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# A NOVEL PLANNING APPROACH FOR THE WATER, SANITATION AND HYGIENE (WaSH) SECTOR: THE USE OF OBJECT-ORIENTED BAYESIAN NETWORKS

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# Abstract

Conventional approaches to design and plan water, sanitation, and hygiene (WaSH) interventions are not suitable for capturing the increasing complexity of the context in which these services are delivered. Multidimensional tools are needed to unravel the links between access to basic services and the socio-economic drivers of poverty. This paper applies an object-oriented Bayesian network to reflect the main issues that determine access to WaSH services. A national Program in Kenya has been analyzed as initial case study. The main findings suggest that the proposed approach is able to accommodate local conditions and to represent an accurate reflection of the complexities of WaSH issues, incorporating the uncertainty intrinsic to service delivery processes. Results indicate those areas in which policy makers should prioritize efforts and resources. Similarly, the study shows the effects of sector interventions, as well as the foreseen impact of various scenarios related to the national Program.

# Keywords

Water, sanitation, and hygiene (WaSH); WaSH poverty index; object oriented Bayesian Network; Planning; Kenya

# **1 INTRODUCTION**

Safe drinking water, adequate sanitation, and hygiene (WaSH) are pillars of human health and well-being. In consequence, the 2030 Agenda for Sustainable Development, adopted in September 2015, has water and sanitation at its core (United Nations General Assembly, 2015). The Sustainable Development Goal dedicated to water (SDG 6) will represent a huge challenge for many countries, and it will be the driving force to shape ambitious policies on which to base the development of sector strategies and national Programs. It will be essential to promote efficient and sound decision making when designing interventions for accelerating progress towards universal access to these basic services. Appropriate tools will thus be needed to plan service delivery, to measure performance, and to influence resource allocation (Cohen and Sullivan, 2010; Giné Garriga et al., 2015).

To date, there have been numerous approaches to provide a coherent strategic planning framework. In particular, efforts have been directed at addressing specific problems that range from improving the availability of reliable information, to improving access to information through data analysis, interpretation, and dissemination, and to encouraging the use of this information in decision-making processes (Giné Garriga, 2015). For instance, various methods are in place to collect WaSH primary data (Giné Garriga et al., 2013; United Nations Children's Fund, 2006; WaterAid and ODI, 2005). Furthermore, the sector has witnessed the development of a variety of conceptual frameworks to monitor service delivery, albeit from different perspectives (Cohen and Sullivan, 2010; Flores Baquero et al., 2013; Giné Garriga and Pérez Foguet, 2013a; Luh et al., 2013; Sullivan et al., 2003). Significant attempts have also been made to provide decision-makers with reliable information to support planning, targeting, and prioritization, particularly in decentralized contexts (Ghosh and Rao, 1994; Giné Garriga et al., 2015).

Despite the likely use of previous approaches to inform planning and decision-making processes, these suffer from a number of common weaknesses. First, indicators and aggregated indices tend to induce a somewhat narrow, issue-centered perspective on the service provision, which is not conducive to a good understanding of the complex cause and effect relations within WaSH variables. For instance, the direct link between "distance to the water source (access to water) – water consumption (use of water) – handwashing behavior (personal hygiene)" is rarely captured through an indicator-based approach. There is thus a need to adopt a conceptual model that allows indicators to be relevant for multiple causal chains and to incorporate the existing human behavior - service provision - environment interactions. Second, an adequate approach for targeting and prioritization would be to consider the proportion p of households verifying a given variable (e.g., access to improved sanitation) with its respective confidence interval (Giné Garriga et al., 2015). In composite indices, however, priority ranks and league

tables are typically based on a measure of central tendency, which is rarely contrasted with the dispersion or variability of the population distribution (Giné Garriga and Pérez Foguet, 2013a; Sullivan et al., 2003; United Nations Development Programme, 2015). This jeopardizes an adequate identification of the neediest and most vulnerable populations (Flores Baquero et al., 2016; Giné Garriga et al., 2015). Third, representing a range of scenarios and assessing their potential impact may be a desirable feature of any planning tool (Bromley et al., 2005; Castelletti and Soncini-Sessa, 2007a, 2007b). Yet, impact assessment of different scenarios is not straightforward in an index framework, as cause and effect relationships between policy initiatives, remedial actions and their impact are not correctly integrated.

Against this background, this paper exploits the flexibility of Bayesian networks (BNs) to simultaneously exploit multiple cause-effect relationships and to unravel the links between poverty and WaSH services. In doing so, we seek to produce a valuable planning tool that takes into account the process uncertainties and guides decision-makers in evaluating decision options against multiple criteria and in choosing the most appropriate actions.

First, a WASH-focused approach is adopted through a multidimensional estimate, the WaSH Poverty Index (WaSH PI), which is taken as a starting point to define the conceptual framework. This index was proposed in a previous study by us (Giné Garriga and Pérez Foguet, 2013a), to integrate in measurement the socio-economic, physical, environmental, and institutional drivers that affect the sustainable access and use of water and sanitation services. Its theoretical foundations build on a combination of three composites that separately report on different service levels for drinking water, sanitation, and hygiene. The water-related index is founded on the Water Poverty Index (WPI) framework from Sullivan (2002) and Sullivan et al. (2003) and tackles the priority water-related challenges in low-income settings: availability of water (Resources, R<sub>WPI</sub>), access to water (Access, A<sub>WPI</sub>), capacity for sustaining access (Capacity,  $C_{WPI}$ ), and ways in which water is used for different purposes (Use,  $U_{WPI}$ ). The Sanitation Poverty Index (SPI) aims to assess whether or not people use basic sanitation, and not the mere existence of infrastructure. Therefore, SPI not only gauges the extent of access to sanitation, both in terms of accessibility and affordability (Access, A<sub>SPI</sub>), but also assesses people's ability to construct and repair latrines (Capacity, C<sub>SPI</sub>), and includes those hygienic factors that enable a continued use of the facility (Use, U<sub>SPI</sub>). The Hygiene Poverty Index (HPI) is measured by the aggregation of four different components (Webb et al., 2006), each one representing a different transmission route by which oral-fecal contamination may occur: drinking water ( $DW_{HPI}$ ), food ( $F_{HPI}$ ), personal hygiene ( $PH_{HPI}$ ), and domestic household hygiene  $(DH_{HPI})$ .

On the basis of the WaSH PI concept, an object-oriented Bayesian network (OOBN) model is then developed to identify the key determinants of sustainable access to water, sanitation and hygiene. Bayesian network (BNs) are graphically structured and thus exploit the duality between an interaction graph and a probability model based on Bayes' rule (Castelletti and Soncini-Sessa, 2007a). The graphical structure provides a visual representation of the logical relationship between variables, while conditional probabilities quantify this relationship and are thus required to fully run the network (Bromley, 2005). BNs are made up of three different elements: i) a series of nodes representing a set of variables relevant to the problem at hand, ii) the links between these variables that express cause-effect relationships among them, and iii) the conditional probability tables (CPTs) behind each node that are used to assess the extent to which one variable is likely to be affected by the others (Bromley, 2005; Cain, 2001). The BN approach is useful for incorporating data and knowledge from different sources and domains, including the economic, social, physical, and environmental (Bromley et al., 2005; Castelletti and Soncini-Sessa, 2007a; Henriksen and Barlebo, 2008); this key characteristic makes BNs particularly suited for monitoring WaSH services in an interdisciplinary, holistic way (Alok, 2002; Fisher et al., 2015). Similarly, this technique has gained a reputation of being helpful for simulating complex problems that involve uncertain knowledge (Henriksen et al., 2007). In the field of water resources, where many variables are highly interlinked and uncertainty plays a key role (Bromley et al., 2005; Castelletti and Soncini-Sessa, 2007a), BNs have been increasingly applied as an aid to decision making (Bromley et al., 2005; Castelletti and Soncini-Sessa, 2007a; Henriksen and Barlebo, 2008; Molina et al., 2013, 2009). One weakness of conventional BNs, however, is that they are unable to receive or transmit information from outside the system (Molina et al., 2010). Alternatively, an OOBN model offers a suitable framework that allows different networks to be linked together. OOBNs are therefore appropriate for use as organizational applications, which is the focus of this research (Molina et al., 2013).

Here we present a case study from Kenya, on a national program that the Kenya government launched in 2010 (hereafter referred to as the "program" or the "intervention") to improve the access to safe drinking water, adequate sanitation infrastructure, and hygiene for the rural population. In light of its implementation, we first adopt the WaSH PI conceptual framework, due to its ability to integrate all relevant WaSH issues. We then apply an OOBN model as a management tool to support planning and decision making. With this study, we aim to judge the validity and relevance of this approach to assist planners and managers in i) capturing a comprehensive picture of the key elements that determine access to WaSH and their interlinkages, ii) making rational and informed choices between alternative actions, and iii) estimating the impact of these choices on key WaSH variables.

The article is structured as follows: Section 2 describes the methods and the process of OOBN construction and presents the case study; Section 3 presents and discusses the achieved results

and the network system, simulates two different scenarios to predict the impact of the program, and gives various recommendations for improving design, planning, and implementation of the intervention; and Section 4 highlights the major findings and conclusions of the study.

# 2 METHODOLOGY

This section deals with the construction stages of the decision support system (DSS) based on an OOBN. To this end, a commercial software package produced by HUGIN® (v7.2) was used in this study. The first stage defines the problem and describes the transition process from an index to a network system. The second stage names the set of variables and identifies their interlinkages, to illustrate the context in which WaSH services are delivered. The third stage processes data from all available information sources. The fourth stage assigns the states for all variables, and then constructs the conditional probability tables. Finally, the fifth stage validates the model and its outcomes.

### 2.1 Study Area Introduction

In Kenya, a large proportion of the population does not have access to safe water and sanitation facilities. According to the last official statistics (Joint Monitoring Programme, 2015), about two-thirds of the population (63%) has improved sources of drinking water, and only 30% has access to adequate sanitation facilities. Overall, the situation in rural areas is similar to the national average (of 57% and 30% respectively), but regional disparities are remarkable, and a large number of rural districts do not reach these coverage ratios. Water- and sanitation-related diseases significantly contribute to high mortality of children under five, with a rate of 74 per 1,000 children, with diarrheal diseases accounting for about 20% of cases in high-risk areas (Kenya National Bureau of Statistics [KNBS] and ORC Macro, 2010).

In 2010, within this high-risk environment, the government of Kenya in collaboration with UNICEF launched an initiative to increase access to safe drinking water and sanitation, and identified through a consultative process the vulnerable populations living in rural areas (United Nations Children's Fund and Government of Kenya, 2006). The Program targeted these populations, which are found in the pastoral arid and semi-arid districts of Isiolo, Wajir, Garissa, Mandera, West Pokot, and Turkana; in the Lake Basin Districts of Busia, Kisumu, Siaya, Bondo, Rachuonyo, and Nyando; in the Coastal district of Kwale and Tana River; in the Eastern province districts of Mwingi, Marsabit, and Kitui; in the Rift Valley province districts of Kajiado, Uasin Gishu, and Molo; and in the Kieni district of Central province. These populations (21 rural districts) were selected as initial case study to test the validity of an OOBN approach as a policy instrument to support planning.

# 2.2 Stages of network construction

The step-by-step process, illustrated in Fig. 1, is described as follows:

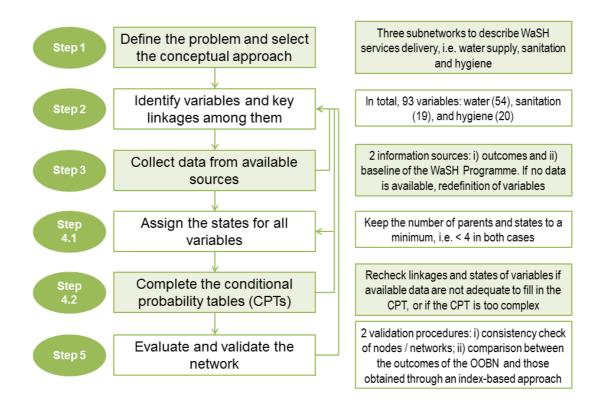


Figure 1 The process of network construction

- i. *Define the problem* and *select the conceptual approach*. The network system is constructed as a policy tool to inform planning and support targeting and prioritization. Specifically, it seeks to better understand the mid- and long-term impact of the program, as a necessary step to formulate concrete recommendations for improved planning and implementation. In this study, the BN was divided into three subnetworks to represent the three thematic indices of the WaSH PI and their components: the water supply, sanitation, and hygiene subnetworks. To promote correct linking of specific nodes from these different subnetworks with outside networks, specific feature of the OOBN model were exploited; that is, information was transferred from one network to the other through instance nodes. These nodes represent an "instance" of another network, and are thus employed to import (input node) or export (output node) information within different networks.
- Define the variables relevant to the problem and identify key linkages among them. In total,
  93 variables were identified and classified based on their nature (see Table 1 and Tables S1-S4 of Supplementary Materials):
  - "Objectives" are those variables that the program aims to improve (Figs. 3-6; indicated

in green). As for the index structure, these variables correspond with the components of each thematic index and the indices themselves (e.g., the WPI and its four components:  $R_{WPI}$ ,  $A_{WPI}$ ,  $C_{WPI}$ , and  $U_{WPI}$ );

- "Interventions" are all the actions implemented by the program to achieve these objectives (in beige). They constitute a clear comparative advantage of a network system in terms of planning, as defining and simulating a variety of scenarios through these nodes is straightforward;
- "Intermediate Factors" are all the elements that link "Objectives" and "Interventions".
   They may be i) context-based, e.g., time to fetch water, which may vary from one district to the other (in orange), or ii) object-oriented and relational, which are typically based on standard cause-effect relationships (in blue); and
- "Controlling Factors" (in yellow) are other variables that somehow influence the system but that cannot be controlled (e.g., wealth index).

		Category of variables					
Sub- network	No. of variables	Objective	Intervention	Intermediate factor - relational	Intermediate factor - context	Controlling factor	
Water	54	4	12	15	22	1	
Sanitation	19 (+1)	3	4	4 (+1)	7	1	
Hygiene	20 (+2)	4 (+1)	5	4 (+1)	6	1	
Total	93	11	21	23	35	3	

Table 1 Classification of variables at sub-network level

The number of input nodes is indicated in parentheses.

Another critical issue in OOBNs development is to make the inter-relation of variables a central part of the selection process. This is an issue of not only identifying reliable variables but also linking them through appropriate cause-effect relationships.

iii. Collect data for populating the conditional probability tables that lie behind the variables. A key part of the process is to make sure that the CPTs constructed for each variable are based on the best information available, sometimes despite being imperfect or not wholly reliable. A strength of BNs is their ability to integrate different type of data, but of course the less reliable the information, the more uncertain the result and the wider the distribution of probabilities (Bromley, 2005). In this study, the data used were obtained from two major information sources: i) the "intervention" nodes used data available in the main report of the Programme of Cooperation "Acceleration of Water Supply and Sanitation towards Reaching Kenya's Millennium Development Goals (2006 - 2011)" and its annexes (United Nations Children's Fund and Government of Kenya, 2006); and ii) data used to construct

the CPTs of the context-based "intermediate" nodes and the controlling factors were obtained from the baseline database of the program. In all recipient districts, a household-based survey was conducted in parallel to field inspections of a reduced number of water points. In every visited household, service level was captured through a structured questionnaire administered to primary caregivers and direct observation. Data at the water point were collected using a standardized checklist to cover management and operational aspects (Giné Garriga and Pérez Foguet, 2013b). In addition, expert opinion was consulted to inform those nodes for which data was limited or unreliable.

- iv. Define the states for all variables and complete the conditional probability tables (CPTs). Once the variables have been defined and grouped, there is a need to define their states and quantify their relationships in terms of probabilities. States of variables are typically described in one of four ways: as labels (qualitative information), discrete numbers, intervals, or a Boolean format (Bromley, 2005). Statistically speaking, the first three relate to a categorical variable, i.e. each can take one of a limited, and usually fixed, number of possible values, while the latter corresponds to a binary variable, i.e. it can take only two possible values. The complexity and size of the CPTs depend on the number of parents and the number of states of the respective variable (Cain, 2001). Indeed, constructing a CPT requires an adequate understanding of the existing causal relations and the impact of changing the states of one node on all variables linked to it. It is therefore advisable to construct the network with a limited number of parents and states; in this way, the CPTs become much more manageable. In this study, the number of parents and states were kept to a minimum, with not more than four in any case. The resulting CPTs have been populated by three different mechanisms:
  - Converting the program outcomes into input data for the "intervention" nodes. For instance, by computing the number of people covered with new infrastructure (input data in the node named "Construction of water points") based on the number of water points constructed by the program (outcome).
  - Processing data contained in the baseline database in the form of a contingency table. Information can be either quantitative or qualitative. In both cases, data are summarized as counts of events happening or counts of values that occur within given intervals. The contingency table displays the frequency distribution of the variables in a matrix format. For instance, in the node termed "Water consumption rate", one could easily count the number of households for any given rate of water consumption on the basis of the distance to the nearest water source from the dwelling.
  - Using stakeholder knowledge and expert opinion when data that link two variables are scarce or non-existent, or when the link is difficult to quantify. For example, one might

be concerned about the influence of water tariffs on affordability issues and willingness to pay. In these cases, 'expert opinion' may be used to reduce the difficulties faced when populating this CPT, as baseline data was not available. Alternatively, one may opt to define a mathematical expression to quantify the probabilistic dependency between two or more variables. For instance, one may determine that access to improved water points results from multiplying the sum of the available water points and those constructed or rehabilitated by the program by the standard functionality rate given in a specific area. Of particular interest in this regard is the construction of the "objective" nodes, as they ultimately determine the level of water, sanitation, and hygiene-related poverty. As proposed by Giné-Garriga and Pérez-Foguet in previous studies (2010; 2013a), a weighted multiplicative function was used:

$$WPI = \prod_{i=R,A,C,U} X_i^{w_i} \qquad SPI = \prod_{i=A,C,U} X_i^{w_i} \qquad HPI = \prod_{i=DW,PH,F,DH} X_i^{w_i}$$

where WPI / SPI / HPI relate to the "objective" nodes,  $X_i$  refers to parent node i within the network structure, and  $w_i$  is the weight applied to that parent node (equal weights were used in this study).

v. Evaluate and validation the network, once the system design and data entry is complete. When the DSS has been compiled and the probability distributions of all variables change according to the scenarios that were set, there are few steps that may help check network consistency. This can be done by noting the impact of each implementation variable one-by-one. If, for instance, the variable "Construction of latrines" is changed to represent that the intervention is in place, the "SPI Access" variable should show an increase. If this does not occur, and if no other variables have an impact, it is likely the values in the CPTs are wrong and need to be re-examined. Alternatively, one may confirm that the final results are coherent and coincide with the current known situation. In this study, the outcomes of the network -that is, the probability distribution of the "objective" nodes- were compared with the results obtained in a complementary study, in which WaSH PI values are computed through an index-based approach with the same conceptual background and data set (Giné Garriga and Pérez Foguet, 2013a).

# **3** RESULTS AND DISCUSSION

This section first describes the network system, showing its ability to accurately accommodate the key issues of WaSH services delivery. Second, it shows the validation of OOBN by comparing the results obtained from the model and an index-based approach. Third, two different scenarios are simulated to better understand the potential impacts of actions envisaged by the program: the "Business as usual" scenario (BAU) (the current situation) is compared with a scenario defined by the program outcomes.

### 3.1 DSS Development

This section describes the networks developed to represent the context in which water, sanitation, and hygiene services are delivered. Within the WaSH PI framework, each service is conceptualized through a thematic index (WPI, SPI, and HPI) and graphically depicted in a separate subnetwork. For clarity purposes, however, the water network develops the "capacity" component ( $C_{WPI}$ ) as a distinct subnetwork. All four subnetworks –water, water capacity, sanitation, and hygiene- are integrated and linked through an OOBN master network (Fig. 2). Specifically, information is transferred from one network to another through output and input nodes. All "objective" nodes appear as system outputs, which are then grouped in additional networks to compute the final WPI, SPI, and HPI values.

The master network therefore describes the overall behavior of the system. From Fig. 2, it can be seen that any refinement in a variable of any sub-network results in a chain reaction that impacts all the linked variables, thereby affecting the outputs of the whole system. Thus, a major advantage of this tool is that it can easily predict the potential impact of a number of interventions on all interrelated factors; therefore, identifying which action, or combination of actions, will produce the desired results appears straightforward.

A broad outline of each subnetwork follows, and the meaning of each individual variable (node) is provided in Tables S1 to S4.

# 3.1.1 The water network

The water network seeks to provide a suitable framework to assess water and poverty linkages. It encompasses the key issues included in the WPI, as defined by Sullivan et al (2003): water resources availability (WPI RESOURCES) and people's ability to get and sustain access to water (WPI ACCESS and WPI CAPACITY, respectively) and to use this resource for productive purposes (WPI USE).

The 'Resources' component seeks to assess availability of water resources. As shown in Fig. 3 (and detailed in Tables S1 and S2), this is based in the context of diminishing water availability as a result of inadequate protection of water resources on the supply side, and increasing use of water as a function of population growth and local livelihoods on the demand side. In this respect, a set of variables determine resource availability as a balance between water quantity (node code W\_IF\_16 – Table S1), water use (W\_IF\_17), and water quality (W\_IF\_22). The seasonal variability of water resources is another factor that has been taken into account (W IF 20). Lack of relevant data may hinder the assessment of these variables, particularly at

the local scale, since hydrogeological data are limited and groundwater recharges are largely unknown. Information sources employed to construct these nodes were qualitative, and, for instance, reports of conflicts over water sources (W\_IF\_17) are included as a variable to assess competition between different water uses. Though not rigorous, these data represent the best estimate available. The program emphasizes the need to improve the supply-side by implementing regular water quality surveillance initiatives (W\_IN\_05). Complementary to this supply-side focus, it embraces demand-side management by promoting multiple use water services to meet people's multifaceted water-related needs, while at the same time maintaining a healthy environment (W IN 04).

The water network also assesses whether or not people have ready access to a water source, as this may limit the quantity of suitable water that is available to a household for domestic purposes. A set of variables are first used to determine service continuity (W IF 07) as the proportion of time that a water source is functional and accessible for use. Accessibility may be also hindered by the ability of households to pay for water (W IF 15). In case of unaffordable expenses, the poor might be forced to collect water from unprotected sources (when available) or to manage with minimum amounts at other times. No data was however collected on household water expenditure, and these nodes were assessed through three different alternative indicators: i) a wealth index, as a 'proxy' measure of household's wealth (W CF 01), ii) user's perception of the cost of water (W IF 14), and iii) the existence of tariff exemptions for vulnerable people (W IF 13). Equally important, non-functionality may be an obstacle to continued access to water supply (W IF 09), as interrupted services oblige households to search for alternative sources, often of inferior availability and poorer quality. Recent studies suggest that one third of rural boreholes with handpumps in sub-Saharan Africa are nonfunctional (RWSN, 2012). The program consequently focuses on both the construction of new water points (W IN 03) and on the rehabilitation of existing ones (W IN 02).

The long-term functionality of water schemes is directly linked to the suitability of the institutional framework in charge of maintaining and operating the services. Fig. 4 presents all key variables that determine to what extent the capacities of local stakeholders are to be increased throughout the program. A first group of nodes focuses on the institutional framework required to properly manage the services. The Water Act (2002) provides for a decentralized structure to deliver water services, in which local Water Service Providers (WSPs) are responsible for operating and managing water supplies. A large challenge nonetheless lies within the capacity of these institutions to perform as expected and to lead in revitalizing the water sector. Thus, emphasis was placed on training and capacity building (WC\_IN\_03) and institutional support from government and non-government organizations (WC\_IN\_02). Integral to this set of variables, an assessment of the financing strategies appears essential (WC IF 09),

to understand which mechanisms are in place for revenue collection that contribute towards the cost of running the water supply. Another group of variables determines the status of the supply of equipment and spare parts in the local markets (WC\_IN\_07), as well as skills and capacities in the private sector (WC\_IN\_05). Both aspects are required to properly maintain the facilities once the intervention is completed.

Finally, the network aims to capture the use communities make of the water for domestic purposes (W\_IF\_03). In particular, research has shown that those who require more than 30 minutes per round trip progressively collect less water (Cairneross and Feachem, 1993), and a set of variables are used to determine the reduction of time invested in securing water after the program completion (W IF 02).

### 3.1.2 The sanitation network

The 'Sanitation' network aims to represent all key variables that determine whether or not people have sustained access to improved sanitation, which entails easy access to sanitation infrastructure (SPI ACCESS), a continued use of the toilet facility (SPI USE), and the ability to fix and repair it in case of a breakdown (SPI CAPACITY).

Indeed, a clear distinction needs to be made between access to and use of the facility. In Fig. 5 (and detailed in Table S3), a first set of nodes seeks to assess accessibility issues, in terms of physical access (node code S\_IF\_06) and affordability (S\_IF\_04). The sanitation facility should be located within, or in the immediate vicinity of, each household, in order to ensure minimal risks to the physical security of users (S\_IF\_05). And access to sanitation facilities and services should be available at a price that is affordable for all, without limiting their capacity to acquire other basic goods and services.

To foster sustained use of sanitation, an improved facility (with associated services) should be available when needed, where the definition of "improved" is technology-based (S\_IF\_01), as proposed by the WHO / UNICEF Joint Monitoring Programme (JMP) (Joint Monitoring Programme, 2008, 2006). In addition, sanitation facilities must be hygienically safe to use, since lack of latrine maintenance may not only constrain a continued use of the infrastructure, but also result in a focus of disease transmission (Exley et al., 2015). The sanitary condition of latrines (S\_IF\_11) is evaluated through three different proxies: i) inside cleanliness (S\_IF\_10), ii) presence of insects (S\_IF\_07), and iii) smell (S\_IF\_08). Equally important, toilets have to be constructed to provide privacy and ensure dignity (S\_IF\_09). In order to improve hygiene awareness and change sanitation-related behaviors, various approaches are adopted throughout the implementation of the program (H\_IF\_01), including hand washing and point of use water treatment and storage (see the hygiene subnetwork).

Finally, there is a need to develop a reliable and affordable supply stream, to ensure that

products and goods are accessible. In places where the sanitation supply chain is fractured and confusing, consumers may experience an additional barrier to purchasing a latrine. In response to this priority, the program aims to guarantee availability of materials while recruiting and training hardware storeowners and masons (S\_IN\_03 and S\_IN\_04, respectively).

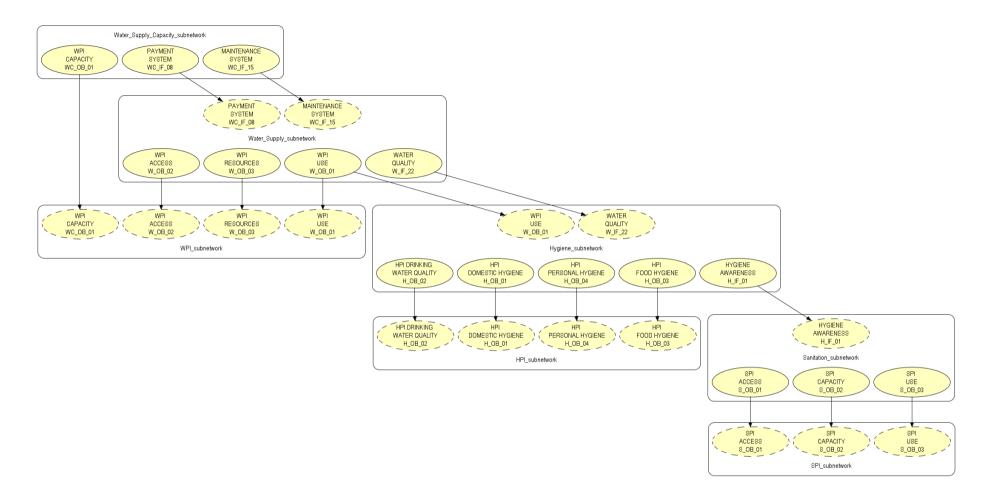


Figure 2 Scheme of the OOBN master network. Each sub-network is illustrated in a separate box. Input nodes (dashed lines) and output nodes (solid lines) enable the import and export of information outside the subnetworks, respectively.

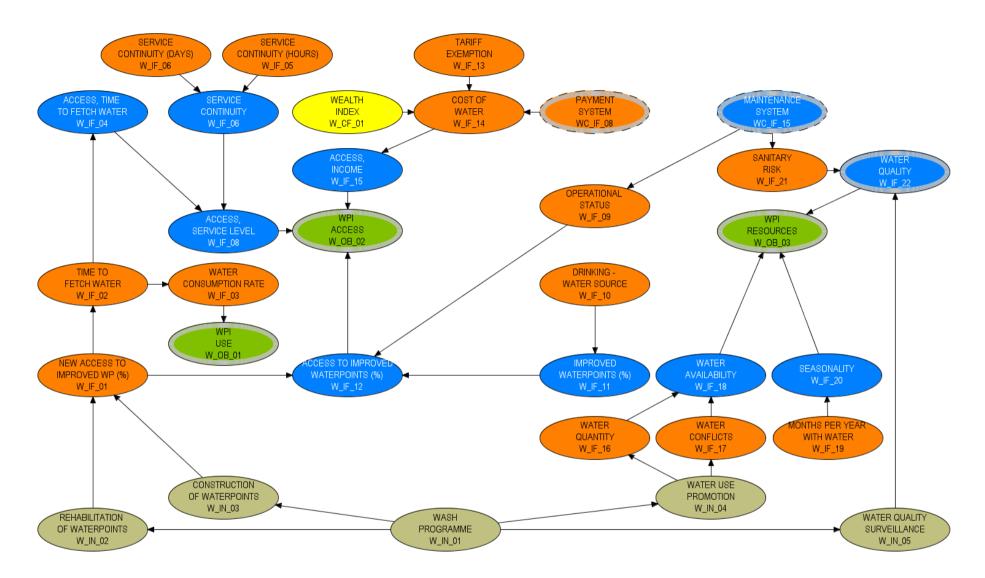


Figure 3 The water network. "Objective" nodes are shown in green; "intervention" nodes, in beige; "intermediate factors - context", in orange; "intermediate factors - relational", in blue; and "controlling factors", in yellow. Input nodes are depicted as dashed lines outlined in grey, and output nodes, as solid line nodes outlined in grey.

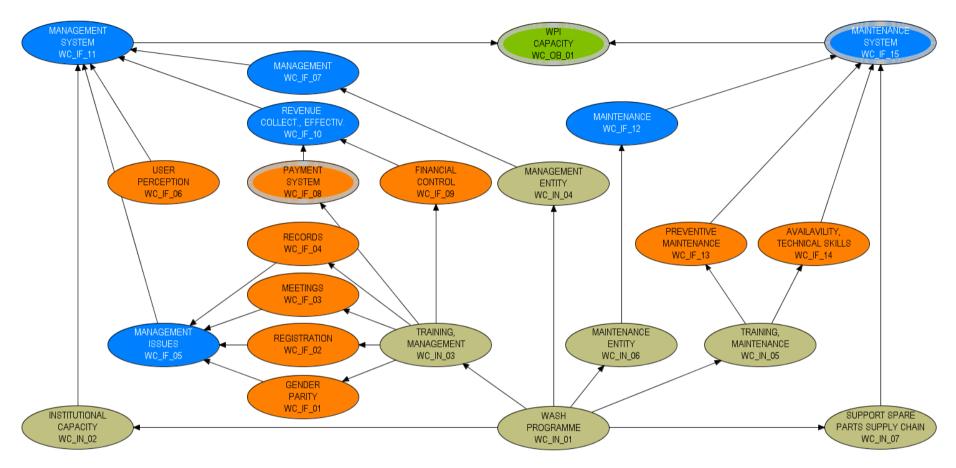


Figure 4 The water-capacity subnetwork. "Objective" nodes are shown in green; "intervention" nodes, in beige; "intermediate factors - context", in orange; "intermediate factors - relational", in blue; and "controlling factors", in yellow. Input nodes are depicted as dashed lines outlined in grey, and output nodes, as solid line nodes outlined in grey.

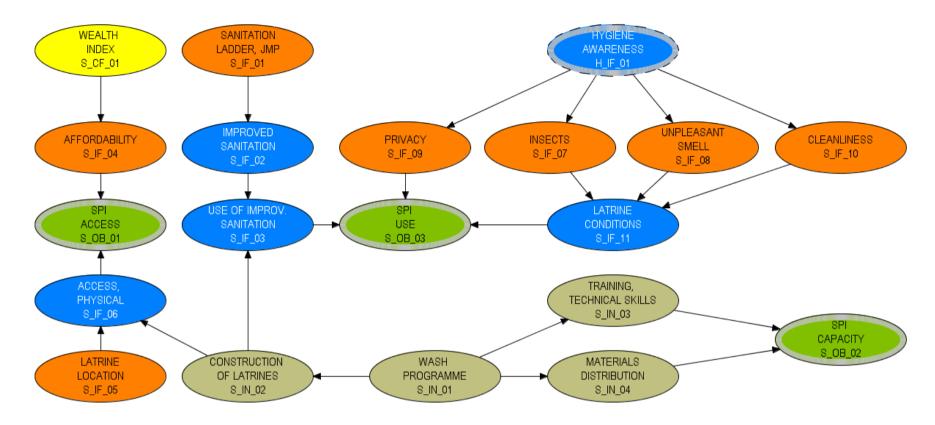


Figure 5 The sanitation network. "Objective" nodes are shown in green; "intervention" nodes, in beige; "intermediate factors - context", in orange; "intermediate factors - relational", in blue; and "controlling factors", in yellow. Input nodes are depicted as dashed lines outlined in grey, and output nodes, as solid line nodes outlined in grey.

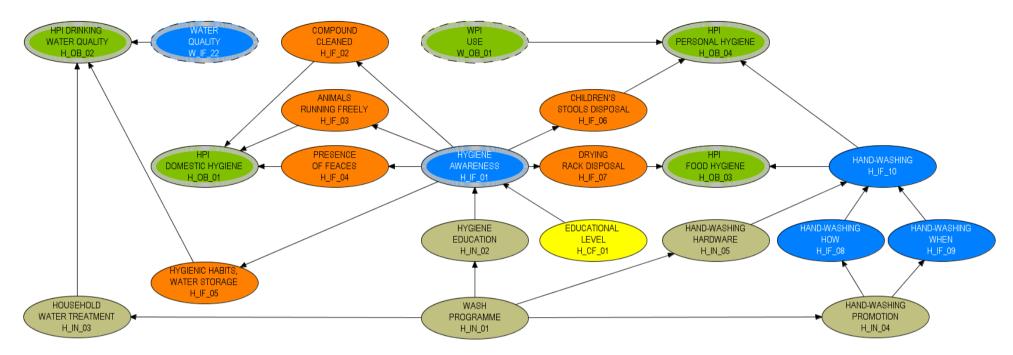


Figure 6 The hygiene network. "Objective" nodes are shown in green; "intervention" nodes, in beige; "intermediate factors - context", in orange; "intermediate factors - relational", in blue; and "controlling factors", in yellow. Input nodes are depicted as dashed lines outlined in grey, and output nodes, as solid line nodes outlined in grey.

# 3.1.3 The hygiene network

This network encompasses the different pathways by which oral-fecal contamination and, subsequently, diarrhea may occur: contamination of stored drinking water (HPI DRINKING WATER QUALITY), food (HPI FOOD HYGIENE), or hands (HPI PERSONAL HYGIENE); and presence of animals or insects that can transmit fecal contamination to previously clean surfaces (HPI DOMESTIC HYGIENE).

It can be observed from Fig. 6 (and in detail in Table S4) that a set of nodes relates to improvements in drinking water quality. On the one hand, water may become contaminated by poor collection, transportation, and handling practices, as people mainly collect it from a source and take it home (node code H\_IF\_05). On the other hand, adequate household water treatment (HWT) is an important interim measure for removing pathogens from drinking water and reducing disease risk, particularly for those who do not have access to safe supplies. To improve the quality of drinking water, the program combines increased use of water quality surveillance approaches with other short- and medium-term interventions (W\_IN\_05), such as household water treatment (HWT) and safe storage (H IN 03).

The network also combines a number of indicators to assess personal hygiene and, particularly, the practice of handwashing (H\_IF\_10). Appropriate handwashing includes two dimensions (Billig et al., 1999): critical times -e.g., after defecation, before food preparation, before eating, etc. (H\_IF\_09)-, and technique -e.g., washing both hands, rubbing hands together at least three times, drying hands hygienically, etc. (H\_IF\_08)-. Most importantly, studies show that handwashing behavior is strongly influenced by the presence or absence of a convenient source of water and soap (Curtis and Cairncross, 2003). The program covers both the hardware (e.g., construction of handwashing devices) and the software (e.g., handwashing education) (H\_IN\_05 and H IN 04, respectively).

The last group of nodes seeks to provide an overview of household hygiene. Specifically, three indicators relate to those health risks arising from unsanitary conditions of household plots, i.e., the presence of animals running freely (H\_IF\_03), the presence of rubbish in yards and inside homes (H\_IF\_02), and the presence of feces (H\_IF\_04). A variety of interventions will be in place throughout the program to increase hygiene awareness and improve hygiene behaviors, as an effective way to break the fecal-oral route of disease transmission (H\_IN\_02).

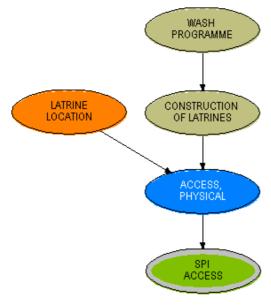
# 3.2 DSS validation

Once the network model has been developed, an easy test to check its consistency is to verify that all variables change according to the actions set by the "intervention" nodes. For instance, the implementation of the program increases the percentage of households with a latrine located in the same compound by 22%, through construction of new latrines (Fig. 7). The baseline data

is not affected by the program, and on average, physical access to sanitation improves from 0.51 to 0.62. This affects the objective node "SPI ACCESS", which has a 46% probability of being between 0.5-1.0 after program completion. Both the magnitude and direction of the impact chain are logical and consistent. However, because of the uncertainty of data and the model assumptions, there is also a chance that the variable might be in other states, as shown by the distribution. Indeed, the explicit representation of uncertainty and variability in the model outcome provides a transparent way to represent the impact achieved by the program.

Besides to this easy-to-implement quality check, evaluation and validation of the network system has been also conducted by comparing the OOBN outcomes with results achieved in a parallel study (Giné Garriga and Pérez Foguet, 2013a). In this previous study, an index-based approach was adopted to assess the baseline context of the program, and the three thematic indicators - WPI, SPI and HPI - and their components were computed.

Comparing statistics from both studies -that is, the stochastic approach based on OOBN versus the deterministically-developed index- reveals that overall values of the three indices show similar trends, although the OOBN model values are lower than those obtained by the index (e.g., with average SPI scores of 0.37 or 0.50 when computed with OOBN or a composite index, respectively) (Fig. 8). Similarly, as could be expected, variability of OOBN estimates is considerably lower. OOBNs employ categorical or binary variables. Likewise, a closer look at the indices' components shows no significant differences, albeit with three remarkable exceptions in which differences are attributable primarily to the variables employed in component construction. Specifically, for the index approach, the WPI ACCESS component includes the gender dimensions of drinking water collection in the analysis, the WPI USE component includes one indicator to verify that main drinking water source was used by the household for other domestic purposes, and the SPI CAPACITY includes one indicator to identify the reasons why households without their own latrine did not have one. These three indicators are not considered in OOBN development, as they cannot be easily integrated into cause-effect relationships, and they are not significantly influenced by the Program. All of these variables present extreme values, of 0.07, 0.91, and 0.97, respectively, which significantly reduces or increases the final score of the related components.



# a) The network and its variables

### WaSH program (intervention node)

Boolean variable - two states:

- No WaSH program
- WaSH program

### **Construction of latrines (intervention node)**

- Boolean variable two states:
- 0: No new beneficiaries
- 1: New beneficiaries (households accessing sanitation facilities)

# Latrine location, baseline data (intermediate factor) Boolean variable – two states:

- 0: the latrine is located outside the compound
- 1: the latrine is located in the same compound

#### Access, physical (intermediate factor)

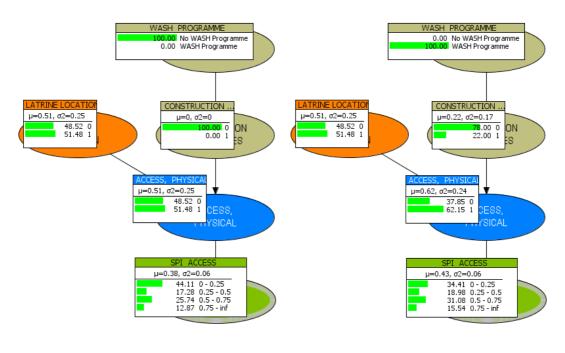
Boolean variable - two states:

- 0: the household has no access to a facility in the same compound
- 1: the household has access to a facility in the same compound

### SPI - access (objective)

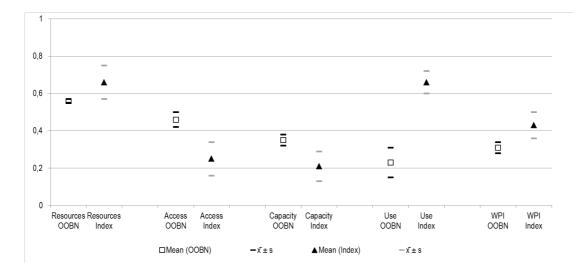
Intervals – four states (% of households accessing a facility that is affordable and located in the same compound):

0-0.25; 0.25-0.50; 0.50-0.75; 0.75-1.0

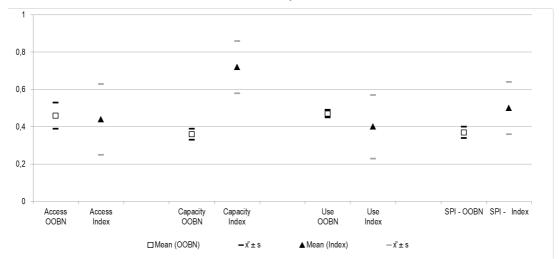


- b) SPI Access, with no intervention
- c) SPI Access, with intervention

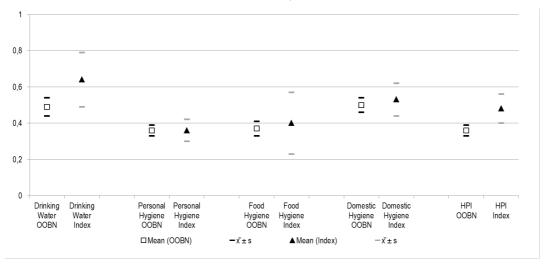
Figure 7 OOBN validation. Partial representation of the sanitation subnetwork, which shows the impact of the program on the "SPI Access" objective node











c) HPI

Figure 8 WPI, SPI, and HPI values and variability:  $\bar{x}$ : sample mean; s: SD

## 3.3 Analysis of the program outcomes

The results shown in this section represent a first attempt to assess the potential impact of the actions set by the program. However, as explaining the impact of each individual action is not feasible, the discussion focuses on a purposive selection of the most significant interventions. Where necessary, the analysis also includes the scale of change at the indicator level.

Two different scenarios have been simulated and compared. The "Business as usual" scenario (BAU) is assumed to be the current condition, as described by data available in the baseline survey. The second scenario adopts the program approach. It is therefore made up of a variety of actions to be implemented by the government, which are represented in the networks as "intervention" nodes. Table 2 describes three of these actions as examples (see also the comprehensive list in Tables S5 to S7). The CPTs of these nodes were populated based on the program outcomes (United Nations Children's Fund and Government of Kenya, 2006). For instance, it can be observed that supplying water to1.3 million people is roughly equivalent to covering 18% of the total population. It is by acting on these "intervention" nodes that the software simulates both scenarios.

Node	Program Outcome	States	CPT - Business as usual <sup>a</sup>	CPT – WaSH program <sup>a</sup>
Water supply - construction of water points	1.3 million people will use new safe and sustainable sources of drinking water (25 liters per	No new beneficiaries accessing improved drinking water points	1	0.82
	person per day obtained with a 30 minutes or shorter round trip)	New beneficiaries accessing improved drinking water points	0	0.18
Sanitation - training in	3,000 community sanitation promoters will be trained in	No access to skills for latrine reparation	0.48	0.40
technical skills	construction of sanitation facilities	Poor access to skills for latrine reparation	0.52	0.25
		Adequate access to skills for latrine reparation	0	0.35
Household water treatment	70 % of 1.95 million new practitioners will practice point of use water treatment, safe water	Households with no point-of-use water treatment	0.53	0.34
	storage and hygienic handling of water. This intervention will be carried out through direct marketing	Households with adequate point-of-use water treatment	0.47	0.66

Table 2 Examples of "intervention" variables in two simulated scenarios

<sup>a</sup> Values represent the set of probabilities, one for each variable, specifying the belief that a node will be in a particular state given the two implementation scenarios outlined above: i) "Business as usual"; and ii) WaSH program

Fig. 9 compares the results obtained for each scenario. According to the charts, the intervention would produce a positive impact on overall WaSH poverty, since the probability distributions of the three thematic indicators show slight improvements after the project completion. With

respect to water-related context, there is roughly a 7% chance that the WPI increases from 0-0.25 to 0.5-0.75, with the probability of lying within the 0.25-0.5 interval roughly the same (49% before the intervention, and 47% after). There is also an increased chance of the SPI and HPI values falling within the range 0.5-0.75 after program completion (from 22.48 to 41.82, and from 18.65 to 30.76, respectively).

An accurate focus on the components might help to direct attention to those WaSH sector needs that require special policy attention. For example, and in accordance with Fig. 9a, policy attention should be given to those issues related to WPI CAPACITY and WPI USE. If attention is paid to sanitation (Fig. 9c) and hygiene (Fig. 9e), one may realize that other aspects requiring urgent intervention include SPI CAPACITY, HPI PERSONAL HYGIENE, and HPI FOOD HYGIENE. This situation may be compared to that represented in Figs. 9b, 9d, and 9e, in which it can be observed that the Program affects the set of variables differently, e.g., it considerably improves the overall sanitation index and the HPI DRINKING WATER QUALITY component but only modestly affects water issues. This preliminary analysis provides a useful insight into the impact that the Program may or may not have on those key challenges faced by the sector. To promote improved planning, however, a more detailed description of achieved results at subnetwork level follows.

WPI RESOURCES	WPI ACCESS 🛛 🕅	WPI CAPACITY 🛛 🕅	WPI USE 🔀	WATER POVERTY INDEX 🔀
μ=0.56, σ2=0.01	μ=0.46, σ2=0.04	μ=0.35, σ2=0.03	μ=0.23, σ2=0.08	μ=0.31, σ2=0.03
2.14 0 - 0.25 22.53 0.25 - 0.5 75.33 0.5 - 0.75 0.00 0.75 - inf	17.84 0 - 0.25 36.84 0.25 - 0.5 29.67 0.5 - 0.75 15.65 0.75 - inf	30.08 0 - 0.25 49.29 0.25 - 0.5 20.62 0.5 - 0.75 3.67E-4 0.75 - inf	57.95 0 38.35 0.5 3.70 1	39.14 0 - 0.25 49.15 0.25 - 0.5 11.71 0.5 - 0.75 0.00 0.75 - inf

#### a) WPI - Business as usual (no intervention)

WPI RESOURCES 🛛 🕅	WPI ACCESS 🛛 🕅	WPI CAPACITY	WPI USE 🛛	WATER POVERTY INDEX 🔀
μ=0.62, σ2=0.02	μ=0.49, σ2=0.05	μ=0.44, σ2=0.03	μ=0.23, σ2=0.08	μ=0.34, σ2=0.03
0.75 0 - 0.25 15.84 0.25 - 0.5 49.13 0.5 - 0.75 34.28 0.75 - inf	15.75 0 - 0.25 31.67 0.25 - 0.5 31.94 0.5 - 0.75 20.64 0.75 - inf	15.08 0 - 0.25 46.38 0.25 - 0.5 37.39 0.5 - 0.75 1.14 0.75 - inf	57.71 0 38.51 0.5 3.78 1	33.89 0 - 0.25 47.40 0.25 - 0.5 18.63 0.5 - 0.75 0.08 0.75 - inf

## b) WPI - WASH Program

SPI ACCESS 🕅	SPI CAPACITY 🖂	SPI USE 🖂	SANITATION POVERTY INDE
μ=0.46, σ2=0.07	μ=0.36, σ2=0.03	μ=0.47, σ2=0.02	μ=0.37, σ2=0.03
36.31 0 - 0.25 7.70 0.25 - 0.5 27.62 0.5 - 0.75 28.37 0.75 - inf	28.32 0 - 0.25 50.35 0.25 - 0.5 21.32 0.5 - 0.75 4.1E-3 0.75 - inf	3.56 0 - 0.25 60.24 0.25 - 0.5 26.45 0.5 - 0.75 9.75 0.75 - inf	23.55 0 - 0.25 53.97 0.25 - 0.5 22.48 0.5 - 0.75 1.13E-4 0.75 - inf

### c) SPI - Business as usual (no intervention)

SPI ACCESS 🛛 🔀	SPI CAPACITY	SPI USE 🖂	SANITATION POVERTY INDE
μ=0.5, σ2=0.07	μ=0.5, σ2=0.05	μ=0.52, σ2=0.03	μ=0.45, σ2=0.03
28.32 0 - 0.25 9.30 0.25 - 0.5 28.13 0.5 - 0.75 34.25 0.75 - inf	18.00 0 - 0.25 27.25 0.25 - 0.5 31.75 0.5 - 0.75 23.00 0.75 - inf	2.59 0 - 0.25 46.30 0.25 - 0.5 32.77 0.5 - 0.75 18.34 0.75 - inf	13.77 0 - 0.25 42.96 0.25 - 0.5 41.82 0.5 - 0.75 1.44 0.75 - inf

d) WPI - WASH Program

HPI DRINKING WATER QUA	HPI FOOD HYGIENE 🛛 🕅	📃 HPI DOMESTIC HYGIENE 🔀	📃 HPI PERSONAL HYGIENE 🔀	HYGIENE POVERTY INDEX
μ=0.49, σ2=0.05	μ=0.37, σ2=0.04	μ=0.5, σ2=0.04	μ=0.36, σ2=0.03	μ=0.36, σ2=0.03
17.10 0 - 0.25	31.63 0 - 0.25	10.63 0 - 0.25	26.04 0 - 0.25	25.39 0 - 0.25
35.90 0.25 - 0.5	41.21 0.25 - 0.5	35.16 0.25 - 0.5	52.52 0.25 - 0.5	55.96 0.25 - 0.5
15.16 0.5 - 0.75	21.95 0.5 - 0.75	39.34 0.5 - 0.75	20.30 0.5 - 0.75	18.65 0.5 - 0.75
31.84 0.75 - inf	5.21 0.75 - inf	14.86 0.75 - inf	1.14 0.75 - inf	2.81E-3 0.75 - inf

e) HPI - Business as usual (no intervention)

HPI DRINKING WATER QUA	HPI FOOD HYGIENE 🛛	- HPI DOMESTIC HYGIENE 🔀	- HPI PERSONAL HYGIENE 🔀	_ HYGIENE POVERTY INDEX
μ=0.59, σ2=0.04	μ=0.43, σ2=0.05	μ=0.51, σ2=0.04	μ=0.4, σ2=0.03	μ=0.41, σ2=0.03
6.57 0-0.25 27.43 0.25-0.5	23.72 0 - 0.25 36.35 0.25 - 0.5	9.67 0 - 0.25 33.78 0.25 - 0.5	20.18 0 - 0.25 48.86 0.25 - 0.5	16.12 0 - 0.25
12.76 0.5 - 0.75	28.95 0.5 - 0.75	40.27 0.5 - 0.75	29.51 0.5 - 0.75	30.76 0.5 - 0.75
53.24 0.75 - inf	10.98 0.75 - inf	16.29 0.75 - inf	1.45 0.75 - inf	0.01 0.75 - inf

### f) HPI - WASH Program

Figure 9 The OOBN Final Values. Each component of the index is described in a separate box and shows the mean value, standard deviation, and probability histogram

# 3.3.1 Tackling water poverty: New infrastructure while building up recipient capacity

Based on the expected outcomes of the program (United Nations Children's Fund and Government of Kenya, 2006), the water supply component of the intervention includes the development of water sources for new users currently unserved (1.3 million beneficiaries). It will also include the rehabilitation of existing dysfunctional water systems, which will be used by an additional 310,000 people. Despite the construction and rehabilitation of water points, the model simulation suggests poor rate of progress; i.e. access to water infrastructure (WPI ACCESS) increases on average only from 0.46 to 0.49. In more detail, the node named "Access to improved water points" (node code W\_IF\_12; data not shown) has a 60.62% chance of being between 0 and 25%, which is consistent with last official data reported by the JMP (Joint Monitoring Programme, 2015).

The obvious linkages between inadequate access and time spent on fetching water have a direct impact on domestic water use: namely the longer the distance, the lower the consumption. Program managers should therefore not expect significant improvement in water consumption associated with increased accessibility of water unless i) traditional water sources are particularly far away, ii) queuing is time consuming, or iii) water can be supplied to each household. As this is not the case, the program does not increase water use for domestic purposes, as shown by the WPI USE component.

Beyond the hardware, capacities to manage water facilities are required at both local and regional scales, which present a major challenge for addressing the existing gap in institutional performance. The intervention will help the new sector-related organizations to meet the necessary skills and abilities to fulfil their role effectively. In particular, the capacity building process includes the provision of basic equipment and training in planning, procurement, and management skills. Women groups will also receive priority in the ownership of the water facilities and in the process of hygiene and sanitation promotion. The local private sector will also be stimulated and strengthened to develop an adequate spare parts supply chain (United

Nations Children's Fund and Government of Kenya, 2006). Although more effort should be placed on capacity building and institutional support, achieved results show good progress in this regard: there is 15% chance that the WPI CAPACITY component increases from 0-0.25 to 0.5-0.75; i.e., the probability within the 0-0.25 interval diminishes from 30% to 15%, the likelihood of any value in the range 0.5-0.75 increases from 21% to 37%, and the probability of being in the 0.25-0.5 interval roughly remains the same (49%, BAU; 46%, WaSH program). Finally, the program seeks to promote water quality surveillance and improve resource management. The concern is not only at a macro-level, such as degradation of rivers and water catchments (with basin authorities committed to water resources conservation), but also at the micro-level. As inadequate designs of schemes to prevent source pollution and poor management of water points may lead to increased pollution of the water bodies, the program includes regular surveillance inspections for continuous water quality monitoring. In addition, it adopts a multiple-use water service approach as an alternative form of providing rural water services in an integrated manner; i.e. multiple uses of water (such as livestock watering, the production of fodder for animals, and small-scale irrigation) are to be encouraged to increase food security and thus reduce the vulnerability of the people living in the area (United Nations Children's Fund and Government of Kenya, 2006). Both interventions have a positive impact on the WPI RESOURCES component, which slightly improves after project completion (increasing on average from 0.56 to 0.62) (Figs. 9a and 9b).

# 3.3.2 Tackling sanitation poverty: Development of a reliable supply chain

The promotion of household sanitation will be closely linked to the provision of hardware and to hygiene promotion. It is important to note, however, that Government allocation and expenditure for environmental health is low compared to expenditures for water infrastructure. Sanitation and hygiene therefore has a lower profile (United Nations Children's Fund and Government of Kenya, 2006). The intervention first includes the construction of latrines for 1.6 million new beneficiaries. However, much like the water supply component, interventions are unlikely to achieve remarkable improvements. Results suggest that there is only an approximately 6% chance that the SPI Access component increases from 0-0.25 to 0.75-1 due to the intervention (Figs. 9c and 9d), with the probability values for the 0.25-0.5 and 0.5-0.75 intervals roughly equal before and after program completion. In detail, the node "Use of improved sanitation" (node code S\_IF\_03, data not shown) reveals that approximately 60% of the population will lack access to improved sanitation after program completion.

In parallel, the implementation strategy relies on a competitive marketing approach, during which 33,000 sanitation and hygiene promoters will be mobilized, trained, and equipped in order to ensure that at least 217,000 households (approximately 1.3 million people) are

motivated to build and use a toilet at home. Equally important, the sanitation supply chain will be strengthened through a two-fold approach: i) traditional models, as developed and used by women groups in many parts of Kenya, are applied to meet their demands for home improvements, and / or ii) micro-enterprise models that have been developed by various micro-financing agencies are supported to promote local manufacturing and services (United Nations Children's Fund and Government of Kenya, 2006). It can be deduced from Fig. 9 that sanitation approaches to stimulate both demand and supply will produce a significant effect, improving on average the final SPI CAPACITY and SPI USE values by fourteen (from 0.36 to 0.5) and five (from 0.47 to 0.52) percentage points, respectively.

# 3.3.3 Tackling hygiene poverty: Promotion of hygiene

Hygiene education and promotion is expected to be a core activity within the program. It will consist of two different components: increased hygiene awareness, and in particular improved handwashing behavior, and widespread promotion of point-of-use water treatment. In the same way as with sanitation, the target is to mobilize and train 33,000 hygiene promoters who will cover 350,000 households (approximately 2 million beneficiaries) through direct marketing, though larger numbers are likely to be reached by mass marketing (e.g., radio, local newspapers, and promotional campaigns). After project completion, communities should have a good level of understanding of the link between poor hygiene and diseases (United Nations Children's Fund and Government of Kenya, 2006).

First, the project will foster hygienic handling of water as well as point-of-use treatment. It is assumed that direct beneficiaries of the program have access to potable water sources, and that good hygiene will ensure safety at the point-of-use. For the unserved population, household water treatment is promoted to improve their drinking water quality from whatever source they use and thus to ensure safety. Indeed, the HPI DRINKING WATER QUALITY component is expected to have a significant impact at project completion, as it expands on average 10 points (from 0.49 to 0.59) (Figs. 9e and 9f).

Second, a handwashing campaign will promote hand washing with soap at appropriate times (e.g., before eating and after defection), with campaign taking the form of both direct and mass marketing. Direct marketing in rural villages will be mostly carried out by hygiene promoters, backed by marketing teams from the private sector. There will also be mass marketing using the mass media. Achievements related to HPI PERSONAL HYGIENE are to a certain extent limited, as observed from Fig. 9. This is partly explained by poor accessibility to adequate handwashing infrastructure; indeed, the node "Hand-washing, hardware" (node code H\_IN\_05) shows that roughly eight out of ten households still lack a basic handwashing facility after program completion.

Modest improvements are also made in relation to HPI DOMESTIC HYGIENE, i.e. by one percentage point on average (from 0.5 to 0.51). On the other hand, the impact of the intervention on HPI FOOD HYGIENE is more visible, with an increase from 0.37 to 0.43. In the latter case, however, only one indicator has been assessed ("Drying rack", node H\_IF\_07), which may hamper an adequate understanding of the context.

Overall, more emphasis should be placed on hygiene education to promote awareness of good hygiene practices, in particular the issue of handwashing.

# 4. CONCLUSIONS

Results indicate that an OOBN is able to accommodate the complexities of WaSH issues and their interlinkages. In addition, it effectively combines a wide variety of information sources, such that different sets of data from economic, environmental, physical, and social domains have been exploited in this study. The OOBN model integrates simultaneous cause-effect relationships, thus taking into account the existing user - service - environment interactions. In doing so, it provides a more complete picture of the context in which the services are delivered, where multiple determinants interact to affect outcomes of interest, such as coverage, service level, operational status of infrastructure, and hygiene awareness. Specifically, the results suggest that those sectors that require urgent policy attention in Kenya relate to the management capacities of water committees, the promotion of multiple uses of water to meet people's multiple water needs, the availability of a reliable sanitation supply chain to stimulate the construction and repair of latrines, and the promotion of behavior change for improved personal hygiene.

In comparison with other DSS, an OOBN provides an easy-to-exploit framework in which decisions in WaSH planning and management can be based. First, the graphical nature of network presentation makes it easier to illustrate the impact of a range of different actions and strategies to stakeholders. Second, uncertainty is explicitly represented, as results are given as a probability distribution. This allows decision makers to first estimate the chance that a specific intervention will have a particular effect, and then to investigate the consequences of their uncertainty. A third distinct advantage is the stochastic and modular nature of the OOBN technique, which produces outcomes that are more flexible than those produced by deterministic approaches (e.g., a composite index). Results can then be analyzed for a range of scenarios and/or conditions, enabling policy planners to identify the combination of actions in which to direct their efforts for maximum impact. In this study, for instance, a number of interventions are included within the program strategy, which differently affect the sector challenges cited above. Thus, we were able to show that capacity building processes have a positive impact. In contrast, use of water and sanitation infrastructures remains low after the program completion.

For hygiene, the promotion of point-of-use water treatment contributes to increase water safety, while there is only a "modestly improving trend" with respect to handwashing behavior.

This study however describes the first iteration of the model. As such, there are certainly improvements to the networks and methodology that can be made. In general, it would be worthwhile to try to reduce the number of nodes included in the analysis. With this in mind, the water network and its "Capacity" component could be simplified, identifying those nodes that better describe the managerial aspects of the service, and removing any redundant nodes. Additionally, the number of states could be lowered for some variables without losing any critical information. All of these efforts would be directed at simplifying the model. In turn, this would not only improve understanding of the model by non-technical audiences, but also facilitate additional data collection, as a necessary step to keep the system updated.

To conclude, this paper shows that an OOBN approach has the potential for wider implementation as a planning tool in the context of WaSH service provision, particularly at the national level. Similar models could be also developed for the local scale. Interestingly, one major advantage is that once developed, the same model can be applied repeatedly for different administrative units (e.g., districts, municipalities, communities, etc.) by changing the context-related data (i.e., the CPTs of nodes, graphically depicted in orange in Figs. 3 to 6). In short, it can be stated that a network approach might be useful where the concept is clear –that is, the key variables and their cause-effect relationships are well defined- and if data availability is not an issue. However, one major drawback is that this tool requires software that needs to be used by highly qualified people. This hinders to a certain extent its implementation in certain contexts, where resources are limited and stakeholders often lack capacities to profit from the model once developed (e.g., rural decentralized settings).

# SUPPLEMENTARY MATERIALS

Supplementary Materials include seven additional tables. Tables S1 to S4 present the list of nodes employed in each network, their states, and their calculation method, while tables S5 to S7 describes the CPTs of all the "intervention" variables in two simulated scenarios: "Business as usual" and "WaSH Program".

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Catagomi	Node / Code	Evaluation		States	_ Populating
Category	Noue / Coue	Explanation	Туре	Description	Method of CPT
Intervention	WaSH Program (W_IN_01)	Implementation of the WaSH Program: Construction and rehabilitation of water points; promotion of multiple uses of water at the dwelling and water quality surveillance	Boolean	<ul><li>No: "Business as Usual" - Current situation</li><li>Yes: WaSH Program</li></ul>	Input from the WaSH Program
Intervention	Rehabilitation of water points (W_IN_02)	New beneficiaries accessing to improved drinking water through rehabilitated water points	Boolean	<ul> <li>0: No new beneficiaries accessing to improved drinking water</li> <li>1: New beneficiaries accessing to improved drinking water</li> </ul>	Input from the WaSH Program
Intervention	Construction of water points (W_IN_03)	New beneficiaries accessing to improved drinking water through newly constructed water points	Boolean	<ul> <li>0: No new beneficiaries accessing to improved drinking water</li> <li>1: New beneficiaries accessing to improved drinking water</li> </ul>	Input from the WaSH Program
Intermediate Factor	New Access to improved water points (W_IF_01)	New beneficiaries accessing to improved drinking water thanks to the WaSH Program	Intervals	<ul> <li>Percentage of new beneficiaries of the Program in relation to overall population 0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intermediate Factor	Time to fetch water (W_IF_02)	Time spent in fetching water (including queuing), in minutes	Intervals	<ul> <li>In minutes:</li> <li>0 - 10; 10 - 30; 30- 60; 60 - inf</li> </ul>	Household data
Intermediate Factor	Water consumption Rate (W_IF_03)	Domestic water consumption rate, in liters per capita per day (lpcd)	Intervals	<ul> <li>In liters per capita per day (lpcd):</li> <li>0 - 20; 20 - 50; 50 - 100; 100 - inf</li> </ul>	Household data

# Table 1 Extended list of nodes, their states and their calculation method: the Water network

Objective (output node)	<b>WPI – Use</b> (W_OB_01)	Multiple uses of water at the dwelling	Discrete Numbers	<ul> <li>0: Only for drinking</li> <li>0.5: For drinking and other domestic purposes</li> <li>1: For domestic purposes and other non-domestic purposes</li> </ul>	Expert opinion
Intermediate Factor	Access, time to fetch water (W_IF_04)	Adequate access to water, in terms of time spent in hauling water	Boolean	<ul><li>0: Time spent collecting water is inadequate</li><li>1: Time spent collecting water is adequate</li></ul>	Expert opinion
Intermediate Factor	Service continuity, hours (W_IF_05)	Service continuity, in hours per day	Intervals	<ul> <li>In hours per day:</li> <li>0-6; 6-12; 12-18; 18-24</li> </ul>	Water point data
Intermediate Factor	Service continuity, days (W_IF_06)	Service continuity, in days per week	Intervals	<ul> <li>In days per week:</li> <li>0-3; 4-5; 5-6; 7</li> </ul>	Water point data
Intermediate Factor	Service continuity (W_IF_07)	Service continuity	Discrete Numbers	<ul> <li>0: Poor service continuity</li> <li>0.5: Acceptable service continuity</li> <li>1: Adequate service continuity</li> </ul>	Expert opinion
Intermediate Factor	Access – service Level (W_IF_08)	Households with reliable access to an improved water point	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intermediate Factor	Operational status (W_IF_09)	Operational status of water points	Boolean	<ul> <li>0: Not operational, including those water points under rehabilitation</li> <li>1: Operational</li> </ul>	Water point data

Intermediate Factor	Drinking water source (W_IF_10)	Main source of drinking water accessed by households (for the greater part of the year)	Qualitative	<ul> <li>Piped into dwelling</li> <li>Piped into yard or plot</li> <li>Public tap/standpipe</li> <li>Borehole</li> <li>Protected dug well</li> <li>Protected spring</li> <li>Rainwater collection</li> <li>Unprotected dug well</li> <li>Unprotected spring</li> <li>Tanker-truck</li> <li>Cart with small tank / drum</li> <li>Surface water</li> </ul>	Household data
Intermediate Factor	Improved water points (W_IF_11)	Households with access to an improved drinking water source, as defined by the WHO/UNICEF Joint Monitoring Program for Water Supply and Sanitation (JMP)	Boolean	<ul> <li>0: Unimproved technology, as defined by the JMP</li> <li>1: Improved technology, as defined by the JMP</li> </ul>	Household data
Intermediate Factor	Access to improved water points (W_IF_12)	Households with access to an improved drinking water source, as defined by the JMP. It includes those households that access a water point thanks to the Program	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intermediate Factor	Tariff exemption (W_IF_13)	Subsidies for water services to vulnerable groups (poor households, HH headed by female, HH headed by child, etc.)	Boolean	<ul><li>False: No exemption from paying for water</li><li>True: Existence of tariff exemption</li></ul>	Water point data
Controlling factor	Wealth index (W_CF_01)	Wealth index, based on assets. Population is divided into quartiles	Qualitative	<ul><li>Poorest</li><li>Poor</li><li>Rich</li><li>Richest</li></ul>	Household data

Intermediate Factor	Cost of water (W_IF_14)	User perception about the total amount spent on water	Qualitative	<ul><li>Cheap</li><li>Fair</li><li>Expensive</li></ul>	Household data / Expert Opinion
Intermediate Factor	Access – income (W_IF_15)	Households with affordable access to water	Boolean	<ul><li>0: Water is not affordable by all households</li><li>1: Water is affordable by all households</li></ul>	Expert opinion
Objective	WPI – Access (W_OB_02)	Households with sustainable access to water, i.e. a reliable and improved water point that is affordable.	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intervention	Water use promotion (W_IN_04)	Adoption of multiple use of water services approach	Boolean	<ul> <li>False: No promotion of multiple use of water services</li> <li>True: Promotion of multiple use of water services</li> </ul>	Input from the WaSH Program
Intermediate Factor	Water quantity (W_IF_16)	Water quantity is sufficient for multiple domestic and non-domestic uses, based on user perception	Qualitative	<ul> <li>0: Not sufficient even for domestic use</li> <li>0.5: Only sufficient for domestic use</li> <li>1: Always sufficient (for both domestic and other non-domestic uses)</li> </ul>	Water point data / Expert Opinion
Intermediate Factor	Water conflicts (W_IF_17)	Existence of conflicts around the water point due to water availability, water use efficiency and / or different water uses	Boolean	<ul><li>0: Existence of conflicts</li><li>1: No conflicts</li></ul>	Water point data / Expert Opinion
Intermediate Factor	Water availability (W_IF_18)	Water availability for multiple water uses, at the water point level	Intervals	<ul> <li>Percentage of water points:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intermediate Factor	Months per year with water (W_IF_19)	Seasonality of water points, in months per year	Intervals	<ul> <li>Water availability, in months per year:</li> <li>0-6; 6-8; 8-10; 10-11; 12</li> </ul>	Water point data

Intermediate Factor	Seasonality (W_IF_20)	Seasonality of water points. A water point is considered year-round if it does not report a seasonality of more than one month	Boolean	<ul><li>0: Seasonal water point</li><li>1: Year-round water point</li></ul>	Water point data
Intervention	Water quality surveillance (W_IN_05)	Implementation of water quality surveillance and monitoring programs	Boolean	<ul> <li>0: No water quality surveillance program</li> <li>1: Implementation of a water quality surveillance program</li> </ul>	Input from the WaSH Program
Intermediate Factor	Sanitary risk (W_IF_21)	Likelihood of contamination occurring at the water point	Intervals	<ul> <li>0 - 0.5: High sanitary risk</li> <li>0.5 - 0.75: Medium sanitary risk</li> <li>0.75 - 1: Low sanitary risk</li> </ul>	Water point data
Intermediate Factor (output node)	Water quality (W_IF_22)	Water Quality, at water-point level. The indicator is computed as the percentage of water points that show microbiological contamination	Intervals	<ul> <li>Percentage of water points:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Objective	WPI – Resources (W_OB_03)	Water points that provide year-round and safe water for multiple water uses	Intervals	<ul> <li>Percentage of water points:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression

Catal	N	Freelander		States	Populating
Category	Name	Explanation	Туре	Description	Method of CPT
Intervention	WaSH Program (WC_IN_01)	Implementation of the WaSH Program: Training on financial management; accounting, supply management; reporting; monitoring and evaluation	Boolean	<ul><li>No: "Business as Usual" - Current situation</li><li>Yes: WaSH Program</li></ul>	Input from the WaSH Program
Intervention	Institutional capacity (WC_IN_02)	Institutional framework to assist communities with regard to the water point management (monitoring and evaluation)	Discrete Numbers	<ul> <li>0: Poorly supported - Less than 1 person trained / 25 community groups</li> <li>0.5: Acceptably supported - More than 1 person trained / 25 community groups</li> <li>1: Adequately supported - More than 1 person trained / 10 community groups</li> </ul>	Water point data / Input from the WaSH Program
Intervention	Training, management (WC_IN_03)	Institutional support to local water entities: Management training for community groups and water service providers.	Boolean	<ul> <li>O: Community groups with no training in management issues</li> <li>1: Community groups adequately trained in management issues</li> </ul>	Water point data / Input from the WaSH Program
Intermediate Factor	Gender parity (WC_IF_01)	Gender parity within water entities (minimum of 30% women representation in the management committee)	Boolean	<ul> <li>0: Gender inequity</li> <li>1: Gender equity (&gt; 30% women representation)</li> </ul>	Water point data
Intermediate Factor	Registrations (WC_IF_02)	Legal registration of water entities	Boolean	<ul><li>0: No legal registration</li><li>1: Legal Registration</li></ul>	Water point data
Intermediate Factor	Meetings (WC_IF_03)	Regular meetings of water entities	Boolean	<ul><li> 0: The water entity does not meet regularly</li><li> 1: The water entity meets regularly</li></ul>	Water point data

### Table 2 Extended list of nodes, their states and their calculation method: the Water – Capacity subnetwork

Intermediate Factor	Records (WC_IF_04)	Water entities keep records	Boolean	<ul><li>0: The water entity does not keep records</li><li>1: The water entity keeps records</li></ul>	Water point data
Intermediate Factor	Management issues (WC_IF_05)	Capacity of water entities to properly manage the service (in % of entities that correctly manage the water point)	Intervals	<ul> <li>Percentage of water entities:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intermediate Factor	User perception (WC_IF_06)	User perception of water point management	Boolean	<ul><li> 0: Unsatisfied</li><li> 1: Satisfied</li></ul>	Household data
Intervention	Management entity (WC_IN_04)	Management of the water point	Qualitative	<ul> <li>No management</li> <li>Water User Association (WUA)</li> <li>Management Committee</li> <li>Individual</li> <li>Local Government</li> <li>Institution (school, health facility, etc.)</li> <li>Others</li> </ul>	Water point data / Input from the WaSH Program
Intermediate Factor	Management (WC_IF_07)	Management of the water point	Boolean	<ul> <li>0: The water point is not managed by local entities</li> <li>1: The water point is managed at the local level, generally by user entities or the local government</li> </ul>	Water point data
Intermediate Factor (output node)	Payment system (WC_IF_08)	Water entities that have a payment system in place	Boolean	<ul><li> 0: Water entity with no payment system in place</li><li> 1: Water entity with a payment system in place</li></ul>	Water point data

Intermediate Factor	Financial control (WC_IF_09)	Water entities with a financial control system in place	Boolean	<ul> <li>0: Water entity with no financial control system in place</li> <li>1: Water entity with a financial control system in place</li> </ul>	Water point data
Intermediate Factor	Revenue collection, effectiveness (WC_IF_10)	Effectiveness of revenue collection (in % of water entities that are collecting revenues effectively)	Intervals	<ul> <li>Percentage of water entities:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intermediate Factor	Management system (WC_IF_11)	Management of water services (in % of water entities that manage the water point properly)	Intervals	<ul> <li>Percentage of water entities:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intervention	Training, maintenance (WC_IN_05)	Institutional support to local water entities. O&M training for community groups and water service providers	Boolean	<ul> <li>0: Community groups with no training in O&amp;M</li> <li>1: Community groups adequately trained in O&amp;M</li> </ul>	Water point data / Input from the WaSH Program
Intervention	Maintenance entity (WC_IN_06)	Maintenance of the water point	Qualitative	<ul> <li>No management</li> <li>Water User Association (WUA)</li> <li>Management Committee</li> <li>Individual</li> <li>Local Government</li> <li>Institution (school, health facility, etc.)</li> <li>Others</li> </ul>	Water point data / Input from the WaSH Program
Intermediate Factor	Maintenance (WC_IF_12)	Maintenance of the water point	Boolean	<ul> <li>0: The water point is not maintained by local entities</li> <li>1: The water point is maintained at the local level, generally by user entities or the local government</li> </ul>	Water point data

Intermediate Factor	Preventive maintenance (WC_IF_13)	Implementation of preventive maintenance programs	Discrete Numbers	<ul> <li>0: No preventive maintenance</li> <li>0.5: Some preventive maintenance, but not regularly</li> <li>1: Regular program of preventive maintenance</li> </ul>	Water point data / Expert Opinion
Intermediate Factor	Availability, technical skills (WC_IF_14)	Availability of technical skills, at local level	Discrete Numbers	<ul> <li>0: Tech. skills are not available when needed</li> <li>0.5: Tech. skills are available, but not for all repairs</li> <li>1: Tech. skills are available for all repairs</li> </ul>	Water point data / Expert Opinion
Intervention	Spare parts supply chain (WC_IN_07)	Support to spare parts supply chain development, at district level.	Discrete Numbers	<ul> <li>0: Spare parts are not available when needed</li> <li>0.5: Spare parts are available, but not for all repairs</li> <li>1: Spare parts are available for all repairs</li> </ul>	Water point data / Input from the WaSH Program
Intermediate Factor (output node)	Maintenance system (WC_IF_15)	Maintenance of water services (in % of water entities that maintain the water point properly)	Intervals	<ul> <li>Percentage of water entities:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Objective	WPI – Capacity (WC_OB_01)	Water entities that correctly maintain and manage the water points (in %)	Intervals	<ul> <li>Percentage of water entities:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression

Catagory	Name	Fundametica		States	_ Populating
Category	Ivanie	Explanation	Туре	Description	Method of CPT
Intervention	WaSH Program (S_IN_01)	Implementation of the WaSH Program: Construction of hygienic latrines with hand- washing facilities, distribution of materials by implementation of local component production units; and training of community sanitation promoters in construction of sanitation facilities.	Boolean	<ul> <li>No: "Business as Usual" - Current situation</li> <li>Yes: WaSH Program</li> </ul>	Input from the WaSH Program
Intervention	Construction of latrines (S_IN_02)	New beneficiaries accessing to improved sanitation facilities because of new construction of toilets	Boolean	<ul> <li>0: No new beneficiaries accessing to improved sanitation facilities</li> <li>1: New beneficiaries accessing to improved sanitation facilities because of new construction of toilets</li> </ul>	Input from the WaSH Program
Intermediate Factor	Sanitation ladder, JMP (S_IF_01)	Type of sanitation facility at the dwelling, based on the JMP criteria	Qualitative	<ul><li>Improved sanitation</li><li>Shared sanitation</li><li>Other unimproved sanitation</li><li>Open defecation</li></ul>	Household data
Intermediate Factor	Improved sanitation (S_IF_02)	Households accessing improved sanitation	Boolean	<ul><li> 0: Unimproved sanitation</li><li> 1: Improved sanitation</li></ul>	Household data
Intermediate Factor	Use of improved sanitation (S_IF_03)	Households using improved sanitation (in %). It includes those households that access a water point thanks to the program	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression

## **Table 3** Extended list of nodes, their states and their calculation method: the Sanitation network

Controlling factor	Wealth index (S_CF_01)	Wealth index, based on assets. Population is divided into quartiles	Qualitative	<ul><li>Poorest</li><li>Poor</li><li>Rich</li><li>Richest</li></ul>	Household data
Intermediate Factor	Affordability (S_IF_04)	Households with no latrine due to affordability issues	Boolean	<ul><li> 0: No latrine due to affordability issues</li><li> 1: No latrine due to other reasons</li></ul>	Household data
Intermediate Factor	Latrine location (S_IF_05)	Location of the latrine at the dwelling	Boolean	<ul> <li>0: the latrine is located outside the compound</li> <li>1: the latrine is located in the same compound</li> </ul>	Household data
Intermediate Factor	Access, physical (S_IF_06)	Household accessing a toilet facility in the same house or compound (in %)	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Objective	<b>SPI – Access</b> (S_OB_01)	Households with access to improved, affordable and physically accessible sanitation facilities (in %)	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intervention	Training, technical skills (S_IN_03)	Training of community sanitation promoters in construction of sanitation facilities.	Discrete Numbers	<ul> <li>0: Poor access to skills for latrine reparation <ul> <li>Less than 2 community sanitation</li> <li>promoters per 1000 households</li> </ul> </li> <li>0.5: Acceptable access - 2 to 4 community sanitation promoters per 1000 households</li> <li>1: Adequate access - More than 4 community sanitation promoters per 1000 households</li> </ul>	Household data / Input from the WaSH Program

Intervention	Materials distribution (S_IN_04)	Distribution of materials by implementation of local component production units	Discrete Numbers	<ul> <li>0: Poor access to skills for latrine reparation <ul> <li>Less than 1 local component production unit per 1000 households</li> <li>0.5: Acceptable access - 1 to 3 local component production units per 1000 households</li> <li>1: Adequate access - More than 3 local component production units per 1000 households</li> </ul> </li> </ul>	Household data / Input from the WaSH Program
Objective	<b>SPI – Capacity</b> (S_OB_02)	Households with adequate access to skills and materials for latrine maintenance and repair (in %)	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intermediate Factor	Insects (S_IF_07)	Presence of insects	Discrete Numbers	<ul> <li>0: Presence of a lot of insects</li> <li>0.5: Presence of few insects</li> <li>1: No presence of insects</li> </ul>	Household data / Expert Opinion
Intermediate Factor	Unpleasant smell (S_IF_08)	Presence of unpleasant smell	Discrete Numbers	<ul> <li>0: Presence of a strong unpleasant smell</li> <li>0.5: Presence of a slight unpleasant smell</li> <li>1: No smell</li> </ul>	Household data / Expert Opinion
Intermediate Factor	Privacy (S_IF_09)	Privacy conditions of the facility	Discrete Numbers	<ul> <li>0: No privacy</li> <li>0.5: Poor privacy</li> <li>1: Adequate privacy</li> </ul>	Household data / Expert Opinion
Intermediate Factor	Cleanliness (S_IF_10)	Cleanliness conditions of the latrines	Discrete Numbers	<ul> <li>0: No clean</li> <li>0.5: Poorly clean</li> <li>1: Adequately clean</li> </ul>	Household data / Expert Opinion

# Supplementary Materials

Intermediate Factor	Latrine conditions (S_IF_11)	Hygienic condition of the latrine (% of latrines found in excellent conditions)	Intervals	<ul> <li>Percentage of latrines:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Objective	<b>SPI – Capacity</b> (S_OB_03)	Households using improved sanitation	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression

Catagory	Name	Employedian		States	Populating
Category	Ivaille	Explanation	Туре	Description	Method of CPT
Intervention	WaSH Program (H_IN_01)	Implementation of the WaSH Program: Promotion of adequate hygiene practices, specially hand washing with soap (or ash) at critical occasions; and promotion of point of use water treatment, safe water storage and hygienic handling of water	Boolean	<ul> <li>No: "Business as Usual" - Current situation</li> <li>Yes: WaSH Program</li> </ul>	Input from the WaSH Program
Intervention	Hygiene education (H_IN_02)	Hygiene education, through direct and mass marketing	Boolean	<ul> <li>0: Households that have not received hygiene education</li> <li>1: Households that have received hygiene education</li> </ul>	Input from the WaSH Program
Controlling factor	Educational level (H_CF_01)	Educational level, based on a proxy of households head literacy (% of household heads with primary completed)	Boolean	<ul> <li>0: Household head is illiterate (primary not completed).</li> <li>1: Household head is literate (primary completed).</li> </ul>	Household data
Intermediate Factor (output node)	Hygiene awareness (H_IF_01)	Health knowledge and hygiene awareness at the household level (in %). It assumes that educational level plays a key role to ensure efficacy of hygiene promotion campaigns	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intermediate Factor	Compound cleaned (H_IF_02)	Compound swept on day of visit	Boolean	<ul><li> 0: Compound NO swept on day of visit.</li><li> 1: Compound swept on day of visit.</li></ul>	Household data / Expert Opinion

# **Table 4** Extended list of nodes, their states and their calculation method: the Hygiene network

Intermediate Factor	Animals running Freely (H_IF_03)	Animals running around freely in the compound	Boolean	<ul> <li>0: Animals running freely around in the compound.</li> <li>1: No Animals running freely around in the compound.</li> </ul>	Household data / Expert Opinion
Intermediate Factor	Presence of feces (H_IF_04)	Presence of human/animal feces in the compound	Boolean	<ul> <li>0: Presence of human / animal feces in the compound</li> <li>1: No presence of human / animal feces in the compound</li> </ul>	Household data / Expert Opinion
Objective	HPI - Domestic Hygiene (H_OB_01)	Households with adequate domestic hygiene	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Intermediate Factor	Hygienic habits, water storage (H_IF_05)	Hygienic habits, at household level. It assesses adequacy of water storage to prevent contamination as a proxy of hygienic habits within the household members.	Discrete Numbers	<ul> <li>0: Stored water with risk of contamination</li> <li>0.33: Stored water with medium risk of contamination</li> <li>0.66: Stored water with low risk of contamination</li> <li>1: Stored water with NO risk of contamination</li> </ul>	Household data / Expert Opinion
Intervention	Household water treatment (H_IN_03)	Promotion of household water treatment, through direct marketing. Households that adequately treat water at the point-of-use (adequacy of water treatment is consistent with the JMP standards)	Boolean	<ul> <li>0: Households with no point-of-use water treatment</li> <li>1: Households with point-of-use water treatment</li> </ul>	Household data / Input from the WaSH Program
Objective	HPI – Drinking Water Quality (H_OB_02)	Households with adequate drinking water quality	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression

Intermediate Factor	Children's stools disposal (H_IF_06)	Caregivers who correctly handle baby excreta (adequacy of methods to handle baby excreta is consistent with the JMP standards)	Boolean	<ul> <li>0: Caregivers who do not handle baby excreta correctly</li> <li>1: Caregivers who correctly handle baby excreta</li> </ul>	Household data / Expert Opinion
Intermediate Factor	Drying rack (H_IF_07)	Households with a drying rack for plates and cups	Boolean	<ul><li>0: No drying rack</li><li>1: Existence of a drying rack for plates and cups</li></ul>	Household data / Expert Opinion
Intervention	Hand-washing promotion (H_IN_04)	Hand-washing promotion through direct marketing	Boolean	<ul> <li>0: Households that have not received hand-washing education</li> <li>1: Households that have received hand-washing education</li> </ul>	Household data / Input from the WaSH Program
Intervention	Hand-washing, hardware (H_IN_05)	Households with an hand-washing device with soap around the latrine	Discrete Numbers	<ul> <li>0: No hand-washing device around latrine</li> <li>0.5: Hand-washing device around latrine with no soap</li> <li>1: Hand-washing device around latrine with soap</li> </ul>	Household data / Input from the WaSH Program
Intermediate Factor	Hand-washing, how (H_IF_08)	Adequate method for hand-washing. Proper hand- washing meets following criteria: i) uses water, ii) uses soap or ash, iii) washes both hands, iv) rubs hands together at least three times, and v) dries hands hygienically, i.e. by air drying or using a clean cloth	Boolean	<ul> <li>0: Caregivers who do not wash their hands correctly</li> <li>1: Caregivers who wash their hands correctly</li> </ul>	Household data / Expert Opinion
Intermediate Factor	Hand-washing, when (H_IF_09)	Critical times for hand-washing. Critical times include i) after defecation, ii) after cleaning babies' bottoms, iii) before food preparation, iv) before eating, and v) before feeding children.	Boolean	<ul> <li>0: Caregivers who do not wash their hands at critical times</li> <li>1: Caregivers who wash their hands at critical times</li> </ul>	Household data / Expert Opinion

Intermediate Factor	Hand-washing (H_IF_10)	Hand-washing, in terms of method and frequency (in % of caregivers who wash their hands correctly and at critical moments)	Intervals	<ul> <li>Percentage of caregivers:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Objective	HPI - Food Hygiene (H_OB_03)	Households with adequate food-related hygiene	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression
Objective	<b>HPI - Personal</b> <b>Hygiene</b> (H_OB_04)	Households with adequate personal hygiene	Intervals	<ul> <li>Percentage of households:</li> <li>0 - 0.25; 0.25 - 0.50; 0.50 - 0.75; 0.75 - 1</li> </ul>	Mathematical expression

### A novel planning approach for the water, sanitation and hygiene (WaSH) sector: the use of Object Oriented Bayesian Networks

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Node	Program Outcome	States	CPT - Business as usual <sup>a</sup>	CPT – WaSH Program <sup>a</sup>
Construction of water points	1.3 million people will use new safe and sustainable sources of drinking water (25 liters per person per day obtained with a 30 minutes or shorter round trip)	No new beneficiaries accessing improved drinking water points	1	0.82
		New beneficiaries accessing improved drinking water points	0	0.18
Rehabilitation of water points	310,000 people will use newly rehabilitated safe and sustainable sources of drinking water (25	No new beneficiaries accessing improved drinking water points	1	0.96
	liters per person per day obtained within 30 minutes round trip)	New beneficiaries accessing improved drinking water points	0	0.04
Promotion of multiple uses	At least 1 action will be implemented in every recipient district to promote multiple uses	No promotion of multiple uses of water	1	0.5
of water	of water	Promotion of multiple uses of water	0	0.5
Water quality surveillance	At least 1 action will be implemented in every recipient district to promote water quality surveillance and monitoring	No water quality surveillance program	1	0.5
		Implementation of water-quality surveillance programs	0	0.5
Institutional capacity	Local institutional frameworks will be strengthened by training 1,900 people who will assist communities with regard to water point management	Poorly supported - The service is not being supported by local authority	0.55	0.35
		Acceptably supported - The service is supported, but not regularly	0.45	0.5
		Adequately supported - The service is adequately supported by local authority	0	0.15
Training in management	4,147 community groups and service providers will be trained in water point management issues	Community groups with no training in management issues	0.79	0.65
		Community groups adequately trained in management issues	0.21	0.35
Management,	4,147 community groups and service providers will be trained in water point management issues	No management	0,35	0,21
entity		Water User Association (WUA)	0,08	0,08
		Management Committee	0,34	0,48
		Individual	0,13	0,13
		Local Government	0,04	0,04
		Institution (school, health facility,)	0,03	0,03
		Others	0,03	0,03

#### Table 5 CPTs of the "intervention" variables in two simulated scenarios - Water Supply

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Training in maintenance	4,147 community groups and service providers will be trained in water point operation and maintenance issues	Community groups with no training in O&M	0.82	0.68
		Community groups adequately trained in O&M	0.18	0.32
Maintenance,	4,147 community groups and service providers will be trained in water point operation and maintenance issues	No maintenance	0,36	0,22
entity		Water User Association (WUA)	0,07	0,07
		Management Committee	0,32	0,46
		Individual	0,13	0,13
		Local Government	0,04	0,04
		Institution (school, health facility,)	0,04	0,04
		Others	0,04	0,04
Support in spare parts supply	At least 1 action will be taken in every recipient district to improve spare parts supply	Spare parts are not available when needed	0.28	0.14
		Spare parts are available, but not for all repairs	0.17	0.24
		Spare parts are available for all repairs	0.55	0.62

<sup>a</sup> Values represent the set of probabilities, one for each variable, specifying the belief that a node will be in a particular state given the two implementation scenarios outlined above: i) "Business As Usual", and ii) WaSH Program

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Node	Program Outcome	States	CPT - Business as usual <sup>a</sup>	CPT – WaSH Program <sup>a</sup>
Construction of latrines	1.6 million people will use newly constructed hygienic latrines with hand washing facilities at household level	No new beneficiaries accessing to improved sanitation facilities	1	0.78
		New beneficiaries accessing to improved sanitation facilities	0	0.22
Training in technical skills	3,000 community sanitation promoters will be trained in construction of sanitation facilities	Poor access to skills for latrine reparation	0.48	0.40
		Acceptable access to skills for latrine reparation	0.52	0.25
		Adequate access to skills for latrine reparation	0	0.35
Materials distribution	1,800 local component production units will be set up	Poor access to materials for latrine reparation	0.59	0.45
		Acceptable access to materials for latrine reparation	0.41	0.40
		Adequate access to materials for latrine reparation	0	0.15

Table 6 CPTs of the "intervention" variables in two simulated scenarios - Sanitation

<sup>a</sup> Values represent the set of probabilities, one for each variable, specifying the belief that a node will be in a particular state given the two implementation scenarios outlined above: i) "Business as usual", and ii) WaSH Program

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Node	Program Outcome	States	CPT - Business as usual <sup>a</sup>	CPT – WaSH Program <sup>a</sup>
Hygiene education	70% of 1.95 million new practitioners will adopt appropriate hygiene practices. Hygiene education to households will be carried out through direct marketing	Households that have not received hygiene education	0.87	0.68
		Households that have received hygiene education	0.13	0.32
Handwashing promotion	70% of 1.95 million new practitioners will adopt appropriate handwashing practices. This intervention will be carried out through direct marketing as well	Households that have not received hand-washing education	0.87	0.68
		Households that have received hand- washing education	0.13	0.32
Handwashing, hardware	1.6 million people will use newly constructed hygienic toilet facilities with handwashing facilities at household level	Households with no handwashing device around the latrine	0.92	0.78
		Households with a handwashing device around the latrine, with no soap	0.07	0
		Households with a handwashing device around the latrine, with soap	0.01	0.22
Household water treatment	70 % of 1.95 million new practitioners will practice point of use water treatment, safe water storage and hygienic handling of water. This intervention will be carried out through direct marketing	Households with no point-of-use water treatment	0.53	0.34
		Households with adequate point-of- use water treatment	0.47	0.66

Table 7 CPTs of the "intervention" variables in two simulated scenarios - Hygiene

<sup>a</sup> Values represent the set of probabilities, one for each variable, specifying the belief that a node will be in a particular state given the two implementation scenarios outlined above: i) "Business as usual", and ii) WaSH Program