

1 **Thermal effects on geologic carbon storage**

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23 **ABSTRACT**

24 One of the most promising ways to significantly reduce greenhouse gases emissions, while
25 carbon-free energy sources are developed, is Carbon Capture and Storage (CCS). Non-
26 isothermal effects play a major role in all stages of CCS. In this paper, we review the
27 literature on thermal effects related to CCS, which is receiving an increasing interest as a
28 result of the awareness that the comprehension of non-isothermal processes is crucial for a
29 successful deployment of CCS projects. We start by reviewing CO₂ transport, which connects
30 the regions where CO₂ is captured with suitable geostorage sites. The optimal conditions for
31 CO₂ transport, both onshore (through pipelines) and offshore (through pipelines or ships), are
32 such that CO₂ stays in liquid state. To minimize costs, CO₂ should ideally be injected at the
33 wellhead in similar pressure and temperature conditions as it is delivered by transport. To
34 optimize the injection conditions, coupled wellbore and reservoir simulators that solve the
35 strongly non-linear problem of CO₂ pressure, temperature and density within the wellbore and
36 non-isothermal two-phase flow within the storage formation have been developed. CO₂ in its
37 way down the injection well heats up due to compression and friction at a lower rate than the
38 geothermal gradient, and thus, reaches the storage formation at a lower temperature than that
39 of the rock. Inside the storage formation, CO₂ injection induces temperature changes due to
40 the advection of the cool injected CO₂, the Joule-Thomson cooling effect, endothermic water
41 vaporization and exothermic CO₂ dissolution. These thermal effects lead to thermo-hydro-
42 mechanical-chemical coupled processes with non-trivial interpretations. These coupled
43 processes also play a relevant role in “Utilization” options that may provide an added value to
44 the injected CO₂, such as Enhanced Oil Recovery (EOR), Enhanced Coal Bed Methane
45 (ECBM) and geothermal energy extraction combined with CO₂ storage. If the injected CO₂
46 leaks through faults, the caprock or wellbores, strong cooling will occur due to the expansion

47 of CO₂ as pressure decreases with depth. Finally, we conclude by identifying research gaps
48 and challenges of thermal effects related to CCS.

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50 Keywords: CO₂ transport; injection schemes; CO₂ storage; thermo-hydro-mechanical-
51 chemical couplings; induced microseismicity; caprock integrity; well integrity; CO₂ leakage

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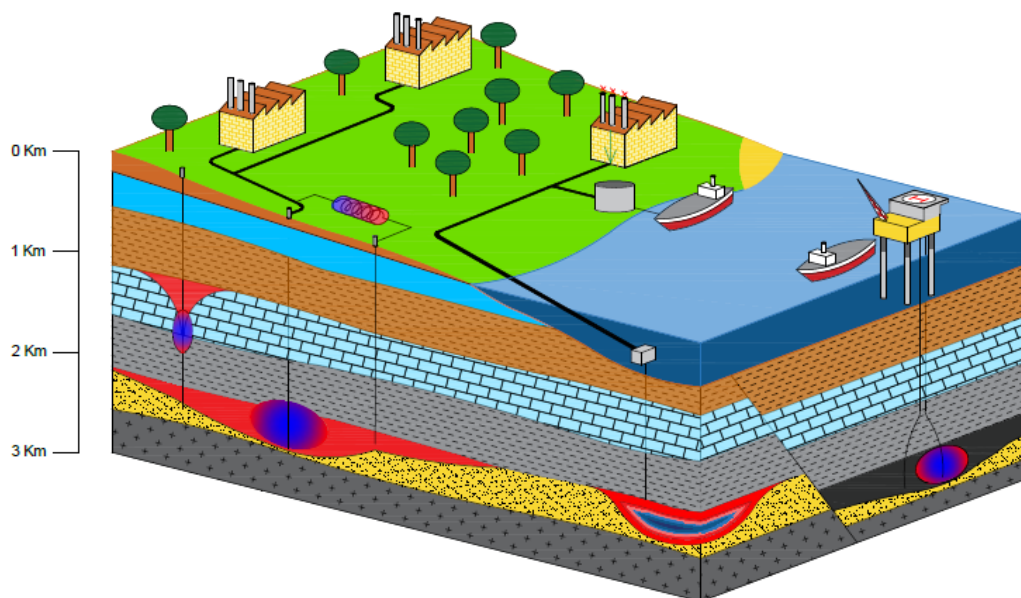
54 **1. INTRODUCTION**

55 Huge amounts of greenhouse gases, especially carbon dioxide (CO₂), are emitted to the
56 atmosphere each year (around 36 Gt were emitted in 2014) as a result of burning fossil fuels
57 for energy production (Le Quéré et al., 2016). These greenhouse gases retain the heat coming
58 from the sun, which alters atmospheric circulations and therefore, the climate. To mitigate the
59 negative effects of anthropogenic climate change, we should act quickly to significantly
60 reduce these emissions. One of the most promising ways to reduce greenhouse gases
61 emissions, at least in the short-term, while carbon-free energy sources are developed, is
62 Carbon Capture and Storage (CCS) (IPCC, 2005). CCS consists in capturing CO₂ from the
63 main point sources (e.g., steel and cement industries and coal and gas-fired power plants),
64 transport the captured CO₂ to the injection wells and store it in deep geological formations.

65 CCS implies compression and expansion processes that cause pressure and temperature of
66 CO₂ to vary over a wide range of values. During these variations, CO₂ may be present in
67 gaseous, liquid or supercritical state. CO₂ properties, i.e., density, viscosity, specific heat
68 capacity and enthalpy (Span and Wagner, 1996; Pruess and Garcia, 2002), as well as its
69 solubility on water and brine (Duan and Sun, 2003; Harvey, 1996; Koschel et al., 2006) are
70 strongly dependent on pressure and temperature. To reproduce these properties, the cubic
71 equation of state of Redlich and Kwong (1949), with parameters adapted for CO₂ (Spycher et

72 al., 2003, 2005), is usually used for its simplicity and because good predictions are obtained
73 (McPherson et al., 2008). Nevertheless, the equation of state of Span and Wagner (1996) is
74 the most accurate one, but at the expenses of a high computational cost derived from the high
75 complexity of its algorithm (Böttcher et al., 2012). Regardless of the equation of state that is
76 considered, the strong dependency of CO₂ properties on pressure and temperature complicates
77 the processes that occur in CCS.

78 Non-isothermal effects play a major role in all stages of CCS (Figure 1). However, to
79 facilitate the understanding and solution of CCS processes, isothermal conditions have been
80 usually considered. As a result, most of the knowledge gained on the processes involved in
81 CCS neglects thermal effects. Nevertheless, the awareness that the comprehension of non-
82 isothermal processes is crucial for a successful deployment of CCS projects has recently
83 motivated an increasing interest to understand thermal effects.



84
85 Figure 1. Schematic representation of thermal effects on CCS.

86 In this review, we present the state-of-the-art of the thermal effects related to CO₂
87 transport, injection, storage and leakage. First, we detail CO₂ transport both onshore and

88 offshore. Next, we present CO₂ injection options and how CO₂ properties change inside the
89 injection well. Then, we focus on CO₂ storage in deep geological formations, looking at
90 thermo-mechanical and thermo-chemical coupled processes and to options of utilization that
91 provide an added value to the injected CO₂. Furthermore, we elaborate on potential CO₂
92 leakage through wells and faults. Finally, we conclude by identifying the existing research
93 gaps and challenges related to thermal effects on geologic carbon storage.

94

95 **2. CO₂ TRANSPORT**

96 While CO₂ is mainly emitted onshore, both onshore and offshore geological formations
97 may be suitable for storage. In general, CO₂ will have to be transported from the sources
98 where it will be captured up to the storage sites. The optimum CO₂ transport options differ for
99 onshore and offshore transportation. While for onshore transportation pipelines are the only
100 feasible option (Svensson et al., 2004), both pipelines and ships can provide good solutions
101 for offshore transportation. Though other options exist for onshore transportation, such as
102 motor carriers and railways, they are not competitive because they are very expensive and of
103 limited capacity (Skovholt, 1993). As for offshore transportation, ships are more flexible than
104 pipelines, but require intermediate storage facilities, such as steel tanks or underground
105 caverns, at harbors. On the other hand, pipelines require fewer logistics than ships and provide
106 a continuous flow rate, but they imply building a new infrastructure on the seabed (Svensson
107 et al., 2004).

108 Optimal marine transport conditions are obtained in semi-pressurized vessels of around
109 20,000 m³ in liquid conditions close to the triple point, at 0.65 MPa and -52 °C (Aspelund et
110 al., 2006). These conditions yield CO₂ densities around 1,100 kg/m³, which optimize the
111 transport in terms of volume. The most expensive process for ship transport is the liquefaction
112 and gas conditioning previous to filling the ships. But costs could be reduced by using the

113 liquid conditions of onshore pipelines when arriving at harbors for loading the ships. The
114 efficiency of the system could be improved further by recovering CO₂ cold energy with a
115 Rankine cycle during ship delivery, in which CO₂ has to be heated up to avoid injectivity
116 issues due to ice or hydrate formation in the storage formation (You et al., 2014).

117 In pipelines, the best way to transport CO₂ is also in liquid state (McCoy and Rubin, 2008).
118 Transport in gas phase is non-economical because of its low density, which requires large
119 diameter pipes and implies high pressure drops. Pressure drop depends on the flow rate and
120 the geometric characteristics of the pipe, i.e., diameter, length, elevation gain. Transport of
121 supercritical CO₂ is preferable to transport of gaseous CO₂, but still, it induces a higher
122 pressure drop than in liquid conditions, causing a decrease in density and thus, an increase in
123 velocity, which, in turn, enhances the pressure drop. This enhanced pressure drop would lead
124 to shorter distances between booster stations. Booster stations should be placed such that two-
125 phase flow is avoided within the pipeline. Actually, operation of pipelines onshore may
126 present difficulties in hilly terrain because pressure will decrease at the top of the hills, where
127 CO₂ may turn into gas, giving rise to a two-phase flow, which is complicated to handle
128 (Skovholt, 1993). Thus, transport of CO₂ is preferable in liquid state rather than in
129 supercritical conditions due to the lower compressibility and higher density of liquid CO₂.
130 Though liquid CO₂ has a higher viscosity than supercritical CO₂ (around 30%), CO₂ transport
131 in liquid conditions permits using smaller pipe diameters, leading to lower pressure drops and
132 thus, a more efficient transport (McCoy and Rubin, 2008; Nimtz et al., 2010).

133 To maintain liquid conditions, burying CO₂ pipelines can help controlling operation
134 pressure and temperature conditions because underground temperature is more stable than
135 surface temperature. In warm climates, where ground surface temperature can reach 65 °C at
136 noon, the temperature 1 m underground remains below 30 °C (Zhang et al., 2006). Despite the
137 higher installation costs derived from burying pipelines, the higher energy efficiency will

138 offset the initial investment because operation costs are around 15 % lower for liquid than for
139 supercritical CO₂ transport (Zhang et al., 2006). Alternatively, in warm climates, insulating
140 the pipeline and cooling the CO₂ to maintain liquid conditions may prove economical because
141 of the lower pressure drop and therefore, lower number of booster stations for repressurizing
142 CO₂ (Zhang et al., 2006). Furthermore, if CO₂ remains in liquid state, pumps, which are easier
143 to operate than compressors, can be used to boost pressure. But apart from operational and
144 economic reasons, pipelines will likely be buried for environmental, security and safety
145 reasons.

146 CO₂ is not toxic, but it can be fatal if its concentration exceeds 10 % by volume because
147 CO₂ produces asphyxia (Baxter et al., 1999). CO₂ could accumulate in depressions if there
148 were a CO₂ leakage in a pipeline because CO₂ is heavier than air. Since CO₂ is colorless and
149 odorless, humans and animals cannot detect CO₂ leakage and accumulation. Adding
150 mercaptans, which people can easily identify because they are already added to natural gas,
151 would be very beneficial because people could quickly react in case of CO₂ leakage (Gale and
152 Davison, 2004). Nevertheless, an advisable practice would be to construct pipelines avoiding
153 human settlements and to place CO₂ detectors along pipelines.

154 Other safety issues are related to the depressurization of a pipeline, either because it fails or
155 due to planned maintenance. In such case, CO₂ will experience a phase change (from liquid to
156 gas) which will cause a strong cooling. Such cooling should be taken into account in pipeline
157 design to avoid brittle failure. This cooling may be limited by adding impurities to CO₂. For
158 example, Munkejord et al. (2010) found that, for CO₂ mixtures with CH₄, the cooling due to
159 evaporation becomes lower as the CO₂ content decreases. However, impurities may lead to
160 enhanced pipe corrosion.

161 The construction of pipelines for CO₂ transport should be planned carefully because scale
162 effects are relevant. For example, large diameter pipelines are much cheaper to operate than

163 several small pipelines with equivalent capacity. Thus, it is advisable to do a strategic
164 planning to connect the source points where CO₂ will be captured with the storage regions
165 using a single large pipeline, rather than several smaller pipelines. A significant experience
166 with CO₂ pipelines exists in the USA, where an extensive CO₂ pipeline infrastructure (several
167 thousands of km) already exists, mainly carrying naturally occurring CO₂ for enhanced oil
168 recovery (EOR). These pipelines have proven to be safe in terms of potential for CO₂ release
169 and thus, they do not represent a serious public hazard (Gale and Davison, 2004).

170

171 **3. INJECTION OPTIONS**

172 Since CO₂ will remain in supercritical conditions at the pressure and temperature
173 conditions of storage formations, it is usually assumed that CO₂ will be injected in
174 supercritical state. However, CO₂ needs to be transported from the source points, which are
175 usually large industries or power plants, to the injection wells, which will likely be separated
176 by several tens or even hundreds of km. CO₂ transport will be done through pipelines if it is
177 onshore or through ships or pipelines if it is offshore. The optimum conditions for
178 transporting CO₂ is in liquid conditions both for pipelines and ships (see Section 2). Thus,
179 CO₂ will reach the wellhead in liquid state. For this reason, injecting in liquid state seems the
180 most reasonable option.

181 Liquid CO₂ injection has some advantages. Silva et al. (2011), who proposed to inject CO₂
182 directly in liquid state, showed that liquid CO₂ injection is an energetically efficient injection
183 concept. For the pressure and temperature ranges typical of injection wells, the density of
184 liquid CO₂, which may reach values close to those of water density (in the order of 750 to 950
185 kg/m³), is significantly higher than that of supercritical CO₂ (in the order of 250 to 700 kg/m³)
186 (Figure 2). Thus, just by gravity, liquid CO₂ flows downwards more easily, which implies that
187 a lower compression energy is required to inject CO₂ (Vilarrasa et al., 2013). However, liquid

188 CO₂ injection has been feared because of its cold temperature, which induces thermal
189 contraction and associated stress reduction that may cause fracture instability in the storage
190 formation, the caprock, and/or the wellbore.

191 Thermal stresses induced by temperature difference between the wellbore and the
192 surrounding rock may lead to casing failure (Teodoriu, 2015). These stresses may become
193 large if the temperature change in the wellbore is large and fast (Kaldal et al., 2015).
194 Furthermore, if thermal cycling occurs as a result of alternating periods of CO₂ injection
195 (cooling) with shut-downs (heating), radial fractures or debonding of the cement may occur,
196 which could lead to CO₂ leakage (Roy et al., 2016). To minimize the risk of damaging the
197 cement, the use of non-shrinking cements is recommendable (McCulloch et al., 2003).

198 Apart from the cold temperature, the high pressure of liquid CO₂ has also been suspected
199 to potentially induce stability issues in the storage formation and caprock. Nimtz et al. (2010)
200 argued that liquid CO₂ might fracture the storage formation and caprock due to the high
201 overpressure that liquid injection would induce. However, Nimtz et al. (2010) did not couple
202 the pressure at the bottom of the injection well resulting from CO₂ injection along the
203 wellbore with the pressure at the injection well induced by CO₂ injection into the reservoir.
204 Actually, when coupling the wellbore simulator with the reservoir simulator, it has been
205 shown that the injection of 1 Mt/yr of CO₂ in a 100 m-thick reservoir with a permeability of
206 10^{-13} m² can be done maintaining liquid conditions along the wellbore and without inducing a
207 large overpressure in the reservoir (Vilarrasa et al., 2013). Thus, excessive overpressure is not
208 necessarily an issue of liquid CO₂ injection. Nevertheless, thermal effects may still be a
209 concern (see Section 5b). But to avoid cooling in the reservoir, and thus, inject in supercritical
210 conditions, CO₂ would need to be heated. At Ketzin, Germany, CO₂ was heated before
211 injecting it, leading to a temperature at the bottom of the injection well slightly higher than
212 that of the reservoir (Liebscher et al., 2013). The CO₂ injection rates at the pilot test site of

213 Ketzin were lower than 1 kg/s, so the energetic cost of heating was not excessive. However, at
214 industrial scale, heating would dramatically increase the energetic cost of injection in CO₂
215 storage projects (Möller et al., 2014; Goodarzi et al., 2015). Goodarzi et al. (2015) estimated
216 the cost of heating to avoid cooling the reservoir in 0.75 \$/m³, which for an injection of 1
217 Mt/yr would represent a heating cost higher than 1 million dollars per year.

218 Pipelines can also be used for transporting CO₂ offshore. In this case, the pipeline lies on
219 the seabed and CO₂ thermally equilibrates with the seawater. Seawater is usually below the
220 CO₂ critical temperature, i.e., 31.04 °C, and thus, liquid conditions will be easily maintained
221 within the pipeline. The transported liquid CO₂ will generally be injected directly into the
222 injection well, as happened at Snøhvit, Norway (Hansen et al., 2013). At Snøhvit, the water of
223 the North Sea is cold (about 4 °C at the seabed), so the compression costs for injecting CO₂
224 are minimized because CO₂ has a high density at these temperatures.

225 When offshore transport is done through ships, CO₂ stays at -52 °C. If CO₂ injection is
226 performed just by compressing CO₂ as it arrives in the ship, CO₂ would reach the storage
227 formation at a temperature well below that of hydrate formation (around 12 °C) and freezing
228 (around 0 °C) temperatures, which would block the pores surrounding the injection well
229 (Krogh et al., 2012). To heat up CO₂ before injection, seawater may be used (Aspelund et al.,
230 2006). However, using seawater to heat CO₂ implies losing energy that could otherwise be
231 recovered. For example, cold energy is already recovered from liquefied natural gas (Shi and
232 Che, 2009; Choi et al., 2013; Wang et al., 2013) and it can also be recovered for the cold CO₂
233 transported in ships. You et al. (2014) proposed to use a Rankine cycle between the CO₂
234 transported in ships and the injection conditions to produce electricity. They found that using
235 ammonia as the working fluid in the Rankine cycle yielded the best performance in terms of
236 power generation. The energy that can potentially be recovered in the heating process from
237 ship transport conditions to injection conditions is estimated to be $33.6 \cdot 10^6$ kWh for a mass

238 flow rate of 1 Mt/yr. The energy that could be effectively recovered by the Rankine cycle is
239 about $28.8 \cdot 10^6$ kWh for a mass flow rate of 1 Mt/yr (You et al., 2014), which is equivalent to
240 the mean electricity consumed by 5,780 people in the EU (Eurostat, 2016).

241

242 **4. CO₂ ALONG THE WELLBORE**

243 When injecting CO₂ along the injection well, CO₂ exchanges heat with the surrounding
244 rock (Brill and Mukherjee, 1999). Not only does this heat exchange influence the CO₂ flow
245 pattern inside the well, but also the surrounding rocks and well components are affected by
246 CO₂-induced temperature changes. CO₂ is heated as it flows downwards because of
247 compression and frictional forces, but usually at a lower rate than that of the geothermal
248 gradient (Lu and Connell, 2008; Luo and Bryant, 2010). Thus, CO₂ within the well is, in
249 general, colder than the rock, especially at high flow rates (Paterson et al., 2008; 2010). For
250 example, the CO₂ temperature at the bottom of the injection well at Cranfield, Mississippi,
251 increased by 16 °C when the mass flow rate was reduced by a factor of 4 (Luo et al., 2013),
252 showing that high flow rates of injection lead to lower injection temperatures. Another
253 representative example is the CO₂ injection at In Salah, Algeria, where CO₂ temperature at the
254 wellhead coincided with that of the surface temperature, but CO₂ reached the storage
255 formation at 1800 m deep 45 °C colder than the temperature corresponding to the geothermal
256 gradient (Bissell et al., 2011).

257 The lower temperature of CO₂ cools down the rock surrounding the well. But the heat
258 exchange between CO₂ and the surrounding rock is usually limited in time when a constant
259 mass flow rate is injected and thermal equilibrium may be reached within hours or a few days
260 (Lu and Connell, 2008). Once thermal equilibrium between CO₂ and the surrounding rock is
261 reached, adiabatic conditions occur within the injection well. Transient effects are sometimes
262 neglected to simplify calculation (Nimtz et al., 2010). However, heat exchange cannot be

263 neglected in the case of blowouts (Lindeberg, 2011) or if CO₂ injection is not continuous (Lu
264 and Connell, 2014a).

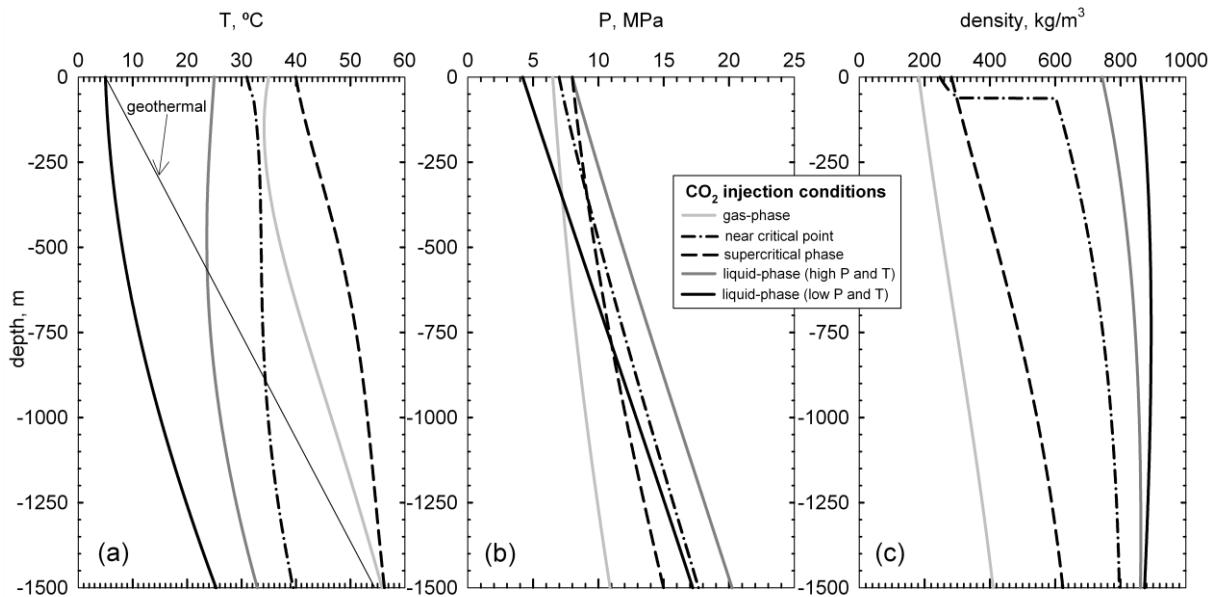
265 Current wellbore simulators, e.g., T2Well (Pan and Oldenburg, 2014), are capable of
266 handling transient effects. For instance, Lu and Connell (2014a) developed a transient non-
267 isothermal wellbore flow model for multispecies mixtures. Lu and Connell (2014a) found that
268 steady heat transfer models might be inappropriate for unsteady flows. Previous models based
269 on steady or quasi-steady flow models (e.g., Lu and Connell, 2008), partly or fully neglected
270 the effects of storage and inertial terms in the flow equations. This quasi-steady approach is
271 acceptable for injections of months or years, but not for unsteady conditions, e.g., non-
272 uniform flow rate. Lu and Connell (2014a) presented an example of Enhanced Coal Bed
273 Methane (ECBM). ECBM is a method to produce methane from coal beds by injecting CO₂,
274 which adsorbs in the coal, displacing methane. Lu and Connell (2014a) obtained a good
275 fitting of pressure and temperature at both the wellhead and bottomhole. CO₂ was injected in
276 liquid conditions from a tanker truck (at around 1.5 MPa and -30 °C, very close to the
277 saturation line), and two-phase flow conditions took place within the first meters of the well
278 due to partial vaporization of the liquid CO₂.

279 Another field test of CO₂ injection for ECBM purposes was carried out at Yuhbari,
280 Hokkaido, Japan, between 2003 and 2007 (Sasaki et al., 2009). The coal seam was at 900 m
281 deep, with a pressure and temperature of approximately 15.5 MPa and 28 °C, respectively.
282 Sasaki et al. (2009) found that coal permeability decreased up to a factor of 15 as the coal
283 became saturated in CO₂ due to swelling of coal. However, this swelling effect decreased for
284 successive injection experiments. Furthermore, the intrinsic permeability around the injection
285 well increased for successive injection experiments up to a factor of 6 due to fracturing of the
286 rock. CO₂ was injected at 68.5 °C, but CO₂ reached the bottom of the injection well in liquid
287 conditions, i.e., below 31.04 °C, due to heat loss along the injection well. The reason for such

288 high injection temperature was the intention to inject CO₂ in supercritical conditions rather
289 than in liquid state because the lower viscosity of supercritical CO₂ would facilitate CO₂
290 injection in such a low permeable formation. However, even insulating the injection tubing
291 was not enough to increase CO₂ temperature at low flow rates (4.5 ton/day). It was estimated
292 that to achieve supercritical conditions at the bottom of the injection well, a flow rate higher
293 than 12 t/day, i.e., 0.14 kg/s or 4380 t/yr, would be necessary.

294 These examples illustrate that pressure, temperature and density profiles along the wellbore
295 can be complex (Figure 2) and significantly vary for small changes in the pressure and
296 temperature at the wellhead (Vilarrasa et al., 2013). Since density depends on both pressure
297 and temperature, the system is strongly coupled and the CO₂ flow along the wellbore is not
298 trivial. This complexity is especially true when the injection conditions at the wellhead are
299 close to phase change (Lu and Connell, 2014b). For example, at Ketzin, Germany, CO₂ was
300 initially in gas state in the shallower 100 m of the well and in liquid state in the rest, but after
301 a transient period, two-phase flow conditions extended practically all along the well
302 (Henninges et al., 2011). Another example is that of Sleipner, Norway, where two-phase (gas
303 and liquid CO₂) conditions exist at the wellhead, the two-phase flow is maintained for the first
304 250 m of the injection well, but the phase that remains below the two-phase region can be
305 liquid instead of gas for slight changes in the wellhead conditions (Lindeberg, 2011).

306 To complicate the process even further, the pressure, temperature and density variation
307 with depth along the wellbore is also controlled by the overpressure induced at the storage
308 formation for a given flow rate. Thus, the resulting pressure and temperature conditions at the
309 wellhead will also depend on the injectivity of the storage formation. Therefore, wellbore
310 simulators should be coupled with reservoir simulators to properly model the CO₂ pressure
311 and temperature, which determines the density, along the injection well (Pan et al., 2011; Pan
312 and Oldenburg, 2014; Vilarrasa et al., 2013).



313

314 Figure 2. Non-isothermal flow of CO₂ through an injection well: temperature (a), pressure
 315 (b) and density (c) profiles. Comparison between different injection conditions at the
 316 wellhead (gas-, supercritical- and liquid-phase) (injection rate of 1.5 kg/s, geothermal
 317 gradient of 0.033 °C/m, well radius of 4.5 cm, overall heat transfer coefficient of 10 W m⁻²
 318 K⁻¹) (from Vilarrasa et al.,2013).

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320 5. CO₂ STORAGE

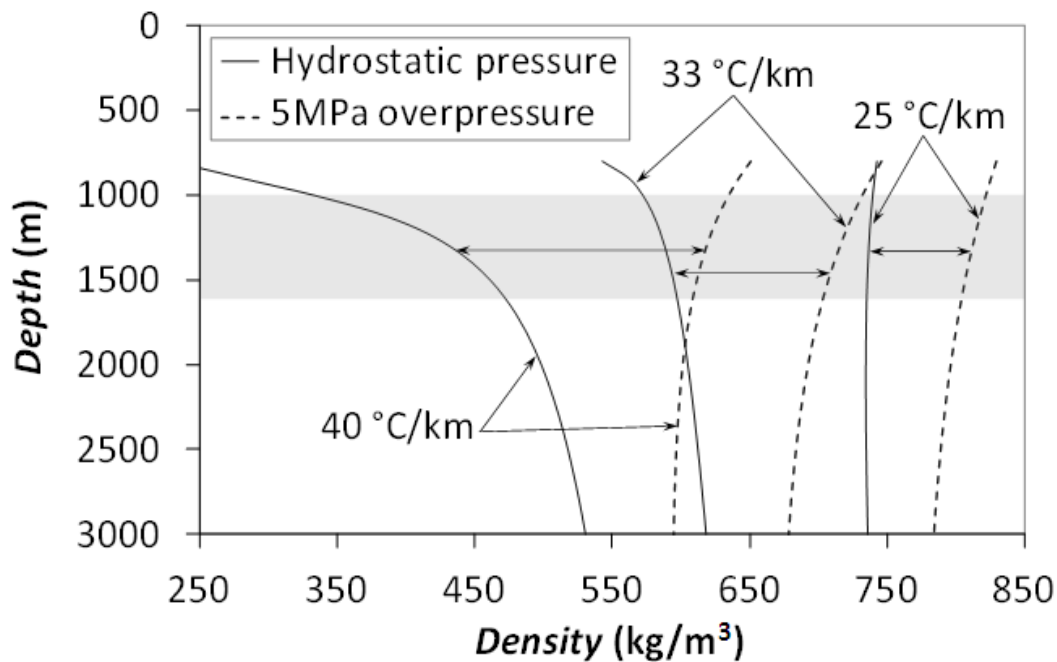
321 a. CO₂ INJECTION IN DEEP SEDIMENTARY FORMATIONS

322 CO₂ injection in deep saline formations induces temperature changes owing to processes
 323 such as Joule-Thomson cooling, endothermic water vaporization, exothermic CO₂ dissolution
 324 (Han et al., 2010; 2012) and because CO₂ will, most likely, reach the storage formation at a
 325 colder temperature than that corresponding to the geothermal gradient (Vilarrasa et al., 2014).
 326 When CO₂ enters into the storage formation, temperature slightly drops, by some decimals of
 327 degree, in the first tens of meters around the injection well, due to Joule-Thomson cooling as
 328 pressure drops with distance to the well (Han et al., 2010). The Joule-Thomson cooling effect
 329 may be more pronounced in depleted oil and gas fields due to the expansion of CO₂ when it

330 enters into the low pressure reservoir (Oldenburg, 2007; Pekot et al., 2011; Singh et al.,
331 2011a). However, the induced cooling is unlikely to cause injectivity problems due to hydrate
332 formation that could clog the well, except for initially cold reservoirs ($T < 20$ °C) (Mathias et
333 al., 2010; Ding and Liu, 2014). Apart from the Joule-Thomson cooling effect, water
334 vaporization into the dry CO₂ causes an additional cooling of around 1.0-2.0 °C. Water
335 vaporization only occurs in the vicinity of the injection well, within the first tens of meters in
336 the radial distance, because further away CO₂ becomes saturated with water. Outside the
337 vaporization front, temperature rises due to the exothermic CO₂ dissolution into the brine
338 (André et al., 2010; Han et al., 2010). The temperature increase due to CO₂ dissolution is of
339 around half degree and may be used for monitoring the advancement of the CO₂ plume
340 (Bielinski et al., 2008; Zhao and Cheng, 2014). Actually, a visible temperature signal can be
341 detectable upon CO₂ arrival at an observation well in the storage formation, as occurred at the
342 CO₂ injection pilot test sites of Frio, Texas (Hovorka et al., 2006) and Nagaoka, Japan (Sato
343 et al., 2009).

344 The dynamics of the CO₂ plume is governed, in part, by CO₂ density. While high CO₂
345 density leads to a viscous dominated flow, low CO₂ density yields gravity dominated CO₂
346 flow. CO₂ density depends on both pressure and temperature, which are not straightforward to
347 determine within the CO₂ plume, as shown by the existing uncertainty on the actual CO₂
348 density of the CO₂ plume at Sleipner, Norway (Nooner et al., 2007; Alnes et al., 2011).
349 Initially, pressure may be hydrostatic and predictable, but it may also vary significantly, such
350 as in depleted petroleum reservoirs. During CO₂ injection, an overpressure that is inversely
351 proportional to permeability is induced and thus, CO₂ density will increase with injection.
352 However, the range of CO₂ density change due to overpressure is limited, in general, to some
353 tens of kg/m³ (Figure 3). The geothermal gradient is also site dependent, which, for the depths
354 of storage, i.e., several km, may give rise to temperature variations of tens of degrees between

355 different storage sites (Randolph and Saar, 2011b). As a result, CO₂ density can vary several
 356 hundreds of kg/m³ from a storage site placed in a sedimentary basin with a high geothermal
 357 gradient to a site with a low geothermal gradient (Bachu, 2003) (Figure 3). The warmer the
 358 storage formation, the lower the CO₂ viscosity, which will facilitate flow and decrease
 359 overpressure (Wiese et al., 2010), but may enhance viscous fingering (Jackson et al., 2015).
 360 Temperature also affects the surface tension and the wetting angle, which play a role in
 361 capillarity (Singh et al., 2011b). The occurrence of these processes implies that it is important
 362 to account for non-isothermal effects even though CO₂ is injected in thermal equilibrium with
 363 the storage formation (Class et al., 2009).



364
 365 Figure 3. CO₂ density as a function of depth for several geothermal gradients at hydrostatic
 366 conditions and for a 5 MPa overpressure generated by CO₂ injection. Surface temperature
 367 is of 5, 10 and 15 °C for the geothermal gradients of 25, 33 and 40 °C/km, respectively. The
 368 shadowed region is the most appropriate depth interval for geologic carbon storage because
 369 it is deep enough to ensure a high CO₂ density that permits an efficient storage in terms of

370 volume, and also because deeper storage formations would imply higher drilling and
371 injection costs.

372 Thermal effects are more evident when the injected CO₂ is colder than the storage
373 formation. In such case, CO₂ cools down the rock around the wellbore, forming a cooler
374 region that tends to reach the same temperature as that of the inflowing CO₂ (Vilarrasa et al.,
375 2013). This cold region advances much behind of the desaturation front because CO₂ is heated
376 by the rock, which retards the advance of the cooling front with respect to the front of the CO₂
377 plume. The colder CO₂ is denser and more viscous than the supercritical CO₂ that is in
378 thermal equilibrium with the storage formation. Thus, viscous forces dominate in the cooled
379 region, leading to a steep CO₂ front that sweeps most of the thickness of the storage formation
380 (Rayward-Smith and Woods, 2011). However, as CO₂ warms up, its lower density and
381 viscosity leads to gravity override and thus, CO₂ tends to advance through the top portion of
382 the storage formation (Vilarrasa et al., 2014). The denser CO₂ in the cooled region occupies a
383 smaller volume than supercritical CO₂ and thus, displaces a smaller amount of brine, which
384 results in a slightly lower overpressure for cold CO₂ injection than for CO₂ in thermal
385 equilibrium with the storage formation (Vilarrasa et al., 2013; Randolph et al., 2013; Zhao
386 and Cheng, 2015). Furthermore, the cold region around the injection well remains for a long
387 period of time after the end of injection because the cooling front advances mainly by
388 advection of the cold CO₂ during injection, but the cooled rock is heated up by heat
389 conduction afterwards, which leads to a period to reach thermal equilibrium that is longer than
390 the injection period.

391

392

393

394 b. **THERMO-MECHANICAL EFFECTS**

395 CO₂ injection in deep saline formations implies temperature changes that will induce stress
396 and strain (Rutqvist, 2012). The region undergoing the largest temperature change will be
397 limited to a few hundreds of meters from the injection well for a CO₂ injection of several
398 decades. This region is relatively small compared to the extent of the CO₂ plume, which may
399 reach several kilometers (Vilarrasa et al., 2014). Still, thermal stresses may be a concern
400 (Celia et al., 2015) because CO₂ will, in general, reach the storage formation colder than the
401 rock, which may bring the stress state closer to failure conditions (de Simone et al., 2013).
402 Major faults will rarely be cooled down because injection wells will be placed far from them.
403 However, the thermal contraction of the rock around the injection well affects the stress field
404 in the far-field through deformations and associated stress-transfer and thus, the stability of
405 faults placed far away from the well may be reduced (Jeanne et al., 2014). This contraction of
406 the rock caused by cooling also leads to a smaller surface uplift induced by cold CO₂ injection
407 compared to CO₂ injection in thermal equilibrium with the storage formation (Goodarzi et al.,
408 2012; Fang et al., 2013). Furthermore, the lower portion of the caprock in the vicinity of
409 injection wells will be cooled down due to heat conduction once the cold CO₂ reaches the top
410 of the storage formation. This cooling may induce fracture instability within the caprock,
411 especially if the thermal expansion coefficients of the two formations are different (Vilarrasa
412 and Laloui, 2016).

413 Shear slip of fractures within the caprock and hydraulic fracture formation and propagation
414 across the caprock is, in principle, undesirable. However, in the presence of thick caprocks,
415 the overall caprock sealing capacity may not be compromised even though shear or tensile
416 failure conditions are reached at the bottom of the caprock, as demonstrated at In Salah,
417 Algeria. At this site, no leakage has occurred in spite of the fact that cooling probably
418 contributed to induce shear failure of the lower portion of the caprock (Vilarrasa et al., 2015).

419 Nevertheless, it is important to minimize the disturbance of the caprock integrity (Sagu and
420 Pao, 2013). Thus, thermal stresses should be accounted for to determine the maximum
421 sustainable injection pressure and maximum temperature drop that can be induced without
422 compromising the caprock integrity (Rutqvist et al., 2011; Kim and Hosseini, 2014b, 2015).

423 The distribution of thermal stresses is controlled by the extension of the cold region.
424 Analytical (Bao et al., 2014) and semi-analytical (LaForce et al., 2015) solutions have been
425 developed to estimate the position of the cold region and the induced thermal stresses. Even
426 though good estimates are obtained at the beginning of injection, when viscous forces
427 dominate and the CO₂ plume advances as a plug, differences arise as CO₂ moves away from
428 the injection well and gravity forces dominate, which leads to a cooling front that
429 preferentially advances along the top of the storage formation. Better estimates can be
430 obtained for the injection of brine with dissolved CO₂ because buoyancy forces are much
431 smaller than when a CO₂-rich phase is injected (Wu and Bryant, 2014). Nevertheless, this
432 thermo-hydro-mechanical coupled problem should be solved numerically to obtain accurate
433 solutions.

434 Numerical results are used to predict cooling-mediated hydraulic fracture initialization,
435 which occurs when the minimum effective stress exceeds the tensile strength of the rock
436 (Goodarzi et al., 2011, 2013; Luo and Bryant, 2011, 2013; Taylor and Bryant, 2014).
437 Hydraulic fractures, or shear slip of pre-existing fractures, may be beneficial if they are
438 confined within the storage formation because injectivity is enhanced (Goodarzi et al., 2010;
439 Rutqvist, 2012). Luo and Bryant (2014) modeled fracture propagation due to cooling in the
440 storage formation and found that stiff storage formations experience fast fracture growth,
441 leading to a low usage efficiency of the storage formation because the CO₂ plume becomes
442 elliptical as CO₂ advances preferentially along the hydraulic fracture. In contrast, soft storage
443 formations yield slow fracture propagation that may stop close to the well, giving rise to a

444 cylindrically-shaped CO₂ plume with high usage efficiency of the storage formation. Thus,
445 using thermal stresses properly can contribute to enhance the injectivity in the storage
446 formation. However, the propagation of hydraulic fractures or shear slip of pre-existing
447 fractures from the storage formation into the caprock should be avoided or, at least,
448 minimized to maintain the caprock sealing capacity. Apart from the magnitude of each stress
449 component and overpressure (Goodarzi et al., 2012; 2015), the propagation of shear or tensile
450 failure conditions from the storage formation into the caprock is controlled by several factors,
451 such as the stress regime, stress and strength heterogeneity between layers and the distance
452 from the injection well to the caprock.

453 Regarding the stress regime, strike slip stress regimes (i.e., stress states in which the
454 vertical stress is the intermediate principal stress) are more likely to propagate failure
455 conditions into the caprock than normal faulting (i.e., when the vertical stress is the maximum
456 principal stress) and reverse faulting stress regimes (i.e., when the vertical stress is the
457 minimum principal stress) (Vilarrasa, 2016). For example, simulation results of cold CO₂
458 injection at In Salah, Algeria, which is characterized by a strike slip stress regime, show that
459 the lower part of the caprock is likely to reach shear and tensile failure conditions (Preisig and
460 Prevost, 2011; Gor and Prévost, 2013; Gor et al., 2013; Vilarrasa et al., 2015). However, in
461 normal faulting stress regimes, failure conditions may not occur into the caprock even though
462 shear failure conditions are reached within the storage formation (Vilarrasa and Laloui, 2015).
463 This is because cooling of the storage formation induces a thermal stress reduction in all
464 directions, but since the overburden on top of the storage formation remains constant, a local
465 discontinuity in the vertical stress between the storage formation and the caprock appears
466 around the injection well. Therefore, stress redistribution occurs around the cooled region to
467 satisfy stress equilibrium and displacement compatibility. This stress redistribution causes the
468 horizontal total stresses of the lower portion of the caprock to increase, similar to an arch

469 effect, around the cooled region. The higher horizontal stresses tighten the caprock in a
 470 normal faulting stress regime, improving its stability (Vilarrasa et al., 2013). A similar stress
 471 redistribution occurs in a reverse faulting stress regime, but in this case, since the maximum
 472 principal stress is horizontal, the deviatoric stress increases in the caprock. However, due to
 473 the high confinement pressure, the decrease in stability is small, so fracture propagation
 474 across the caprock is unlikely. Only the caprock-reservoir and baserock-reservoir interfaces
 475 may reach failure conditions, but without propagating into the caprock (Bao et al., 2014).
 476 Furthermore, the stability within the storage formation may improve due to cooling in a
 477 reverse faulting stress regime, because, in the long-term, the horizontal stresses undergo a
 478 larger thermal stress reduction than the vertical stress, which decreases the deviatoric stress
 479 (Vilarrasa et al., 2014) (see Table 1 for a summary of the stress regime on rock stability
 480 changes induced by cooling).

481 Table 1. Thermo-mechanical effects of cooling on rock stability as a function of the stress
 482 regime

Stress regime	Storage formation	Caprock
Normal Faulting	Thermal stress reduction in both the maximum and the minimum	Stress redistribution around the cooled region increases the minimum (horizontal) principal stress, reducing the deviatoric stress, which tightens the caprock

	principal stresses	Stress redistribution around the cooled region
	brings the stress	affects equally the maximum (horizontal) and the
	state closer to	minimum (horizontal) principal stresses, so the
Strike Slip	failure conditions	thermal stress reduction shifts the stress state closer to failure conditions maintaining the deviatoric stress
		Stress redistribution around the cooled region
		increases the maximum (horizontal) principal
Reverse faulting		stress, which increases the deviatoric stress, but just slightly due to the high confining stress

483

484 As far as the stress anisotropy between layers is concerned, caprocks are usually softer
485 than storage formations and thus, caprocks tend to accumulate less deviatoric stress than
486 storage formations as a result of tectonic plate movements (Hergert et al., 2015). This stress
487 heterogeneity between the storage formation and the caprock makes fracture propagation into
488 the caprock less likely. For example, Goodarzi et al. (2015) modeled the Ohio River Valley,
489 West Virginia, which is a strike slip stress regime, and therefore, thermal stresses are likely to
490 induce fracture propagation into the caprock, as may have occurred at In Salah, Algeria (Gor
491 et al., 2013; White et al., 2014). However, when accounting for the stress heterogeneity
492 between geological layers, hydraulic fractures may not propagate into the caprock because of
493 its higher minimum effective stress in normal faulting and strike slip stress regimes.
494 Furthermore, unlike in the storage formation, shear failure conditions may not be reached in
495 the caprock due to the lower deviatoric stress.

496 As for the position of the injection well with respect to the caprock, placing the well away
497 from the caprock may help to avoid inducing large thermal stresses in the caprock (Vilarrasa
498 et al., 2014). For example, Bonneville et al. (2014) used a 3D model with 4 horizontal wells to
499 simulate the CO₂ pilot site of FutureGen 2.0, Illinois. Tensile stresses were predicted at some
500 points close to the injection well when CO₂ was injected colder than the storage formation.
501 However, the top of the storage formation remained in compression because the cooling front
502 did not reach it during the simulation time. Nevertheless, the cooling front is likely to
503 eventually reach the caprock due to the buoyancy of CO₂. Yet, if the injection well is placed
504 at a certain distance from the caprock, the temperature drop will be smaller than at the
505 injection well, as occurred at Cranfield, Mississippi (Kim and Hosseini, 2014a; Luo et al.,
506 2013).

507

508 c. **THERMO-GEOCHEMICAL PROCESSES**

509 One issue related to CO₂ injection in deep saline formations is salt precipitation around the
510 injection well (Pruess and Garcia, 2002). CO₂ will form a CO₂-rich region around the
511 injection well where liquid saturation will be reduced to the residual liquid saturation. CO₂
512 will be preferably injected dry, because if water is present, corrosion problems in pipes are
513 likely to occur. Thus, the residual brine will tend to evaporate into the dry CO₂, increasing the
514 salt concentration in the liquid phase (André et al., 2011). Once the equilibrium solubility is
515 reached, salt will precipitate, inducing crystallization pressure that might fracture the rock and
516 open new percolation pathways if stresses become high enough (Osselin et al., 2013). Salt
517 precipitation slightly decreases porosity. But since salt precipitates close to the pore throats,
518 the connectivity between the pores may clog, which could cause a dramatic decrease in
519 permeability and thus, in injectivity. Water evaporation increases at higher temperature
520 (Spycher and Pruess, 2005). Thus, a higher temperature generally results in more salt

521 precipitation (Kim et al., 2012). However, as brine is evaporated, the relative permeability to
522 CO₂ increases, which may partly compensate the permeability reduction due to salt
523 precipitation (Mathias et al., 2011).

524 Apart from salt precipitation, temperature affects the reaction rates of chemical reactions
525 (Song and Zhang, 2012). Geochemical reactions are more significant in carbonate rocks than
526 in siliciclastic rocks because carbonate minerals tend to dissolve in response to CO₂
527 dissolution into the brine, which gives rise to an acidic solution. The solubility of both CO₂
528 and carbonate rocks is higher at lower temperature. Thus, more CO₂ dissolution and carbonate
529 (mainly calcite and dolomite) dissolution will occur within the cold region that forms around
530 the injection well due to cold CO₂ injection. However, cooling has a minor effect on the
531 increment of the mineral volume fraction that is dissolved compared with CO₂ injection in
532 thermal equilibrium with the storage formation (Tutolo et al., 2015). The porosity
533 development around the injection well, which is the zone with the largest geochemical
534 changes, is small due to the low solubility of calcite (Saaltink et al., 2013). Thus, formation of
535 large cavities due to mineral dissolution should not be feared. If the temperature of the storage
536 formation is higher than 60 °C, dolomite precipitation is likely to occur, which may decrease
537 porosity and permeability. Consequently, cold CO₂ injection may inhibit precipitation of
538 carbonate minerals around the well in warm (>60 °C) storage formations and thus, injectivity
539 would not be negatively affected (André et al., 2010).

540 Geochemical reactions will lead to CO₂ mineral sequestration if carbon is fixed as
541 carbonate minerals. Carbon mineralization permits a permanent storage of CO₂ with
542 negligible leakage risk (Zevenhoven et al., 2011). This process is expected to occur in the
543 time scale of hundreds to thousands of years in deep saline aquifers (Zhang et al., 2009).
544 However, the mineralization process into carbonates can be dramatically speeded up in
545 basaltic rocks, with a 95 % mineralization of the injected CO₂ in less than 2 years (Matter et

546 al., 2016). Mineralization of CO₂ may also be achieved in industrial processes, such as steel
547 and iron-making slags. These chemical reactions release significant amounts of heat, which
548 could be useful in some industrial processes and affect reaction rates in geomaterials
549 (Zevenhoven et al., 2011).

550

551 d. **CARBON CAPTURE, UTILIZATION AND STORAGE**

552 Recently, it has been argued that CO₂ injection in deep saline aquifers should be
553 accompanied by its “utilization” to provide an added value that makes CCS an economically
554 feasible option for reducing CO₂ emissions to the atmosphere. Thus, CCS should evolve to
555 Carbon Capture, Utilization and Storage (CCUS). One of the most feasible options is
556 Enhanced Oil Recovery (EOR), which consists in injecting CO₂ in mature oil fields to
557 enhance their productivity (Brown et al., 2004; Hill et al., 2013). CO₂ is miscible in oil and
558 reduces oil viscosity, facilitating oil production. However, most of the injected CO₂ returns to
559 the surface dissolved into the produced oil. At surface, CO₂ is forced to exsolve from oil and
560 is reinjected. If more CO₂ is injected than produced, as it has been done at Weyburn, Canada,
561 since 2004 (Verdon et al., 2011), CO₂ storage takes place. Similarly, CO₂ can be used for
562 Enhanced Gas Recovery (EGR) in depleted gas fields, where the Joule-Thomson cooling
563 effect may be significant (Singh et al., 2012).

564 CO₂ can be stored in unminable coal seams, in which CO₂ displaces the methane originally
565 adsorbed to coal, leading to ECBM production (White et al., 2005). This CCUS option relies
566 on the higher affinity of CO₂ than methane to adsorb to coal. The potential storage capacity of
567 ECBM, though lower than that of saline aquifers, is large (Gale, 2004). The main limitation of
568 ECBM may be the relatively low permeability of coal seams. To overcome this drawback,
569 CO₂ may be injected quite warm, so that its viscosity is low and thus, overpressure does not
570 become large (Sasaki et al., 2009).

571 Other alternative CCUS options focus on using the geothermal energy of the deep
572 geological formations where CO₂ will be stored. One of the CO₂ storage methods that
573 involves geothermal energy recovery is the injection of CO₂ dissolved into brine (Pool et al.,
574 2013). Since brine with dissolved CO₂ is denser than brine without dissolved CO₂, CO₂-rich
575 brine tends to sink towards the bottom of the storage formation, making long-term CO₂
576 storage safe, especially in sloping aquifers. This storage concept has the drawback that brine
577 needs to be pumped and afterwards re-injected together with CO₂, which increases drilling
578 costs and pumping/compression costs. However, these additional costs may be offset by
579 recovering the geothermal energy of the pumped brine, which has a temperature higher than
580 that at the surface (Pool et al., 2013; Kervévan et al., 2014).

581 Another method for recovering geothermal energy consists in using CO₂ as the working
582 fluid (Randolph and Saar, 2011a). The thermosiphon concept using CO₂ as a circulating fluid
583 in heat pipes (Ochsner, 2008) was adopted as a means of geothermal energy, partly storing
584 CO₂, in deep geological formations (Freifeld et al., 2013; Buscheck et al., 2013; Adams et al.,
585 2014). Interestingly, CO₂ will circulate in the thermosiphon without the need of pumping (Pan
586 et al., 2015). Cold CO₂, and therefore dense, is injected in liquid conditions through a well
587 into a deep geologic formation. CO₂ will warm up as it moves away from the injection well,
588 becoming supercritical CO₂, and thus lighter (Vilarrasa et al., 2013). This supercritical CO₂
589 will flow upwards due to buoyancy through another well, returning to the surface, where it
590 will release its heat and electricity will be produced (Elliot et al., 2013). Apart from
591 minimizing the energy required for injection and pumping, CO₂ is more efficient than water
592 as a circulating fluid and yields higher power production (Adams et al., 2015). Once
593 electricity has been produced and the heat of CO₂ utilized, CO₂ cools down. Then, the cold
594 CO₂ is injected again into the injection well. Thus, the use of CO₂, instead of water, as the
595 working fluid, allows making use of geothermal energy without the need of mechanical

596 pumping. Furthermore, most of the injected CO₂ will remain deep underground, where it will
597 be permanently stored, and the economic benefit provided by the geothermal energy will
598 convert geologic carbon storage into a feasible option to mitigate climate change.

599

600 **6. CO₂ LEAKAGE THROUGH WELLS AND FAULTS**

601 CO₂ leakage is a concern in geologic carbon storage because: (i) the objective of keeping
602 CO₂ away from the atmosphere is not achieved (Hepple and Benson, 2005); (ii) freshwater
603 aquifers may undergo acidification and contamination (Lu et al., 2010; Trautz et al., 2012;
604 Ardelan and Steinnes, 2010); and (iii) asphyxiation hazard exists if CO₂ accumulates in
605 depressions on the land surface. CO₂ may leak from the storage formation across the caprock,
606 through faults or along wells. CO₂ leakage may be accompanied by brine leakage, which
607 could also be a concern if it reaches freshwater aquifers (Tillner et al., 2013). To prevent both
608 salinization of freshwater aquifers and CO₂ leakage from reaching the surface, multibarrier
609 systems, where saline aquifers alternate with low-permeability formations that serve as
610 caprocks, are an effective option (Birkholzer et al., 2009).

611 Natural analogues can provide useful information on the mechanisms that may promote
612 CO₂ leakage. Miodic et al. (2014) analyzed 49 natural CO₂ reservoirs, 10 of which were
613 known to leak. They found that leakage occurred either in shallow reservoirs, i.e., depths
614 shallower than 1000 m, where CO₂ was in gaseous phase, or in pressurized reservoirs where
615 the fracture gradient had been reached. The fact that reservoirs with gaseous CO₂ are more
616 prone to leak than reservoirs containing supercritical CO₂ is probably due to the higher
617 buoyancy of the less dense gaseous CO₂. On the other hand, reservoirs that are
618 underpressurized with respect to the overburden are less likely to leak than reservoirs that are
619 overpressurized with respect to the overburden. However, in geologic carbon storage, this
620 factor will generally not be favorable due to the overpressure induced by CO₂ injection.

621 Though it has been proposed by Réveillère and Rohmer (2011) and Réveillère et al. (2012) to
622 inject brine into the caprock to create a hydraulic barrier against CO₂ leakage, this injection
623 could jeopardize the caprock integrity because fluid injection in the caprock would
624 significantly increase pore pressure due to the low-permeability of the caprock. This pressure
625 buildup would reduce the effective stresses and failure conditions could be reached, which
626 could cause the opposite effect as the pursued one.

627 Unlike caprocks, which are likely to remain stable (Vilarrasa and Carrera, 2015), wellbores
628 are the most likely conduit for CO₂ to escape from the storage formation, especially in
629 sedimentary basins where hydrocarbons have been produced. A clear example of the potential
630 effect of wellbores in hydrocarbon basins on CO₂ leakage is the Alberta Basin, Canada, which
631 has more than 300,000 wells in 900,000 km² (Gasda et al., 2004). Some of the wellbore
632 simulators used for calculating CO₂ injection along the injection well (recall Section 4) can
633 also be used for calculating non-isothermal CO₂ leakage just by adding a few modifications
634 (Pan et al., 2011; Pan and Oldenburg, 2014). Furthermore, some efforts have been made to
635 explain CO₂ leakage through wells analytically (e.g., Nordbotten et al., 2004, 2005).
636 However, the thermal effects that occur during CO₂ leakage make it very complicated to
637 develop analytical or semi-analytical solutions that give good estimates of CO₂ leakage along
638 abandoned wells. To illustrate this, Ebigbo et al. (2007) compared the semi-analytical
639 solutions of Nordbotten et al. (2004, 2005) for leaky wells with numerical solutions. Ebigbo et
640 al. (2007) found that the semi-analytical solutions compare well with the numerical
641 simulations when the simplifying assumptions of the semi-analytical solution, which include
642 isothermal conditions, are taken into account in the numerical model. However, as the
643 simplifying assumptions are relaxed, numerical results increasingly differ from those of the
644 semi-analytical solution. In particular, the semi-analytical solution fails to give good results
645 when non-isothermal effects occur. This limitation was revealed by a model in which CO₂

646 changes from liquid to gas inside of a leaky well that connects two aquifers between 800 and
647 640 m deep, which gives rise to a 1.5 °C drop at the top of the leaky well (depth of 640 m)
648 due to the phase change.

649 The CO₂ dynamics in a leaky well can be very diverse. Initially, the wellbore is saturated
650 with water. Once CO₂ starts leaking, water is initially displaced upwards due to the buoyancy
651 of CO₂. As CO₂ advances upwards, CO₂ saturation increases due to gas exsolution as pressure
652 decreases at shallower depths and, due to the lower density of gaseous than supercritical CO₂
653 (Pan et al., 2009). This phase change occurs when the pressure becomes lower than the
654 critical CO₂ pressure of 7.4 MPa, i.e., at depths lower than about 800 m. If the amount of
655 available CO₂ for leaking into the well is unlimited, a quasi-steady state is rapidly reached,
656 within 30 minutes (Pan et al., 2011). The temperature profile stabilizes when the steady state
657 flow is reached. But before this stabilization occurs, the temperature profile along the well
658 reaches a maximum due to CO₂ dissolution into the water, which is an exothermic reaction,
659 followed by a local minimum caused by CO₂ expansion. However, in general, CO₂ mobility
660 in the reservoir controls the leakage rate through an open borehole and therefore, CO₂
661 availability will usually be limited. In particular, if the CO₂ saturation is close to the residual
662 gas saturation, CO₂ cannot continuously flow through the wellbore, leading to a geyser like
663 leakage (Pan et al., 2011). Furthermore, in closed reservoirs, CO₂ leakage induces a reduction
664 of the reservoir pressure, which causes a progressive reduction of CO₂ leakage rate.

665 In the scenarios modeled by Pan et al. (2009; 2011), CO₂ transitioned from supercritical to
666 gas without undergoing any phase change. This may be the case in the presence of high
667 geothermal gradient. However, liquid CO₂ may appear due to the cooling that occurs during
668 expansion when considering a broader range of geothermal gradients or for insulated wells
669 that receive very limited heat from the surrounding rock (Oldenburg et al., 2012). Long-term
670 CO₂ leakage may also lead to a similar situation than that of an insulated well once the

671 surrounding rock is cooled down and provides a low amount of heat to CO₂. If liquid CO₂
672 forms, the saturation line is eventually reached at a certain depth, where liquid and gas will
673 coexist. The depth interval where liquid and gas CO₂ coexist experiences strong non-
674 isothermal effects due to the Joule-Thomson effect (Burnett, 1923; Charnley et al., 1955) that
675 occurs as a result of the expansion that takes place when liquid CO₂ boils into subcritical
676 gaseous CO₂ (Pruess, 2011). This cooling results in an advance, mainly upwards, of the depth
677 interval where CO₂ stays on the saturation line (Oldenburg et al., 2012). Thus, the temperature
678 difference (cooling) with respect to the geothermal gradient becomes larger as the two-phase
679 conditions advance upwards. In extreme cases, CO₂ release in wells may lead to pressure and
680 temperatures at the wellhead close to those of the triple point (i.e., 0.511 MPa and -56.35 °C,
681 respectively). If such conditions are reached, CO₂ will be ejected as solid “dry ice” particles,
682 as has already occurred in EOR fields (Skinner, 2003). Similar processes would occur if CO₂
683 leakage occurs through a fault instead than through a well.

684 If CO₂ leaks through a fault or fracture, brine will start leaking before CO₂ (Rutqvist and
685 Tsang, 2002), which will induce a temperature increase along the fracture due to the warmer
686 temperature of the upwards flowing brine (Zeidouni et al., 2014). But the leaking CO₂, which
687 is in supercritical conditions at the storage formation from which CO₂ leaks, may change to
688 liquid conditions as it leaks upwards through a fault (Pruess, 2005a). Simulation results of
689 Pruess (2005a) show that liquid CO₂ boils into gas at around 630 m because at this depth the
690 pressure and temperature of CO₂ are such that they lie on the saturation line. The phase
691 change from liquid to gas is accompanied by a large increase in volume, i.e., a large density
692 decrease, and a decrease in viscosity. As a result of CO₂ depressurization and expansion as it
693 migrates towards shallower depths, temperature will decrease due to the Joule-Thomson
694 cooling effect. Furthermore, temperature will drop because latent heat is absorbed by the
695 phase change process. The region where CO₂ changes its phase from liquid to gas becomes a

696 3-phase region because there is water present (Pruess, 2005b). Mobility is significantly
697 reduced in this region, which hinders CO₂ upflow locally and promotes lateral spreading of
698 CO₂. The decrease in CO₂ flow causes the temperature to increase again due to heat
699 conduction from the surrounding rock, increasing the temperature and eventually recovering a
700 two-phase flow that allows upward CO₂ flow again. This leads to a quasi-periodic discharge
701 of CO₂ towards the surface that shows a tendency to increase its period with time. Pruess
702 (2005a) also performed a simulation in which temperature was artificially maintained constant
703 by specifying very large rock specific heat. A constant temperature led to a monotonic
704 increase of leakage fluxes with time, also observed in an isothermal numerical simulation
705 performed by Pruess and Garcia (2002), without the formation of the 3-phase region. This
706 difference allowed Pruess (2005a) to conclude that the availability of conductive heat transfer
707 is the limiting factor of the growth of CO₂ fluxes when non-isothermal effects are taken into
708 account.

709 Simulation results show that the strong dependency of the CO₂ properties on pressure and
710 temperature leads to complex processes that can have either positive or negative feedback on
711 the CO₂ leakage rates. The decrease in both CO₂ density and viscosity as CO₂ migrates
712 upwards provides a self-enhancement of the leakage rate. However, strong cooling caused by
713 phase change from liquid to gaseous CO₂ may cause three-phase flow that self-limits leakage
714 and may give rise to geysering (e.g., Pruess, 2008). Since thermal effects play a relevant role
715 in CO₂ leakage processes, measuring temperature along wells can be useful to detect leakage.

716 The temperature signal can be used to detect not only CO₂ leakage, but also brine leakage.
717 If brine leakage occurs, temperature will increase because of the warmer temperature of the
718 brine that comes from deeper depths. But if brine leakage is followed by CO₂ leakage, cooling
719 will take place once CO₂ reaches a certain depth due to CO₂ expansion. The main drawback
720 of leakage detection using temperature signals is that the leakage-induced temperature

721 changes cover a small volume around the leakage pathway, which makes it difficult to detect
722 unless the monitoring well is very close to where leakage occurs (Tao et al., 2013). In
723 contrast, the pressure signal extends rapidly over large distances, but increases regardless of
724 the fluid that is leaking. Thus, a combination of pressure with temperature monitoring is
725 recommendable, because pressure monitoring can detect pressure perturbations which origin
726 is located far away from the measurement point and if the temperature measurements are
727 close to leakage, information on the leaking fluid can be obtained (Hurter et al., 2007).

728 Underground temperature and pressure monitoring can be combined with deformation
729 measurements to detect leakage because deformation spreads instantaneously in response to
730 overpressure (Rutqvist, 2012). Surface uplift evolution can be measured with InSAR, as it
731 was successfully done at In Salah, Algeria (Vasco et al., 2008). Even though deformation
732 measurements do not directly inform about thermal effects, their interpretation using coupled
733 thermo-hydro-mechanical numerical simulations may allow determining the extension of the
734 cooled region around injection wells because the contraction of the rock induced by cooling
735 reduces the magnitude of the surface uplift (Goodarzi et al., 2015).

736 Temperature can be monitored using point measurements, wireline-deployed instruments
737 or fiber-optic distributed temperature sensing (DTS) cables (Reinsch et al., 2013; Nuñez-
738 Lopez et al., 2014). The wireline-deployed instruments provide logs of temperature as a
739 function of depth and the DTS produces continuous temperature measurements both in time
740 and space along the cable. DTS can provide, after careful calibration, resolution and accuracy
741 as small as 0.02 and 0.3 °C, respectively, as reported for a gas-hydrate monitoring application
742 at around 1,200 m deep (Henninges et al., 2005). Hoang et al. (2011) used DTS to infer the
743 productive zones after hydraulic fracturing operations from temperature measurements
744 outside of the well. Concerning geologic carbon storage, temperature measurements have

745 already been performed at CO₂ pilot test sites, like Frio, Texas (Hovorka et al., 2006) and
746 industrial scale sites, like Cranfield, Mississippi (Hovorka et al., 2013).

747

748 **7. RESEARCH GAPS AND CHALLENGES**

749 Based on the review of thermal effects on geologic carbon storage, a series of research
750 gaps and challenges have been identified. The following list suggests future research lines to
751 address them:

752 - The effect of impurities on corrosion of pipelines needs to be better understood.

753 Pipeline corrosion may lead to the failure of pipelines and thus, it is crucial to develop
754 guidelines for the metal requirements of pipelines depending on the flue gas composition.

755 These guidelines should take into account the possibility of strong cooling caused by
756 expansion of CO₂ if normal operation is interrupted suddenly.

757 - The connection between CO₂ transport and injection into the well is still associated
758 with significant uncertainties. Huge amounts of energy can be saved, and even generated, by
759 employing smart ways of using the high pressure at which liquid CO₂ is transported through
760 pipelines.

761 - Wellbore and reservoir simulators are usually decoupled. However, the pressure and
762 temperature at the bottom of the injection well should coincide with those in the storage
763 formation at the injection well. Coupling these two simulators will permit obtaining realistic
764 injection conditions that could be used to optimize the pressure and temperature at the
765 wellhead.

766 - The thermo-mechanical effects of cold CO₂ injection have not been widely
767 investigated and are not fully understood. In particular, different studies on caprock stability
768 due to cooling give results that are not in full agreement. Thus, the processes that govern
769 caprock stability are still not well-known and further investigation is required.

770 - The effect of geochemical reactions (dissolution/precipitation) on the geomechanical
771 properties and responses of different rock types has not been addressed in detail. The
772 combined work of geochemist with geomechanical experts is required to shed light on this
773 coupled effect.

774 - Coupled thermo-hydro-mechanical-chemical processes have not been investigated yet
775 in detail due to the high complexity of this problem that involves extremely high
776 computational cost. To address this coupled problem, more efficient numerical simulators are
777 required.

778 - Further investigation is needed to understand three-phase (water, gaseous CO₂ and
779 liquid CO₂) relative permeability and hysteresis. Three-phase may form in CO₂ leakage
780 pathways and may lead to a self-limiting feedback that decreases the leakage rate. However,
781 the capillary properties of three-phase flow are not well-known.

782 - The geomechanical implications of CO₂ leakage related to cooling effects, especially
783 when liquid CO₂ is formed, have not been investigated yet.

784 - CCUS: one of the main barriers to put in practice CCS is its elevated cost. To reduce
785 its cost, adding a “utilization” that provides an economic benefit is highly recommendable.
786 More efforts should be devoted to develop CCUS options.

787 - Finally, to achieve a successful deployment of CCS and CCUS, there should be a
788 transition from pilot to demonstration scale sites. The Boundary Dam Carbon Capture Project,
789 in Saskatchewan, Canada, has been the first commercial-scale CCS project. This is a great
790 first start, but it should be followed by many other industrial scale projects.

791

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