supply all the energy  
199 needed for the processes in the system. The primary consumers are manufacturing and the power  
200 grid, which provide more direct and embodied energy that is needed for the secondary  
201 consumers, construction and maintenance. The embodied energy from construction and  
202 maintenance then flows to the tertiary consumer, production, along with some energy from the  
203 primary consumers. The production sector provides embodied energy to the waste management

204 sector and wasted direct energy to the dissipation sector. Waste management and dissipation are  
 205 the decomposers of the system, returning both the embodied material and wasted energy to the  
 206 external environment. Waste management also attributes a flow back to manufacturing which  
 207 represents the recycled materials that used in the system. This embodied energy is shown as an  
 208 output from manufacturing to the external environment to account for the reusability of these  
 209 materials (Yang and Chen, 2016).



210  
 211 Figure 3 Energy flows (in MJ) in the supply chain of the i-RTG. Data per functional unit (1 kg of  
 212 tomato).

213 In terms of energy flows, the TA showed a total energy input of 5.26 MJ/kg (Figure 3), which  
214 was reasonably close to the cumulative energy demand (3.25 MJ/kg) reported by Sanyé-Mengual  
215 et al. (2015). The two numbers do not completely match because we used the GaBi software and  
216 databases instead of SimaPro and ecoinvent and it is likely that we might have selected slightly  
217 different processes in our LCI as we re-created Sanyé-Mengual et al.'s (2015) LCA. We found  
218 manufacturing to be the main consumer of fossil fuels, representing 69% of the net energy input.  
219 This result is also consistent with the theoretical LCA results found in Sanyé-Mengual et al.  
220 (2015), where the steel structure of the greenhouse was the main contributor to the  
221 environmental impacts due to an oversized design complying with security standards (Sanjuan-  
222 Delmás et al., 2018b). These values will be highly dependent on the location of the RTG, as the  
223 country's electricity mix will determine the sources of energy production. After adding up all of  
224 the flow interactions through the square matrix (Table S2 of the Supporting Information), the  
225 total system throughflow (TST) was found to be 21.6 MJ. This value is not to be compared with  
226 the PED reported in conventional LCAs, as interactions between compartments entail a transfer  
227 of energy even if this is not being consumed in the receiving compartment itself. As a result,  
228 accounting for energy flows through interactions produces a double-counting effect. This initial  
229 throughflow perspective in ENA, however, lays the foundation for conducting further steps of  
230 the analysis. Many of the subsequent ENA calculations, including the trophic level analysis, are  
231 derived from the original flows and the compartments' system throughflow.

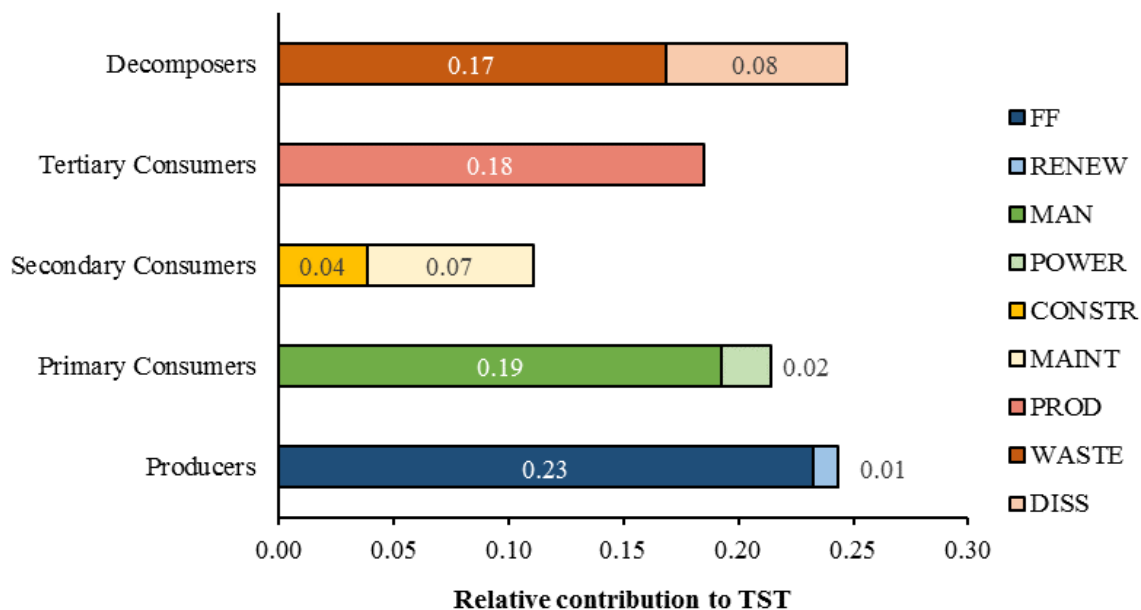
232 Based on the TA and the composition of the trophic levels, we studied the trophic structure of the  
233 system in terms of energy. A longstanding concept in ecosystems ecology, the trophic chain is  
234 generally described as a pyramidal structure. The pyramidal structure can be considered as an  
235 ideal pattern of energy flows, since it is what the natural world has proven to be the most

236 sustainable throughout history, which provides insight on the performance of energy flows in an  
237 urban agriculture system. This is due to the 10% rule in ecology, which defines that only 10% of  
238 all the energy from a lower trophic level is typically transferred to the next trophic level because  
239 the other 90% of energy is used for metabolic processes, or lost as heat (Lindeman, 1941). Since  
240 less and less energy is available for consumption of organisms in a higher trophic level, the result  
241 is a pyramidal structure, and it has proven sustainable in most ecosystems.

242 The trophic energy levels for this urban agriculture system did not mimic the pyramidal trophic  
243 structure found in natural processes (Figure 4). From producers to secondary consumers, the  
244 structure resembles the expected pyramidal shape resulting from natural ecosystems. However,  
245 the tertiary consumer involves the third largest amount of the TST (18%). In this stage, the  
246 production compartment receives an input of electricity from the power grid along with an  
247 amount of energy embedded in fertilizers or equipment. The contribution of production to the  
248 TST will be highly affected by both the efficiency of the electric equipment used in the RTG and  
249 the country's electricity mix.

250 In addition to production, waste management from the decomposers of the system (17%)  
251 requires a large amount of the TST, causing an imperfect pyramidal trophic representation.  
252 Decomposers have an important role to use remaining energy and maximize the energy  
253 throughflow and cycling in an urban metabolic system, just as they do in nature (Fath et al.,  
254 2010). However, in this system, a large amount of materials were assumed to be disposed of in a  
255 landfill, which does not enable the desired cyclical role of decomposers in the network and will  
256 inevitably result in a loss of energy and resources at the end of the i-RTG's life cycle. With a  
257 large portion of the energy in the i-RTG flowing into this ineffective system decomposer, the  
258 overall system will not be able to sustain itself like a natural system. This suggests that the

259 current energy flows in the system are not well developed and need to be improved upon for  
 260 consistent sustainability and resilience. This could be more closely achieved by increasing the  
 261 recycling rates of the system components.



262  
 263 Figure 4 Trophic energy levels and percentages of the TST for the i-RTG system.

264 The results of ENA studies on urban metabolic processes commonly result in imperfect pyramid  
 265 structures. For example, Lu et al. (2015) modeled carbon flows for an eco-industrial park, which  
 266 resulted in the secondary consumers showing the most prominence in the trophic relationship.  
 267 An imperfect pyramid was also seen in Fath et al. (2010) in modeling the energy flows of four  
 268 Chinese cities. In ENA studies, this is typically indicative of an unharmonious relationship of  
 269 stock flows. However, in some natural ecosystems where the upper trophic levels do not have  
 270 enough prey in the trophic level beneath them to satisfy their energy needs, the higher trophic  
 271 level consumers can still thrive by preying on even lower trophic levels that have an excess of



272 organisms for energy consumption (Trebilco et al., 2013). This is the consumption pattern that  
273 the i-RTG system exemplifies with production, a tertiary consumer, receiving energy from the  
274 power grid, a primary consumer. Although this is not the ideal pattern of energy consumption, it  
275 is feasible for a system to succeed by doing so. At the same time, for prolonged sustainability, a  
276 pyramidal trophic structure is desired. As compared to a natural ecosystem, an industrial  
277 ecosystem inhibits a similar trophic structure but lacks the direct decomposition which cycles  
278 back into the initial inputs. Because of the preliminary boundaries of the industrial system, the  
279 effects of recycling cannot be directly considered in some cases. This is why in natural  
280 ecosystems the trophic structure is often a more balanced pyramid while industrial systems may  
281 exhibit imperfect hierarchies within their trophic structures.

### 282 **3.2 Relationships in the supply chain**

283 NUA, the second step of ENA, gives insight into the overall extent of the interactions between  
284 compartments in the system. The detailed SignD/SignU matrix is provided in Table S3. In the  
285 direct flow matrix D, 28% of the interactions between the number of compartments result in a  
286 loss of energy, and 28% of the number of interactions result in a gain of energy. In other words,  
287 these interactions increased the receiving compartments' energy stock. Adversely, donor  
288 compartments of these interactions lost energy stock. Since the D matrix only considers direct  
289 flows, it provides a give-and-take relationship for the pairwise compartments, so it makes sense  
290 that the number of gains and losses in this calculation are equal when mass and energy balances  
291 are maintained. However, the most useful information from here is that with 28% of interactions  
292 gaining energy, and another 28% losing energy, there is 43% of intercompartmental pairs that do  
293 not have energy flows among them. In other words, there is no interaction or exchange of energy  
294 among 43% of the possible pairs.

295 However, when considering the indirect flows in the integral flow matrix, U, 35% of energy  
296 exchanges between compartments are negative, 58% are positive, and only 7% do not exchange  
297 energy. The difference in these results shows the significance of considering the indirect flows  
298 and transfers in a system. The U matrix considers the exchange of materials between  
299 compartments when taking into account the flows that passed through other compartments before  
300 reaching the destination. There are many interactions that show no direct exchange of energy  
301 (43%) in the D matrix; however, when considering all of the system processes and energy  
302 cycling through the system in total, many of the compartments showing no interaction actually  
303 reveal some type of energy exchange, dropping the percent of compartments with no interaction  
304 much lower (7%).

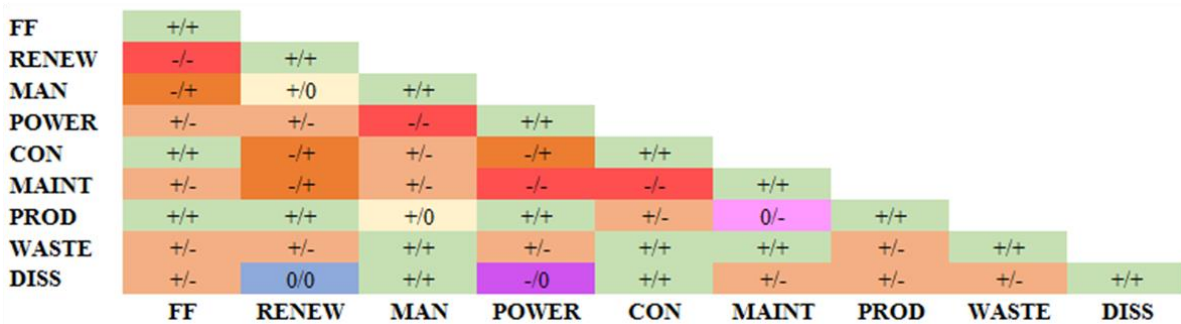
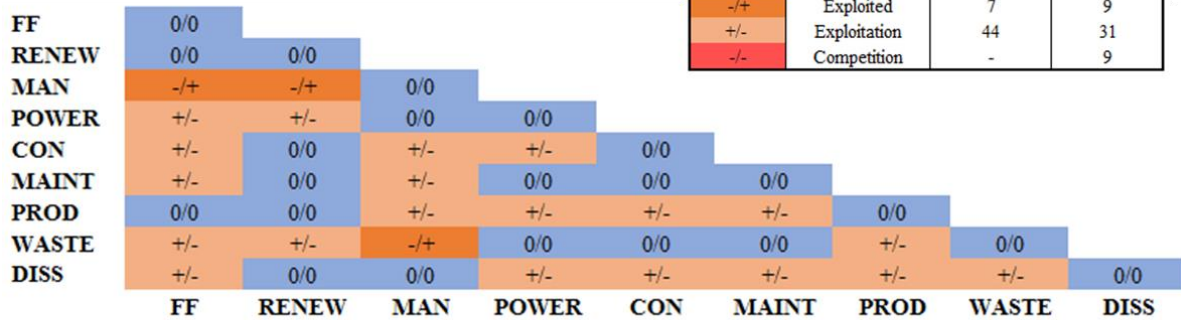
305 A comparison between pairwise compartments in each SignD and SignU reveals the symbiotic  
306 relationships between compartments, represented for the system in Figure 5. The D matrix shows  
307 that 49% of the relationships between compartments do not exchange energy (neutral), whereas  
308 there is a resource exploitation in 51% of the cases. However, when taking into consideration the  
309 indirect energy flows in the U matrix, the results are more insightful on the overall system  
310 performance. Many of the neutral relationships are uncovered to show other symbiotic  
311 relationships. 59% of the neutral intercompartmental relationships are actually shown to be  
312 mutualistic in the integral utility matrix. With mutualistic relationships being the most frequent  
313 change from a neutral relationship in U matrix, the other neutral relationships were shown to be  
314 18% competitive and 18% exploitative. The remaining 5% of neutral compartments in the D  
315 matrix remained neutral in the U matrix. The percent of all the relationships in each matrix are  
316 fully described in Table S3. This reiterates the significance of considering the indirect energy  
317 flows with a pathlength greater than one. In fact, the indirect flows in a system have been

318 recognized as a crucial aspect to the function in a system, oftentimes having a greater influence  
319 on a system than its direct flows (Krivtsov, 2004; Patten and Higashi, 1984). Overall, it is  
320 generally more beneficial to have strong indirect flows in a network because it offers more  
321 alternative paths for energy in the system and contributes to resilience in case one compartment  
322 fails.

323 With the  $\text{Sign}(U)$  matrix, the network mutual index (NMI) can be found using Equation 10. For  
324 this i-RTG system, the NMI was found to be 1.68, which reveals there are more qualitatively  
325 positive exchanges of energy than negative exchanges of energy throughout the whole system.  
326 Contrary to the trophic level results, these values are closer to natural ecosystem behavior, as  
327 mutualism is favored in natural self-sustaining systems. This is beneficial in an i-RTG system  
328 because it shows that the industries are cooperating in a way that more industries benefit by  
329 receiving energy than are harmed by losing energy, which is a good foundational relationship to  
330 improve the system upon.

331

Sign	Relationship	% of Total Relationships	
		D Matrix	U Matrix
+/+	Mutualism	-	40
+/0	Comensalism	-	5
0/+	Commensal Host	-	0
0/0	Neutralism	49	2
0/-	Amensalism	-	2
-/0	Amensal Host	-	2
-/+	Exploited	7	9
+/-	Exploitation	44	31
-/-	Competition	-	9



332

333 Figure 5 Sign(D) (top) and Sign(U) (bottom) intercompartmental symbiotic relationships

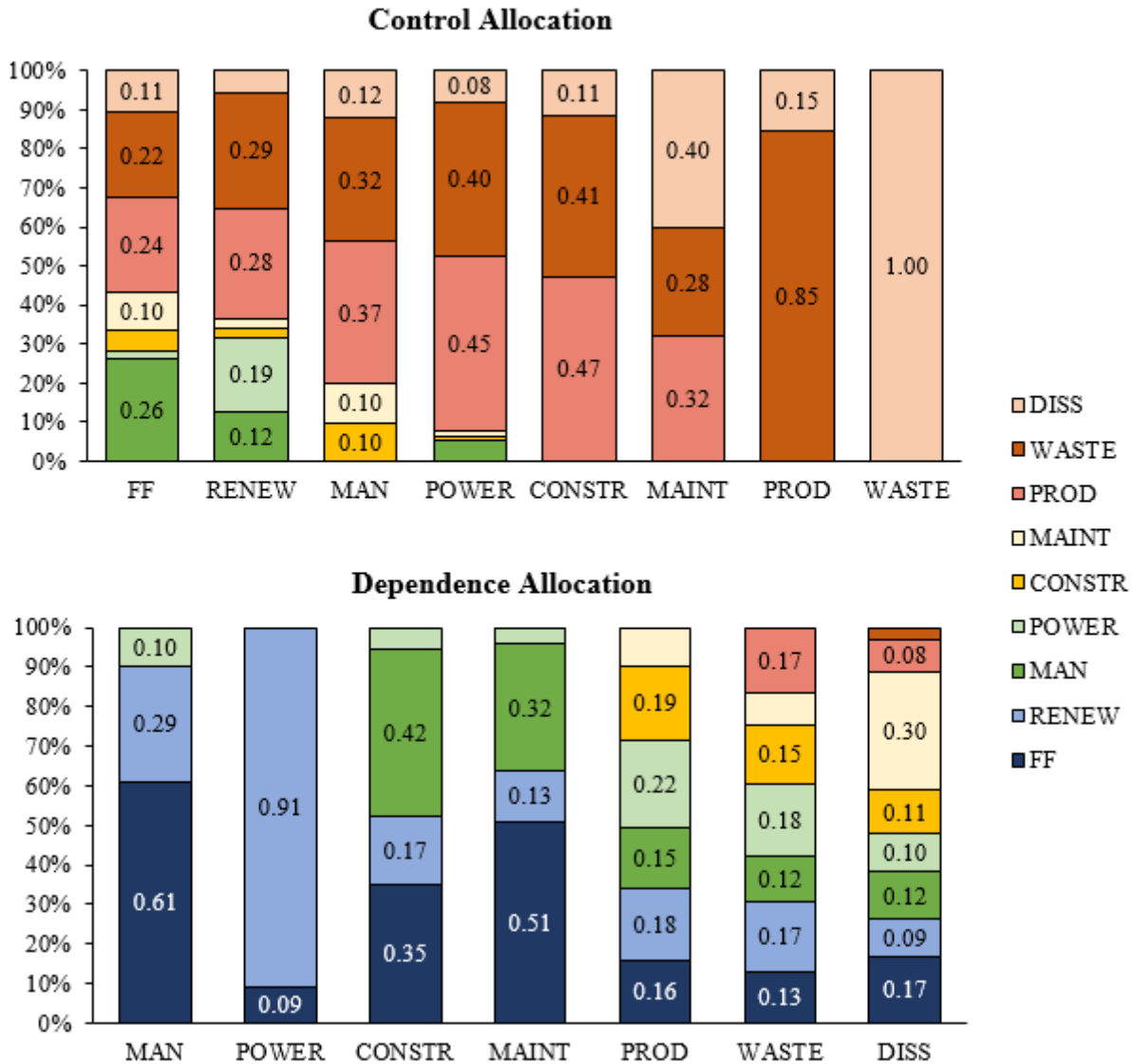
334 **3.3 Control and dependence of the system compartments**

335 The CA matrix shows that waste management and dissipation are controlled by all sectors  
 336 (Figure 6). 100% of waste management’s control in the system is on the dissipation  
 337 compartment, or what is wasted. In addition, the production compartment has the largest control  
 338 allocated to waste management (85%). Most compartments also exert between 24% and 47%  
 339 control over production.

340 However, renewable energy has the lowest control on dissipation (6%), compared to the other  
 341 sectors. For renewable energy, this control is due to the indirect dissipation that is observed when  
 342 using renewable energy in the power grid. The energy from the power grid in this study was

343 eventually dissipated, which is why renewable energy shows any control on the dissipation  
344 compartment. However, in the original GaBi data, it was shown that there was no direct energy  
345 loss at all from the processes using renewable energy. On the contrary, fossil fuels contribute  
346 energy to dissipation in each process it is involved. This indicates that the 11% allocation fossil  
347 fuels have on dissipation is more likely influenced by the direct use of nonrenewable energy  
348 sources, rather than a result of losing energy to processes that consume and then dissipate fossil  
349 fuels, as described by renewable energy in the power grid.

350 The control analysis gives an informal insight on the i-RTG system efficiency. When the indirect  
351 energy flows are considered throughout the supply chain, every industry transfers energy to the  
352 environment through the dissipation and waste management compartments. For many of the  
353 system's industries, the largest control is allocated towards waste management, showing that  
354 they lose more energy to the environment rather than cycling through the system and exchanging  
355 energy with the other industries. This implies that the system's efficiency could be improved by  
356 collecting and reusing the wasted energy for other system processes. Since renewable energy  
357 shows the lowest control on dissipation, it is reasonable to suggest that using more renewable  
358 energy sources throughout the supply chain will improve the amount of energy lost to dissipation  
359 in the system. System efficiency could also be improved with more recycled materials and better  
360 waste management practices. In the system, a small amount of steel is recycled, which is  
361 indicated in this analysis with a flow from waste management to manufacturing. Here, the  
362 control allocation indicates that this reuse of material accounts for a negligible degree of waste  
363 management's control on the system. Rather, waste management has 100% control on  
364 dissipation, showing that the decomposers of the system are not effective at returning energy or  
365 materials back into system processes.



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Figure 6 Control and dependence allocation for each industry in the i-RTG system

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The DA matrix shows that fossil fuel and renewable energy are not dependent on any of the

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other sectors as producers. Manufacturing, a primary consumer, is 61% dependent on fossil fuels

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and only 29% dependent on renewable energy. The secondary consumers, construction and

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maintenance, are primarily dependent on manufacturing (42% and 32%, respectively) and fossil

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fuels (35% and 51%, respectively). Since manufacturing carries a large dependence on fossil

373 fuels, these compartments collectively require a large amount of fossil fuels. The power grid is  
374 the only compartment which has a significantly higher dependence on renewable energy than  
375 fossil fuels. In turn, the compartments with a considerable dependence on the power grid  
376 (production and waste management) are each slightly more dependent on renewable energy than  
377 fossil fuels.

#### 378 379 **4. Discussion**

380 Using ENA to understand the food-energy nexus of an RTG from a life-cycle approach provides  
381 additional information that LCA alone did not unveil in previous RTG studies (Sanjuan-Delmás  
382 et al., 2018a; Sanyé-Mengual et al., 2015). This approach not only uncovers where in a system  
383 critical issues are to be found, but it also answers the question of how the system works based on  
384 the relationships among compartments. Here, we showed the connections among the  
385 compartments involved in the production of 1 kg of tomatoes in an RTG. Not surprisingly, the  
386 system largely depends on fossil fuels because 21% of the electricity demand coverage consists  
387 of nuclear power (Red Eléctrica de España, 2014). This conclusion could already be drawn with  
388 LCA, but ENA helped us determine the structure of the system. We found that industries have  
389 strong, mutualistic relationships that build a sustainable foundation to be built from. However,  
390 each industry is significantly contributing to the waste management and dissipation sectors  
391 throughout the supply chain. This indicates that there is poor cycling throughout the system, with  
392 the majority of compartments transferring a significant amount of energy to the environment. The  
393 decomposers of the system are thus ineffective. If more of this energy were able to flow to a  
394 different, more effective decomposer, the system would better be able to return the energy to its  
395 own environment and continue decomposing and recycling the energy, much like how a natural

396 ecosystem cycles energy. The large contribution of each industry to dissipation can be seen as a  
397 result of the supply chain's dependency on fossil fuels, since eventually all nonrenewable energy  
398 was dissipated.

399 One of the recommendations arising from the analysis is that, for RTGs to become more circular  
400 and sustainable, there is a need to (i) increase the share of renewables and (ii) increase the  
401 recycling rates. Both strategies will reduce the dependence on fossil fuels, with renewable energy  
402 acting less strongly on the dissipation of useful energy throughout the life cycle. This might  
403 imply a relative increase in the energy efficiency of the overall system. Recycling not only  
404 increases the material availability within the system but also reduces the need for external energy  
405 inputs. Decomposers (e.g., waste valorization facilities) would demand some additional energy  
406 to run their metabolic processes, but cycling this energy back might be beneficial to improve the  
407 trophic structure. In the context of circular economy research, assessing these strategies from an  
408 ENA standpoint is highly encouraged to test whether energy would be positively redistributed  
409 within the network.

410 Another aspect to consider is the integration of the RTG into urban environments. Given that  
411 some energy is currently being dissipated/wasted, RTGs could balance these losses by providing  
412 a service to other systems that demand energy. For instance, it has been shown that RTGs and  
413 green roofs result in significant energy savings for the building and help regulate the building  
414 temperature (Eumorfopoulou and Aravantinos, 1998; Wong et al., 2003), which relates to  
415 economic savings in heating and cooling the building (Castleton et al., 2010; Kosareo and Ries,  
416 2007). The i-RTG under analysis interacts with the building it is located on and recycles waste  
417 thermal energy from the building to grow vegetables (Nadal et al., 2017). This consideration is  
418 beyond the system boundaries of our study because we did not consider the life cycle impacts of



419 the entire building, which do not apply to the greenhouse function itself. In this case, the  
420 dissipation compartment as a decomposer is not effective in recycling energy back into the i-  
421 RTG system itself, but the i-RTG is in fact providing benefits beyond the system boundaries.

## 422 **5. Conclusions**

423 This ENA of an i-RTG system helped us identify the energy structure of an urban agricultural  
424 setting. Our results showed that the RTG does not mimic the perfect pyramidal structure found in  
425 natural ecosystems due to the system's dependency on fossil fuels throughout the supply chain  
426 and each industry's significant impact on dissipated and wasted energy. However, it was  
427 discovered that the system has strong foundational relationships in its industries, demonstrating  
428 overall positive utility; this foundation can be improved by using more renewable energy and  
429 increasing the efficiency of energy use throughout the supply chain, which will in turn improve  
430 the hierarchy of energy flows and overall energy consumption performance of the system.

431 These results can not only be used to make improvements on the system but also to predict future  
432 behaviors. Based on the relationships between compartments, scenarios could determine to what  
433 extent variations in a particular compartment will affect the other compartments it interacts with.  
434 Our first conclusion points to an increased use of renewable energy to reduce dissipation and  
435 increased recycling rate for cycling energy back into the system. Additionally, we call for a  
436 better integration of urban agriculture, in general, and RTGs, in particular, into the planning of  
437 sustainable circular cities. Taking stock of the existing network of industries involved in the  
438 energy structure of this food system might help to identify additional hotspots for cities to  
439 consider when closing resource loops.

440

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