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3 **Tackling the relevance of packaging in life cycle assessment of virgin olive oil and the environmental**
4 **consequences of regulation**

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15 **ABSTRACT**

16 Production and consumption of olive oil is very important in Europe, being this product a basic element in
17 the Mediterranean diet since long ago. The project objective is two-fold: a study of the contribution of
18 virgin olive oils (VOOs) usual packaging to the whole life cycle of the product and a study of the
19 environmental consequences of the Spanish Government regulation on VOO packaging. A life cycle
20 assessment (LCA) according to ISO 14044 has been performed using the CML methodology for the impact
21 assessment.

22 The results show that the packaging influence varies from 2% to 300%, depending on the impact category
23 and type of packaging (glass, tin or polyethylene terephthalate). Glass, which is related to higher quality
24 perception by consumers, was found to be the most influencing material (due to its weight); however, this

25 impact may be fairly reduced by applying ecodesign strategies (such as weight reduction and recycled-glass
26 percentage increase).

27 A new Spanish regulation on the mandatory use of non-refillable oilers in HORECA establishments
28 (hotels, restaurants and caterings) aims to provide more quality assurance and better information to
29 consumers; however, it was also found to mean a 74% increase in greenhouse gases emissions. This
30 regulation was deeply discussed at European level and its application was withdraw due to consumers
31 rejection, except for Spain.

32 The findings of the present case study show that LCA and ecodesign should be important tools to be
33 promoted and applied in policy making to reduce non-desirable consequences of regulation.

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35 **Key words:** life cycle assessment, carbon footprint, ecodesign, policy making, glass, tin and polyethylene
36 terephthalate, HORECA.

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38 **1. Introduction**

39 Olive oil has been essential to the Mediterranean diet since long time ago. It is widely appreciated in
40 Europe, which produced 70% and consumed 56% of olive oil in the world in the last five years (2012-
41 2016) (EU Commission, 2018). Nevertheless, more recently, many other countries around the world are
42 increasing their consumption due to its beneficial contribution to human health (ie. prevention of
43 cardiovascular risk and prevention of some cancers, according to Assman et al., 1997).

44 Olive oil is one of the most important agri-food products in Spain, the first producing country of olives and
45 olive oil in the EU. Spanish olive oil production is exported mainly to Italy, USA, Japan and Australia
46 (MAPAMA, 2017a).

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48 The European Denominations of Origin (DO) guarantee the quality and origin of some agri-food products
49 (Council Regulation (EC) 510/2006). In Spain, there are 29 DO of extra virgin olive oil (EVOO)
50 (MAPAMA, 2017b). A classification of different olive oil types depending on their quality (extra virgin
51 olive oil, virgin olive oil and general olive oil) is shown in Table 1, according to international standards

52 (Council Regulation, (EC) 1234/2007; Codex Stan 33-1981). In this document, when referring to virgin
 53 olive oils (VOOs), we mean both EVOO and VOO, which are meant for human consumption (see Table 1).

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Table 1. Types of olive oil depending on their quality (Sources: Council Regulation, (EC) 1234/2007; Codex Stan 33-1981)

Name		Definition
GENERAL	SPECIFIC	
Virgin olive oils (VOOs)		Obtained from the olive tree fruit, solely by mechanical or other physical procedures, without product alteration or mixture with other types of oils.
	Extra virgin olive oil (EVOO)	Virgin olive oil with a maximum free acidity ⁽¹⁾ 0.8g/100g.
	Virgin olive oil (VOO)	Virgin olive oil with a maximum free acidity ⁽¹⁾ 2.0g/100g.
	Lampante olive oil	Virgin olive oil with a free acidity more than ⁽¹⁾ 2.0g/100g. Not for human consumption.
Olive oils (OOs)		
	Refined olive oil	Obtained by refining ⁽²⁾ lampante olive oil. Maximum free acidity ⁽¹⁾ 0.3g/100g.
	Olive Oil “ordinary”	A blend of refined and virgin olive oils (except with the lampante) in different proportions. Maximum free acidity ⁽¹⁾ 1.0g/100g.
Olive pomace oils (OPOs)		
	Refined olive-pomace oil	Obtained by refining crude olive-pomace oil. Maximum free acidity ⁽¹⁾ 0.3g/100g
	Olive pomace oil	A blend of refined olive-pomace and virgin olive oils (except with the lampante) in different proportions. Maximum free acidity ⁽¹⁾ 1.0g/100g

⁽¹⁾ Unit of measure: expressed as oleic acid.
⁽²⁾ Refining: physical and/or chemical processes divided in a series of steps totally or partially to follow: degumming, neutralization, bleaching, deodorization and wintering (De la Osada., 2010)
⁽³⁾ Solid by-product after the extraction of olive oil, formed by bones, skins, water, etc

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The Single Market of Green Products Initiative¹ is a good example showing that agri-food products are on the spot of European sustainability policies or instruments, as half of its pilot projects are related to this sector. This one and many other instruments bring companies and organizations to adopt a sustainability strategy (mainly environmental related) to differentiate themselves from their competitors and to achieve a responsible and high quality image. Packaging has a significant influence in the environmental impact of many agri-food products (Flanigan et al., 2013; Ingraio et al., 2015), and it is an important component for distribution, storage, product quality protection and image.

¹ <http://ec.europa.eu/environment/eussd/smgp/>

72 As it happens within the Initiative cited above, Life Cycle Assessment (LCA) is one of the most commonly
73 used methodologies to evaluate sustainability from the environmental perspective. LCA is a standardized
74 environmental tool which evaluates a product along its whole life cycle (from cradle to grave) and includes
75 in this evaluation a number of impact categories (ISO 14040, 2006; ISO 14044, 2006). This methodology
76 has been used to evaluate packaging options (Heijungs and Guinée, 1995; Raugei, et al., 2009) and food
77 supply chains (Jones 2002; Pelletier & Tyedmers, 2008; Pelletier, et al., 2008; Beccali, et al. 2009; Notarnicola et
78 al., 2015).

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80 In the olive oil sector a literature review (Banias et al., 2017) of the life cycle impacts of this product was
81 published (from 1996 to 2015), showing only 18 LCA papers out of 98. Most of the published LCA studies
82 refer to the farming and production processes, without much detail on the importance of packaging (Banias
83 et al., 2017). Only few of them contain LCA-inventory data of olive oil: from just the agricultural stage (De
84 Gennaro et al., 2012; Russo et al., 2016) or including also the oil making stage (Papadakis et al., 2006;
85 Avraamides et al., 2008; Salomone et al., 2012). The literature including the packaging and distribution
86 stages deals only with greenhouse gas emissions (Özilgen et al., 2011; Rinaldi, et al., 2014; Pattara et al.,
87 2016). Detailed information of olive oil making and waste management in Spain can be found in
88 (SCP/RAC, 2000). The present paper builds upon the existing literature by quantifying the environmental
89 impacts of alternative packaging in a global VOOs supply chain. There is only one similar paper published
90 recently (Accorsi et al., 2015), which compares glass vs plastic primary packaging for olive oil. The
91 authors conclude that the bottling and the distribution phases impact significantly across the VOO life
92 cycle. The difference with the present paper is that here a third type of packaging (tin or steel can) is also
93 studied, sensitivity analysis of bottle weight influence is performed and lower distances for transport and
94 distribution are considered (inside Spain instead of all over the world).

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96 Olive oil is an essential component in the Mediterranean diet, known for its positive impact on health. If
97 this diet is ever measured according to its impact on the environment and its relation to human health, such
98 as some studies for Chinese diets (Song et al., 2016), complete olive oil information will be essential. If not

99 the complete diet, many Mediterranean food products use VOOs and start to perform LCAs of both the
100 product and the packaging, such as for anchovies (Laso et al., 2017).

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102 On the other hand, there is specific food packaging regulation at EU level to preserve consumer's health,
103 for example the food contact materials regulation (EC 1935/2004), supported by other specific regulations
104 adopted for some materials, like the one for plastics (EC 10/2011). In addition, there is also EU legislation
105 on food information to consumers (Regulation (EU) 1169/2011), where the origin labeling of unprocessed
106 food (traceability) is one of the aspects to be included for quality and safety reasons (ie., for olive oil,
107 Regulation (EU) 29/2012).

108 Related to this EU legislation, in the year 2014, a Spanish regulation (Real Decreto 895/2013, RD from
109 here on) was approved to guarantee the olive oil quality in Hotels, Restaurants and Catering supply chains
110 (the so called HORECA supply chain). This regulation states that: "In hotel and restaurant establishments
111 and in catering services, olive oil shall be made available to the final consumer in labeled containers and
112 provided with an opening system that loses its integrity after first use. A packaging that, by its
113 characteristics, can be made available to final consumers more than once, will also have a protection
114 system to prevent its refilling after the original content has been exhausted." The aim of this regulation was
115 to provide more quality assurance and better information to the consumer. This regulation, requiring that
116 olive oil "presented at a restaurant table" must be in factory packaged bottles with a tamper-proof
117 "hygienic" nozzle and printed labeling, was proposed in 2013 at EU level and submitted to public
118 consultation, provoking popular loathing from the people that in theory it aimed to protect for their own
119 good. It was a measure intended to help consumers, to protect and inform them but it was clear that it didn't
120 attract consumer support, so as a consequence, EU withdraw the proposition (Telegraph, 2013). Even so,
121 Spain implemented this regulation in 2014.

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123 The application of this regulation, according to Spanish hospitality sector, has different consequences. They
124 state that the price of the olive oil in HORECA increased about 7 times, due to both the much higher price
125 of small glass bottles compared to the previous bigger packaging and the higher quality of olive oil bought
126 nowadays (InfoHoreca, 2016).

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128 The aim of the present paper is two-fold: to evaluate the environmental impact associated with different
129 types of packaging for VOOs and to evaluate and discuss some environmental consequences of the RD in
130 Spain.

131 (i) Evaluate the environmental influence of the packaging in the life cycle of VOOs, comparing the
132 three most commonly used (Linares et al., 2006) types of packaging, based on glass, polyethylene
133 terephthalate (PET) and tin. Recommendations on the packaging design will be also made.

134 (ii) To check the environmental consequences due to the new Spanish regulation (RD 895/2013) in
135 the impact of VOOs consumption in HORECA. A preliminary calculation of global warming
136 potential impact will be presented in this paper.

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139 2. Materials and methods

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141 2.1. LCA methodology

142 Life Cycle Assessment (LCA) is described in the standards ISO 14040:2006 and ISO 14044:2006.
143 Environmental aspects are quantified all along the life cycle of a product or service and the associated
144 potential impacts are evaluated. The aim of an LCA is measuring, as a fundamental step for improvement.

145 Four LCA phases are necessary for a complete study, according to the ISO 14044: goal and scope
146 definition, inventory analysis (LCI), impact assessment (LCIA) and interpretation of the results. In LCIA,
147 some impact categories (indicators) are calculated according to a chosen methodology. In the present study,
148 the CML 2001 (updated 2015) LCIA methodology (Guinée, et al., 2002) was used and the following
149 impact categories were evaluated: Abiotic Depletion Potential (ADP elements), Abiotic Depletion Potential
150 (ADP fossil), Acidification Potential (AP), Eutrophication Potential (EP), Freshwater Aquatic Ecotoxicity
151 Potential (FAETP inf.), Global Warming Potential (GWP 100 years, excl. biogenic carbon), Human
152 Toxicity Potential (HTP inf.), Marine Aquatic Ecotoxicity Potential (MAETP inf.) and Terrestrial
153 Ecotoxicity Potential (TETP inf.). Additional to these impact categories, two more indicators were

154 calculated: the primary energy demand (Frischknecht, et al., 1998) total and from non-renewable resources
155 (net calorific value) and blue water consumption (Berger & Finkbeiner, 2010).

156 For the second part of this study, checking the environmental consequences due to the new Spanish
157 regulation (RD 895/2013), only GWP is being used, as a proxy for this preliminary assessment.

158 The LCA was modeled in GaBi software (Thinkstep, 2015). The databases used were GaBi professional
159 2015 and Ecoinvent 3.0.

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161 2.2. LCA System description

162 All major material and energy flows and water use associated with the life cycle stages of VOOs product,
163 such as olive production, virgin olive oil making, packaging, distribution and end of life (see Figure 1) have
164 been included within the system boundary.

165 Inventory data of olive production and virgin olive oil making stages has been obtained after making
166 averages from two Spanish case studies published in the literature (SCP/RAC, 2000; ECOIL, 2006). Olives
167 production efficiency has high variations depending on climate of the area, type of trees cultivated, pests,
168 etc. (Rinaldi, et al., 2014). Consequently, Spanish data will be used in this study, although more recent data
169 from other countries can be found in the literature (Avraamides et al., 2008; De Gennaro, et al., 2012;
170 Salomone et al., 2012; Rinaldi, et al., 2014).

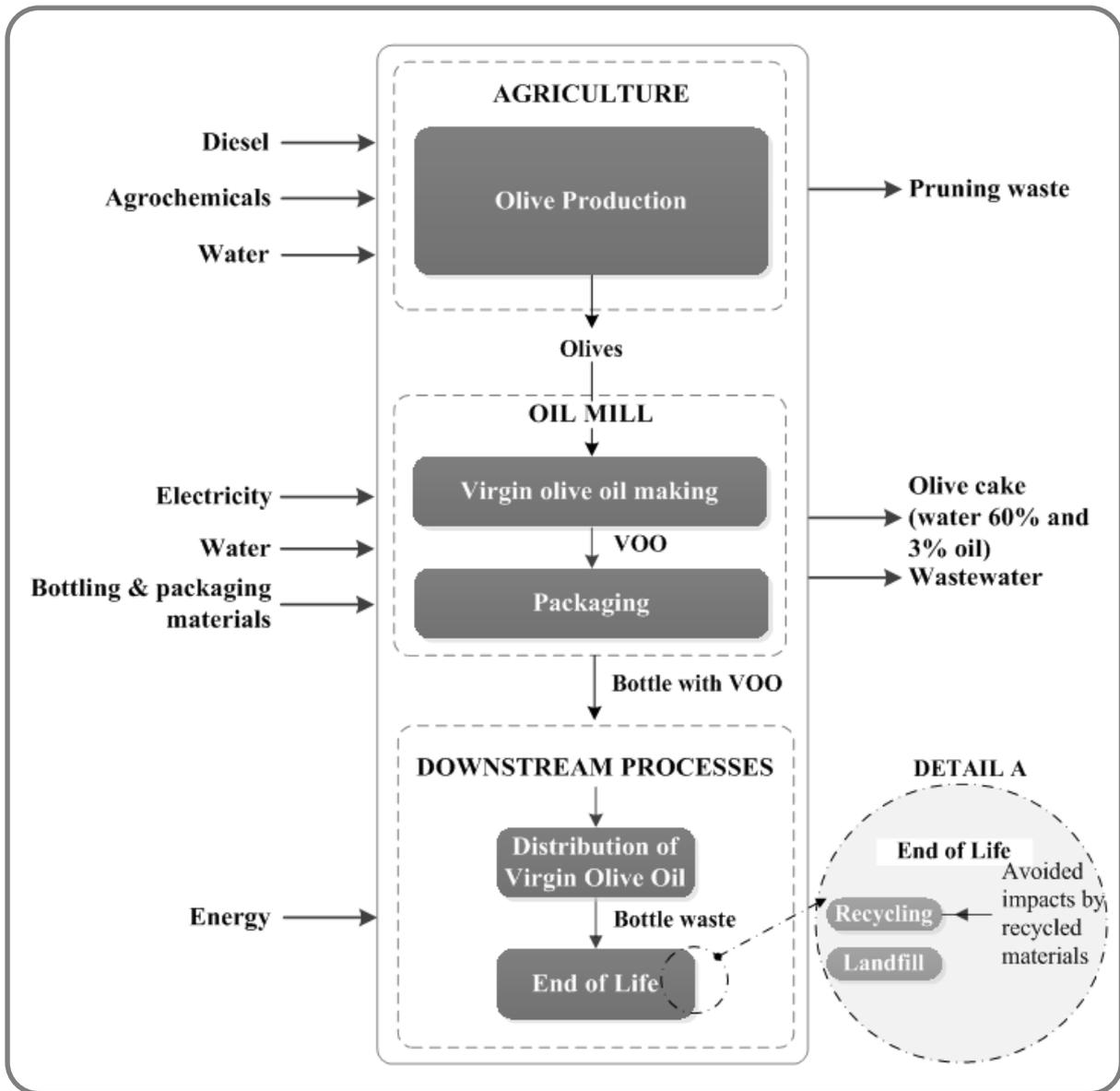
171 For the packaging component, direct data has been obtained from the most commonly used types of
172 packaging for VOOs in the market (an average weight of the different materials for a 500 mL bottle was
173 obtained from sets of 10 samples of bottles per material). The samples were obtained from supermarkets,
174 and chosen among the most commonly sold trademarks in Spain.

175 The distance from Jaén (main VOOs producer province in Spain) to Madrid and Barcelona (main logistic
176 centres) has been used as the average distribution distance from the oil mill to the selling-store. The
177 distribution stage impact is affected by the type of packaging used mainly due to differences in their
178 weight.

179 The end of life scenario² used implies that part of the packaging is recycled and the rest goes to landfilling
180 facilities. The percentages to recycling or to landfilling (for each material) have been obtained from
181 available information published by the Spanish green dot holders (see Table 7). The percentage of
182 recycling packaging was modeled by including the burdens of the recycling process and the credits from
183 the material obtained (using a system expansion methodology). For glass, no recycling-treatment data was
184 available on GaBi databases, thus, the recycling process was included taking the average Spanish data from
185 a previous project (FENIX, 2012), which was updated to GaBi professional 2015 (Thinkstep, 2015). In the
186 case of tin and PET, the recycling processes for steel-can-scrap and plastic were respectively used. For all
187 three packaging materials, credits from recycled material obtained (which avoids the corresponding virgin
188 material production) were included. In the case of PET recycling, it was considered that the quality of the
189 recycled material was lower than the quality of the virgin one. In this case, the economic value of recycled
190 vs virgin PET, 0.6 (obtained from recycled and virgin prices, 0.87 €/kg and 1.45€/kg respectively,
191 according to ANARPLA, 2015), was used as correction factor to the amount of impact avoided.

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² There is an important ongoing LCA project in Spain to thoroughly study the end of life of packaging: the ARIADNA project. At the moment of writing this paper, its methodological decisions, data used and results have not been made public, so background data has been taken from literature and GaBi Database.



193
194 **Fig. 1.** System boundary of the virgin olive oil life cycle stages included in the study
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197 *2.3. Environmental consequences of non-refillable olive-oil-dispensers regulation for HORECA*
198 *establishments*

199 Primary data was collected through the use of questionnaires and personal communication with owners of
200 restaurants, coffee-shops, snack bars, etc. These questionnaires had 3 parts: general information,
201 information AFTER the application of the regulation and information BEFORE its application (type of
202 packaging of VOOs and other aspects needed to assess the differences in environmental impact).

203 In this preliminary study, answers to the questionnaire from 20 restaurants were obtained and the results of
 204 these answers will be used to estimate the change in environmental impact of VOOs consumption (in the
 205 HORECA sector) due to the application of the non-refillable-VOOs-dispensers regulation in Spain.
 206 According to the statistical formula from Valdivieso et al., 2011, the confidence of this study, with 20
 207 samples, is between 60-65% with an error of 10%, because the total population of restaurants (with CNAE³
 208 code 5610), which are under this current legislation, is 69192 restaurants (CAMERDATA, 2017).
 209 Therefore, to achieve 75% confidence level with a 5% error in the results, answers from a sample of 132
 210 restaurants would be needed. In future projects, for a comprehensive and representative statistical analysis
 211 of these environmental implications, the number of restaurants to answer the questionnaire should be
 212 higher.

213 In order to calculate the environmental implications of this RD in Spain, the quantity of VOOs consumed
 214 per year in the Spanish HORECA sector is also needed and it has been calculated from different sources of
 215 information by following the procedure and hypothesis shown in Table 2. The amount of VOOs consumed
 216 per year in the hospitality sector was estimated to be about 56.97 ML. This amount could vary from one
 217 year to another and is not exact due to lack of direct data. Nevertheless, it is considered appropriate enough
 218 for the present study, because the calculated impact will increase/decrease proportionally with the amount
 219 of VOOs consumed.

220 **Table 2.** Steps followed to estimate the VOOs consumed in HORECA sector during one year.

Step	Data description	Data value	Reference	Comments
[1]	Consumption of Olive Oil (OO) in Spain in 2016 (domestic + hospitality sector)	548 580 789 L	IOC, 2017	OO density = 0.910-0.916 kg/L (Codex alimentarius, 2017)
[2]	Domestic vegetable oil consumed in 2014	594 232 910 L	MAPAMA, 2017c	Data. 2014
[3]	% Domestic Olive Oil consumed = EVOO+VOO+OO	69.5%	MAPAMA, 2017c	Data. 2014
[4]	% Domestic Extra Virgin Olive Oil (EVOO) consumed	18.4%	MAPAMA, 2017c	Data. 2014
[5]	% Domestic Virgin Olive Oil (VOO) consumed	10.8%	MAPAMA, 2017c	Data. 2014
[6]	Domestic OO consumed in Spain in 2014	412 991 872 L		Calculated: [2]*[3]
[7]	HORECA sector OO consumed	135 588 917 L		Calculated: [1]-[6], assuming that 2016 consumption is similar to 2014
[8]	% VOOs (EVOO+VOO) related to domestic OO consumed in 2014	42%		Calculated: ([4]+ [5])*[2]/ [6]
[9]	% VOOs (EVOO+VOO) related to hospitality sector OO consumed	42%		Same percentage as per domestic is assumed
[10]	Hospitality sector VOOs consumed (EVOO+VOO)	56 966 854 L		Calculated: [7]*[9]

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³ CNAE, Numerical Code of Economic Activity

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223 3. Results and Discussion

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225 3.1. LCA of VOOs: packaging contribution

226 In this section, the inventory data of the system under study will be presented as well as the environmental
227 impact results and interpretation. Some suggestions for packaging improvement will be also discussed.

228 3.1.1. Inventory data

229 The chosen functional unit (FU) for the study was the “one 0.5 L bottle of virgin olive oil”. This volume
230 has been chosen because it corresponds to one of the most commonly used capacities in Spain and it is
231 available in the three types of packaging studied. No considerations about the quality preservation (flavor,
232 color, longevity, health qualities, etc.) of the product depending on the packaging material were included in
233 the functional unit. In addition, no differences in product dosage or remains in the bottle were introduced.
234 Therefore, the reference flows for each type of packaging remained the same as the FU.

235 These, among others, are some of the limitations that VOOs LCAs still have and a thorough study of
236 unsolved issues in VOOs carbon footprint should be performed as it has been for wine (Arzoumanidis et
237 al., 2014).

238 Data related to some processes were taken from GaBi professional and Ecoinvent databases (Thinkstep,
239 2015), such as the production of chemicals (insecticides, herbicides, fertilizers), production of diesel, PET,
240 PP and glass and other processes like the landfilling of plastic waste and inert matter.

241 The agriculture subsystem includes the agricultural field works: application of fertilizers, insecticides,
242 herbicides, and irrigation and harvesting of olives. This life cycle stage does not depend on the type of
243 packaging used for the final virgin olive oils (VOOs) obtained. Inventory data of the agricultural subsystem
244 is presented in Table 3.

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246 **Table 3.** Inventory data for the agriculture subsystem.

⁽¹⁾ Olive Production stage (intensive olive growing)				
Input	Quantity	Output	Quantity	Comments
Pesticides (insecticides) [kg]	0.01	Olives [kg]	1000	<i>Fertilizer NPK12-12-24. LHV(diesel):42.6MJ/kg 96% of the olive grove is irrigated</i>
Herbicides [kg]	0.9	Pruning waste [kg]	714	
Fertilizers [kg]	102			
Diesel [kg]	73.21			
Irrigation water [kg]	1330			
Cultivated land [m ²]	3321.60			
Crop density [olive-tree/ha]	204			
Energy [MJ]	-			

(1) Average data from a published Spanish case study (ECOIL, 2006)

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248 The Oil mill subsystem includes VOOs making and packaging processes. Inventory data for the production
 249 of 200 kg average of virgin olive oil from 1000 kg of olives was obtained from the literature (SCP/RAC,
 250 2000). Packaging inventory data was obtained in this study as an average of 10 samples per type of
 251 packaging. Inventory data of this subsystem is presented in Table 4. Tin packaging is made of tin coated
 252 steel.

253 **Table 4.** Inventory data for oil mill subsystem.

⁽¹⁾ Oil making with a two-step continuous process (production of virgin olive oil)				
Input	Quantity	Output	Quantity	Comments
Olives [kg]	1000	Clean water [kg]	125	<i>watery pomace: water 60% and oil 3%</i>
Electricity [MJ]	320.4	Watery pomace [kg]	800	
Washing-water [kg]	110	Virgin olive oils (VOOs) [kg]	200	

⁽²⁾ Packaging stage (0.5L container capacity)					
Material	Bottle weight [kg]	⁽³⁾ Cap weight (PP) [kg]	⁽⁴⁾ Oil weight [kg]	Full pack weight [kg]	Observations
Glass	0.449	0.0122	0.461	0.921	<i>non refillable cap: cap + dispenser.</i>
PET	0.0263	0.00385	0.461	0.491	
Tin ⁽⁵⁾	0.093	0.0037	0.461	0.558	<i>cap= extensible part + cap</i>

254 (1) Average data from Spanish case studies (SCP/RAC, 2000).

255 (2) Own data from the present study.

256 (3) Polypropylene (PP) was assumed as the most usual cap material.

257 (4) Usual density of virgin olive oil: 0.92 kg/L. (Codex Stan 33-1981).

258 (5) Tin coated steel.

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260 Finally, the third subsystem includes VOOs distribution and end of life stages. The distribution distance
 261 considered was an average as explained in section 2.2 (see Table 5).

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Table 5. Average distance for distribution considered in the study.

Journey	Distance [km]
Jaén - Barcelona	797.8
Jaén - Madrid	332.7
<i>Average distance</i>	565.25

272 In the end of life stage, depending on the material of the packaging, the percentages of bottles going to
273 recycling or to landfilling are different. These percentages have been taken from usual recycling rates in
274 Spain, according to available information published by the green dot holders (see Table 6). In this life cycle
275 stage, a system expansion was made by including the burdens due to the recycling process together with the
276 environmental credits from the amount of recycled material obtained. It was considered that the recycled
277 material is substituting the corresponding virgin material.

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Table 6. Types of waste management depending on packaging material.

Material	Recycling [%]	Landfilling [%]	Reference
Glass	70	30	<i>ECOVIDRIO, 2015</i>
PET	63.8	36.2	<i>ECOEMBES, 2015</i>
Tin	90.6	9.4	<i>ECOACERO, 2014</i>

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3.1.2. Environmental impact assessment

285 The environmental impact of olive production and virgin olive oil making stages is the same for the three
286 different types of packaging studied, and it is only presented once in the impact results (see Table 7).

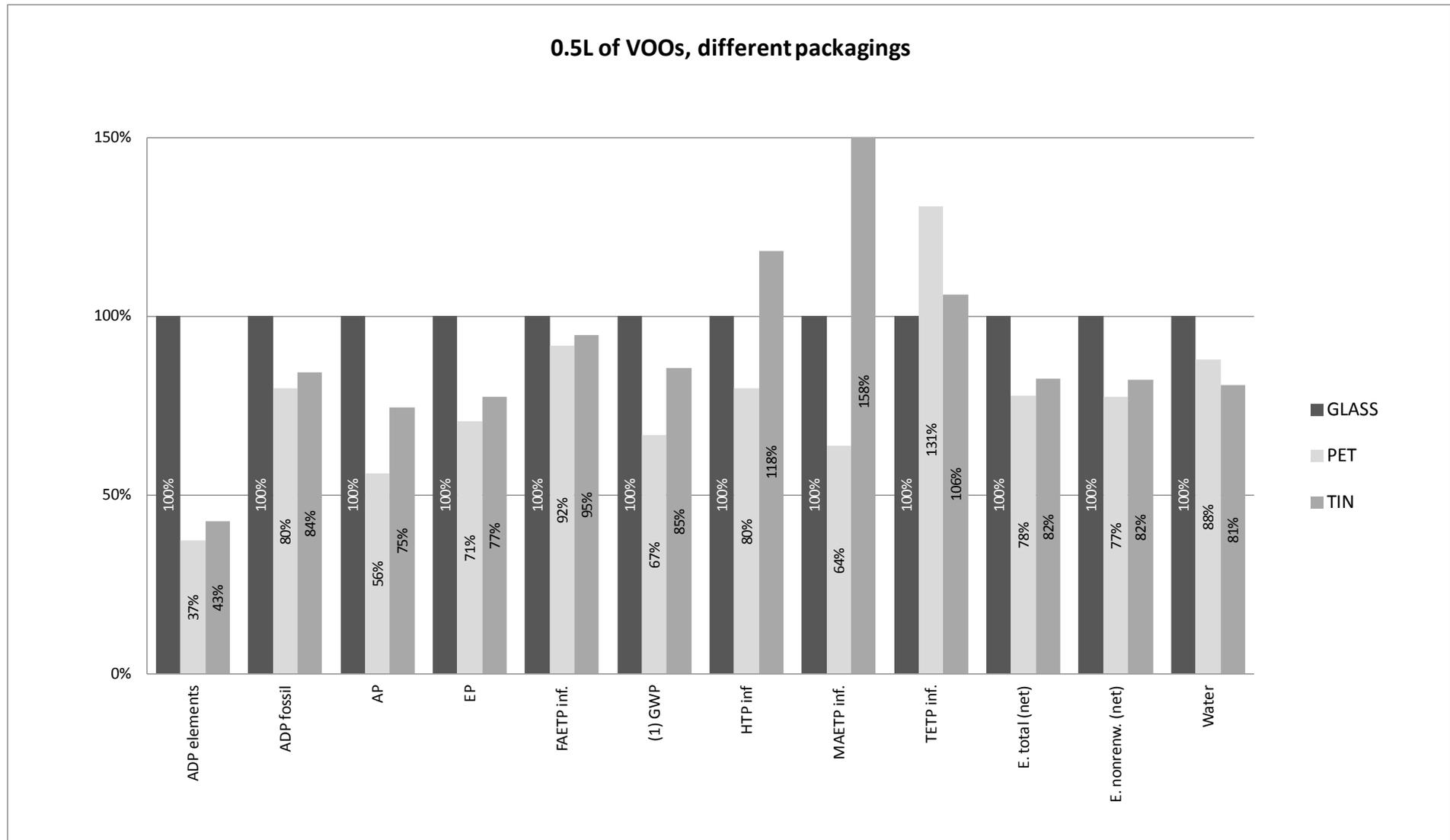
287 Figure 2 shows the comparison of the three types of packaging studied for VOOs. The total impact per 0.5
288 L glass bottle is adjusted to 100% in all impact categories. As shown in Figure 2, strictly speaking, glass
289 packaging has more impact in 9 out of the 12 evaluated impact categories, while tin packaging has higher
290 impact in 2 categories (marine and human toxicity) and PET in 1 (terrestrial ecotoxicity, TETP); however,
291 given the uncertainty of life cycle inventory data and life cycle impact assessment models, which we can
292 assume to be no less than 20%, no strong preference statements could be made in six impact categories
293 (only a light indication to be further and more deeply studied).

294 Figure 3 shows, for glass packaging, the detailed contribution of all life cycle stages in each impact
295 category evaluated. Olive production is the most significant stage in the majority of impact categories (8
296 out of 12) followed by the packaging stage, which has the highest contribution in 2 categories. On the other
297 hand, the VOOs making stage does not contribute much, having its greater contributions in AP (17%) and
298 water (27%) categories. The distribution stage could be neglected in front of the rest by its little influence.
299 It is important to highlight the negative values for the end of life stage, due to the recycling of the glass
300 (which avoids virgin glass production). For the presentation sake, values below 0.8 have been omitted in
301 Figure 3.

302 **Table 7.** Contribution of VOOs life cycle stages in different environmental impact categories for the 3 different bottle types studied (0.5 L capacity).

Environmental Impact Categories	GLASS/PET/TIN		GLASS			PET			TIN		
	Life cycle stages in VOOs production system										
	Olive Production	Olive Oil Making	Packaging material	Distribution	End of life	Packaging material	Distribution	End of life	Packaging material	Distribution	End of life
<i>Abiotic Depletion (ADP elements) [kg Sb-Equiv.]</i>	1.63E-07	2.21E-08	8,54E-07	2.80E-09	-4,90E-07	2.60E-08	7.68E-10	-5.33E-09	5.38E-08	8.73E-10	-3.78E-09
<i>Abiotic Depletion (ADP fossil) [MJ]</i>	11.359	1.068	6,120	0.428	-0,953	2.282	0.228	-0,574	2.669	0.259	-0,180
<i>Acidification Potential (AP) [kg SO₂-Equiv.]</i>	9.10E-04	4.79E-04	0,002	1.30E-04	-9,32E-04	1.23E-04	7.41E-05	-2.64E-05	6.44E-04	8.42E-05	-4.64E-05
<i>Eutrophication Potential (EP) [kg phosphate-Equiv.]</i>	2.83E-04	2.97E-05	2,78E-04	3.25E-05	-1,38E-04	1.45E-05	1.87E-05	-3.10E-06	4.49E-05	2.13E-05	-3.16E-06
<i>Freshwater Aquatic Ecotoxicity Pot. (FAETP inf.) [kg DCB-Equiv.]</i>	4.63E-03	2.39E-04	6,70E-04	1.78E-04	1,90E-04	5.72E-04	1.84E-04	-1.43E-04	6.34E-04	1.35E-04	-4.23E-05
<i>(1)Global Warming Potential (GWP 100 years) [kg CO₂-Equiv.]</i>	0.407	0.087	0,421	0.031	-0,080	0.085	0.017	-0,018	0.245	0.019	-0,017
<i>Human Toxicity Potential (HTP inf.) [kg DCB-Equiv.]</i>	0.028	0.006	0,008	0.001	0,004	0.003	6.63E-04	-0,001	2.22E-02	7.54E-04	-0,002
<i>Marine Aquatic Ecotoxicity Pot. (MAETP inf.) [kg DCB-Equiv.]</i>	16.033	6.378	10,100	0.444	6,300	2.840	0.248	-0,520	42.318	0.282	-3,097
<i>Terrestrial Ecotoxicity Potential (TETP inf.) [kg DCB-Equiv.]</i>	4.59E-03	8.68E-05	3,19E-04	5.12E-05	-7,09E-05	2.44E-03	1.22E-04	-0,001	4.88E-04	1.38E-04	-2,06E-05
Primary Energy [MJ]											
<i>Energy total (net cal. value)</i>	12.274	2.168	6,980	0.452	-0,717	2.375	0.242	-0,578	2.917	0.275	-0,198
<i>Energy nonrenewables (net cal. value)</i>	11.606	1.534	6,570	0.430	-0,609	2.329	0.229	-0,575	2.849	0.260	-0,193
Water Consumption [kg]											
<i>Water</i>	1.681	0.856	0,945	0.041	-0,301	0.346	0.023	-0,073	0.036	0.026	-0,00017

(1) excluding biogenic carbon

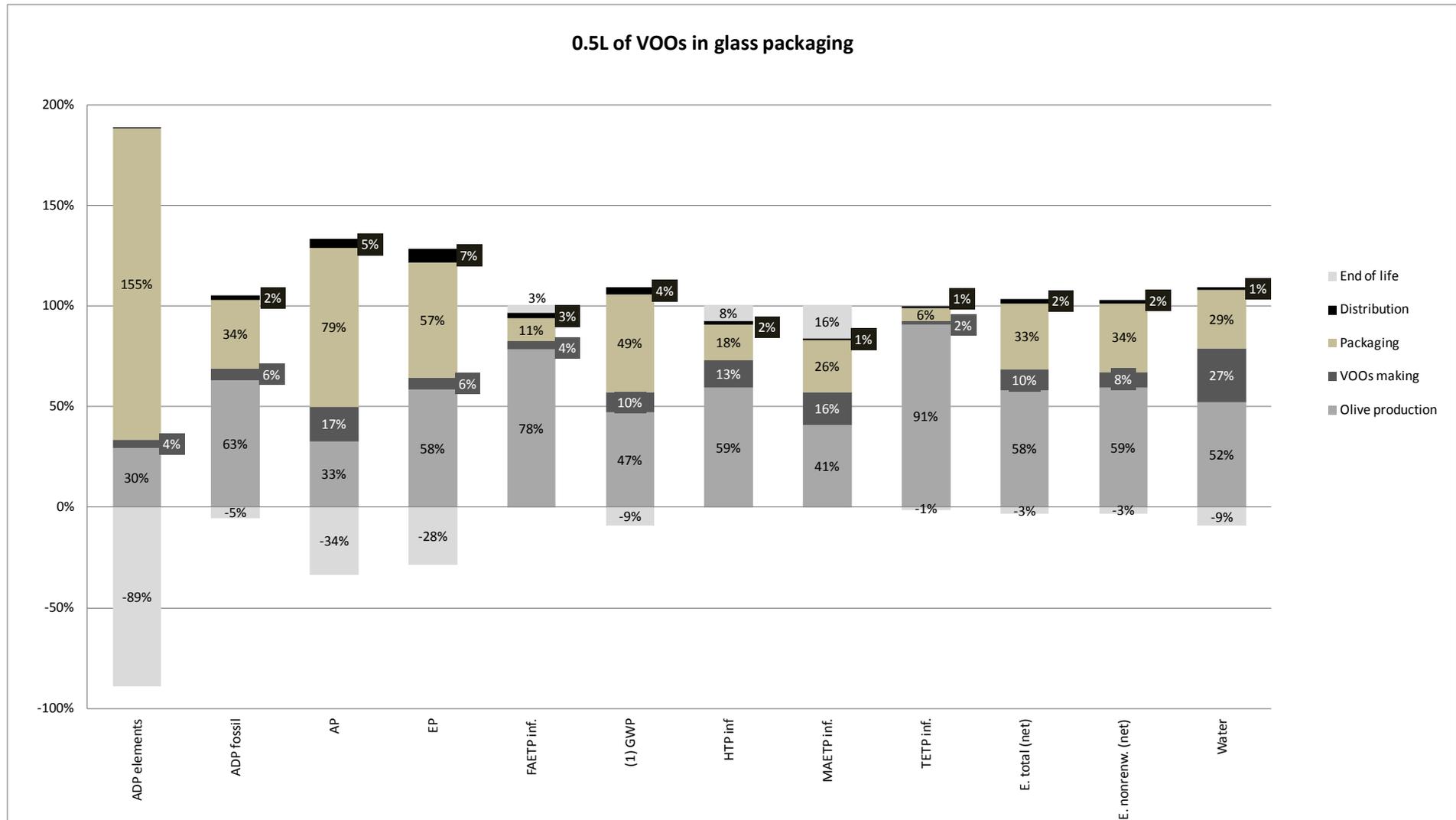


304

305

306

Fig. 2. Comparison of the environmental impact of three different types of 0.5 L bottles of VOOs. Glass packaging impact value adjusted always to 100%.



307

308

309

Fig. 3. Percentage contribution of 5 VOOs life cycle stages (olive production, VOOs making, packaging, distribution and end of life) in the different impact categories assessed. A 0.5 L glass bottle is considered.

310 *3.1.3. Assessment details.*

311 The results per life cycle stage shown in Table 7 are summarized in Table 8. Olive production is the
 312 most contributing stage for the 3 types of packaging under study, followed by the packaging stage.
 313 Within the olive production stage, the most contributing aspect in most of the impact categories is the
 314 production of the diesel used for agricultural works. Within the packaging stage, the most contributing
 315 aspect is the production of the packaging material and it is proportional to the packaging weight
 316 which, for image reasons, tends to be quite high in restaurants application, because weight and design
 317 is identified as a high quality factor. Finally, within the oil-making stage, the most contributing aspect
 318 is usually electricity production.

319 **Table 8.** Main detailed results.

Life cycle stage	Type of packaging	Impact categories
Olive production has the highest contribution to most impact categories	In all the 3 types of packaging	8 categories in Glass packaging 11 categories in PET packaging 11 categories in Tin packaging
Olive production stage has a similar value as the packaging stage	Glass packaging Tin packaging	<i>EP and GWP</i> <i>HTPinf.</i>
The packaging stage has a higher impact value in:	Glass packaging Tin packaging	<i>AP, ADPelements and GWP</i> <i>MAETPinf.</i>
End of life stage has usually a negative contribution to all categories (due to recycling) except:	Glass packaging	<i>FAETPinf.</i> , <i>HTPinf.</i> and <i>MAETPinf.</i>

320
 321 Table 9 was elaborated in order to more clearly visualize the environmental impact contribution of the
 322 packaging to the system by each type of packaging. It is very important to state that, in principle, the
 323 packaging function is to preserve the product; therefore, it is a component which makes the system's
 324 impact to diminish. However, this effect upon the product system is not the aim of this study to
 325 determine. We will only study the negative effects of the packaging participation.

326 First column of Table 9 offers the impact results for the product (without packaging; i.e., olive
 327 production, olive oil making & olive oil distribution) normalized to 100%. The rest of the columns
 328 present results for different types of packaging, by combining the three life cycle stages affected by the
 329 type of packaging: packaging production, packaging distribution and end-of-life. Thus, the packaging
 330 adds a percentage of impact to each impact category. This added impact is between 6% and 196% for
 331 glass packaging, between 4% and 38% for PET and between 2% and 174% for tin. As shown in Table

332 9, the added impact due to packaging is high in some impact categories. This is in accordance to our
 333 findings in the wine sector (Gazulla et al. 2010; Navarro, et al., 2017a; Navarro, et al., 2017b) and to
 334 other beverage and food products literature (Pattara, et al., 2012; Flanigan et al., 2013).

335 It has to be noted that the distribution stage has been split in two parts: product distribution (which was
 336 included in first column of Table 9) and packaging distribution (included in the impact contribution
 337 due to the type of packaging)..

338

339 **Table 9.** Additional impact (in %) due to different types of packaging in VOOs life-cycle results.

Environmental Impact Categories	Olive Production + VOOs Making+ VOOs distribution ^a [%]	GLASS (450g/bottle)	PET (26.3 g/bottle)	TIN (93 g/bottle)	GLASS (300g/bottle)	
					virgin	50% recycled
					Additional impact due to packaging type Packaging +Distribution ^b +End of Life [%]	
<i>Abiotic Depletion (ADP elements) [kg Sb-Equiv.]</i>	100	196%	11%	27%	87%	52%
<i>Abiotic Depletion (ADP fossil) [MJ]</i>	100	43%	14%	20%	29%	28%
<i>Acidification Potential (AP) [kg SO₂-Equiv.]</i>	100	91%	7%	42%	51%	42%
<i>Eutrophication Potential (EP) [kg Phosphate-Equiv.]</i>	100	47%	4%	14%	25%	19%
<i>Freshwater Aquatic Ecotoxicity Pot. (FAETP inf.) [kg DCB-Equiv.]</i>	100	19%	9%	13%	15%	16%
<i>(1)Global Warming Potential (GWP 100 years) [kg CO₂-Equiv.]</i>	100	70%	13%	45%	46%	43%
<i>Human Toxicity Potential (HTP inf.) [kg DCB-Equiv.]</i>	100	36%	8%	60%	26%	28%
<i>Marine Aquatic Ecotoxicity Pot. (MAETP inf.) [kg DCB-Equiv.]</i>	100	73%	10%	174%	55%	59%
<i>Terrestrial Ecotoxicity Potential (TETP inf.) [kg DCB-Equiv.]</i>	100	6%	38%	12%	4%	3%
Primary Energy [MJ]						
<i>E. total (net cal. value)</i>	100	44%	12%	19%	31%	30%
<i>E. nonrenewables (net cal. value)</i>	100	46%	13%	20%	32%	32%
Water Consumption [kg]						
<i>Water</i>	100	26%	11%	2%	17%	15%
Average of additional impact due to packaging		58%	13%	37%	35%	30%

(1) excluding biogenic carbon

^a VOOs Distribution, without packaging (500mL of VOOs alone).

^b Distribution, of empty container

340

341 As eco-design alternatives, the last two columns of Table 9 are calculated using 300 g per glass bottle
 342 instead of 450 g, which is the most widely used and last column uses 50% recycled glass in the bottle.

343 The comparison of the two different weights of glass bottle (450 g or 300 g) will be explained in the
 344 following section.

345 The most relevant conclusions taken from Table 9 are the following:

- 346 1. Glass and tin packaging are the ones adding more impact (average of 58% and 37%
347 respectively) to most of the impact categories, while PET adds about 13% of impact.
- 348 2. The added impact for glass packaging is more category dependent than for tin and PET.
- 349 3. Impact added by PET packaging is lower, mainly due to its lower weight.
- 350 4. It is important to keep in mind that the beneficial consequences of using packaging
351 (compared to distributing in bulk) to preserve the product are not studied here.

352

353 *3.1.4. Recommendations for packaging improvement*

354 According to our previous results, PET packaging seems to be the best option for VOOs, although to
355 preserve the quality of the product (VOOs) and to be attractive to consumers (by reflecting its status of
356 a high quality product) it is necessary to evaluate a set of other additional factors (quality preservation,
357 longer life, regulatory compliance, marketing, etc.).

358 Some published papers study the effect of certain factors related to the packaging in the VOOs quality
359 (Pristouri et al., 2010). Some of the studies developed a method to measure VOOs oxidation
360 (Kanavouras et al., 2004; Cecchi et al., 2006) or mathematical predictive models (Coutelieris et al.,
361 2006). Studies of different shape, colour (Guil et al., 2009; Rizzo, et al., 2014), and type of packaging
362 material (Méndez et al., 2007; Parenti, et al., 2010) and their effects on the time of storage have been
363 made. They conclude that light, oxygen, humidity and temperature (Tsimis et al., 2002; Sacchi, et al.,
364 2008) have a negative effect in the VOOs quality preservation and product deterioration occurs when
365 exposed to these conditions during storage. Therefore, dark colored materials are advisable as well as
366 materials with lowest or no transmission of particles by contact with the VOOs (ie. glass is the most
367 inert material in this case).

368 In relation to the market image, consumers tend to relate design, type of material and also weight as a
369 distinctive of higher quality. This is the reason why the glass bottle is usually the most generally used
370 for VOOs in the main consuming markets, except Spain (Linares et al., 2006), where the PET bottle is
371 the most commercialized.

372 In the case of glass packaging, some improvements could be made:

373 1. Avoiding luxury bottles with a high weight of material (see Figure 4).



Fig.4. Glass bottle of olive oil (500mL).
(Source⁴: Pérez Campos, 2017).

374

375 2. Reducing the weight of the glass bottle from the most common 450g per 500mL bottle to
376 lighter bottles weighting about 300g (see impact results decrease in Table 9). Table 10 shows
377 wide margins of glass-bottle weight that can be found in the market compared to the other
378 packaging materials. This bottle-weight-reduction trend has been followed by the wine and
379 cava markets (Navarro, et al., 2017a), in order to decrease their impact on climate change.

380 3. Using a higher percentage of recycled glass in VOOs glass-bottles (see last column in Table
381 9).

382

383 **Table 10.** Packaging weights (500mL) of different materials

Material	⁽¹⁾ PACKAGING WEIGHT [g]			Comments
	Range	Commonly used	Extreme values	
Glass	300 - 750	450, 460	260, 850	In the market, more variation of designs and weights
PET	24 - 26			Short variation (specially in weight)
Tin	62 - 98	83		Short variation

⁽¹⁾Data obtained from VOOs producers and packers and from experimentally weighting 10 samples of each type found in the market.

384

385

386 The minimum value of the usual range of glass-bottle weight (300 g/bottle) has been used to evaluate
387 again the environmental profile of VOOs life cycle and the main results are shown in last two columns
388 of Table 9. This 33% weight reduction is fairly influential. High impact reductions in packaging
389 influence (of 109% and 40%) were obtained in ADP elements and AP respectively, together with
390 lower reductions in other impact categories. Greater impact reductions would be obtained when
391 increasing the percentage of recycled glass used in the VOOs glass bottles (see last column of Table
392 9). Green and brown glass (the ones more suitable for VOOs bottles) are able to include around 50%
393 recycled glass, while white glass only accepts about 15% (Álvarez C., 2012). In this case, to calculate
394 the impacts avoided by the recycled glass obtained in the end-of-life stage, the hypothesis taken was

⁴ Vidrierías Pérez Campos: <http://www.perezcampos.es/botellas-de-aceite-oneros-500-oscura.html>

395 that the recycled glass would avoid the same percentages used for the production of the bottle (50%
396 virgin and 50% recycled glass).

397 *3.2. Environmental consequences of the Spanish regulation RD 895/2013*

398

399 *3.2.1. Goal and scope*

400 HORECA supply chain consumes about 11.3% of the global olive oil consumption in Spain (43.5% in
401 restaurants; 36.5% in bars; 14.1% in hotels and 5.9% in other establishments) (ADCA, 2009). The
402 intended application of this study is to assess the environmental consequences of the non-refillable-
403 VOOs-dispensers regulation affecting HORECA supply chain in Spain. The reason for carrying out
404 this study is, with a simple exercise, to show Spanish policy makers how LCA can be used to measure
405 the environmental consequences of their decisions (Fullana-i-Palmer et al., 2011). It is important to
406 notice that this regulation was deeply discussed at European level and submitted to public
407 consultation, but finally it was withdraw by the EU (due to rejection from consumers) and it was only
408 implemented in Spain (Telegraph, 2013).

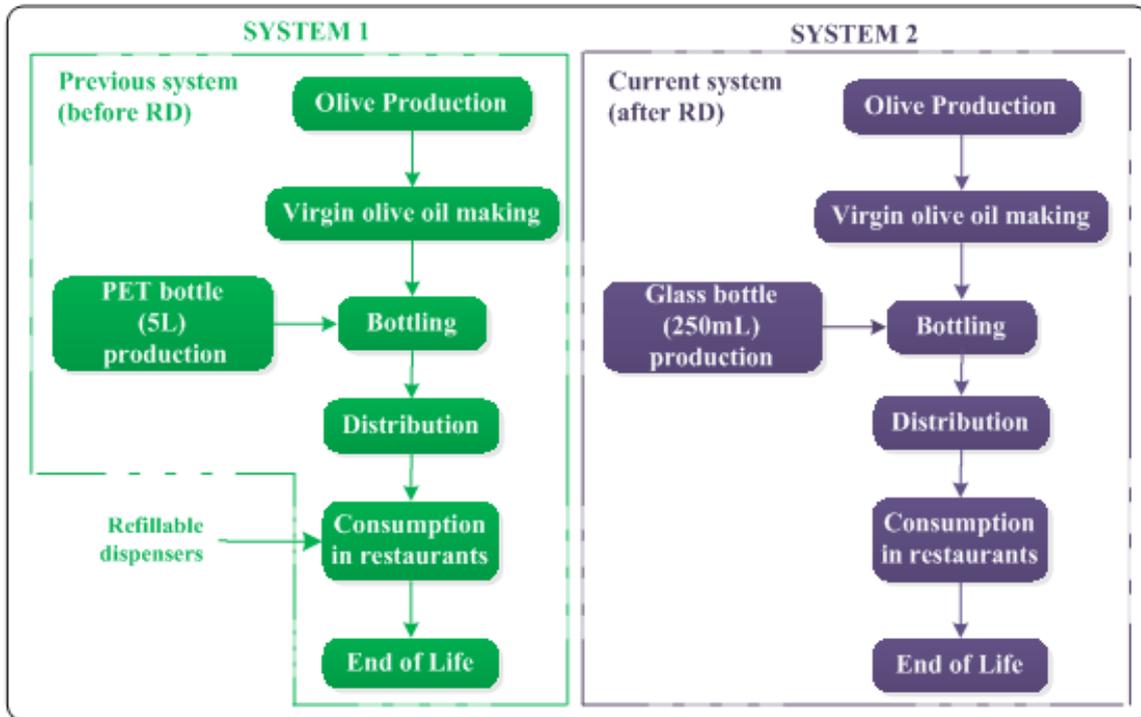
409 Figure 5 shows the two systems being compared and the life cycle stages included within the
410 boundaries: system 1, the original one, and system 2, the one after the regulation. The end-of-life stage
411 includes both recycling and landfill processes (percentages are shown in Table 7). The avoided
412 impacts due to the obtained amount of recycled material were also considered (following the same
413 hypothesis described in section 2.2 for the end-of-life stage).

414

415 The function of both systems was to deliver VOOs to the HORECA sector. The chosen functional unit
416 was: the total amount of VOOs consumed in restaurants in Spain during one year. As reference flow,
417 for System 2, being the first year of application of the regulation, the year 2014 consumption was
418 taken from Table 3, i.e., 56966854 L of VOOs. For system 1, as explained below, a 2% reduction was
419 applied, resulting in a reference flow of 55827517 L of VOOs.

420

421 One single impact category was used as environmental indicator. Global Warming Potential (GWP)
 422 was chosen as environmental indicator because it is the most widely used proxy nowadays (Bala et al,
 423 2010). No critical review was performed.
 424



425
 426 **Fig.5.** System boundaries of the two compared scenarios.

427
 428 *3.2.2. Inventory analysis*

429
 430 In order to get direct data from restaurants, a questionnaire was developed. Average results from
 431 questionnaires answered by 20 restaurants are presented in Table 11. The main conclusions from the
 432 questionnaires related to the RD are the following:

- 433 1. About 50% of restaurants agree with the regulation, stating that customers may then exactly
 434 know the quality of the VOOs consumed and that the consumption action is cleaner with this
 435 kind of packaging. The main drawback is the increase of costs (3 times more expensive) due
 436 to the consumption of higher quality VOOs and the use of more sophisticated packaging.
- 437 2. Some of the restaurants (about 35%) report that they don't follow the regulation. They are
 438 refilling the non-refillable VOOs bottles.

- 439 3. Some of those restaurants not happy with this regulation make special sauces by mixing
 440 VOOs with some herbs or they dress the courses in the kitchen, before serving them to the
 441 customer. This way, they avoid the use of non-refillable oilers on the table.
- 442 4. None of the restaurants reported a collection based on Deposit Refund System (DRS).
 443 Although 30% of glass packaging is not paying the “green dot” of the EPR collection system
 444 and should be adhered to a DRS, they use the EPR or the general waste containers.
- 445 5. All in all, it seems that the RD is not being properly followed and that some actors in the chain
 446 may be more affected than others. In order to have a better packaging design to fulfill the
 447 technical requirements as well as being more sustainable, an eco-design activity through a
 448 product panel where all actors participate may be a good solution (Watson et al., 2011).

449

450 **Table 11.** Summary of restaurant answers to the questionnaires

GENERAL INFORMATION		
Question	Results	Comments
Name of the restaurant	-	
Location	Spain	
Type of local (restaurant, snack bar, bistro bar)	restaurants 93%	
Type of service (snacks, menu, tapas, etc)	Menu: 93%	usually average
Capacity	79	average
Contact person	Owner or worker	
Are you registered in the HORECA supply chain? (yes/no)	20% Yes	
Do you collect separately the packaging for recycling? (yes/no)	75% Yes	
a. Do you throw the empty VOOs bottles to the street container for glass?	93%	Related to the % that answered yes in previous question (75%)
b. Or is your distributor who picks up your empty VOOs bottles?	none	Although 30% has no green dot!
SPECIFIC INFORMATION OF PACKAGING PER YEAR		
Question	Results	Comments
AFTER the use non-refillable oilers		
Type of packaging used as dispenser of VOOs:		
Material	98% Glass	The rest (2%) uses PET
Capacity [L]	78% 0.250 L	The rest (22%) uses a 0.5 L bottle capacity
Expenditure (€/ bottle)	Average: 3.7 (0.250 L)	Range: 1.30-7.50
How much more VOOs do you use now? (estimated %)	Average: 3%	Range: 1-10%
Does the packaging have the “green dot”?	70% Yes	
BEFORE the use non-refillable oilers		
Type of packaging used as dispenser of VOOs:		
Material	100% PET	
Capacity [L]	90% of 5 L	
Expenditure (€/ bottle)	Average: 25 (5 L)	

451

452

453

454 The inventory data needed for the study was:

- 455 - The VOOs consumption for both systems (system 2 has an increase of VOOs consumption
- 456 due to the amount of product disposed with the packaging).
- 457 - The type and amount of the packaging used (system 2 uses 250 mL glass-bottles and system 1
- 458 5 L PET-bottles).
- 459 - Distribution average distance of 565 km (see Table 6) was considered.

460

461 Results from questionnaires (Table 12) were used in those calculations, together with the total amount
 462 of VOOs consumed in Spanish hospitality sector in 2014 (see Table 3).

463

464 To estimate the increase of VOOs consumption after the application of the regulation (system 2), 15
 465 discarded VOOs-bottles were collected in 15 restaurants and the remaining VOOs weight to be
 466 discarded with the packaging was measured. The result was that an average of 2% of the VOOs
 467 content was going to be discarded (percentage slightly lower than the average 3% obtained from the
 468 questionnaires). A 2% decrease in system 1, compared to system 2, was considered in the calculations
 469 (for VOOs consumption), because the amount of VOOs discarded with 5L PET bottles was negligible.

470 The inventory data used in the study is shown in Table 12.

471

472 **Table 12.** Inventory data used to calculate the carbon footprint of VOOs consumption in restaurants before and
 473 after RD 895/2013 regulation.

Aspects	Data per 1 year (VOOs consumed in Spanish restaurants)		
	System 1 Before RD	System 2 After RD	Comments
Reference flow: Total consumption VOOs [L]	(2)55 849 857	(1)56 966 854	2% of VOOs is over-consumed after RD application compared with before, due to VOOs which remains in the bottom of the bottles when they are discarded.
Number of glass bottles (250 mL) per year		227 867 416	Total consumption VOOs (after RD) / 250mL
Number of PET bottles (5 L) per year	11 169 971		Total consumption VOOs (before RD) / 5L
Weight of a glass bottle (250 mL) [g]		300	Average among most common bottles (from 10 samples)
Weight of a PET bottle (5 L) [g]	207		Average among most common bottles (from 10 samples)

(1) Value obtained from available information of 2014 (see Table 3)
 (2) Value estimated by applying a 2% reduction in total VOOs consumption of system 2. This value (2%) was obtained experimentally: by weighting the VOOs remaining in the bottle to be discarded (calculated from a sample 15 VOOs non-refillable glass dispensers)

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477

478 3.2.3. Environmental impact results

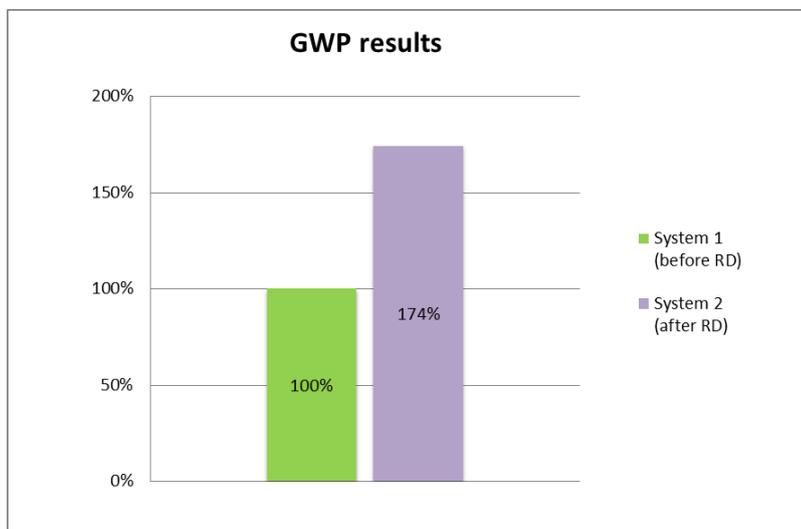
479 GWP environmental impact was assessed by using inventory data shown in Table 12. Emission factors
480 for the production of VOOs and for the production of glass and PET bottles were taken from the
481 present study and from databases (Thinkstep, 2015).

482

483 Results show a 74% increase of the carbon footprint of system 2 (after RD) compared with System 1
484 (before RD) (see Figure 6), from 6.20E+07 kg of CO₂-eq before RD (system 1) to 10.8E+07 kg of
485 CO₂-eq after RD (system 2). This 46000 t CO₂-eq increase along the system's life cycle is equivalent
486 to the 0.014% of the Spanish annual direct CO₂-eq emissions (MAPAMA, 2016) (329E+06 brut
487 tonnes CO₂eq, excluding land use, land use change and forestry) or equivalent to 0.0155% of
488 297.5E06 net tonnes of CO₂eq (including land use, land use change and forestry). Being only related
489 to such a small sector of activity, the contribution seems to be quite relevant.

490

491



492

493

494

Fig.6. GWP (carbon footprint) results of both systems compared (results in %).

495 These results are based on the assumptions made to calculate: the consumption of VOOs per year in
496 hospitality sector; the percentage (%) of VOOs remaining in the current glass-bottle packaging and the
497 amount of packaging used and their weight. Therefore, results obtained have some uncertainties due
498 to lack of specific data, because restaurants are not commonly used to measure and report, among
499 others, the amount of VOOs consumed and the packaging discarded to the waste collection system.

500 Nevertheless, We think that, in spite of the uncertainties, the results show a clear increase of the
501 impact to be taken into account for future improvements.

502 It is strongly recommended to perform life cycle assessments (at least simplified studies, like the
503 present one) before implementing any type of regulation at national and international level, to prevent
504 unwanted environmental consequences.

505

506 **4. Conclusions**

507 Packaging has an important contribution in the life cycle of VOOs, being from 2% to 196% of the
508 environmental impact of the product, depending on the impact category considered and the type of
509 packaging. The type of packaging used affects three life cycle stages: bottling (where the production
510 of the bottle is considered), distribution (influenced by the weight and robustness of the bottles) and
511 end-of-life (where the recycling rate is important). The contribution of the 3 main types of primary
512 packaging (glass, PET and tin) within the whole VOOs life cycle is presented for the first time in the
513 literature.

514 Glass bottles are the option which produces the highest impacts, shortly followed by tin (with the
515 hypothesis of the present study: 450 g per glass bottle, 26.3 g per PET bottle and 93 g per tin bottle; all
516 bottle capacities being of 500 mL).

517 Glass bottles are the most commonly used because of several reasons related to real and perceived
518 quality by the customers: elegance, purity, preservation, design, cleanness, etc. These properties have
519 not been included in the functional unit of the study. Being used because of this differentiation, in
520 order to reduce the environmental impact of using glass bottles for VOOs, a reduction of the bottle
521 weight is suggested (at least to 300 g/bottle), together with the use of a higher percentage of recycled
522 glass.

523

524

525 The Spanish regulation for public establishments (RD 895/2013), related to the mandatory use of non-
526 refillable-oilers, has caused a significant 74% increase of environmental impact (measured as CO2-eq
527 emissions), due to the higher amount of packaging required and to the quantity of VOOs discarded

528 with the packaging at the end of its life. In spite of the uncertainties of these results (due to lack of
529 more accurate data), the environmental consequences of this regulation (which are evaluated and
530 published for the first time) need to be taken into account for ecodesign improvements of the
531 packaging. Nevertheless, these results are a first estimation and further research would be needed to
532 have more accurate results to be used at political decision making level.

533
534 Another consequence of this regulation was the increase of price per service (3 times higher, estimated
535 in the present study, and 7 times higher, according to the hospitality sector ([InfoHoreca, 2016](#))).
536 Because of this, some restaurants are trying to avoid this regulation by using additive ingredients
537 (herbs, garlic, etc.) mixed with the VOOs to make especial sauces, or by dressing the courses in the
538 kitchen, or even refilling the un-refillable dispensers (in lower quality restaurants). Only about 50% of
539 the restaurants answering a questionnaire agreed that the regulation was a wise choice because the
540 product is cleaner and shows the exact quality of the VOOs used. It is clear from our point of view that
541 before implementing a regulation (which, by the way, had been previously rejected at EU level) a fair
542 estimation of environmental and social consequences, like the ones discussed here, should be studied
543 so that recommendations to reduce its bad effects could be included in the text of this regulation.

544
545

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549 The authors are responsible for the choice and presentation of information contained in this paper as
550 well as for the opinions expressed therein, which are not necessarily those of UNESCO and do not
551 commit this Organization.

552
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554

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