

# Equipment suppliers integration to the redesign for emissions reuse in industrial processes

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## ABSTRACT

It is a fact that industrial equipment is the main consumer of natural resources, impacting considerably on companies' sustainability. In this context, the sustainable redesign of production processes is one of the main companies' challenges seeking to gain competitive advantage in an increasing sustainable environment. This research paper proposes a methodology for industrial application for the redesign of production processes in collaboration with equipment suppliers through resource efficiency based on Circular Economy (CE) closing loops. The redesign for emissions reuse (R4ER) methodology is a practical guidance on how manufacturing companies could address the challenges posed by the large amount of resources consumed during the operational stage of equipment's life cycle involved in production processes. The main results of this implementation are based on a real case study in a Catalan manufacturing company showing a reduction of 38% of water and 26% of electricity during the operational stage of a sterilization process in a year.

**Keywords:** Redesign of processes; IDE0, LCA; MFCA; ARC; Emissions reuse.

## 1. INTRODUCTION

For manufacturing companies involved in an increasingly sustainable environment, the reduction of the resource consumption of their production processes is essential to maintain the competitiveness but it is also crucial for the survival of the company. It is only possible when the industrial equipment use resources in a more efficient way reducing waste emissions or even reuse it as a new primary material resources (TU Delft, 2015). This is by no means a trivial task, it requires the integration of equipment suppliers to the redesign practice and the redesign of many production processes as well as

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32 The equipment involved in them. Thus, it is essential that the process redesign considers  
33 simultaneously all of the equipment that operate in a production process involved in it as part of a  
34 whole system where a modification or improvement in the equipment with the aim to reuse emissions,  
35 result directly in a reduction of resource consumption in the production process (Pisano, 1997).

36

37 The sustainable redesign for production processes require a fundamental readjustment of  
38 manufacturing companies with the aim of achieving a circular flow model (Swisher, 2006). The  
39 moving towards CE require a change in the way of the redesign of processes including the closed loop  
40 concept in the process redesign (Ferdousi and Qiang, 2016). For this, companies have to adapt their  
41 current production processes and this adaptation must be supported by appropriate analysis and  
42 evaluation tools (Alves et al., 2016). The earlier works on process redesign have not especially  
43 focused on the reuse of resource emissions between equipment that operate in the same production  
44 process. The use of the function modeling method IDEF0 allows a holistic view of the process to be  
45 redesigned and the involved equipment. Likewise, a transversal vision of the life cycle assessment  
46 (LCA) and the coexistence relationships analysis (ARC) for the equipment (Llorens, 2015) in  
47 conjunction with the Material Flow Cost Accounting (MFCA) is essential to achieve a CE closed  
48 loop.

49 This research paper proposes a methodology for industrial application for redesigning production  
50 processes in conjunction with equipment suppliers with the aim to reuse the emissions between the  
51 equipment involved in the process. The main results of the methodology implementation indicate the  
52 potential of sustainable innovation showing a decrease in the resource consumption in an operational  
53 stage of the sterilization process.

54

## 55 **2. FRAME OF REFERENCE**

### 56 **2.1 The redesign of processes**

57 The redesign of processes refers to a major effort to improve an existing process (Harmon, 2014). It  
58 consists in the modification or reduction of steps in processes to remove non value activities and  
59 improve those that add value to the customers (SPRING, 2013). Including the delivery of production  
60 process with the capacity to respond efficiently to customer demands in a zero-waste way (Alves et  
61 al., 2015). The redesign of processes is an activity of industrial engineering and it is not new. The  
62 basis for the redesign of processes was established in *Principles of Scientific Management* from  
63 Frederick W. Taylor in 1911 (Serrano and Ortiz, 2012), by the creation of assembly lines divided into  
64 operations with different employees by Henry Ford in 1913 (Dooley and O'Sullivan, 2000), by the  
65 *Structure Approach* of Henry Fayol and in the *Time and Motion Studies* of the Gilbreth spouses in  
66 1917 (Niegel and Freivalds, 2004). In addition, a very important contribution was the *Systems*  
67 *Approach* presented by Boulding in 1950 where it was mentioned that the organization is more than

68 the combination of unique elements and that their interaction is more important than the elements  
69 themselves (Dooley and O'Sullivan, 2000).

70

71 During the 1980s, different methodologies with a focus on quality were presented in order to  
72 emphasize the importance of meeting the customer's quality needs. Among the most important are the  
73 Statistical Process Control (SPC), Factory Focus, the Quality Circles, the Total Quality Management  
74 (TQM), Just in Time (JIT), ISO 9000 and the Benchmarking among others. Since 1990, a variety of  
75 authors has appeared with methodologies of process improvement that have made valuable  
76 contributions in the redesign of processes. Among the most important are the contributions of  
77 Davenport and Short who proposed the *Business Process Redesign (BPR)* methodology in 1990. They  
78 focused on the concept of processes description and on the definition and analysis of critical processes  
79 to reduce cycle time, to strengthen the value chain and to improve competitiveness (Davenport and  
80 Short, 1990). Elzinga (1995) and Zairi (1997) defined *Business Process Management (BPM)* as a  
81 structured and systematic way for the analysis, improvement, control and management of processes,  
82 with the aim of improving the quality of products and services (Serrano and Ortiz, 2012). As part of  
83 the methodology Toyota Production System, presents *The Value Stream Mapping (VSM)* in 1997. It is  
84 a lean manufacturing method for mapping and analyzing the production process which supports the  
85 redesign of processes and services (Serrano, 2007). Harmon in 2004 proposed a *Business Process*  
86 *Change (BPC)* methodology. This methodology is based on the improvement through process  
87 redesign due to the changes that can be experienced by the interactions of the staff, the management,  
88 IT systems, the technology and the structure of the organization (Serrano and Ortiz, 2012).

89

## 90 **2.2 Process-equipment design relationship**

91 The first record to understand the design relationship between existing industrial equipment and the  
92 production process in which they interact was introduced by Hubka and Eder, 1988 presenting the  
93 *Theory of Technical Systems (TTS)*. They classified and categorized the knowledge of the technical  
94 equipment in a nature, structure, origin, development and empirical observations. The principal  
95 contribution of Hubka is that the analysis of the equipment must be based on the production process  
96 that reflects the activity where they operate (Riba et al., 2005).

97

98 Later, in the course of the GAMMA project (Riba et al., 2003) the necessity of a new design  
99 perspective is perceived that includes the equipment to be designed and the production process to  
100 which it contributes. Contrasting with the end-user products that are used in situations where the  
101 relationship between the user and product is direct, the equipment for production processes operates in  
102 complex situations where different operators collaborate and many environmental factors contributes  
103 as resources availability, cultural and climatic conditions (Riba and Molina, 2006). Under this new  
104 perspective, the authors defined a new frame for the design and development of the equipment

105 involved in the production processes named *Process-Equipment* (Riba et al., 2005). While the  
106 previous design philosophies only accentuate the manufacture and the minimization of cost in the  
107 equipment, the *Process-Equipment* philosophy is pronounced the usability and the effectiveness of  
108 the complete production process system (Riba et al., 2005). With the purpose of the implementation  
109 of this philosophy, the concepts of *Process Equipment Architecture and Portfolio Equipment*  
110 *Architecture* were defined (Riba and Molina, 2006).

111

112 For the purpose of complement the terminology proposed during the GAMMA project, Llorens, 2015  
113 structured a design methodology called *Family, Catalog, Gamma and Gamma Architecture of*  
114 *Equipment Goods*. The methodology to perform the design model contains five steps; 1.- Identify,  
115 analyze and represent the operational process; 2.- Identify, analyze and represent the existing  
116 contexts; 3.- Get the scheme of the family of operational processes (based on existing context); 4.-  
117 Analyze and represent the architecture of existing product gamma; 5.- Redefine operational processes  
118 and architecture product gamma. It is performed considering an operational process in which there is  
119 a complete gamma of equipment that coexist and interact in the same production process. Llorens  
120 established a new framework for analysis and definition of the architecture of gamma of equipment  
121 through transversal visions of the Life Cycle Assessment (*diachronic dimension*) and the Analysis of  
122 the Relations of Coexistence (*synchronic dimension*) for the equipment in the production process.

123

124 Taking in consideration the increase of environmental requirements in the design of process  
125 equipment, in 2010, the CDEI-UPC promoted a design methodology called *Design in blue*, which  
126 takes its name from the concept of the Blue Economy of Gunter Pauli. In contrast to the green  
127 economy, it advocated a simple change of unsustainable technologies for sustainable technologies  
128 accepting an increase in costs. The blue economy proposes a paradigm shift that eliminate the  
129 unsustainable production and consumption so that the good and innovative become competitive. It  
130 suggests that business models improve the quality of life of all evolving in harmony with ecosystems,  
131 using available resources and ensuring that process residues become resources for another process  
132 (Pauli, 2010). Based on this, Riba (2012) identified three lines of work in the methodology Design in  
133 blue that set the paradigm shift in the design and development of equipment; 1.- The consideration of  
134 the operational process as the basis for analysis; 2.- Assessment of energy consumption and  
135 environmental impact; 3.- The consideration of social, cultural, natural environment and technological  
136 context. The consideration of the operational process as the basis of the analysis point of view should  
137 be extended from the equipment to the operating process including technical and human operators and  
138 all flows of materials, energy and information.

139

140 The different approaches and methodologies presented in the framework clearly illustrate a body of  
141 prior research activities that have enriched the redesign of processes practice. Process redesign is an

142 evolving concept that will continue developing. The frame of reference also suggests the importance  
143 of the design relationship between the process and the equipment as a holistic view. In the actual  
144 situation of material, energy and resources shortage, process designers should include this  
145 consideration in their tasks and act accordingly in consequence (Riba, 2002). A production process is  
146 sustainable if they support the creation of manufactured products through economically-sound  
147 processes that minimize negative environmental impacts while conserving energy and natural  
148 resources (US. Department of Commerce, 2009). The Circular Economy (CE) is “an economic model  
149 wherein planning, resourcing, procurement, production and reprocessing are designed and managed,  
150 as both process and output, to maximize ecosystem functioning and human well-being” (Murray et al.,  
151 2017). CE become a guide for the redesign of companies processes in the way to sustainability  
152 (Anttonen, 2017). It places emphasis on the redesign of production processes through the cycling of  
153 materials (Murray et al., 2017). The reuse of material and waste streams require the redesign of  
154 production processes (Tello and Weerdmeester, 2013).

155 The industrial equipment is the main consumer of resources in production processes. In order to  
156 continue with the line of research about the relationship of the process and the equipment, this  
157 research paper proposes a methodology for industrial application for the redesign of production  
158 processes in collaboration with equipment suppliers through resource efficiency between equipment  
159 that operate in the same process.

160

### 161 **3. RESEARCH DESIGN**

162 The empirical research of this paper is based on a real case study in a Catalan manufacturing  
163 company.

164

#### 165 **3.1 Case study research method**

166 The case study started in September 2014 and ended in July 2016. In order to follow up the activities  
167 of the development project and collect data in real time, a stay was allowed in the sterilizers company.  
168 The previous time for data collection was invested in the study of documented procedures and  
169 instructions related to the topic of new product introduction in the company. In order to know the  
170 company’s background in collaboration with equipment suppliers, interviews were conducted  
171 throughout the entire organization. A total of 22 interviews were carried out with individuals in  
172 different positions as directors, departments managers, program managers and project engineers in  
173 different departments as quality, I+D, technical office, purchase, production, logistic, commercial  
174 department among others. The new component development project where the data to star the case  
175 study were collected, started in December 2014 and ended in April 2015. During the six month of the  
176 project, six meetings were attended (non-participatory), the flow of information interchanged between  
177 manufacturing company, and the equipment supplier was analyzed.

178

### 179 **3.2 Current equipment supplier collaboration procedure**

180 The case study targeted a new equipment that the company as in previous occasions, outsourced to an  
181 equipment supplier to design and to subsequent manufacture the new component. In this case, the  
182 equipment supplier was located in Catalonia but in another city about 140 km of distance. The process  
183 was carried out as in previous occasions, following an equipment supplier outsource activities plan:

184

- 185 • Background (Context, problem definition)
- 186 • Normative (Regulations applicable)
- 187 • Technical specification (Design and function requirements, process of operation description)
- 188 • Conceptual design (Determining system specifications from conceptual design in 3D  
189 drawings)
- 190 • Quotation (Materials, labor)
- 191 • First prototype (Partial design, manufacturing, assembly and functionality test in the  
192 developed prototype)
- 193 • Final prototype (Total design, manufacturing, assembly and functionality, reliability and  
194 durability test)
- 195 • Mass production (Quantity of order, delivery times, logistic plan)

196

197 Some of the most important aspects observed in the case study are:

198 A single contact person between both sides was not assigned, since at the beginning of the process  
199 was observed that all project objectives were not well defined. Additionally, some system  
200 requirements were not defined, motivating design problems in the prototype stage were observed;  
201 CAx systems compatibility between the equipment supplier and the client were not reviewed, causing  
202 significant loss of data at the time of conversion. The fact that the two companies were not in the  
203 same city, was sometimes reason for delay or rescheduling of follow meetings. The types of material  
204 to be used were taken into consideration, but not the energetic consumption of hazardous substances  
205 or the equipment used in the operation phase. All these situations brought a series of delays in project  
206 time, with an increased in the price of the projected initial investment. When asked about the  
207 regularity of these types of problems, the answer was that both parties experience this kind of problem  
208 with other companies regularly.

209

## 210 **4. PROPOSED REDESIGN METHODOLOGY**

211 Taking into consideration the literature review, a series of previous activities before the  
212 implementation of the methodology of production processes redesign were established.

213

#### 214 **4.1 R4ER Methodology Steps**

##### 215 **Step 1: Operative process knowledge**

216 In order to analyze the production process and the identification of the equipment involved, it is  
217 necessary to carry out a representation model of the system. The redesign of processes can be realized  
218 through production process modeling (Lam and Hills, 2011). A complete survey (Kettinger et al.,  
219 1997) identified the IDEF0 as an important tool to the redesign phase in the innovation of processes.  
220 IDEF0 is a appropriate modeling method for describe process flows (Smith and Ball, 2012). This  
221 method, presents a structured description of activities in a system through the representation of their  
222 respective Inputs, Outputs, Mechanisms and Controls. The graphics of an IDEF0 diagram show the  
223 operations assigned for the various equipment's as a box and the interfaces to or from the function as  
224 arrows entering or leaving the boxes. This IDEF0 diagram must be performance in a way that  
225 equipment suppliers have a holistic view of operations, equipment, operators, materials flows and  
226 their interactions within the production process where the equipment they provide operates.

227

##### 228 **Step 2: Equipment review (ER)**

229 In this step, it is necessary to perform or know the results of a life cycle assessment (LCA) for every  
230 equipment in the production process. The LCA is a method that allows the “compilation and  
231 evaluation of the inputs, outputs and the potential environmental impacts of a product system  
232 throughout its life cycle” (ISO, 2006, p.10). LCA requires quantitative information of the complete  
233 life cycle (exploitation, production, use and end of life) of a product (equipment) to reveal their  
234 environmental profile (Sakao, 2007) The LCA results will validate the significant amount of resources  
235 consumed during the phase of use of the equipment and will allow to establish which equipment is  
236 significant to reuse emissions.

237

238 Adopted from the ISO 50001:2011 energy review, the ER allows the identification of the equipment  
239 with a major resource consumption in a process cycle. It is performed in the operations identified in  
240 the previous step. First, process operations are listed, the involved equipment in each operation and  
241 their number are identified. Second, the resource consumption per cycle are taken from the results of  
242 the previous equipment LCA or measurement. As in the ISO 50001:2011, the consumption data can  
243 be measured, calculate or estimated, in order not to limit the application of the tool to only measured  
244 or calculated data, but also to allow the use of estimated data for equipment consumption for which no  
245 real data are available. The name for the consumed resource, their coefficient, the unit of  
246 measurement and their percentage of contribution to the actual consumption of the process cycle are  
247 identified for each of the previously listed equipment. Finally, based on the obtained data, it must be

248 decide whether there is a potential for significant savings in the resource consumption and it needed to  
249 identify the equipment and their subsystem on which improvement should be applied. Significance  
250 criteria should be established in order to prioritize which resource consumption in which equipment  
251 needs to be reduced. The number of criteria and their severity depend on the environmental needs and  
252 the purpose of these criteria that should balance the environmental consumption of equipment the in  
253 the process.

254

### 255 **Step 3: Process use cycle cost**

256 In this step, the cost of use of the significant equipment found in the previous step is calculated  
257 through material flow cost analysis (MFCA). MFCA is a “tool for quantifying the flows and stocks of  
258 materials in processes or production lines in both physical and monetary units” (ISO 2011, p. 3). In  
259 which water and energy can be included as term materials (Christ and Burritt, 2016).

260

261 The MFCA follows the general procedure for Plan-Do-Check-Act and consists of ten steps. In order  
262 to know the cost of use of the significant equipment in the process, steps three until nine will be  
263 carried out. The *specification of a boundary and a time period* and the *determination of quantity*  
264 *centres are the steps three and four*, for which, the analysis time frame and the involved operations  
265 have to be specified. In order to have a better overview of the flows and inventories of materials in the  
266 process, it is advisable to analyze a month or a year of a production process (ISO, 2011). The steps  
267 five, six and seven are the *identification of inputs and outputs for each quantity centre*, the  
268 *quantification* of the material flows in physical and monetary units. For each operation, inputs  
269 (materials, energy) and outputs (products, material and energy losses) have to be identified and  
270 quantified in physical and monetary units (Schmidt et al., 2013). The last steps are MFCA data  
271 summary, communication of MFCA results and interpretation. The results of a MFCA can be very  
272 valuable in the search for opportunities to reduce material use and waste, increase the efficient  
273 resource, and decrease negative environmental impacts and associated costs (Kokubu and Tachikawa,  
274 2013). MFCA will serve to present the economic impact of the resource consumption of the  
275 equipment in the process.

276

### 277 **Step 4: Emissions reuse**

278 Emissions from analyzed equipment operating in the production process must be identified.  
279 Subsequently, an analysis of the relations of coexistence (ARC) should be performed in order to  
280 reuse those emissions of resources turning them in the entrance of resources to another equipment  
281 within the same production process trying to convert the system in a closing loop. The ARC is a  
282 relatively new tool. In order to define a methodological basis for establishing the gamma of industrial  
283 equipment in an equipment manufacturing company, Llores (2015) concludes that an equipment  
284 interacts in the production process in which it operates and also interacts with the other equipment. In



285 this interaction relationship of coexistence between equipment appears. Therefore, it is necessary to  
286 incorporate a new design perspective for industrial equipment, the design of an equipment based on  
287 the analysis of coexistence relationships (ARC) with other equipment. This synchronistic perspective  
288 considers the interaction of the equipment and the set of equipment that operate in the process as  
289 well.

290

291

## 292 **5. APPLICATION**

### 293 **5.1 Example**

294 The case study consists of redesigning a complete sterilization process within the portfolio of products  
295 and services that are offered by the Catalan company to their customers. The operations washing and  
296 sterilization are both included in a complete sterilization process and are two major consumers of  
297 energy and water (significant amounts of water need to be heated). This section verifies the redesign  
298 methodology via application to the mentioned process. The results of the proposed methodology  
299 implementation are explained.

300 Suppliers of each of the equipment's involved in the project were in different countries: Spain, France  
301 and Italy. A face meeting was conducted at the beginning of the project in order to present the  
302 objective and expectations of collaboration. In this meeting the roles and responsibilities for each of  
303 the sides were appointed. The person who led the project by the company knows the specifications  
304 and operation of equipment's involved in the project, because previously he was supplier engineer  
305 and product engineer in the company. It was mentioned that this person will be the only responsible  
306 to send the information about the project to the equipment's suppliers, for this reason, a documented  
307 procedure is established with the respective formats of the project. CAx systems compatibility  
308 between the equipment suppliers were reviewed. A virtual meeting schedule was established for the  
309 follow up of the project milestones activities, regardless of communications via mail and by  
310 telephone needed day to day. The ecosystem builder visited one time each supplier in their plant. A  
311 total of 3 face to face follow-up meetings were carried out, two in the first month of the project  
312 (presentation, brainstorm ideas) and one in the middle of the project, the face to face meeting to close  
313 the project continue pending.

### 314 **5.2 Results**

#### 315 **Step 1: Operative process knowledge**

316 First, a process model using the function modeling method IDEF0 was elaborated in conjunction with  
317 the equipment suppliers. Figure 1 represents the global operations like traceability and controlled  
318 environmental conditions as well as specific operations like receiving, washing, preparing, sterilizing,  
319 storing, distributing, operating and preparing and it shows their relationship for a sterilization process.

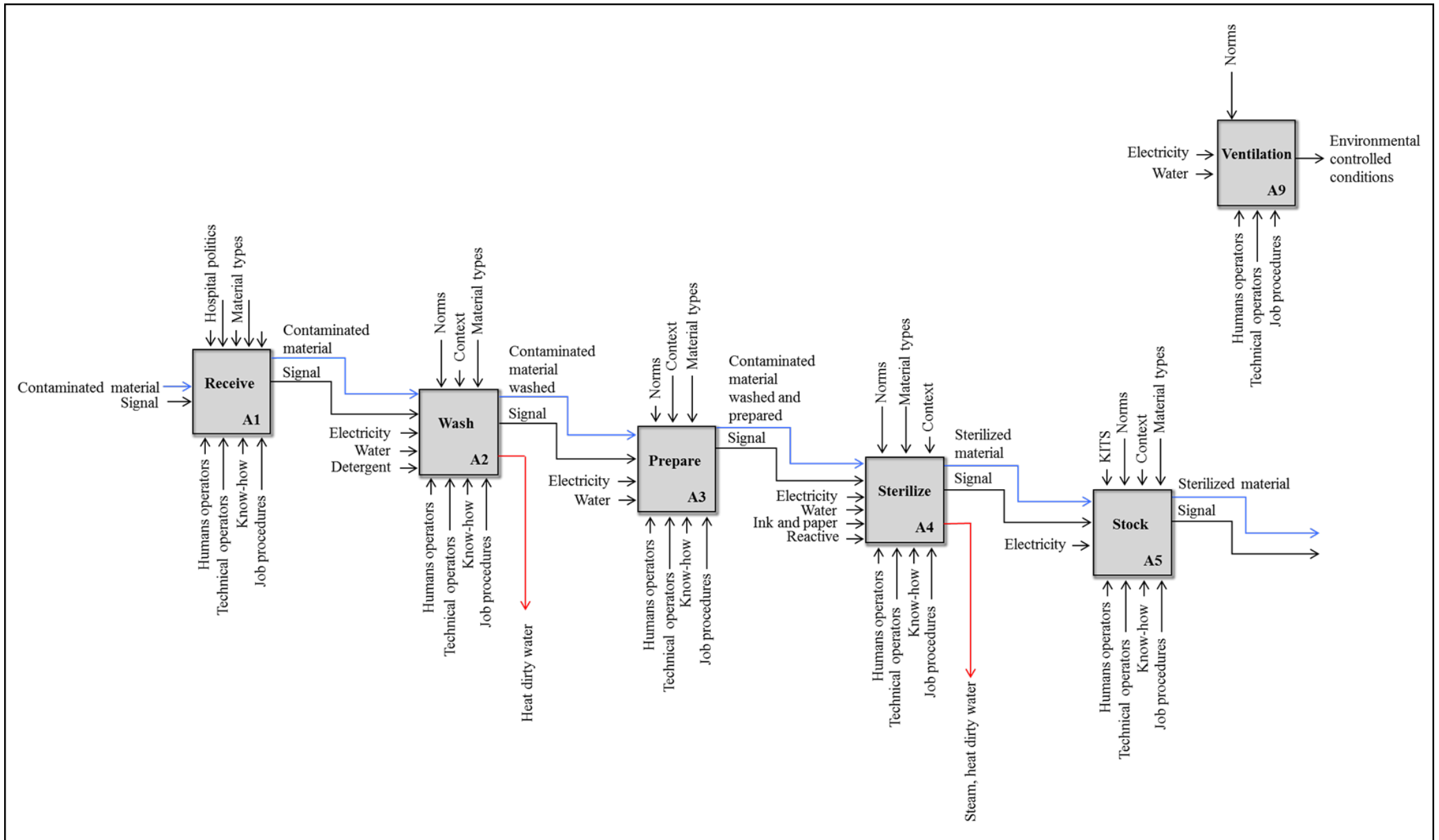


Figure 1: IDEF0 Sterilization process.

321 *Inputs*

322 In this section, the following were identified: i) The sterilized surgical material as a WIP (Working in  
323 process) and a signal showing the WIP status (Contaminated surgical material, contaminated surgical  
324 material washed, contaminated surgical material prepared and surgical material sterilized) after and  
325 before each operation. ii) The consumed of resources in the sterilization process (Water and  
326 electricity) and other materials necessary to perform the above process (Detergent, ink, printing paper,  
327 thermal reactive)

328 *Outputs*

329 The outputs identified in this section were: i) The sterilized surgical material as in the input section,  
330 and a signal showing its status, but after each operation. ii) The emissions generated in the  
331 sterilization process (high temperature, dirty water mixed with soap and some solid wastes, saturated  
332 steam and other kind of dirty water).

333 *Mechanisms*

334 Two types of operators were identified: i) human operators (Sterilization technician, instrumentalists,  
335 and doctors) ii) technical operators or better-called equipment. The identified equipment which is the  
336 focal point of this methodology are listed in Table 1.

**Table 1: Identified equipment in a sterilization process.**

Operation	Equipment
Traceability	Computer, monitor, scanner, labeler
Environmental controlled conditions	Air conditioning
Washing	Washing machine, ultrasonic washing machine
Prepare	Packaging machine
Sterilization	High temperature sterilizer

337

338 *Controls*

339 In this last section of the operative process knowledge step, the work procedures, instructions and  
340 formats to perform each operation of the sterilization process as well as the regulations governed by  
341 this process were identified.

342 Each hospital, research center, laboratory or anywhere else where the sterilization process is  
343 performed has its own procedures. They are based on the manuals of the manufacturers of the

344 equipment and training that they receive from the equipment supplier in the purchase and installation  
345 phase. The way an equipment is used can affect their performance and therefore its consumption of  
346 resources. In this example, procedures, work instructions and formats were reviewed but no special  
347 emphasis was placed on them because they are based on the operation manuals and training by  
348 equipment suppliers.

349 Regardless of the regulations governing the manufacture (ISO 13485, ISO 9001, ISO 14001, ISO  
350 50001 and others) of each involved equipment in the sterilization process, we will focus on the rules  
351 governing the phase of use in the life cycle of the equipment that is when the greatest amount of  
352 resources are consumed. In this application example, two different norms that directly affect the use  
353 phase of the life cycle of the sterilization process equipment were identified (Table 2).

354  
355 **Table 2: Identified norms in a use phase of the sterilization process.**  
356

Norm name	Regulation
ISO 90001:2008	Quality management systems - Requirements
UNE 171340-2002	Validation and evaluation of controlled environment rooms in hospitals

357

## 358 **Step 2: Equipment review**

359 First, following an energy review adapted from the ISO 50001 standard format, operations and  
360 equipment identified in the last step were listed. The resource consumption was measured; the method  
361 for measuring the resource consumption was done by calculations and measurements of each of the  
362 involved equipment per process cycle. These measurements were taken from the previous analysis of  
363 life cycle of the equipment conducted by the equipment suppliers (Table 3).

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**Table 3: Sterilization process equipment review.**

Operations	Equipment	Quantity	Measurement Method	Real Use per Cycle				Source to Improve	Cycles per Year	Significance	
				Resource	Coefficient	Unit	% of Total				
Globals	Traceability	Computer	1	Calculated	Electricity	0.35	<i>kWh</i>	0.76%		Intermittent	
		Monitor	1	Calculated	Electricity	0.05	<i>kWh</i>	0.11%		Intermittent	
		Scanner	1	Calculated	Electricity	0.003325	<i>kWh</i>	0.01%		Intermittent	
		Labeller	1	Calculated	Electricity	0.06	<i>kWh</i>	0.13%		Intermittent	
	Ventilation	Air Conditioning	1	Calculated	Water	16	<i>l</i>	2.79%	Cooling System	Constant	
				Calculated	Electricity	14	<i>kWh</i>	30.43%	Motor		
Specifics	Wash	Washing Machine	1	Measured	Water	80	<i>l</i>	13.94%	Vat	3155	
				Measured	Electricity	13	<i>kWh</i>	28.26%	Motor		
		Ultrasonic Washing Machine	1	Calculated	Water	10	<i>l</i>	1.74%	Vat	Intermittent	
				Calculated	Electricity	0.6	<i>kWh</i>	1.30%	Motor		
	Prepare	Labeller	1	Measured	Electricity	0.6	<i>kWh</i>	1.30%	Resistance	Intermittent	
	Sterilize	High Temperature Sterilizer	1	Measured	Water	468	<i>l</i>	81.53%	Vacuum System (Vacuum bomb, Ejector)	3155	
Measured				Electricity	17	<i>kWh</i>	36.96%	Steam Generator			

371

372

373 Electricity and water were the resources consumed by the sterilization process, the significance of the  
 374 used criteria in this equipment review were:

375 *Will be significant the equipment that consume more than 20% of the total consumed by the process*  
 376 *per year?*

377 Under this criteria, the equipment and the consumption that exceed this percentage are:

- 378 • Air Conditioning (Electricity)
- 379 • Washing Machine (Electricity)
- 380 • High Temperature Sterilizer (Electricity and Water)

381

382 The Air Conditioning consume a 30.43% of electricity and a 2.79% of water. On the other hand, the  
 383 Washing Machine consume 28.26% of electricity and 13.94% of water. The Sterilizer needs 36.96%  
 384 of the total electricity and 81.53% of the total water consumed in the sterilization process at full  
 385 capacity with 160 kilos of surgical instruments. It was decided to take into consideration the Washing  
 386 machine and the Sterilizer to continue with the methodology because they represent the most  
 387 significant consumption of water that has to be heated by electricity.

388

389 **Step 3: Process use cycle cost**

390 The environmental resource consumed by the Washing machine and the Sterilizer had an economic  
 391 impact (Table 4).

392 **Table 4: Material flow cost matrix for water and electricity of the sterilization process.**

Sterilized Surgical Material (year)	Water Consum (year)	Water Cost (year)	Energy Consum (year)	Energy Cost (year)	Total
504,800 kg	3,457,880 l	6604.55 €	189,300 kWh	33165.36 €	39769.91 €
		16.61 %		83.39 %	100.00 %



393

394 The findings found through the MFCA analysis indicate that with 3155 sterilization cycles per year  
 395 (Wash and Sterilize), the sterilization process can processed 504,800 kg of surgical material. This  
 396 represents a consumption of 189,300 kWh with a cost of 33,165.36 euros, which represents 83.39%  
 397 of the total costs per year of the sterilization process. Likewise, it is observed that the consumption  
 398 and loss of water is 3,457,880 l of water with a cost of 6,604.55 euros and that this represents  
 399 16.61% of the 39,769.91 euros spent on electricity and water annually from the significant equipment  
 400 of the sterilization process.

401 The electricity consumed represents a generation of 58,304.4 kg CO<sub>2</sub>eq

402  $Carbon\ footprint = Usage\ (kWh) \cdot CO_2\ emission\ factor$  (1)

403  $Carbon\ footprint = Usage\ (kWh) \cdot \frac{kg\ CO_2}{kWh}$  (2)

404  $Carbon\ footprint = 189,300\ kWh \cdot 0.308$  (gentcat.cat, 2016)

405  $Carbon\ footprint = 58,304.4\ kg\ CO_{2eq}$

406

407 The results are presented visually using a e!Sankey diagram which allows to observe the flow of  
 408 electricity and water in the sterilization process within four equipment in two operating areas  
 409 (Washing and sterilization) (Figure 2).

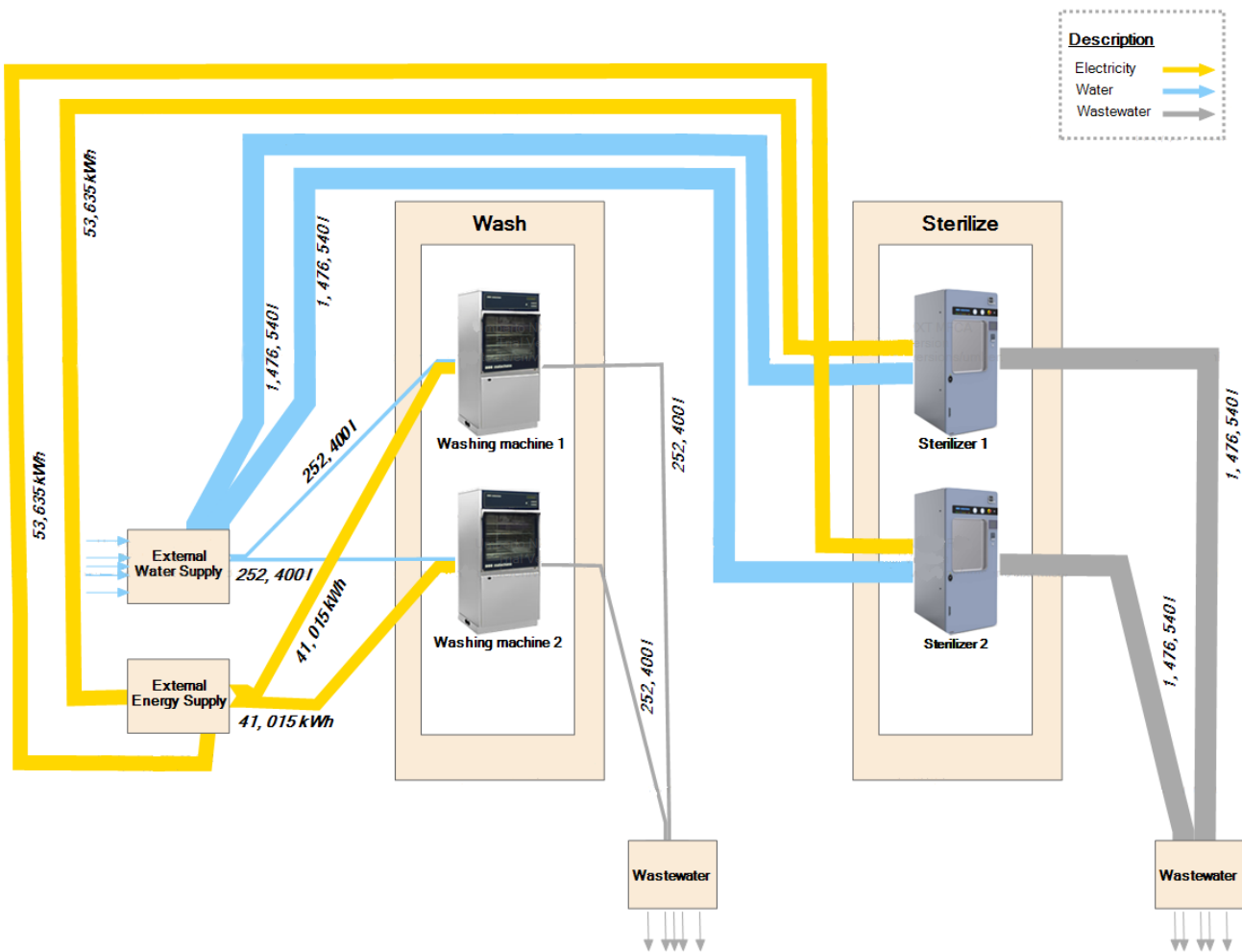


Figure 2: Sankey diagram water and electricity of the sterilization process.

410 **Step 4: Emissions reuse**

411 An analysis of the relations of coexistence (ARC) of the equipment in the sterilization process was  
 412 elaborated (Figure 3). Wastewater emissions of the significant equipment defined in the ER were  
 413 identified and the reuse between them was proposed.

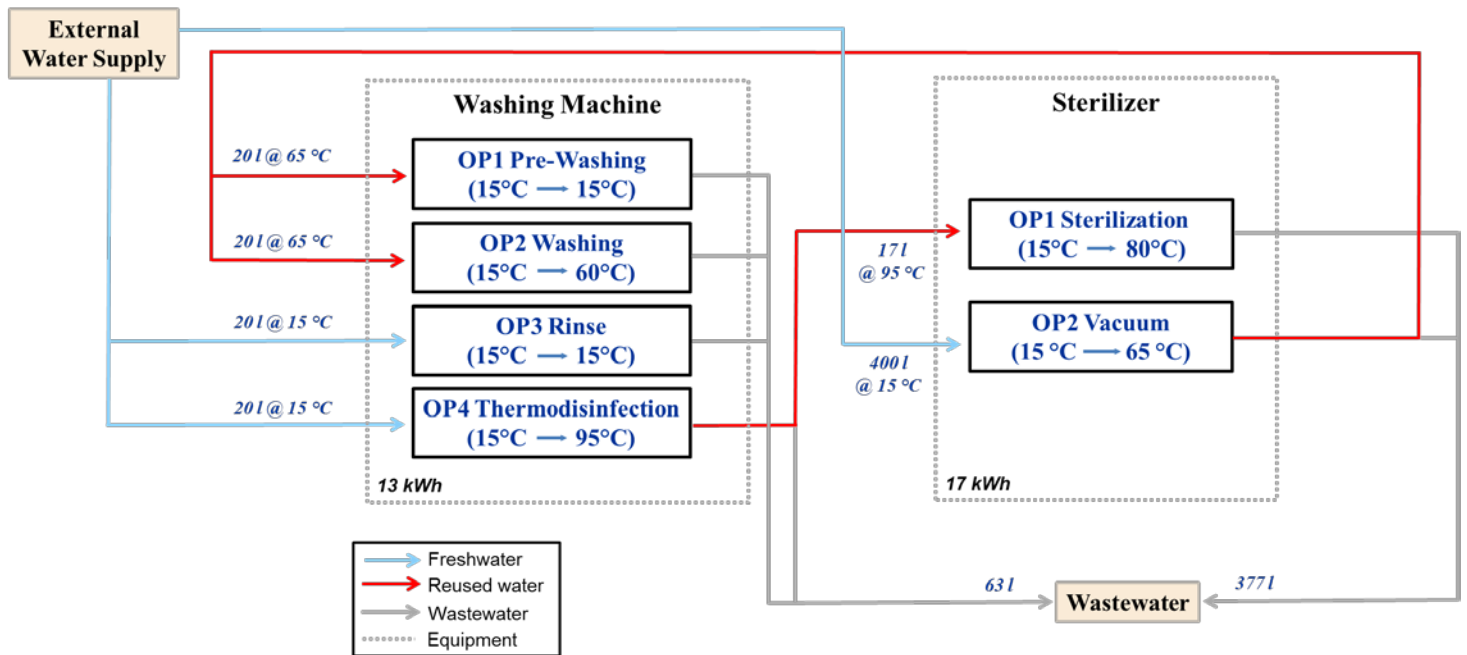


Figure 3: Analysis of the relations of coexistence (ARC) of the sterilization process.

414

415 **Sterilizer → Washing machine**

416 40 l of the water emissions at 65 °C for the *Op2* (vacuum) of the Sterilizer (*S*) is reused in the  
 417 operations *Op1* (pre-washing) and *Op2* (washing) of the Washing machine (*Wm*) and the remaining  
 418 (360 l) are discarded to wastewater.

419 The water emissions outlet for the *SOp2* have no contact with contaminated surgical material  
 420 therefore, it is equal to the water inlet quality specification of *WmOp1* and *WmOp2*, an external water  
 421 supply quality. This does not represent an alteration in the results of operations *WmOp1* and *WmOp2*  
 422 however, it represents a decrease in the water consumption of the Washing machine.

423 The temperature of the water emissions outlet for the *SOp2* (65 °C) is different to the water inlet  
 424 temperature specification that *WmOp1* and *WmOp2* (15 °C) need. This represents an improvement in  
 425 the results of operations *WmOp1* in cleaning the surgical material. In *WmOp2* this difference in water  
 426 temperature with respect to its specification, represents a decrease in the energy that is required to  
 427 heat the water in *WmOp2*.



428 At the end, the 40 l of the water emission outlet of *WmOp1* and *WmOp2* are discarded to wastewater.

429 Figure 4 and, Figure 5, Equations 3, 4, 5 show the performed calculations.

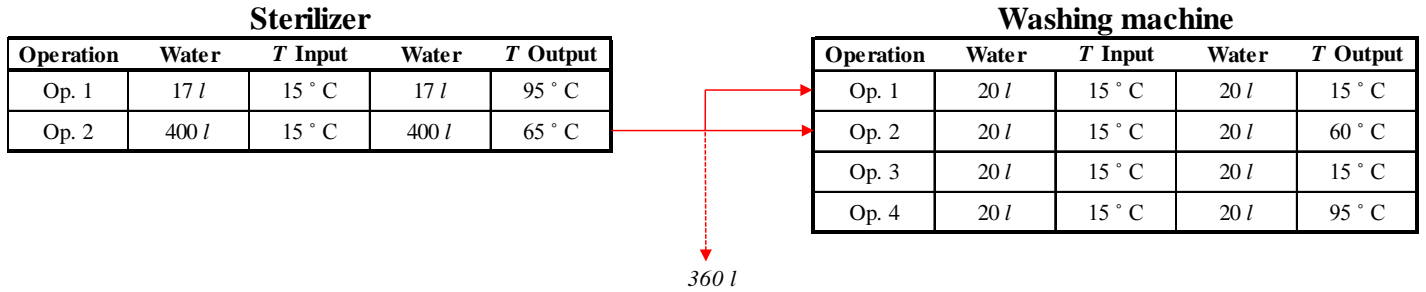


Figure 4: Sterilizer → Washing machine water emissions reuse

430

431 *Water improve:*

$$432 \quad \Delta S = Q_i - Q_0 \quad (3)$$

$$433 \quad \Delta S_{S \rightarrow Wm} = (400 \text{ l}) - (360 \text{ l})$$

$$434 \quad \Delta S_{S \rightarrow Wm} = 40 \text{ l}$$

435

436 *Energy improve:*

$$437 \quad \Delta Q = m \cdot c \cdot (Tf - Ti) \quad (4)$$

$$438 \quad \Delta Q_{S \rightarrow Wm} = m \cdot c \cdot (Tf_S - Ti_{Wm}) \quad (5)$$

$$439 \quad \Delta Q_{S \rightarrow Wm} = (40 \text{ kg}) \cdot \left(1 \frac{\text{kcal}}{\text{kg} \cdot ^\circ\text{C}}\right) \cdot \left(Tf_{S \text{ Op.2}} - Ti_{\left(\frac{Wm \text{ Op.1} + Wm \text{ Op.2}}{2}\right)}\right)$$

$$440 \quad \Delta Q_{S \rightarrow Wm} = (40 \text{ kg}) \cdot \left(1 \frac{\text{kcal}}{\text{kg} \cdot ^\circ\text{C}}\right) \cdot \left(65^\circ\text{C} - \left(\frac{30^\circ\text{C}}{2}\right)\right)$$

$$441 \quad \Delta Q_{S \rightarrow Wm} = (40 \text{ kg}) \cdot \left(1 \frac{\text{kcal}}{\text{kg} \cdot ^\circ\text{C}}\right) \cdot (65^\circ\text{C} - 15^\circ\text{C})$$

$$442 \quad \Delta Q_{S \rightarrow Wm} = (40 \text{ kg}) \cdot \left(1 \frac{\text{kcal}}{\text{kg} \cdot ^\circ\text{C}}\right) \cdot (50^\circ\text{C})$$

$$443 \quad \Delta Q_{S \rightarrow Wm} = 2000 \text{ kcal} \cdot 0.001163 \text{ kWh}$$

444  $\Delta Q_{S \rightarrow Wm} = 2.33 \text{ kWh}$

445

446 **Washing machine → Sterilizer**

447 17 l of the water emissions at 95 °C for the *Op4* (Thermodisinfection) of *Wm* is reused in the  
 448 operation *SOp1* (Sterilization) and the remaining (3 l) are discarded to wastewater.

449 The water emissions outlet for the *WmOp4* is an external water supply quality, but have contact with  
 450 washed and thermodisinfected surgical material. However, according to the manufacturer, it does not  
 451 represent an alteration in the results of operations *SOp1* since this water is needed to generate steam.

452 The water inlet quality specification of *SOp1* is an inverse osmosis quality. According to the  
 453 manufacturer, the generation of steam by the sterilizer can be done also with external water supply,  
 454 which has been preheated. The purpose is that in the course of heating the water begins to lose  
 455 minerals. At 95 °C water emissions outlet for the *WmOp4* is almost at its boiling point, which  
 456 represents a decrease in the energy required to generate steam by the sterilizer and in the water  
 457 consumed because the loss of water when producing one liter of osmosis water is 1 l : 3 l .

458 At the end, the 17 l of the water emission outlet of *SOp1* are discarded to wastewater.

459 Figure 5 and Equations 6, 7, 8 show the performed calculations:

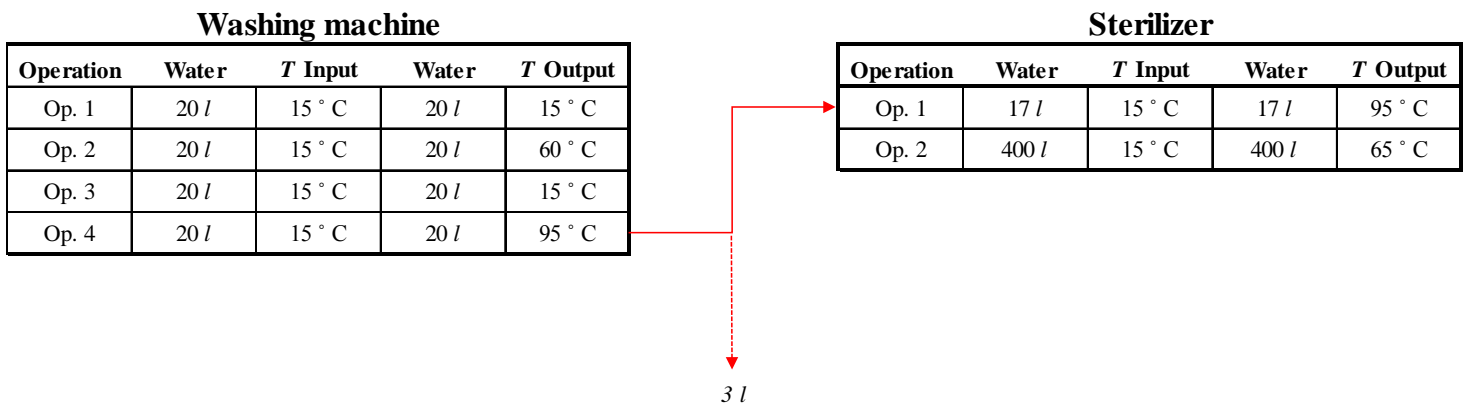


Figure 5: Sterilizer → Washing machine water emissions reuse

460

461 *Water improve:*

462  $\Delta S = Q_i - Q_0$  ( 6 )

463  $\Delta S_{Wm \rightarrow S} = (20 \text{ l}) - (3 \text{ l})$

464  $\Delta S_{Wm \rightarrow S} = 17 \text{ l}$

465

466 *Energy improve:*

467  $\Delta Q = m \cdot c \cdot (Tf - Ti)$  (7)

468  $\Delta Q_{Wm \rightarrow S} = m \cdot c \cdot (Tf_{Wm} - Ti_S)$  (8)

469  $\Delta Q_{Wm \rightarrow S} = (17 \text{ kg}) \cdot (1 \frac{\text{kcal}}{\text{kg} \cdot ^\circ\text{C}}) \cdot (Tf_{WmOp.4} - Ti_{SOp.2})$

470  $\Delta Q_{Wm \rightarrow S} = (17 \text{ kg}) \cdot (1 \frac{\text{kcal}}{\text{kg} \cdot ^\circ\text{C}}) \cdot (95^\circ\text{C} - 15^\circ\text{C})$

471  $\Delta Q_{Wm \rightarrow S} = (17 \text{ kg}) \cdot (1 \frac{\text{kcal}}{\text{kg} \cdot ^\circ\text{C}}) \cdot (80^\circ\text{C})$

472  $\Delta Q_{Wm \rightarrow S} = 1360 \text{ kcal} \cdot 0.001163 \text{ kWh}$

473  $\Delta Q_{Wm \rightarrow S} = \mathbf{1.58 \text{ kWh}}$

474

475 Equation 9 and 10 show the performed calculations for a total improve per cycle in a sterilization  
476 process.

477 *Total improve per cycle:*

478  $Energy = \Delta Q_{S \rightarrow Wm} + \Delta Q_{Wm \rightarrow S}$  (9)

479

480  $Energy = \mathbf{2.33 \text{ kWh} + 1.58 \text{ kWh}}$

481  $Energy = \mathbf{3.91 \text{ kWh}} \text{ per cycle}$

482

483  $Water = \Delta S_{S \rightarrow Wm} + (\Delta S_{Wm \rightarrow S} + \text{inverse osmosis rejection } 3:1)$  (10)

484

485  $Water = \mathbf{40 \text{ l} + (17 \text{ l} + (17 \text{ l} \cdot 3 \text{ l}))}$

486  $Water = \mathbf{40 \text{ l} + (17 \text{ l} + 51 \text{ l})}$

487  $Water = \mathbf{40 \text{ l} + 68 \text{ l}}$

488  $Water = \mathbf{108 \text{ l}} \text{ per cycle}$

489 **Table 5: Material flow cost matrix for water and electricity of the sterilization process after reuse of**  
 490 **emissions**

Sterilized Surgical Material (year)	Water Consum (year)	Water Cost (year)	Energy Consum (year)	Energy Cost (year)	Total
504, 800 <i>kg</i>	2, 776, 400 <i>l</i>	5302.94 €	164, 628 <i>kWh</i>	28842.82 €	34145.76 €
		15.53 %		84.47 %	100.00 %



491

492 The findings found through the MFCA analysis after emissions reuse, indicate that with 3155  
 493 sterilization cycles per year (Wash and Sterilize) and with 504, 800 kg of surgical material processed,  
 494 the sterilization process experience a reduction of 4, 322.54 euros in electricity consumption and a  
 495 reduction of 1, 301.61 euros in water consumption.

496 The electricity consumed represents is represents a generation of 50, 705.4 kg CO<sub>2</sub>eq. Equations 1 and  
 497 2 were used for calculations.

498  $Carbon\ footprint = 164,628\ kWh \cdot 0.308$  (gentcat.cat, 2016)

499  $Carbon\ footprint = 50,705.4\ kg\ CO_{2eq}$

500 This represents a saving of 5, 624.15 euros spent on electricity and water from the significant  
 501 equipment of the sterilization process and a 7, 599 kgCO<sub>2</sub>eq which will not be emitted to the  
 502 environment.

503

504

505

506

507

508

509

510

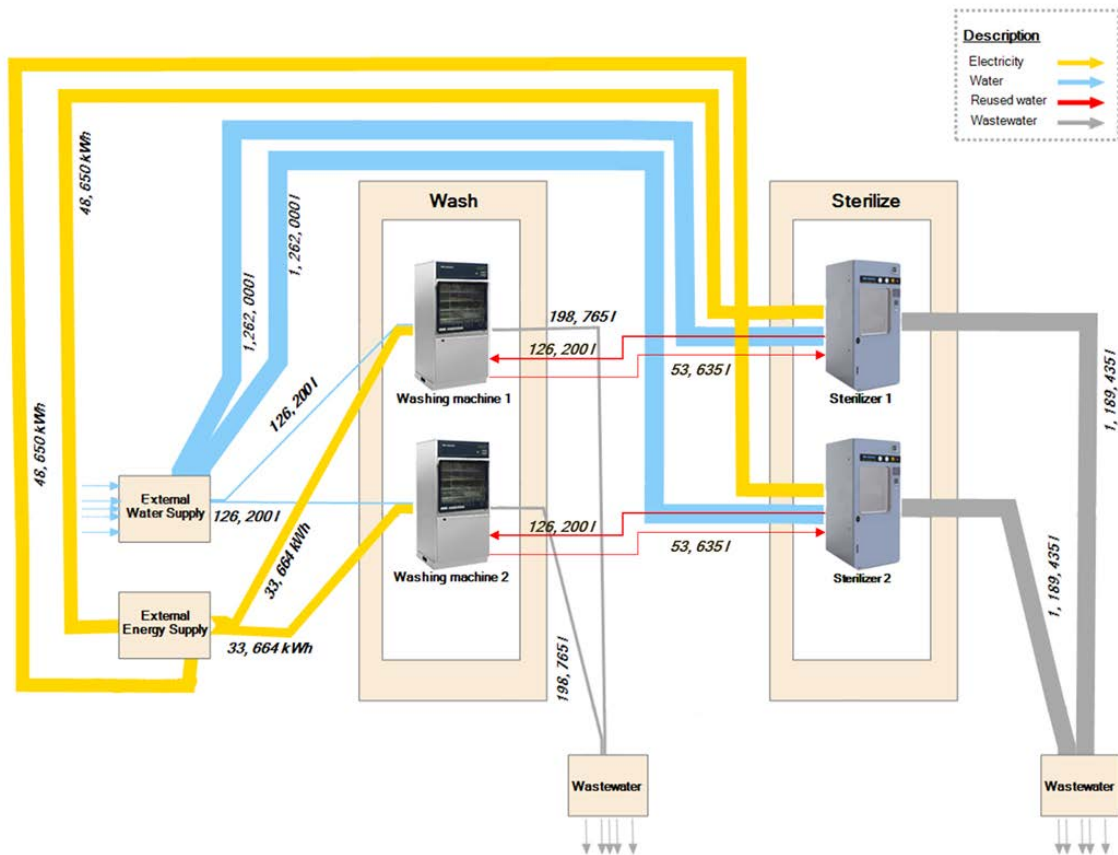
511

512

513

514

515 Figure 6 present a proposal of the sterilization process closed loop model.



522 **Figure 6: Sankey diagram sterilization process closed loop model.**

516 The closed loop model proposed for the redesign of the sterilization process is a continuous process of  
 517 synchronous exchange of water emissions from one equipment to another. The company has  
 518 developed software that controls and synchronizes this emissions exchange based on Digital Habitat  
 519 Ecosystem Architecture (DHEA), which allows the monitoring of resource consumption and sends  
 520 signals for the exchange of emissions when the equipment requires it. In case of a saturation in the  
 521 system, emissions are released to the wastewater.

522

523 **6. DISCUSSING THE APPLICATION**

524 The main results of this implementation indicate the potential of sustainable innovation. From the  
 525 operational phase of the sterilization process was established:

- 526 • A reduction of 38% of water and 26% of electricity in the sterilization process per cycle;  
 527 • A reduction of 7, 599 kgCO<sub>2</sub>eq of carbon footprint of the sterilization process in a year;

528 • A reduction of 17.41% (6, 925.76 euros) of the cost of cycle of use in the sterilization process in a  
529 year.

530 The case study presented has shown how manufacturing companies could address the challenges  
531 posed by the large amount of resources consumed during the operational stage of equipment's life  
532 cycle involved in a production process by following the proposed redesign methodology. As well as,  
533 the synergistic relationship between the inputs and outputs in three of the four tools was confirmed;  
534 The outputs of IDEF0 were effectively input to ER, while the output of ER was input to MFCA and  
535 ARC. An objective analysis performance was necessary for identifying all aspects in a sterilization  
536 process, which was achieved by adopting IDEF0. On the other hand, the knowledge of the  
537 consumption of resources of the equipment involved in the sterilization process is critical to identify  
538 which resource is used mostly and which are the equipment with the major consumes in the ER step.  
539 Additionally, it necessary to know the result of the LCA of the equipment performed by the  
540 equipment suppliers previously in order to verify its significance in the sterilization process.

541 The output of ER mentioned above was necessary as input of the next two tools. First, to perform the  
542 step of MFCA in where the consumption of resources of the significance equipment in the  
543 sterilization process was critical to know the impact not only economically but also at the  
544 environmental level, generating concrete data, which can be helpful for process designers to  
545 understand the opportunity area to reduce consumes. To perform a ARC it is essential the use of a  
546 common sense and a systematic thinking with the purpose of reusing the emissions of resources from  
547 one equipment in another.

548

## 549 **7. DISCUSSIONS**

550 The application of the proposed methodology demonstrated in section five revealed:

- 551 1. The methodology effectively proved the essential relationship between the production process  
552 and the equipment involved in them not only of design stage, but also the relation of resource  
553 consumption and support of the sustainable redesign of industrial processes.
- 554 2. The inputs and outputs of the IDEF0, ER and ARC are essentials due to the synergy of the  
555 three tools. Absence of any of the three tools mentioned above is not effective. The LCA  
556 results must validate the significance of the equipment with more resource consumption in the  
557 ER.
- 558 3. With the exception of the previous LCA for each equipment, the other three tools IDEF0, ER,  
559 MFCA and ARC are relatively simple because they are based on common sense and can be  
560 used by process designers without the need for extensive environmental experience. This  
561 converts the methodology in a practical guidance on how manufacturing companies could

562 address the challenges posed by the large amount of resources consumed during the  
563 operational stage of equipment's life cycle involved in a production process.

564 4. It is widely applicable to the redesign of any production process where industrial equipment  
565 is involved because any limitation on the applicability was found. In cases of production  
566 processes with more complexity, the methodology will be applicable, as well. Rather, the  
567 methodology may be more effective in such production processes where the resources  
568 consumption is higher.

569 5. The main objective of the redesign methodology presented in this research is to improve the  
570 environmental performance of the production processes. As part of a number of innovative  
571 environmental solutions that have been appearing recently, it will be necessary to implement  
572 the proposed methodology in different production processes and to validate its reliability for  
573 external verifiers. Environmental technology verification is a tool to support circular  
574 economy (Henry, 2017) "ETV is applicable to those innovative environmental technologies  
575 whose innovative features or performance cannot be fully assessed using existing standards"  
576 (ISO, 2016). Along with the application in other processes, the mission of the ETV will be to  
577 certify that the proposed redesign methodology provides a solution to an environmental  
578 problem with the support of engineering and scientific principles (OECD, 1999).

579

## 580 **8. CONCLUSION**

581 This research presents a production processes redesign methodology, which adopts IDEF0, LCA,  
582 MFCA and ARC tools. It has been verified through application to an exemplary sterilization process.  
583 From the application, it was shown that the proposed methodology effectively proved the essential  
584 design relationship between the production process and the equipment involved in them and supports  
585 the sustainable redesign of production processes. The case study allows the integration of an  
586 equipment supplier to redesign the sterilization process through the reuse of emissions between  
587 equipment's, the integration of equipment suppliers to the circular economy resource efficiency. In its  
588 application, it was found out that the proposed methodology could be implemented in the redesign of  
589 any industrial process in that industrial equipment is involved.

590

## 591 **ACKNOWLEDGEMENTS**

592 Eng. Sergio Spataro and other members to the company Antonio Matachana S.A., Spain for the  
593 opportunity to implement the proposed methodology and for their fruitful discussions. This research  
594 work was partially supported by the National Council for Science and Technology, Mexico.

595

596 **NOMENCLATURE**

597  $\Delta Q =$  *Temperature change (°C)*

598  $m =$  *Mass (kg)*

599  $c =$  *Specific heat constant ( $\frac{\text{kcal}}{\text{kg} \cdot ^\circ\text{C}}$ )*

600  $T_f =$  *Final temperature (°C)*

601  $T_i =$  *Initial temperature (°C)*

602  $S =$  *Sterilizer*

603  $W_m =$  *Wash machine*

604  $\Delta S =$  *Stored water volume change (l)*

605  $Q_i =$  *Total volume of inputs (l)*

606  $Q_o =$  *Total measure volume of outputs (l)*

607

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