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Abstract: The buoyancy suppression by low energy sonication (LES) treatment ( $0.8\text{W}\cdot\text{mL}^{-1}$ , 20kHz, 10s) has recently been proposed as an initial harvesting step for *Arthrospira* sp. This paper aims to describe the structural changes in *Arthrospira* sp. after LES treatment and to present how these structural changes affect the results obtained by different analytical techniques. Transmission electron microscopy (TEM) micrographs of trichomes evidenced the gas vesicles rupture but also revealed a rearrangement of thylakoids and more visible phycobilisomes were observed. Differences between treated and untreated samples were detected by confocal microscopy, flow cytometry and optical microscopy but not by electrical impedance spectroscopy (EIS). After LES treatment, 2-fold increase in autofluorescence at 610/660nm was measured (phycocyanin/allophycocyanin emission wavelengths) and a ten-fold decrease in side scatter light intensity (due to a reduction of trichome's inner complexity). This was further confirmed by optical microscopy showing changes on trichomes appearance (from wrinkled to smooth).

Highlights.

- Low Energy Sonication (LES) treatment suppresses *Arthrospira* sp buoyancy
- TEM images evidenced that loss of buoyancy was related to gas vesicles rupture
- TEM revealed structural changes after treatment, like circular-shaped thylakoids
- Structural changes can be detected by FACs, confocal and optical light microscopy

# Structural changes of *Arthrospira* sp. after low energy sonication treatment for microalgae harvesting: elucidating key parameters to detect the rupture of gas vesicles

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1 **ABSTRACT**

2 The buoyancy suppression by low energy sonication (LES) treatment ( $0.8\text{W}\cdot\text{mL}^{-1}$ ,  
3  $20\text{kHz}$ ,  $10\text{s}$ ) has recently been proposed as an initial harvesting step for *Arthrospira*  
4 sp. This paper aims to describe the structural changes in *Arthrospira* sp. after LES  
5 treatment and to present how these structural changes affect the results obtained  
6 by different analytical techniques. Transmission electron microscopy (TEM)  
7 micrographs of trichomes evidenced [the gas vesicles rupture](#) but also revealed a  
8 rearrangement of thylakoids and [more visible phycobilisomes were observed](#).  
9 Differences between treated and untreated samples were detected by confocal  
10 microscopy, flow cytometry and optical microscopy but not by electrical impedance  
11 spectroscopy (EIS). After LES treatment, [2-fold increase in autofluorescence at](#)  
12 [610/660nm was measured](#) (phycocyanin/allophycocyanin emission wavelengths)  
13 and a ten-fold decrease in side scatter light [intensity \(due to a reduction of](#)  
14 [trichome's inner complexity\)](#). This was further confirmed by optical microscopy  
15 [showing changes on trichomes appearance \(from wrinkled to smooth\)](#).  
16

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17 **KEYWORDS**

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19 gas vesicles rupture; microalgae harvesting monitoring.

20

## 21 1. INTRODUCTION

22 Microalgae production for nutritional applications has increased by 5-fold since the  
23 beginning of the 21st century (Ismail, 2010). Besides the increase of dried  
24 microalgae powder consumption, many industries have shown a growing interest in  
25 microalgae-based high-value molecules like pigments, fatty acids and anti-oxidants  
26 among others (Borowitzka, 2013; Vigani et al., 2015). Even more promising is the  
27 potential worldwide market of microalgae-derived biofuels (Mata et al., 2010), a  
28 technology which still needs some improvement. However, the need of processing  
29 large volumes of broth containing very low cell densities (Belay, 2013) is a barrier  
30 for the economic viability of such processes. It has been estimated (Grima et al.,  
31 2003) that between 20 to 50% of the total cost of microalgae production can be  
32 attributed to biomass harvesting and dewatering.

33

34 Microalgae harvesting efficiency is strongly linked to microalgae properties  
35 (basically cell surface charge, cell size and density) and consequently there is not  
36 a universal harvesting method for microalgae. While large cells can be harvested  
37 by filtration methods, sedimentation and centrifugation are normally adequate for  
38 cells with higher density than the culture media. Moreover, the use of flocculants  
39 (either by themselves or as part of air flotation t) facilitates microalgae harvesting of  
40 those species with densities more similar to the culture broth either by air flotation  
41 or sedimentation(Lecina et al., 2016). In other cases, this approach might be  
42 inefficient due to the presence of gas vesicles inside the cells (Walsby, 1972 and  
43 Pfeifer, 2012) and/or the production of an exopolymer, as occurs in *Arthrospira* sp.

44 cultures and in other cyanobacteria strains. Gas vesicles provide buoyancy  
45 whereas exopolymers can clog up filtration membranes quite fast and also can  
46 neutralize cell surface charges making ineffective the use of ionic flocculants.

47

48 One of the most important parameters used when comparing harvesting methods  
49 is the energy deposition. As a summary, Table I compiles different methods for  
50 microalgae harvesting and their estimated energy deposition. To address the high  
51 energy deposition required to harvest *Arthrospira* sp., we have recently described  
52 the use of a low energy sonication treatment that suppresses its buoyancy. This  
53 method preserves the cell integrity and viability (Lecina et al., 2015) and allows the  
54 use of sedimentation methods as a dewatering step.

55

56 Different applications of ultrasound treatments to microalgae cultures can be found  
57 in the literature as shown in Table II. Ultrasound treatment has been used to  
58 control blooms of toxic species (mainly *Microcystis aeruginosa*) in water reservoirs  
59 (Rajasekhar et al., 2012), as well as in cell disruption processes for the extraction  
60 of intracellular products (dos Santos et al., 2015). These treatments aim to either  
61 disrupt the cell or to suppress cell viability through the application of ultrasound  
62 with a frequency in the kHz range. Under such conditions the mechanism acting is  
63 called transient cavitation which consists in the creation of small bubbles that  
64 release a large amount of energy when collapsing. This sudden release of energy  
65 generates harmful effects on microalgae such as: cell growth inhibition, cell rupture  
66 (Hao et al., 2004), enzymes inactivation (Lee et al., 2001), damage of antenna  
67 complexes (Zhang et al., 2006) and photosystems resulting in a reduction of the

68 absorption peak of phycocyanin (Tang et al., 2003) and membrane breakage (Ahn  
69 et al., 2003) among others. If we compare the energy deposition of these methods  
70 with those of Table I it is clear that they are one order of magnitude higher. We  
71 refer to them as high energy sonication treatments based on their energy  
72 deposition.

73

74 The ultrasound treatment methods presented in Bosma *et al.* (Bosma et al., 2003)  
75 and Lecina *et al.* (Lecina et al., 2015) preserve cell integrity and viability although  
76 through different means. The ultrasonic cell separation presented in the former is  
77 based on applying frequencies in the MHz range in order to agglomerate cells in  
78 large aggregates that can sediment on their own. The energy deposition is  
79 significantly higher when comparing to the methods shown in Table I and on par  
80 with some of the high energy methods presented in Table II. The method  
81 presented by Lecina *et al.* uses ultrasounds in the kHz range and the mechanism  
82 is based on stable cavitation (low energy is generated from bubble resonance)  
83 (Mason, T.J. et al. 2002). The energy deposition of this method resulted in lower  
84 values than some of the centrifugation methods shown in Table I. When followed  
85 by a sedimentation step, the biomass harvesting yields reached values closer to  
86 90% of total biomass recovery with an energy deposition about 1.1-2.2 kWh/m<sup>3</sup>,  
87 while preserving cell viability.

88

89 However, there is no information about the effect of such low energy sonication  
90 treatment on *Arthrospira* sp. trichomes. In this sense, the paper describes the  
91 structural changes responsible for the suppression of buoyancy in *Arthrospira* sp.



92 after the low energy sonication treatment. Also the paper aims to describe how the  
93 results obtained by several analytical techniques were affected by the structural  
94 changes occurred after the treatment in order to evaluate the **potentiality** of those  
95 techniques to be applied for process monitoring in the future.

96

## 97 **2. MATERIAL AND METHODS**

### 98 **2.1. Cyanobacteria strain and culture conditions**

99 The *Arthrospira* sp. strain PCC8005 was used in this work. Cells were kept in an  
100 incubator with 1% CO<sub>2</sub> atmosphere and 95% of humidity (Forma scientific). Culture  
101 conditions were kept as follows: shaking rate of 100 rpm, **a temperature of 35°C**  
102 and warm light (3000K) provided around the clock by LED (Light Emitting Diode)  
103 lamps at a photon flux of 54 μmol photons m<sup>2</sup>·s<sup>-1</sup>. Cells passaging and  
104 maintenance was performed once a week in 250mL shake flasks with Zarrouk  
105 medium using a 10-fold dilution rate.

106

107 The production of large volumes of *Arthrospira* sp. for experimental purposes was  
108 carried out in a 2-Liter photobioreactor (Sartorius Stedim, Germany) equipped with  
109 2 marine impellers and a stirring rate of 200 rpm. The reactor was operated in  
110 continuous mode with a dilution rate of 0.03 h<sup>-1</sup>. **The pH was set at 9.5**, it was  
111 monitored with a pH probe (Mettler Toledo) and controlled by CO<sub>2</sub> addition. The  
112 dissolved oxygen concentration was monitored using a polarographic electrode  
113 (Ingold) and maintained at 80% of saturation using a nitrogen-air mixture. LED  
114 **lamps provided uninterrupted warm light at 405 μmol photons m<sup>-2</sup> s<sup>-1</sup>.**

115

## 116 **2.2. Low energy sonication treatment**

117 Samples of 100 mL of volume were taken from the steady state of the 2L-  
118 bioreactor operating in continuous mode. Then samples were sonicated using an  
119 ultrasound homogenizer (Braun Labsonic 2000, Germany) at 20 kHz and room  
120 temperature. The ultrasound probe used was a Needle Probe (853811/5) of 127  
121 mm of length and a diameter of 4 mm. The homogenization of samples for  
122 morphological studies required the use of a nominal sonication power of 80W for  
123 10 seconds. Under this [sonication conditions](#) cells lose their buoyancy without any  
124 detrimental effect on cell [integrity and viability](#).

125

## 126 **2.3. Optical density**

127 A bench spectrophotometer (Philips PU8620, Netherlands) was used to determine  
128 the optical density of samples. When needed, appropriate sample dilutions were  
129 performed to ensure that absorbance values were between of 0.1–0.9 AU at 530  
130 nm (OD530).

131

## 132 **2.4. Microscopy imaging**

133 Live samples were mounted on Mat-Teck culture dishes (Mat Teck Corp.,  
134 Massachusetts, United States) prior to their observation using a confocal spectral  
135 Fluoview1000 (Olympus, Tokyo, Japan) with a 60x (1.35 NA oil immersion)  
136 Universal Plan Apochromat Lens (UPlanApo) objective. Differential interference  
137 contrast (DIC) was visualized using the 488 nm excitation argon laser.

138 Autofluorescence from photosynthetic pigments was excited using the orange  
139 diode 559 nm (570-630 nm emission collected).  
140  
141 For measurements of emission spectra, a wavelength  $\lambda$ -scan function of the  
142 confocal microscopy was used. Images were acquired with the same objective.  
143 Photosynthetic pigments were excited with a 488 nm line of an Argon laser.  
144 Fluorescence emission was captured in 20 nm bandwidth increments (step size=10  
145 nm) in the range 500 nm to 680 nm. A Region of Interest (ROI) in the thylakoid  
146 area was defined to determine Mean Fluorescence Intensity (MFI) in relation to the  
147 emission wavelength. Fluorescence measurements were expressed in arbitrary  
148 units (a.u.).

149

## 150 **2.5. Transmission Electron Microscopy**

151 Treated and non-treated samples were transferred to planchettes (3 mm diameter  
152 and 200  $\mu\text{m}$  depth) and immediately cryo-immobilized using a Leica EM HPM 100  
153 high-pressure freezer (Leica, Austria) and then stored in liquid nitrogen until further  
154 use. They were freeze-substituted over 3 days at  $-90\text{ }^{\circ}\text{C}$  in anhydrous acetone  
155 containing 2% osmium tetroxide and 0.1% uranyl acetate at  $-90\text{ }^{\circ}\text{C}$  for 72 hours  
156 and warmed to room temperature, at  $5\text{ }^{\circ}\text{C}$  per hour (EM AFS-2, Leica, Austria).  
157 After several acetone rinses in increasing concentrations of acetone, samples were  
158 infiltrated with Epon resin (Electron Microscopy Sciences, USA) during 2 days and  
159 flat embedded in a thin layer of resin and polymerized at  $60\text{ }^{\circ}\text{C}$  during 48 h.  
160 Ultrathin sections were obtained using a Leica Ultracut UC6 ultramicrotome and  
161 mounted on Form var-coated copper grids. They were stained with 2% uranyl

162 acetate in water and lead citrate. Then, sections were observed in a JEOL 1010  
163 TEM (Jeol, Japan) at 80 kV accelerating voltage.

164

## 165 **2.6. Electrical Impedance Spectroscopy**

166 The measurement system was based on an HP4192A impedance analyzer  
167 (Hewlett Packard, USA). The system was controlled by customized Labview  
168 (National Instruments, TX, USA) based software using an 82357B USB/GPIB  
169 interface. The impedance spectrum was measured with the four-electrode  
170 impedance technique performing a frequency sweep between 1 kHz and 10 MHz  
171 (10 frequency points per decade). Each measurement included an average of 10  
172 sweeps. A customized front-end stage was used connected to the HP4192 to  
173 mitigate measurement errors. The technical details regarding the performance of  
174 the front-end can be found elsewhere (Sarro et al., 2012). Impedance  
175 measurements were calibrated using a baseline solution measurement so the  
176 frequency response of cables and electronics could be accounted for. The  
177 measurement cell was a PMMA prism (4cmx4cmx10cm) with four stainless steel  
178 (AISI316) rod electrodes at the bottom.

179

180 Starting from low energy sonication treated and non-treated samples at a  
181 concentration of  $25 \text{ g}\cdot\text{L}^{-1}$ , 5 consecutive 1:2 dilutions were prepared spanning a  
182 concentration range from  $0.78$  to  $25 \text{ g}\cdot\text{L}^{-1}$ . The two sets of six solutions each were  
183 then assessed by EIS. The resulting datasets were fitted to the Cole model  
184 (Equation 1) to obtain the Cole model parameters.

185

186 Impedance data was fitted to the Cole impedance model which is an empirical  
187 complex nonlinear function model in the (angular) frequency  $f$ .

188

$$Z(f, \theta) = R_{\infty} + \frac{R_0 - R_{\infty}}{1 + \left( j \frac{f}{f_c} \right)^{\alpha}},$$

189 Equation 1:

190

191 From the data fitting to the model, the theoretical values of impedance magnitude  
192 at a very low frequencies (i.e.  $f \rightarrow 0$ ),  $R_0$ , at very high frequencies (i.e.  $f \rightarrow \infty$ ),  $R_{\infty}$ ,  
193 the central relaxation frequency,  $f_c$ , and the  $\alpha$  parameter could be obtained. The  
194 central relaxation frequency  $f_c$ , depends on the cell size and corresponds to the  
195 frequency with the highest absolute value of the impedance imaginary part. The  $\alpha$   
196 parameter corresponds to the depression of the Cole impedance arc away from a  
197 perfect semicircle shape ( $\alpha = 1$ ) in the Cole plot, and takes into account the  
198 dispersion in the measured cellular membrane capacitances.

199

200 To prevent the interpretation of the biomass impedance measurements being  
201 influenced by temperature or conductivity variation effects, less sensitive  
202 estimators like phase angle at high frequency or relative variation of the impedance  
203 magnitude at low and high frequencies were used.

204

## 205 **2.7. Flow cytometry**

206 Relative size and cell structure complexity were analyzed by a cell flow cytometer  
207 Guava EasyCyte (Massachusetts, USA) equipped with two excitation lasers (blue

208 at 488nm and red at 640 nm). Forward scatter (FSC) and side scatter (SSC) were  
209 collected in linear mode. A total cell count per analysis was fixed to 10000 events.  
210 Cell density of both treated and non-treated samples was diluted to an optical  
211 density (OD530) about 1. Data from flow cytometer measurements were analyzed  
212 using GuavaSoft software provided by the vendor.

213

## 214 **2.8. Statistical analysis**

215 Numerical results are presented as the average of at least three independent  
216 replicates (n=3). Error bars represents the standard deviation.

217 A paired Students' *t*-test was run in order to compare both sets of samples, before  
218 and after the treatment. The outcome of the test was that the sets of samples were  
219 significantly different with a p-value of 0.0056 ( $p < 0.05$ ).

220 The fitting to the Cole-Cole arc equation was performed following a complex (in the  
221 sense of using complex numbers) non-linear fitting method that does not provide a  
222  $R^2$  correlation coefficient, but a root mean square error which has not given values  
223 above 5% in the worst case.

## 224 **3. RESULTS AND DISCUSSION**

225 Low energy sonication treatment ( $0.8W \cdot mL^{-1}$  for 10 seconds) was applied to  
226 different *Arthrospira* sp. samples obtained from the steady state of a CST-PBR  
227 (Continuous Stirred Tank Photobioreactor) in order to suppress microalgae  
228 buoyancy. The outlet cell concentration of the CST-PBR was around  $1 g L^{-1}$   
229 corresponding to the cell density in large scale photobioreactors. Treated samples

230 were analyzed by different techniques and compared to controls (non-treated  
231 samples)

232

### 233 **3.1 Transmission Electron Microscopy (TEM)**

234 Treated and non-treated samples were analyzed by [transmission electron](#)  
235 [microscopy \(TEM\)](#) in order to elucidate the changes in the cyanobacteria inner  
236 structure. No differences were observed either in the cell wall or in the cross walls  
237 and also the trichomes' size was comparable in both [treated \(T\) and non-treated](#)  
238 [samples \(NT\)](#). This confirmed the integrity of the cells after the low energy  
239 sonication treatment as suggested by our cell growth studies previously published  
240 (Lecina et al., 2015).

241

242 Three significant differences could be observed from the TEM micrographs  
243 between treated and non-treated samples. The first one concerns the morphology  
244 of the gas vesicles. In cyanobacteria (i.e. *Arthrospira*), gas vesicles are hollow  
245 cylindrical gas-filled structures of between 340 to 750 nm in length and 60 to 110  
246 nm in width and they are responsible for providing buoyancy (Walsby, 1972,  
247 [Pfeifer, 2012](#)). In our case, their length and width can be clearly seen in the  
248 images, corresponding to the blackish longitudinal and circular sections within the  
249 trichomes in the orthogonal cuts of untreated cells (A, B and C), [whereas only](#)  
250 [vesicles thin threads could be seen in treated cells](#) (D, E and F). [Longitudinal](#)  
251 [straight thylakoids and abundant gas vesicles can be observed into intrathylakoidal](#)  
252 [spaces in non-treated samples \(B\), while only curled bundles of thylakoidal](#)  
253 [membranes without gas vesicles can be seen in treated samples \(E\).](#)

254 As recently reported (Dehghani, M. H. 2016 and cites therein) , the rupture of the  
255 gas vesicles is the cause of the loss of buoyancy of the treated cells. The second  
256 main difference was found in the arrangement of thylakoids within cells. In non-  
257 treated samples the gas vesicles are located into the cytoplasm between straight-  
258 shaped thylakoids, which changed to curled shaped around the location where gas  
259 vesicles were previously to the ultrasound treatment. Thirdly, phycobilisomes can  
260 be more clearly observed in the low energy sonication treated trichomes (G)  
261 compared to those trichomes from the control samples.

262

### 263 **3.2 Confocal Microscopy**

264 As the thylakoid membrane is the site of the light-dependent reactions of  
265 photosynthesis, confocal microscopy was used to assess if the large differences in  
266 thylakoid arrangement between treated and non-treated samples had any effect on  
267 the photosynthetic pigments fluorescence (Figure 1).

268

269 The treated aliquot showed that the photosynthetic system of cyanobacteria was  
270 not negatively affected by the ultrasounds treatment, since fluorescence of cells did  
271 not decrease. Actually, a 2-fold increase in phycobiliproteins fluorescence intensity  
272 of ultrasounds-treated samples was observed. In particular, the maximum  
273 autofluorescence was emitted by phycocyanin (PC, 610 nm) and allophycocyanin  
274 (APC, 660 nm).

275

276 These results differ from those published in other studies where higher energy  
277 sonication conditions were applied. The intracellular concentration of chlorophyll a



278 after ultrasounds treatment at  $0.086 \text{ W}\cdot\text{mL}^{-1}$  for 16 minutes decreased differently  
279 depending on the specie of microalgae studied, ranging from the 50% to 15% for  
280 *Dunaliella salina* or *Nannochloropsis oculata* respectively (Joyce et al., 2014). The  
281 decrease in fluorescence was attributed to a chlorophyll a leakage to the  
282 extracellular medium as a result of cell disruption. Other authors observed an  
283 increase in fluorescence of sonicated microalgae samples when measuring the  
284 whole sample (intra- and extracellular fluorescence determined with a microplate  
285 reader) (Wang et al., 2014). In other works it was suggested that cell disruption in  
286 smaller fragments or cell wall rupture led to higher fluorescence measurements  
287 (Gerde et al., 2012). However, it should be considered in the latter case that the  
288 fluorescence emitted by soluble chlorophyll a released to the extracellular media  
289 was not mitigated due to the overlapping effect of cells or cell fragments, what  
290 might contribute to the higher fluorescence measured. Thus, an increase in  
291 fluorescence would be expected when distinction between intra and extracellular  
292 fluorescence was not taken into account. On the contrary, a series of papers have  
293 reported a drop of chlorophyll a or intracellular fluorescence of many microalgae  
294 species after ultrasound treatment. A treatment with an energy deposition of  $26.67$   
295  $\text{kWh/m}^3$  (25 kHz,  $0.32 \text{ W mL}^{-1}$  for 5 minutes) led to a reduction of chlorophyll a by  
296 21% and a PC (Phycocyanin) absorbance decrease about 45% while cell  
297 concentration was only reduced by 10% in *M. aeruginosa* (Zhang et al., 2006).  
298 Similar effects were found when high frequency ultrasound treatment (1.7 MHz, 0.6  
299  $\text{W/cm}^2$  for 5 minutes, energy deposition not quantifiable) was applied to *Arthrospira*  
300 *platensis* (Tang et al., 2003).

301

302 In the experimental conditions analyzed, since neither cell lysis nor cell disruption  
303 were observed in TEM micrographs (Figure S1), the fluorescence increase  
304 measured after low energy sonication treatment did not match any of the  
305 explanations presented above. Our hypothesis is [related to thylakoids](#)  
306 [rearrangement that could lead](#) to an effective increase of pigments fluorescence  
307 due to changes in the [efficiency of photosystems](#). When both photosystems (I and  
308 II) are decoupled, part of the energy that the photosystem II transfers to the  
309 photosystem I through different photosynthetic pigments could emitted as  
310 fluorescence ([Ector et al, 2012](#)). [Inman \(Inman, 2004\) showed that an ultrasound](#)  
311 [treatment resulted in a small but statistically significant reduction in chlorophyll a](#)  
312 [concentration, what would also explain the fluorescence increase observed in](#)  
313 [present study](#).

314

315 Finally, is worth mentioning that the emitted fluorescence of the photosynthetic  
316 pigments occurred in the red light spectra emission wavelengths which has usually  
317 been associated to healthy cells ([Tashyreva et al., 2013](#)). This is consistent with  
318 the high cell viability observed after low energy sonication treatment.

319

### 320 **3.3 Optical light microscopy and flow cytometry analysis**

321 Changes on cell morphology were also assessed by optical light microscopy. Non-  
322 treated cultures presented an internal roughness appearance, probably due to the  
323 gas vesicles being in the path of the light transmission. [Treated cultures presented](#)  
324 [a smoother appearance since gas vesicles were suppressed](#).

325

326 The use of flow cytometry to monitor cell disruption or cell size reduction of  
327 *Scenedesmus dimorphus* after high energy sonication treatment has been  
328 previously reported (Wang et al., 2014). In flow cytometry, the forward scatter  
329 (FSC) light intensity (light the detector is located in line with the light beam) gives  
330 information about the particles size (cell volume), while the side scatter (SSC) light  
331 intensity is sensitive to inner inclusions or complexity of cells (cytoplasmic granules  
332 or the membrane roughness). Panels A and C on Figure 2 show the SSC vs FSC  
333 plots whereas panels B and D show the SSC histograms for non-treated and  
334 treated samples.

335

336 A certain heterogeneity in cell population distribution was observed in both cases in  
337 terms size (forward scatter plots). Such heterogeneity was expected due to the  
338 *Arthrospira* sp. morphology and structure. A single trichome of *Arthrospira* sp. is  
339 composed by multiple cells and hence trichome size can vary a lot. Nonetheless, a  
340 clear subpopulation was observed between  $10^2$  and  $10^3$  FSC axis units,  
341 representing the most abundant trichome size from the whole cell population. Much  
342 more interesting was the change on SSC values, where one order of magnitude  
343 drop can be observed after low energy sonication treatment (Figure 2D). Then,  
344 flow cytometry analysis was capable to detect the decrease in cell roughness or in  
345 number of inner cell complexes i.e. gas vesicles. These results corroborated the  
346 reduction of inner cell complexity due to the rupture of the gas vesicles observed  
347 under the microscope and were in good correlation to previous studies with  
348 *Microcystis* sp. (Jong Lee et al., 2000).

349

350 **3.4 Electrical impedance spectroscopy (EIS)**

351 Finally, non-treated and treated aliquots of *Arthrospira* sp. were analyzed by  
352 [Electric Impedance Spectroscopy \(EIS\)](#). Impedance spectroscopy studies have  
353 provided valuable information to estimate the evolution of cell concentration along  
354 cell culture expansion (De Blasio et al., 2004) and more recently, EIS has been  
355 [applied to monitor morphological aspects of cells \(Arndt et al., 2004 and Sarró et](#)  
356 [al., 2016\)](#). Therefore, it was expected that EIS could also provide information on  
357 the structural changes underwent by *Arthrospira* sp. after low energy sonication.

358  
359 The effect of decreasing cell concentration for the two sets of six aliquots each,  
360 treated and non-treated with [ultrasound](#), can be observed [in](#) the Cole impedance  
361 plots shown in [Figure 3](#).

362  
363 As it can be seen, EIS measurements were sensitive to cell density. The arcs  
364 decreased both in width and origin ( $R_0$ ) with cell dilution. [Only the  \$R\_0\$  parameter](#)  
365 [changed significantly between treated and non-treated samples, what was](#)  
366 [confirmed by running a paired Students'  \$t\$ -test with a  \$p\$ -value \$<0.05\$  \( \$p=0.0056\$ \).](#) The  
367  $\alpha$  value obtained for non-treated samples was 0.23, whereas its value was reduced  
368 to 0.19 after low energy sonication treatment. The fact that the obtained  $\alpha$  values,  
369 which are associated to cell morphology properties, were smaller in the treated  
370 cultures indicated lower dispersion [in cells morphology](#) and/or in sizes distribution.  
371 It may indicate that gas vesicles could somehow interact with EIS measurements,  
372 but values were not considered different enough to truly state this observation.  
373 Additionally, the highest central frequency values for non-treated samples (57.3

374 kHz vs. 47.4 kHz) indicated a smaller apparent cell size of non-treated cells.  
375 Apparently, this would be contradictory with the rupture of gas vesicles, since  
376 bigger cell size would be expected for non-treated cyanobacteria cells when gas  
377 vesicles were present in the cytoplasm.

378

379 Both the phase angle at high frequency ([Figure 4 top](#)) and the cell density  
380 estimator based on relative variation between  $R_0$  and  $R_\infty$  (not shown) provided  
381 similar estimation of cyanobacteria concentration between low energy sonication  
382 treated and non-treated samples. Nevertheless, the  $R_0$  parameter is higher in non-  
383 sonicated samples ([Figure 4 bottom](#)). This behavior is coherent with the fact that  
384 gas vesicles increase the overall impedance, since vesicles are non-conductive,  
385 but do not influence the viable biomass density, because the amount of  
386 intracellular ionic solution surrounded by an insulating membrane is constant  
387 regardless the presence of gas vesicles ([Bragos et al.,1999](#)). Biomass density  
388 estimation through electrical impedance measurement is based on the fact that low  
389 frequency electrical current cannot cross the cell membrane while high frequency  
390 current can. If the viable cell density would change after sonication (i.e. membrane  
391 breakage or cell lysis), the ratio between low and high frequency impedance, and  
392 the associated phase angle at high frequency would also change ([Soley et al.,](#)  
393 [2005](#)).

394

395 From the data obtained, it could be seen that cyanobacteria were not damaged  
396 after treatment, thus the measurement of electrical impedance spectroscopy  
397 responded in a similar way to viable cell concentration. Because of the low

398 accuracy at low cell densities, the use of cell density estimator had to be limited to  
399 cell densities higher than  $1\text{g}\cdot\text{L}^{-1}$ . Although there is a difference between treated  
400 and non-treated samples in  $R_0$ , this parameter is also quite sensitive to medium  
401 conductivity and temperature being rather not suitable for gas vesicle density  
402 estimation in industrial measurements.

403

#### 404 **4. CONCLUSIONS**

405 TEM images evidenced that the loss of buoyancy after low energy sonication  
406 treatment of *Arthrospira* sp. was related to gas vesicles rupture, but also revealed  
407 other structural changes after treatment like circular-shaped thylakoids. These  
408 overall changes affected the results obtained by some common analytical tools like  
409 confocal microscopy (fluorescence measurement), optical light microscopy and  
410 flow cytometry resulting in effective tools for directly/indirectly detect the rupture of  
411 gas vesicles and/or the structural changes after treatment without the need of TEM  
412 analysis. The use of such techniques should be explored in order to monitor the  
413 buoyancy suppression process.

414

415

#### 416 **SUPPLEMENTARY DATA**

417 [The following Supplementary data associated with this article can be found, in the](#)  
418 [online version: TEM images \(Figure S1\), Confocal microscopy images \(Figure S2\)](#)  
419 [and Optical light microscopy images \(Figure S3\).](#)

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420 **ACKNOWLEDGMENTS**

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425

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537  
538

539 **Figure Captions**

540 **Figure 1.** Spectral profile representing the mean fluorescence intensity spectra for  
541 *Arthrospira* sp. trichomes after treatment (T) and non-treated samples (NT). The  
542 maximum autofluorescence was emitted by PC (610 nm) and APC (660 nm). Scale  
543 bar = 5 $\mu$ m.

544  
545 **Figure 2.** Flow cytometry analysis of treated and non-treated samples. Side  
546 scattered light vs. forward scattered light plots, and side scattering light histogram  
547 for non-treated *Arthrospira* sp. samples (A and B) and after low energy sonication  
548 treatment (C and D).

549  
550 **Figure 3.** Cole impedance plot of non-treated (grey arcs) and after low energy  
551 sonication treatment (black arcs) of *Arthrospira* sp. samples at decreasing cell  
552 densities:  $\circ$ , 25 g $\cdot$ L $^{-1}$ ;  $\square$ , 12.5 g $\cdot$ L $^{-1}$ ; \*, 6.25 g $\cdot$ L $^{-1}$ ;  $\nabla$ , 3.13 g $\cdot$ L $^{-1}$ ;  $\Delta$ , 1.56 g $\cdot$ L $^{-1}$ ;  $\diamond$ ,  
553 0.78 g $\cdot$ L $^{-1}$ .

554  
555 **Figure 4.** Top: correlation between the cell density estimator based on phase  
556 angle at high frequency and real cell density values for non-treated (black circles)  
557 and after low energy sonication treatment (empty circles) of *Arthrospira* sp.  
558 aliquots. The range of cell density studied was from 0.39 to 25 g $\cdot$ L $^{-1}$ . A linear  
559 correlation can be assumed for biomass density values higher than 1 g $\cdot$ L $^{-1}$  for both  
560 sets of samples (non-treated and ultrasound-treated). Bottom: Evolution of the R0  
561 parameter with cell density. R0 parameter values were higher for the non-treated

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562 samples as a consequence of the presence of gas vesicles. Error bars  
563 corresponds to the standard deviation of  $n=3$  replicates.

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Figure 1  
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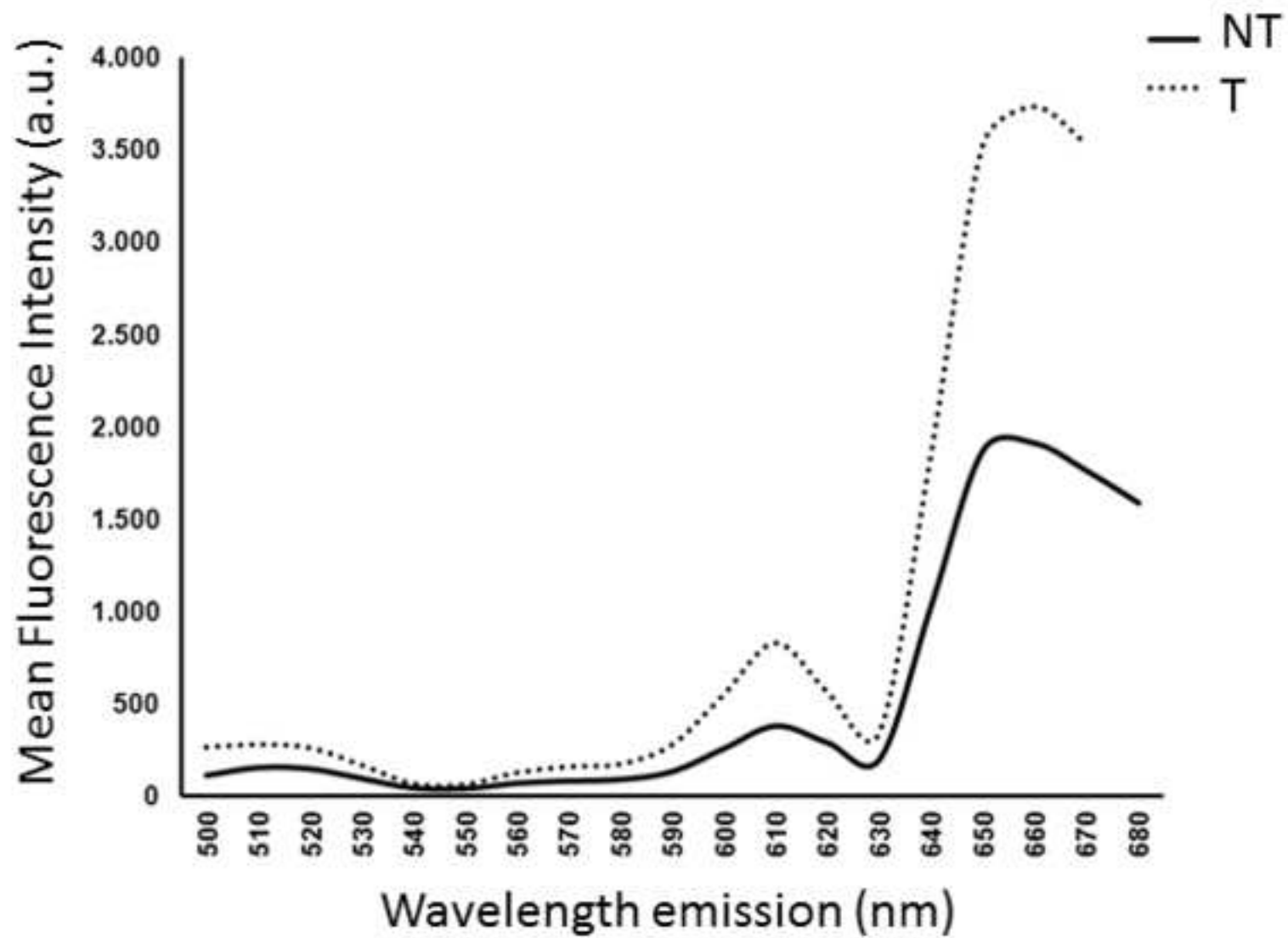


Figure 2  
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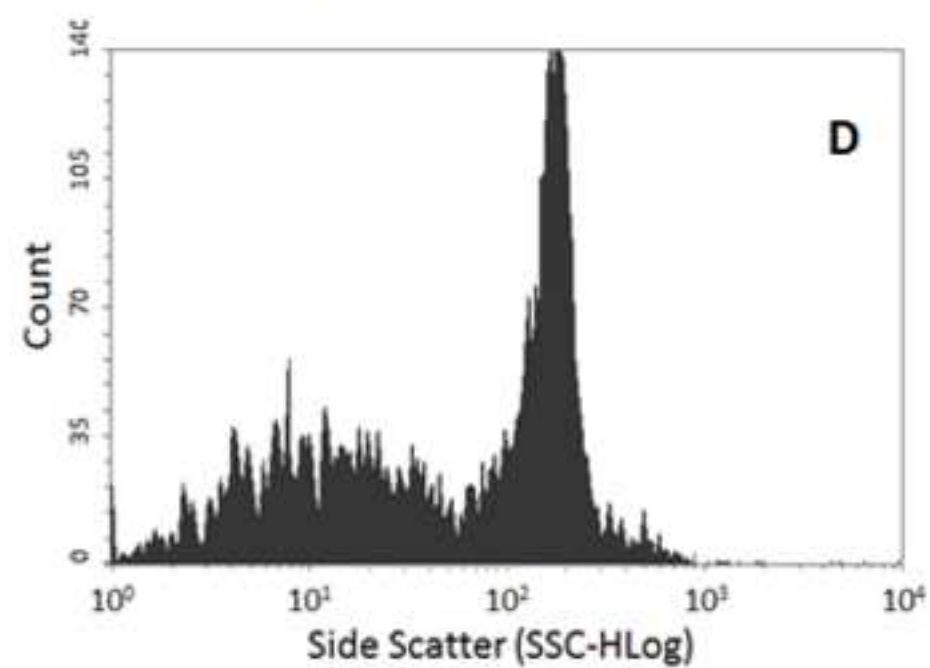
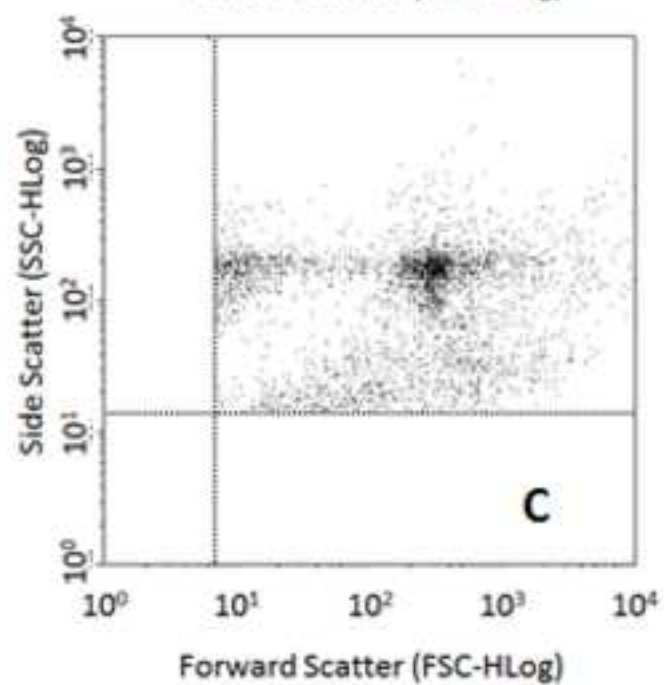
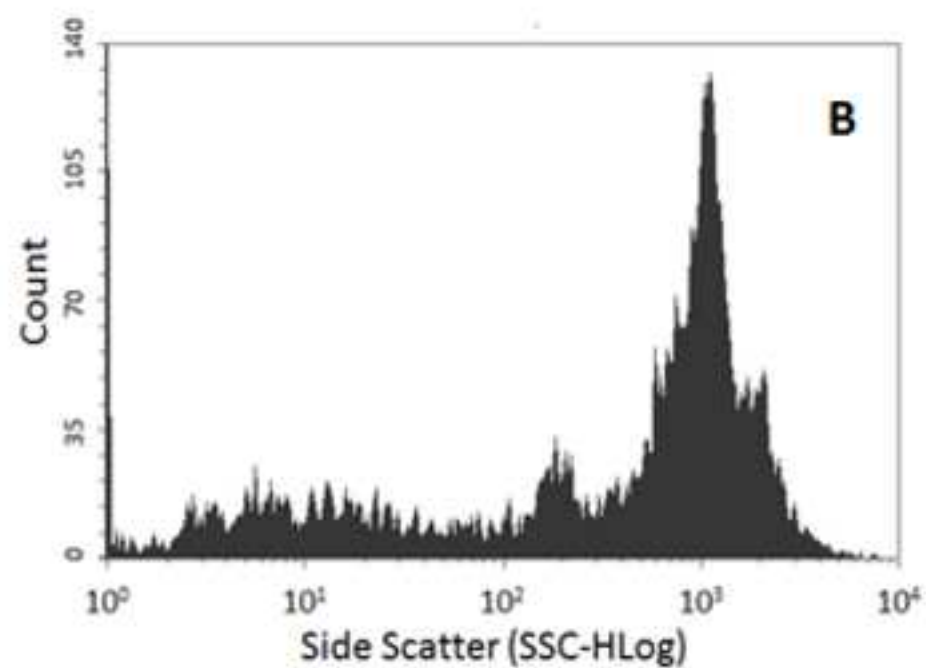
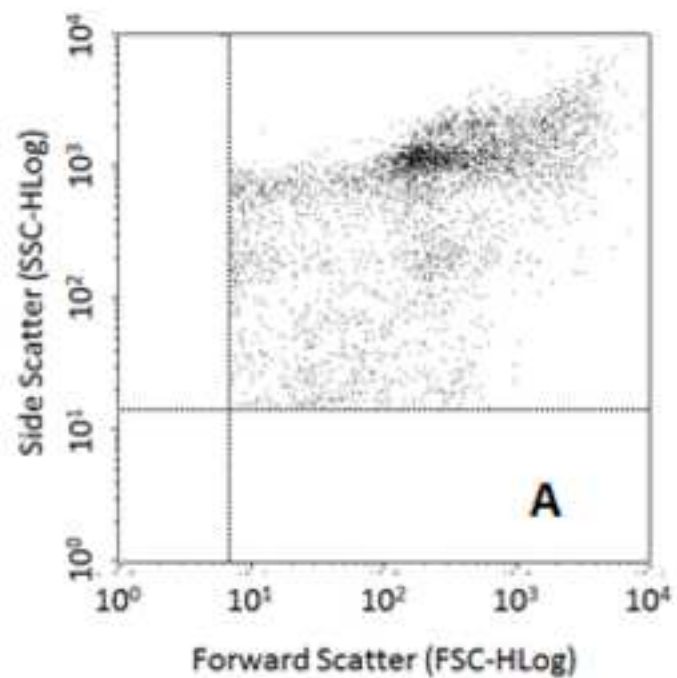


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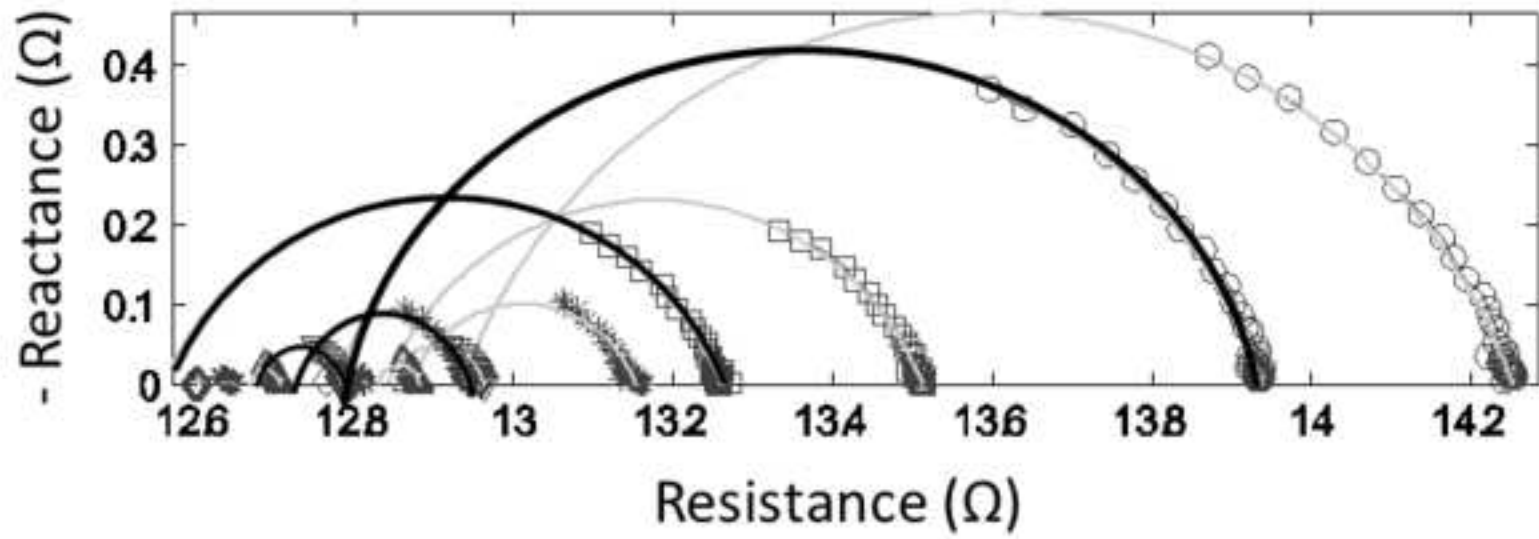


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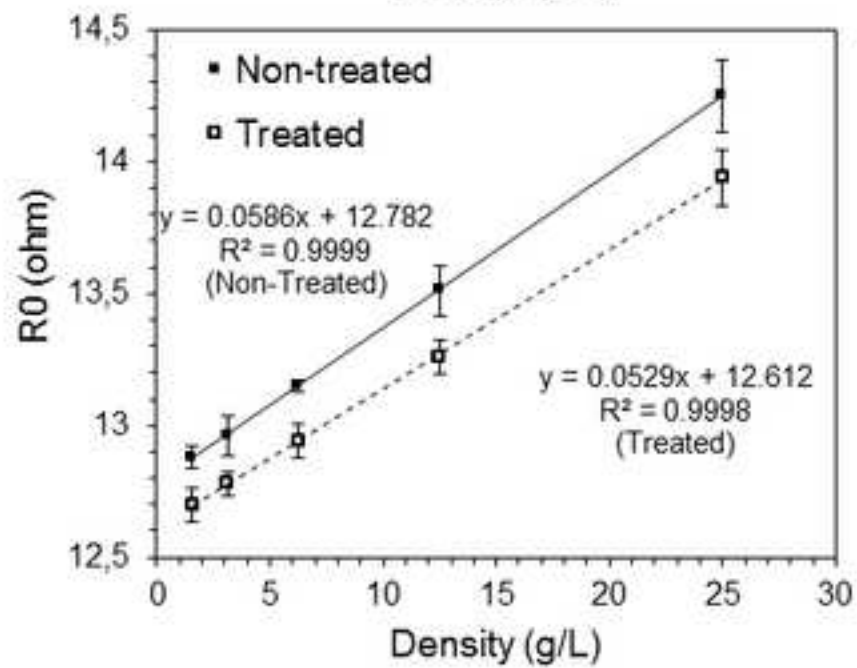
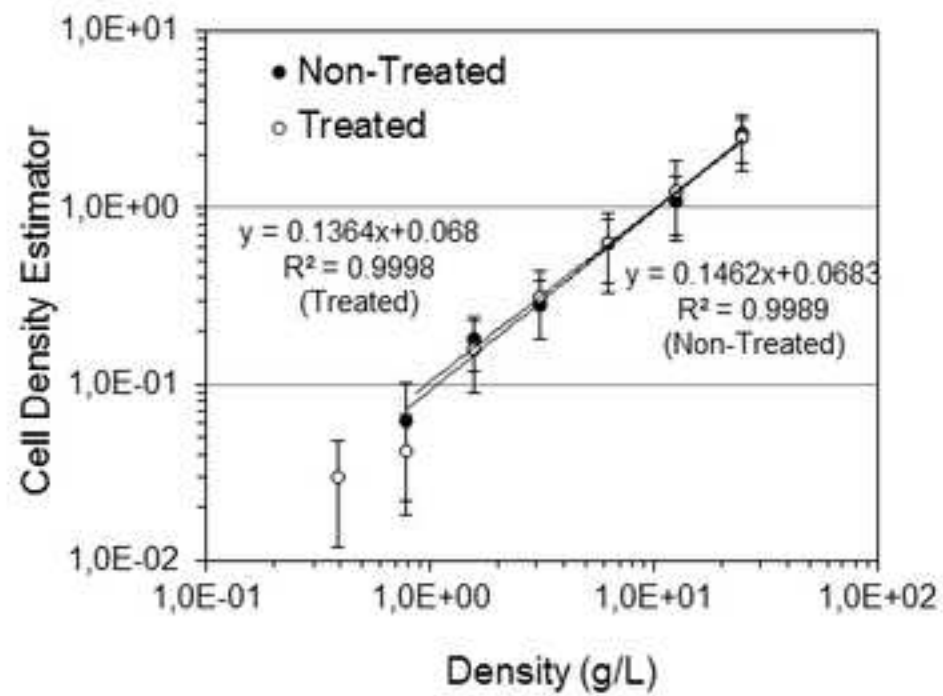




Table I: Compilation of different methods for microalgae harvesting and their estimated energy deposition. The energy deposition for centrifugation of *Arthrospira sp.* was obtained at 15000 rpm and inlet flow about 1.3 L/min as is provided for illustrative purposes.

Method	Microalgae	Energy deposition (kWh/m <sup>3</sup> )	Reference
Centrifugation			
Disk stack	<i>Scenedesmus sp.</i> , <i>C. proboscideum</i>	1	(Grima et al, 2003)
Decanter Bowl	<i>Scenedesmus sp.</i> , <i>C. proboscideum</i>	8	(Grima et al, 2003)
Tubular Centrifuge	<i>Arthrospira sp.</i>	25.64	Present work
Filtration			
Chamber filter press	<i>C. proboscideum</i>	0.88	(Danquah M.K. et al, 2009, Mohn FH, 1980))
Tangential Flow Filtration	<i>T. suecica</i>	2.06	(Danquah M.K. et al, 2009)
Crossflow Filtration	<i>Nannochloropsis sp.</i>	0.3-0.7	( Bhave, R. et al. 2012)
Dissolved Air Flotation	<i>Chlorella sp.</i> , <i>Scenedesmus sp.</i>	7.6	( Wiley, P.E et al 2011)

Table II: Main features of different ultrasound treatments applications.

Method	Microalgae	Aim	Frequency	Energy deposition (kWh/m <sup>3</sup> )	Mechanism	Reference
High energy sonication treatment	<i>Arthrospira platensis</i>	β-carotene extraction	20 kHz	214.8	Transient cavitation	(Dey S. et al., 2013 )
	<i>Microcystis aeruginosa</i>	Cell inhibition (cell fracturing)	25 kHz	26.67		( Zhang G. et al., 2006)
	<i>Chlamydomonas reinhardtii</i>	Cell disruption	20 kHz	22.2		(Gerde J.A. et al., 2012)
Ultrasonic separation	<i>Monodus subterraneus</i>	Cell aggregation	2.1 MHz	16-24	Induced aggregation	(Bosma R. et al.,2003)
Low energy sonication treatment	<i>Arthrospira</i> sp	Gas rupture vesicles	20 kHz	1.1 -2.2	Stable cavitation	(Lecina M. et al, 2015)

**Suppl Figure S1**

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**Suppl Figure S2**

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**Suppl Figure S3**

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