Understanding the piezoelectric properties in potassium-sodium niobatebased lead-free piezoceramics: Interrelationship between intrinsic and extrinsic factors

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ABSTRACT

Lead zirconate titanate (PZT) based ceramics are currently enjoying a wide use in piezoelectric devices despite lead toxicity. Due to growing environmental concerns, the attention on piezoelectric ceramics has been moving to lead-free materials, in particular to (K,Na)NbO₃-based ceramics. Here we report a systematic evaluation of the effects of the compositional modifications on [(K_{0.44}Na_{0.52}Li_{0.04})](Nb_{0.86}Ta_{0.10}Sb_{0.04})_{1-x}Zr_{5x/4}]O₃ lead-free piezoceramics. We show that an interrelationship between the intrinsic and extrinsic factors is the linchpin for the development of good piezoelectric properties. Hence, the stabilization of the tetragonal symmetry on the orthorhombic-tetragonal polymorphic phase boundary facilities the poling process of the system, thereby enhancing the piezoelectric response. Additionally, the microstructure appears to be related to the piezoelectric properties; i.e., the improved piezoelectric properties correlate to the increase in grain size. The results of this work could help to understand the origin of piezoelectricity in potassium–sodium niobate-based ceramics.

KEYWORDS: Lead- free materials; Potassium-Sodium Niobate phase; Piezoelectric ceramics

1. Introduction

Lead titanate-zirconate (PZT) ceramics are the most widely used piezoelectric materials. However, legal restrictions on the use of lead in electrical and electronic devices have led to greater efforts being made to develop lead-free alternatives to PZT-based materials [1-6]. Hence, many researchers have attempted to present materials capable of replacing lead-based ceramics [4-26]. Among these attempts, potassium-sodium niobate, $K_xNa_{1-x}NbO_3$ (KNN), ceramics have become one of the most investigated systems over the past ten years [10-26], ever since an exceptionally high piezoelectric constant (d_{33}) of ~400 pC·N⁻¹ was reported by *Saito et al.* in 2004 [12]. This findings were based on chemical modifications by complex simultaneous substitutions into the A (Li) and B (Ta and Sb) sites of the perovskite crystal lattice for a composition close to the morphotropic phase boundary (MPB) of the KNN system. In addition to these chemical modifications, a novel processing route for producing textured polycrystals has been carried out in this work. However, the inhomogeneous distribution of Nb, Ta and Sb on the B-site of the perovskite lattice is rather difficult to avoid because of the phase segregation of end members over a wide temperature interval [27]. Furthermore, apparent compositional segregation is evidenced in KNN ceramics annealed for a long time [10,27-29].

More recently, Zhu's group chemically designed a series of KNN-based ternary ceramic systems that effectively enhance the piezoelectric properties by tuning phase boundaries [24,30,31]. In this context, the polymorphic phase boundary (PPB) in lead-free piezoelectric materials, and particularly in KNN-based compositions, has attracted significant interest because of the unique properties found in their vicinity. However, in order to harness the full potential of these materials as micro-nanoscale functional entities, it is essential to achieve a reliable and precise control of the macroscopic response in the PPB. The enhancement of the macroscopic properties in a PPB is due to two contributions, which are known as intrinsic and extrinsic contributions. On the one hand, the intrinsic contribution is related to the linear lattice distortion, and is associated with the change in the polarization of the unit cell. As a

result of the phase coexistence, the polarization rotation enhances at the PPB region, thereby improving the piezoelectric properties. Moreover, the property enhancement at PPB has been reported as a consequence of an electric field-induced phase transition; i.e. a polarization extension phenomenon [32]. Thus, PPB is a region where material response is apparently enhanced owing to polarization rotation and polarization extension. On the other hand, the extrinsic contribution is easily defined as all responses different from the intrinsic one. Ochoa et. al. [33] have recently reported that, in addition to the intrinsic contribution, the extrinsic contribution (domain wall contribution) also maximizes in a PPB region. Thus, the extrinsic contribution is also responsible for improving the functional properties at the PPB [33]. Despite the progress achieved recently, a fundamental question nevertheless arises: What is the balance between the intrinsic and extrinsic contribution within a PPB? An attempt to answer this question is provided in this work.

Compositional engineering by doping is a classic approach in the field of piezoelectric materials to modify the structure and microstructure of materials, and therefore to tailor the piezoelectric response. Many aliovalent compositional modifications to KNN-based system have been studied, either with higher valence substitutions (donors) or with lower valence ions (acceptors) [24,34-38]. From this perspective, the (K,Na)NbO₃-LiTaO₃-LiSbO₃ system was synthesized by replacing the B-sites with Zr^{4+} ions, thereby engendering an evolution of the polymorphic behaviour containing tetragonal (*T*) and orthorhombic (*O*) symmetries. Through this compositional design, we have found that a balance between the intrinsic and extrinsic contributions constitutes a linchpin in the development of good piezoelectric properties. The stabilization of the rich *T* region into the PPB seems to be crucial for promoting the piezoelectric response.

2. Experimental details

2.1. Preparation process

Bearing in mind the ionic radii, the Zr^{4+} ion (r_{Zr}^{4+} : 0.72 Å for a coordination number CN=6) [39] is in the size range of the B-site ions (r_{Nb}^{5+} : 0.64 Å, r_{Ta}^{5+} : 0.64 Å, r_{Sb}^{5+} : 0.60 Å for CN=6) [39] of the (K,Na)NbO3-LiTaO3-LiSbO3 system. Considering its valence, Zr4+ can act as acceptor dopant if introduced into the B-site, thereby modifying the properties of the system. Therefore, B-site deficient Zr^{4+} doped $(K_{0.44}Na_{0.52}Li_{0.04})(Nb_{0.86}Ta_{0.10}Sb_{0.04})O_3$ with а global formula $(K_{0.44}Na_{0.52}Li_{0.04})$ [(Nb_{0.86}Ta_{0.10}Sb_{0.04})_{1-x}Zr_{5x/4}]O₃, hereafter abbreviated as KNL-(NTS)_{1-x}Zr_{5x/4}, is selected as a PPB tunable system. Thus, $KNL-(NTS)_{1-x}Zr_{5x/4}$ ceramics with x = 0, 0.005, 0.01, 0.03, and 0.05 were prepared by conventional solid-state reaction from an adequate mixture of corresponding oxides and carbonates. Na₂CO₃, Li₂CO₃ (PANREAC, >99.5%), K₂CO₃ (Merck₇ >99%), ZrO₂, Nb₂O₅, Ta₂O₅, and Sb₂O₅ (SIGMA-ALDRICH, > 99.9%, >99% and >99.995%, respectively) were used as starting raw materials and were individually milled in order to obtain an appropriate distribution of the particle size. Furthermore, the raw materials were dried at 200 °C for 4 h before use because of their hygroscopic nature [37]. Powders with different ZrO₂ concentrations were weighted and ball-milled for 3 h in an ethanol medium in a high-energy laboratory ball-mill with Zirconia balls. Subsequently, the resulting powders were dried, sieved and calcined at 700 °C for 2 h at 3 °C/min [40]. The calcined powders were ball-milled in a plastic jar with Zirconia grinding media for 3 h in ethanol and dried for 4 hrs at 150°C. Samples of 10 mm in diameter and 1 mm in thickness were uniaxially pressed at 200 MPa and sintered in air at 1125 °C for 2 h. Bulk densities of the samples were determined using the Archimedes method.

2.2. X-ray diffraction (XRD) measurement

XRD (X'Pert PRO Theta/2theta of Panalytical, PANalytical, The Netherlands) measurements were performed by applying CuK_{α} (λ = 0.154056 nm) radiation on unpoled and poled sintered ceramics. The patterns were recorded over the angular range 10-70° (20) with a step size of 0.0334° and a time per step of 100 seconds. The working voltage and current were 40 kV and 100 mA, respectively. Structural refinement was performed using a tetragonal symmetry, (T, P4mm), and an orthorhombic symmetry, (O, Amm2). The cell parameters were then calculated by fitting the observed reflections with a leastsquares method using the Checkcell program [41]. The relative volume fractions were calculated by using the integrated intensities of the tetragonal (002) and (200) and orthorhombic (022) and (200) degenerate reflections, which obtained from the line profile analysis [11,42]. Peak positions were fitted assuming a Lorentz peak shape using the Peakoc software [43]. (More information about the phase volume fraction vs Zr content is shown in the Supplementary Information, section A). The intensities and the position of the maxima of the tetragonal (002) and (200) degenerate reflection were also used to calculate the relative percent of domain switching (extrinsic contribution) and the electric-field-induced lattice strain (intrinsic contribution) for each composition (More information about the domain switching and the electric-field-induced lattice strain contributions are given in the Supplementary Information, section **B**).

2.3. Microstructural characterization

The microstructure was evaluated on polished and thermally etched samples (1000°C for 5min) using a Field Emission Scanning Electron Microscope, FE-SEM (Hitachi S-4700). The microscope was coupled with a Themo NORAN x-sight energy dispersive X-ray spectrometer (EDXS), from Thermo Scientific Instruments, for chemical elemental analysis. The grain size distributions (GSD) and the average grain size (AGS) were measured from the FE-SEM micrographs using an image analysis

program (Leica Qwin, Leica Microsystems Ltd, Cambridge, England) considering more than 300 grains.

2.4. Electrical Characterization:

Silver paste was coated on both sides of the sintered samples for the electrical measurements. Room temperature ferroelectric properties were measured by using a hysteresis meter (RT 6000 HVS, RADIANT Technologies). The dielectric properties were determined at different temperatures and frequencies using an impedance analyzer HP4294A. In order to test the piezoelectric properties, the samples were polarized under a direct current (*dc*) electric field of 4 kV/mm in a silicone oil bath at 25 °C for 30 min [44]. The piezoelectric constant d_{33} was measured using a piezo d_{33} meter (YE2730A d_{33} METER, APC International, Ltd., USA) at room temperature.

3. Results and discussion

3.1. Evolution of the polymorphic behaviour

Figure 1a shows the XRD patterns of KNL–(NTS)_{1-x}Zr_{5x/4} ceramics. Diffraction patterns correspond to a perovskite structure without secondary impurity phases for x=0.005, 0.01 and 0.03. However, the presence of two minor secondary phases has been detected in un-doped ceramics (x=0.00) and in the high-doped ceramics (x=0.05). These secondary impurity phases can be attributed to the tetragonal tungsten-bronze (TTB) K₆LiNb₆O₁₇ (PDF# 40-0349) [10,14,34] and the ZrO₂ (PDF# 37-1484) phases. The absence of secondary phases in the doped ceramics with a compositional range of 0.005 $\leq x \leq$ 0.03 is a remarkable result, because TTB phases are commonly present in sintered alkaline niobates affecting piezoelectric response [10,34]. In addition, the results suggest that KNL-NTS and ZrO₂ form a limited solid solution.

The **insert** in **Figure 1** shows a detail of the XRD diffraction pattern in the 2 θ range from 44.5° to 47° of the KNL–(NTS)_{1-x}Zr_{5x/4} ceramic system as a function of the Zr⁴⁺ content. The splitting of the (200)

cubic peak into the (200) and (002) reflections, corresponding to the expected non-cubic symmetry of these samples at room temperature, is shown. Peaks evolve from two defined signals at 45.3° and 45.97° for the undoped sample to two overlapped peaks at 45.65° and 45.8° for the highest Zr^{++} content. All patterns can be fitted to the sum of four Lorentzian peaks, corresponding to two tetragonal peaks and two orthorhombic peaks of the perovskite phase. All samples present a polymorphic behaviour, which is associated with the room temperature coexistence of the tetragonal, (*T*, *P4mm*), and orthorhombic, (*O*, *Amm2*), symmetries. The coexistence of different polymorphs (*T* and *O*) in KNL-NTS ceramics were previously reported [10,33,45]. However, the polymorphic behaviour of KNL–(NTS)_{1-x} $Zr_{5x/4}$ ceramics shows a clear dependence on the Zr^{++} content (see insert in Figure 1). Thus, the compositions with $0.00 \le x \le 0.01$ have a room-temperature coexistence of *O-T* phases, the peaks associated with *T* symmetry being most relevant. However, a different trend is detected for the compositions with high Zr^{++} contents ($0.03 \le x \le 0.05$). In this compositional range, the peaks associated with orthorhombic symmetry are more relevant than in the ceramics with low Zr^{++} contents, which implies a stabilization of orthorhombic symmetry at high Zr^{4+} concentrations (see insert in Fig. 1).

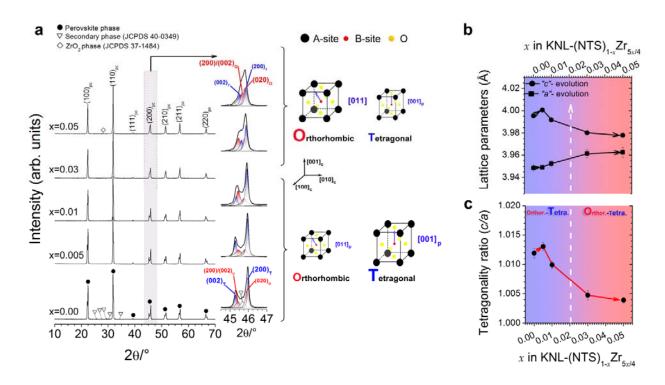


Figure 1 | **Identification of Phase Coexistence on the KNL–(NTS)**_{1-x} $Zr_{5x/4}$ **Ceramics by XRD:** The figure shows X-ray diffraction patterns of KNL–(NTS)_{1-x} $Zr_{5x/4}$ ceramics. Diffraction peaks associated with the occurrence of the secondary phases are detected for x = 0.00 and 0.05, which are indicated by triangles and rhomboids symbols. The figure **insert** (region marked in grey on main panel) shows a detail of the XRD diffraction pattern in the 20 range from 44.5° to 47°. All patterns are fitted to the sum of four Lorentzian peaks, which are indexed as two tetragonal peaks (in blue) plus two orthorhombic peaks (in red) of the perovskite phase (*T*: Tetragonal symmetry and *O*: Orthorhombic symmetry). Additionally, a schematic phase coexistence is shown on the right of the insert. The panels (**b**) and (**c**) show the lattice parameters evolution and the tetragonality ratio (c/a) of KNL–(NTS)_{1-x} $Zr_{5x/4}$ ceramics, respectively.

To identify clearly the evolution of the polymorphic behaviour, the XRD data for different Zr^{4+} amounts are retrieved and the lattice parameters calculated, as shown in **Figures 1b-c**. As may be observed, an intermediate state around $x \sim 0.02$ is seen to appear, in which the lattice parameters "*a*" and "c" tend to converge (**Figure 1b**) causing a decrease in the structural distortion, *c/a* (**Figure 1c**). The calculated *c/a* ratio evolves from 1.014 for x= 0.00 (pure KNL-NTS) to 1.004 for x= 0.05. However, it is worth pointing out that the higher tetragonality ratio (1.016) is obtained for x= 0.005, revealing the stabilization of the tetragonal symmetry at very low Zr^{4+} concentrations. More importantly, this large tetragonal distortion could lead to a large electrical polarization [46,47].

The polymorphic behaviour evolution may be related to the solubility of Zr^{4+} ions in the perovskite structure and, consequently, to the chemical homogeneity of the system. The perovskite lattice is unable to accommodate the nominal Zr^{4+} content when this implies an excess in the B-site. Thus, the corresponding excess should be compensated by the eviction of some Nb⁵⁺ ions, with the subsequent transformation of the perovskite structure towards an orthorhombic symmetry. Finally, the appearance of the secondary phase (ZrO_2) indicates the limit for the B-site replacement. To sum up, the PPB can be modulated by chemical modifications such as the variation of the B-site of the ABO₃ perovskite structure with Zr^{4+} . This is readily demonstrated in **Figure 1**, where there are two different symmetries (*O* and *T*) coexisting in the system at room temperature, but the relative volume fractions of the phases evolve as a function of the Zr^{4+} content. This fact suggests that the piezoelectric properties of the system will be affected by the modification of the multiphase coexistence or, in other words, by intrinsic factors.

3.2. Identification of the phase transition temperatures

Additional information concerning the effects of the Zr⁴⁺ doping on the phase transformations of the KNL–(NTS)_{1-x}Zr_{5x/4} ceramic system can be obtained from the dielectric permittivity versus temperature curves. **Figure 2a** shows the temperature dependence of the dielectric constant ε_r as a function of Zr⁴⁺ content. The crystallographic structure of the system evolves from a paraelectric cubic (*C*) phase at high temperatures to a ferroelectric *O* phase at low temperatures, passing through a ferroelectric *T* phase. Therefore, the transition temperatures are correspondingly defined as T_{O-T} , and T_C (or Curie temperature). All compositions exhibit two dielectric anomalies that are associated with the corresponding T_{O-T} and T_C transition temperatures. Although only T_C can be precisely determined from the ε_r versus *T* data, an evolution of the T_{O-T} temperature can be easily identified (**Figure 2b**). Considering the results from both XRD patterns and ε_r -T curves, two scenarios can be distinguished, depending on the doping content. The first of these scenarios, for a low doping range, corresponds to

compositions that present room temperature *O*-*T* phase coexistence with a predominant *T* phase. The second, for a high doping range, corresponds to compositions for which the *O*-*T* phase boundary evolves towards the stabilization of the *O* phase, thereby leading to an increase of the T_{O-T} temperature. In addition, the elimination of the TTB phase may also contribute to the T_C increasing for the lowest Zr^{4+} content.

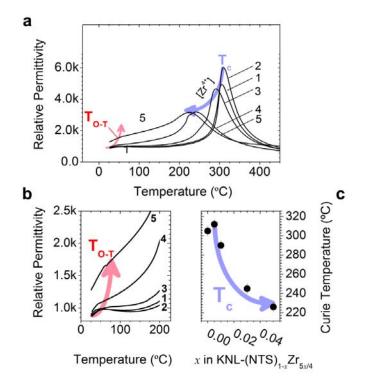


Figure 2 | **Identification and Influence of the Zr**⁴⁺ **content on Phase Transitions: (a)** Temperature dependence of real permittivity of KNL–(NTS)_{1-x}Zr_{5x/4} sintered ceramics at 100 kHz. Panel (b) shows a detail of the ε_r –T curves in the temperature range from 25 to 200 °C. Panel (c) shows the evolution of the *T*_c as a function of the Zr⁴⁺ content (the resolution of the phase transition temperatures, *T*_c, was estimated as ±5 °C). The compositions represented in (**a**) and (**b**) are labelled as follows: (**1**) *x* = 0.00; (**2**) *x* = 0.005; (**3**) *x* = 0.01; (**4**) *x* = 0.03; and (**5**) *x* = 0.05.

Additionally, **Figure 2c** shows the influence of the Zr^{+4} content on $T_{\rm C}$. The Zr^{+4} addition effect has two discernible features. Firstly, $T_{\rm C}$ increases for low Zr^{4+} content (i.e., $T_{\rm C} \sim 315$ °C for x = 0.005, while $T_{\rm C} \sim 305$ °C for x = 0.00), which may mean that compositions with a low amount of appropriate doping exhibit higher $T_{\rm C}$. This is an interesting finding, since the obtention of piezoceramics combining a good

comprehensive performance of piezoelectric properties and high T_c is highly desirable. Secondly, T_c quickly decreases as the Zr⁴⁺ content becomes greater (i.e., for x > 0.005). Furthermore, an increase in the phase transition diffusivity is observed as the Zr⁴⁺ content rises; in particular, for x ≥ 0.03, as shown in *Supplementary Information, section C (see Figure S2)*. These results suggest that a high Zr⁴⁺ doping makes the phase transition more diffuse because of chemical heterogeneities.

3.3. How intrinsic factors affect piezoelectric properties

The high density of the KNL-(NTS)1-xZr5x/4 ceramics allows us to measure the ferroelectric response, and therefore to attempt a correlation of ferroelectric properties with the observed structural evolution. For a better understanding of the relationships between physical-chemical phenomena and electromechanical properties of this system, the electric field dependence of polarization (*P-E* loops) as a function of Zr^{4+} content were measured at room temperature. As shown in Figure 3a, all compositions exhibit typical hysteresis loops, which reveal their ferroelectric character. However, the ferroelectric properties are strongly dependent on the composition and, consequently, on the evolution of PPB. Thus, the compositions with low Zr^{4+} content (0.00 $\leq x \leq$ 0.01) exhibit good square hysteresis loops, while a poor ferroelectric behaviour, together with a slim P-E loop, are shown for x > 0.01. To evaluate the effect of Zr4+ content accurately on the ferroelectric properties, the evolution of the remanent polarization (P_r) and the coercive field (E_c) are plotted in Figure 3b. As can be observed, P_r increases up to 20 μ C·cm⁻² in x = 0.005 and then sharply decreases as the Zr⁺⁴ content increases. The E_C exhibits a similar trend; i.e. it increases as the Zr^{4+} content increases, reaching the higher value for x = 0.005, and then decreases with the doping rise. Higher values of P_r in compositions with $0.00 \le x \le 0.01$, may be attributed to the stabilization of the T phase on the PPB at room temperature, thereby confirming that the large tetragonal distortion, c/a ratio, obtained from the XRD measurement (Figure 1c), leads to an enhanced electrical polarization [47-50]. Moreover, the elimination of the TTB phase also contributes to the improvement of the ferroelectric properties.

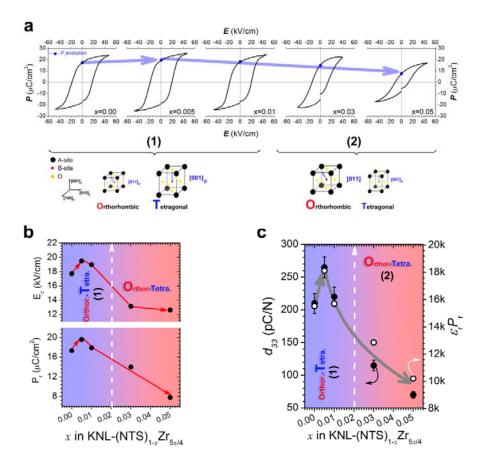


Figure 3 | **Interrelationship between ferroelectric and piezoelectric responses: a** Polarization versus electric field loops and **b** remanent polarization (P_r) and coercive field (E_c) values of the KNL–(NTS)_{1-x}Zr_{5x/4} ceramics as a function of *x*. Panel **c** shows the piezoelectric coefficient (d_{33}) and the product $\varepsilon_r P_r$ versus Zr⁴⁺ content. Additionally, below the panel (a) a schematic representation of phase coexistence as a function of the composition is indicated. The blue and red areas delimit the compositional regions, which imply a stabilization of *T* symmetry (region marked as 1) or *O* symmetry (region marked as 2), depending on the compositions in the PPB at room temperature. The standard tolerance for the electrical properties is ± 10%.

The direct piezoelectric coefficient, d_{33} , is determined by the electric charge response to a low external mechanical stress (linear conditions). The value of the d_{33} is a commonly accepted quality indicator of the piezoelectric activity of a material. Therefore, increasing the d_{33} becomes a major concern in order to improve the functional properties. From this perspective, enhanced dielectric and ferroelectric properties are desirable, since the piezoelectric response is related to these properties through the $d_{33} \alpha \varepsilon_r P_r$ relationship [51,52]. In consequence, an enhancement of the piezoelectric

properties is possible for higher P_r (in particular for compositions with $0.00 \le x \le 0.01$), even though the ε_r remains constant.

The P_r and, consequently, the d_{33} , seem to be definitively related to the main phase governing the PPB. To verify this relation, the ceramics were polarized and the d_{33} was measured. Both the d_{33} and $\varepsilon_r P_r$ product are plotted as a function of the Zr⁴⁺ content, as shown in **Figure 3c**. A similar changing tendency in both d_{33} and $\varepsilon_r P_r$ curves occurs in all compositions; i.e., d_{33} and $\varepsilon_r P_r$ first increase and then decrease as x increases, reaching a peak value of $d_{33} \sim 265$ pC/N for x = 0.005. The ceramics doped with low Zr⁺⁴ content (0 ≤ x ≤ 0.01) show good piezoelectric properties while the piezoelectricity degrades with an increase in the Zr⁴⁺ content (x ≥ 0.03). Therefore, our results show that the enhanced piezoelectricity of the system may partly result from the increase in structural distortion, c/a, as a consequence of the tetragonal symmetry stabilization around the PPB.

Summarizing, the existence of a room temperature PPB is crucial in KNN-based material because it provides the polarization rotation path for the enhanced piezoelectric properties near the phase boundary [53-55], making the ceramic more polarizable. In this context, we found that the piezoelectricity in rich *T* regions ($0 \le x \le 0.01$) is higher than that in rich *O* regions ($x \ge 0.03$). Therefore, the piezoelectric activity is directly related to the $T_{\text{O-T}}$, as has been demonstrated by XRD and ε_{r} -*T* curves.

3.4. How an extrinsic factor may affect piezoelectric properties

As demonstrated in the above subsection, the crystallographic nature of the PPB (intrinsic factor) plays a relevant role in the functional properties of the piezoceramics. Nevertheless, it is also necessary to take into account the microstructural features (extrinsic factors) of these materials. For instance, it is well-known that there is a strong dependency of the piezoelectric properties on the grain size in perovskite systems such as $Pb(Zr,Ti)O_3$ and $BaTiO_3$ [56-58]. From this perspective, the relationships

between microstructure and piezoelectric properties are studied here by means of FE-SEM micrograph, as shown in **Figure 4**. As may be observed, the microstructure (**Figs. 4a-e**) and the grain size distribution (GSD) [**Figs. 4f-j**] of the ceramics are clearly influenced by adding Zr⁴⁺ ions. The average grain size (AGS) of the ceramics increases with increasing Zr⁴⁺ content (**Fig. 4a-b**) and then drops on further increase of the Zr⁴⁺ content (**Fig. 4c-e**). Analysis of the GSD evolution (**Fig 4f-j**) leads to two remarkable observations; firstly, the ceramics with $0.00 \le x \le 0.005$ have a multimodal distribution of grain sizes; that is, bigger grains are surrounded by smaller ones, and secondly, the GSD evolves toward a unimodal distribution as the Zr⁴⁺ content increases. Thus, it is possible to assume that the system evolves to a more homogeneous grain size distribution, but showing substantially smaller grain sizes. The observed dissimilar behaviours could be attributed to the fact that, in the first place, the sintering process of this system occurs due to the presence of a liquid phase for low Zr⁴⁺ contents (0.00 $\le x \le 0.005$) [10]. Hence, the formation of a limited amount of liquid phase during sintering promotes the grain growth, thereby giving rise to the increase in grain size. In the second place, for high Zr⁴⁺ contents (0.01 $\le x \le 0.05$), the Zr⁴⁺ ions act as a grain growth inhibitor that transforms the grains into more cube-shaped grains with sharp edges and corners.

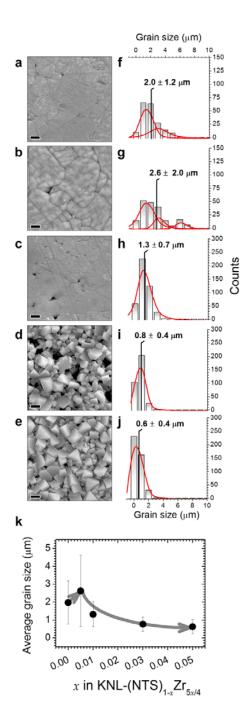


Figure 4 | **Zr**⁴⁺ **content effect on microstructure: (a-e)** Sequence of FE-SEM images showing the evolution of the microstructure in the KNL–(NTS)_{1-x}Zr_{5x/4} ceramics with different contents of Zr⁴⁺, where (**a**) corresponds to x = 0.00, (**b**) to x = 0.005, (**c**) to x = 0.01, (**d**) to x = 0.03, and (**e**) to x = 0.05. *Scale bar, 1 µm.* (**f**-**j**) Sequence of grain size distributions (GSDs) for (**f**) x = 0.00, (**g**) x = 0.005, (**h**) x = 0.01, (**i**) x = 0.03, and (**j**) x = 0.05. (**k**) Evolution of the average grain size (AGS) as a function of the Zr⁴⁺ content. The error bars show the standard deviation of the AGS of each sample.

The AGS evolution as a function of Zr^{4+} contents obtained from GSDs (Fig. 4f-j) is displayed in Figure 4k. As may be observed, the AGS decreases from ~ 2.0 ± 1.2 µm in the undoped ceramic (x = 0.00), to sub-micrometer size of ~0.6 ± 0.4 µm for the ceramics with the highest Zr^{+4} content (x = 0.05). It is widely accepted that piezoelectric properties, and in particular the d_{33} , decrease for submicron grain sizes due to domain size reduction that increases domain wall density, thereby restricting the extrinsic contribution [17,56-60]. In the same way, smaller grain sizes could also hinder domain wall motion by the presence of grain boundaries [56-60]. It is interesting to point out that the same behaviour is displayed in both d_{33} (Fig. 3c) and AGS (Fig. 4k) versus Zr^{4+} content; that is, d_{33} and AGS first increase and then decrease as x increases, reaching a peak value of 265 pC/N and 2.6 µm, respectively, for x = 0.005. Finally, this behaviour allows us to infer that, in addition to the intrinsic factor, the extrinsic factors (e.g. microstructure) play an important role in the development of good piezoelectric properties; that is, the increased grain size contributes to the enhancement of the piezoelectric properties.

3.5. Domain switching and lattice strain contributions

Piezoelectricity appears in ferroelectric ceramics once the random ferroelectric domains have been aligned through the poling process [61]. This process plays a crucial role in the piezoelectric performance of piezoceramics, because d_{33} is directly related to the polarization state of the sample, which is normally linked to P_r . In general, the poling process comes from the 180° domain reversal and the non-180° domain reorientation. However, a field-induced phase transformation may also promote the poling process in ceramics that possess phase coexistence near the poling temperature. Therefore, in addition to extrinsic factors (domain contribution), intrinsic factors (crystallographic nature of the PPB) may be instrumental for reaching an optimum polarization state in PPB systems. In fact, the nature of the PPB determines the domain structure, thereby influencing the domain reorientation. Thus, an interrelationship between both intrinsic and extrinsic factors is the key to the poling process

in PPB systems.

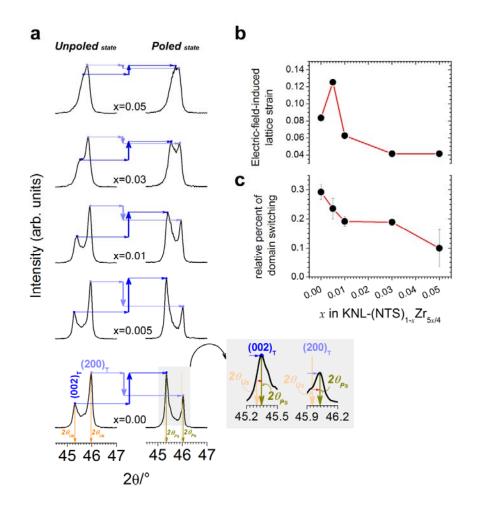


Figure 5 Domain switching and lattice strain contribution to poling from XRD: (a) Detail of the XRD pattern of $KNL-(NTS)_{1-x}Zr_{5x/4}$ ceramics in the 2 θ range from 44.5° to 47°. Panel (a) shows the evolution of the (200) pseudocubic reflection before and after of the poling process, which is represented by the blue arrows. The inset in (a) shows the shift of the (002) and (200) peaks when the samples are polarized. The orange arrows represent the peak positions in the unpoled state, while the dark yellow arrows show the peak positions in the poled state. (b) Absolute value of lattice strain versus Zr^{4+} content on $KNL-(NTS)_{1-x}Zr_{5x/4}$, which is obtained by comparing the peak shift before and after the poling. (c) Evolution of domain switching as a function of the Zr^{4+} content by comparing the peak intensity before and after poling. Error bars are included in (b) and (c) plots.

In this regard, the evolution of the intrinsic and extrinsic contributions to poling as a function of the Zr^{4+} content (i.e., in different PPB conditions) is thus studied. The non-180° domain reorientation (extrinsic contribution) can be detected by the change in the intensities of the (002) and (200) XRD peaks. Moreover, the shift in the peak positions enables the lattice strain (intrinsic contribution) to be obtained as a result of the poling process (*More information about the domain switching and the electric-field-induced lattice strain contributions are given in the Supplementary Information, section B*). Figure 5a shows a detail of the XRD pattern of the KNL–(NTS)_{1-x}Zr_{5x/4} ceramics in their poled and unpoled states. As may be seen, a notable change in the intensity profile occurs as a result of the domain reorientation during the poling process. The relative intensity of the (002) reflection increases, whereas the (200) ones decreases. Furthermore, the positions of both reflections shift to higher 20 values after the polarization field has been applied, as is shown in detail in the insert in Figure 5a.

The electric field-induced lattice strain and the relative percent of non-180° domain switching are shown in **Figures 5b** and **5c**, respectively. Results show that both intrinsic and extrinsic contributions maximize for lower values of Zr^{4+} content, thereby ratifying that the stabilization of the *T* phase into the PPB enhances piezoelectric properties through an improvement of the poling process. Nonetheless, intrinsic and extrinsic contributions do not follow the same trend. The lattice strain reaches a maximum value for x = 0.005, while the domain switching undergoes a continuous decrease from the value at x = 0.00 to the value at x = 0.05. Hence, the results demonstrate that a direct connection exists between the intrinsic contribution maximum and the higher piezoelectric response. In other words, the crystallographic nature of the PPB appears to be a decisive factor for enhancing piezoelectric response in KNN-based systems.

4. Conclusion

The decisive influence of the crystallographic nature of the polymorphic phase boundary (PPB) on the piezoelectric properties of (K,Na)NbO₃-LiTaO₃-LiSbO₃ (KNL-NTS) system is revealed in this work. The KNL-NTS is synthesized by replacing B-sites with Zr^{4+} ions, thereby leading to the [KNL-(NTS)₁. $_xZr_{5/4x}$] system. The control of phase coexistence between tetragonal (*T*) and orthorhombic (*O*) symmetries in the PPB is carried out by modifying the Zr^{4+} content. The grain size is simultaneously modulated, obtaining a larger grain size in compositions that present a *T*-rich PPB. The results show that the stabilization of the *T* phase in the PPB plays a fundamental role in terms of the piezoelectric property enhancement in potassium-sodium niobate-based lead free piezoceramics. More importantly, the simultaneous control of both the PPB crystallographic nature and the grain size through compositional engineering and/or novel processing routes seem to constitute the cornerstone for the increased piezoelectric performance. Additionally, the elimination of the tetragonal tungsten-bronze phase with the lowest Zr^{4+} addition also contributes to the ferroelectric and piezoelectric properties enhancement.

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Supplementary Information

Understanding the Piezoelectric Properties in Potassium-Sodium Niobate-Based Lead-free Piezoceramics: Interrelationship between Intrinsic and Extrinsic Factors

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A. Relative volume fraction of crystallographic phases

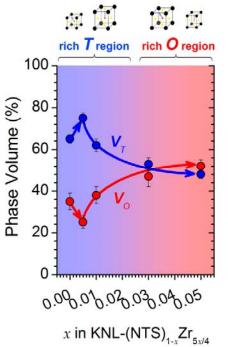
As described in the main manuscript, all samples present the polymorphic behavior, which is associated with the room temperature coexistence between a tetragonal symmetry (T, P4mm) and an orthorhombic symmetry (O, Amm2). We calculate the relative volume fractions of the T (V_T) and O (V_O) phases of the multiphase coexistence as:¹⁻²

$$V_T = \frac{\left[I_{(002)_T} + I_{(200)_T}\right]}{\left[I_{(002)_T} + I_{(200)_T} + I_{(200)_O}\right]}$$
(1)

$$V_{O} = \frac{\left[I_{(022)O} + I_{(200)O}\right]}{\left[I_{(002)T} + I_{(200)T} + I_{(022)O} + I_{(200)O}\right]}$$
(2)

where $I_{(002)T}$, $I_{(200)T}$, and $I_{(022)O}$, and $I_{(200)O}$ are the integrated intensities of the tetragonal (002) and (200) peaks, and orthorhombic (022) and (200) peaks, respectively. Hence, the composition dependences of V_T and V_O are given in Fig. S1, and the evolution of the T/O ratio is summarized in the Table included in Fig. S1. As stated in the main manuscript, over the all compositional range $0.00 \le x \le 0.05$, the structural refinement results in a coexistence of phases at room temperature; i.e., a mixed O + T phase. A detailed inspection of Fig. S1 reveals that V_T and V_O are dependent on the Zr⁴⁺ content; that is, V_T first increases and then decreases as x increases, reaching a peak value of $V_T \sim 75$ % with a T/O ratio close to 3 for x = 0.005.

These results illustrate a clear pattern: stabilization of the *T* phase into the polymorphic phase boundary (O + T phase coexistence) at room temperature affords the best piezoelectric response (see Figs. 3 and 5 in the main manuscript). However, this fact is only one piece of this puzzle, which can be exclusively completed by understanding the interrelationship between the intrinsic and extrinsic effects of the system.



The Table shows the rela (T, P4nnn), and an ortho "Zrs.,4 ceramics at room ter	orhombic symme			
KNL- (NTS)1-xZr5x/4 (X)	V7 (%)	V ₀ (%)	Vt/Vo	
0.00	65 ± 2	35±4	1.9	
0.005	75±3	25±3	3.0	Rich 7 region
0.01	62±3	38±4	1.6	
0.03	53 ± 3	47±5	1.1	0 0
0.05	48 ± 2	52±3	0.9	Rich

Figure S1 | Composition effect on the volume fraction of *T* and *O* phases. The error bars show the standard deviation of the relative volume fraction of T and O phases of each sample. The table summarizes the relative volume fractions and their ratios for each composition.

B. Domain switching and electric-field-induced lattice strain

X-ray diffraction (XRD) is a versatile technique for studying the average structural and crystallographic response to applied electric fields in piezoelectric materials. The ferroelastic domains reorientation can be quantified from the integrated intensities of degenerate reflections, such as the 002 and 200 tetragonal reflection, obtained from line profile analysis. The XRD data processing enables the quantification of the lattice strain and the extrinsic strain exerted by the sample, and provides insight into the correlation between the microstructure and the macroscopic strain.³ Following the procedure performed by *Ochoa et al.*³ the 002 and 200 degenerate reflections were fitted using line profile analysis. Assuming that the asymmetric nature of the peaks cannot be adequately fitted by using symmetric profile shape functions, only the symmetrical portion of the asymmetric peaks are fitted. This is accomplished by using the outer intensities of each respective peak (e.g. low 20 side of the 002 reflection), and assuming that this half of the peak is equivalent to the symmetric portion of the other half of the peak. A symmetric Pearson VII profile function is used in the fitting.⁴ The absolute electric-field-induced lattice strain a_{kkl} (intrinsic contribution) is obtained from the shift in the peak position, whereas the relative percent of domain switching η_{002} (extrinsic contribution) is obtained from the variation of the integrated intensities (or the areas) of the 002 and 200 peaks, as follows:

$$\eta_{002} = \frac{\frac{\frac{N_{002}^{poled}}{N_{002}^{unpoled}}}{\frac{N_{002}^{poled}}{N_{002}^{unpoled}} + 2\left(\frac{N_{200}^{poled}}{N_{200}^{unpoled}}\right)} - \frac{1}{3}$$
(3)

$$\varepsilon_{hkl} = \left| \frac{d_{hkl}^{poled} - d_{hkl}^{unpoled}}{d_{hkl}^{unpoled}} \right| \tag{4}$$

where *N* and *d* are the area and the *d*-spacing of the corresponding fitted peak. The *d*-spacing is directly obtained from the 2θ values, taking into account the wavelength of the applied X-rays. **Table S1**

summarizes the fit results that are used to calculate the domain switching (η_{002}) and the lattice strain contributions (ε_{002} and ε_{200}). The compositional dependence of η_{002} and ε_{002} are shown in **Figs. 5b** and **5c** in the main manuscript, respectively, and reveal the evolution of these contribution to the poling process. The compositional-dependent ε_{200} (not shown) exhibits a similar trend to that observed for ε_{002} confirming the evolution of the intrinsic contribution with the Zr⁴⁺ content in KNL–(NTS)_{1-x}Zr_{5x/4} system. In a similar approach, the percentage of non-180^o domains reorientation (*R*) can be calculated from the data in **Table S1**, as follow:⁵

$$R = \frac{\left(\frac{N_{200}}{N_{002}}\right)^{unpoled} - \left(\frac{N_{200}}{N_{002}}\right)^{poled}}{\left(\frac{N_{200}}{N_{002}}\right)^{unpoled} + 2\left(\frac{N_{200}}{N_{002}}\right)^{poled}}$$
(5)

The calculation result shows a compositional dependence of *R* similar to that observed for η_{002} , thereby validating the dependence of the extrinsic contribution with the Zr⁴⁺ content.

Table S1 Results obtained from the peak fitting analysis of the 002 and 200 reflections for all unpoled and poled samples of the KNL–(NTS)_{1-x} $Zr_{5x/4}$ system. *N* represents the peak area whereas 20 denotes the position of the peak maximum. All values are given with their standard deviations.

unpoled state							
x	0.00	0.005	0.01	0.03	0.05		
N_{002}	14 ± 3	12 ± 2	15 ± 1	24 ± 1	32 ± 3		
N_{200}	16.4 ± 0.8	16.0 ± 0.8	19.4 ± 0.8	21.6 ± 0.8	18 ± 4		
20 002	45.30 ± 0.01	45.28 ± 0.01	45.36 ± 0.01	45.50 ± 0.01	45.6 ± 0.02		
$2\theta_{200}$	45.96 ± 0.01	45.95 ± 0.01	45.91 ± 0.01	45.84 ± 0.01	45.81 ± 0.02		
poled state							
x	0.00	0.005	0.01	0.03	0.05		
N_{002}	20.2 ± 0.8	20.1 ± 0.8	25 ± 1	43 ±1	43 ± 3		
N_{200}	7.3 ± 0.3	10 ±2	15 ± 1	17.8 ± 0.8	16 ± 4		
$2\theta_{002}$	45.34 ± 0.01	45.34 ± 0.01	45.39 ± 0.01	45.52 ± 0.01	45.62 ± 0.02		
2θ ₂₀₀	46.02 ± 0.01	46.02 ± 0.01	45.94 ± 0.01	45.88 ± 0.01	45.84 ± 0.02		

C. Temperature dependence of dielectric permittivity

The temperature dependence of dielectric constant of KNL–(NTS)_{1-x}Zr_{5x/4} ceramics measured at different frequency range is shown in **Figure S2a** (*The reader can find more information about the temperature dependence of the dielectric permittivity* ε_r and the transition temperatures of the KNL–(NTS)_{1-x}Zr_{5x/4} ceramics in

Figure 2 of the main manuscript).

Fig. S2a shows the temperature dependence of the real dielectric permittivity of $\text{KNL-(NTS)}_{1-x}\text{Zr}_{5x/4}$ ceramics in the frequency range from 1kHz to 500 kHz. For compositions with low Zr^{4+} content ($0 \le x \le 0.01$), the dielectric permittivity displays a sharp peak, suggesting that these ceramics are normal ferroelectrics. However, for compositions with higher Zr^{4+} content ($x \ge 0.03$), the ε_r -T plot broadens, showing the general features of a diffuse phase transition (see insert of **Fig. S2a**). To verify such behavior, the diffuseness of the phase transition is determined from a modified Curie-Weiss law:

$$\frac{1}{\varepsilon_r} - \frac{1}{\varepsilon_m} = \frac{(T - T_m)^{\gamma}}{C} \tag{6}$$

where ε_m is the ε_r maximum, T_m is the temperature at which this maximum occurs, *C* is the Curie-Weiss constant, and the parameter γ indicates the character of the phase transition. Thus, $\gamma = 2$ corresponds to a complete diffuse phase transition, while $\gamma = 1$ corresponds to a normal ferroelectric-paraelectric phase transition.^{6,7} In general, γ takes a value between these limits ($1 < \gamma < 2$), indicating an "incomplete" diffuse phase transition. **Figure 2Sb** shows the plots of $\ln(1/\varepsilon' - 1/\varepsilon_m)$ versus $\ln(T-T_m)$ for KNL–(NTS)_{1-x}Zr_{5x/4} ceramics with different Zr⁴⁺ contents. All the samples exhibit a linear relationship. The γ values are calculated by least-squares fitting of the experimental data to the equation (6). As shown in **Figure S2c**, the γ value quickly decreases and then dramatically increases as the x content increases, reaching a minimum value for x = 0.005. The results indicate that the KNL–(NTS)_{1-x}Zr_{5x/4} system evolves from a normal-like ferroelectric phase transition toward a diffuse phase transition. The origin of this behavior can be related to chemical heterogeneities. These heterogeneities are characteristics of polymorphism and generally appear as polar nanoregions (which are typical in relaxor systems) or when phase diffusions are present.^{8,9}

As mentioned above and in the main manuscript, doping effects on ceramics are also reflected in the T_C transition. In KNL–(NTS)_{1-x}Zr_{5x/4} system, Nb⁵⁺, Ta⁵⁺, Sb⁵⁺ and Zr⁴⁺ occupy the B sites of ABO₃ perovskite structure, but Zr⁴⁺ possesses different valence and ionic radii than Nb⁵⁺, Ta⁵⁺, Sb⁵⁺, which result in the formation of the local electric fields owing to the local charge imbalance, and the local elastic fields due to local structure distortions.

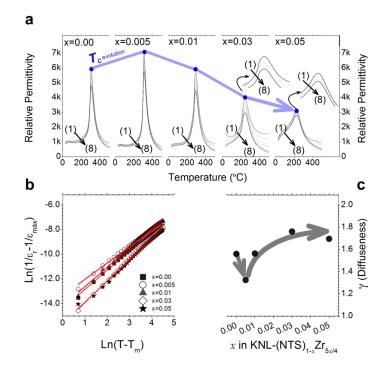


Figure S2 (a) Real permittivity (ε_r) *vs.* temperature of KNL–(NTS)_{1-x}Zr_{5x/4} sintered ceramics as a function of frequency. The insets of the compositions with x≥0.03 show broad dielectric peaks around the Curie temperature (T_C), which implies the characteristic of diffuse phase transition. The frequency range represented in **Fig. S2a** are the following: (**1**) 1 kHz; (**2**) 2 kHz; (**3**) 5 kHz; (**4**) 10 kHz; (**5**) 50 kHz; (**6**) 100 kHz; (**7**) 200 kHz; and (**8**) 500 kHz. Arrows indicate increasing measurement frequency from 1 kHz to 500 kHz. (**b**) Plots corresponding to the modified Curie–Weiss law for the KNL–(NTS)_{1-x}Zr_{5x/4} ceramics. The symbols denote experimental data, while the red lines correspond to the least-squares fitting of the modified Curie–Weiss law. (**c**) Diffuseness, γ , of the ceramics as a function of x.

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