

1 Article

# 2 **Testing the suitability of a terrestrial 2D LiDAR** 3 **scanner for canopy characterization in greenhouse** 4 **tomato crop**

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## 15 **Abstract:**

16 Canopy characterization is a key factor to adjust pesticide dosage to the amount of vegetation. This  
17 fact becomes especially important when the target is a fresh exportable vegetable like tomato  
18 produced in greenhouse. The particularities of this crop, whose plants are thin, tall and planted in  
19 pairs, make difficult their characterization with electronic methods. The present study attempts to  
20 assess the accuracy of the terrestrial 2D LiDAR sensor for determining major canopy parameters  
21 related to its volume and density and it establishes useful correlations between manual and  
22 electronic parameters for leaf area estimation. The experiments were carried out at three different  
23 commercial tomato greenhouses planted in a twin row system. The electronic characterization was  
24 done with a LiDAR sensor (LMS-200, SICK) of 180° angle measurement by scanning the pair of  
25 plants by both sides. The main parameters obtained were: canopy height, canopy width, canopy  
26 volume and leaf area. From these, other important parameters were calculated, like the tree row  
27 volume (TRV), the leaf wall area (LWA), the leaf area index (LAI) and leaf area density (LAD). A  
28 general overview of the results show an overestimation of the parameters with manual  
29 measurements due to the high definition of the profile obtained with this sensor. The estimation of  
30 the canopy volume with the electronic device showed to be a reliable parameter to estimate the  
31 canopy height, volume and density. Also, the LiDAR scanner demonstrated to be able to assess the  
32 high variability of the canopy density along the row, resulting to be an important tool for canopy  
33 maps generation.

34 **Keywords:** greenhouse; tomato crop; LiDAR sensor; canopy characterization; LAI

35 **PACS:** J0101

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## 38 **1. Introduction**

39 The public concern due to the environmental problems associated with the inaccurate pesticide  
40 application process led the European Administration to develop a regulatory framework that was  
41 established with the European Directive 2009/128/EC [1]. In this document, the need to improve the  
42 efficiency in the use of Plant Protection Products (PPPs) is remarked, and one of the guidelines to

43 achieve this goal is the dose adjustment to the real needs, avoiding overdosing and, therefore,  
44 unnecessary PPP losses to the environment.

45 The greenhouse tomato crop, grown to be consumed as a fresh product, is very important in  
46 Spain, with a cultivated area of 6189 ha [2]. Being important an accurate application of pesticides in  
47 all kind of crops or circumstances, those related to fresh products to be directly commercialized in  
48 the market, need a very accurate and safe use of pesticides in order to prevent health risks. Pesticide  
49 residues on vegetables constitute a possible risk to consumers and have been a human health  
50 concern [3]. In contrast, even though some works have been done at evaluating the optimal volumes  
51 to be applied [4–5], not enough research has been done to relate all the parameters affecting the  
52 relationship between the canopy characteristics and the amount of PPP according to the real needs.

53 Greenhouse tomato rises from the ground and develops a long stem, which is fixed by the  
54 farmer to a fixed structure to make it stay in a vertical disposition. Therefore, this crop belongs to the  
55 group of those called 3D crops, i.e., crops that present a complex geometry for the sprayer, in  
56 opposition to the arable crops, that are treated as if they were a flat, 2D target. The constant pressure  
57 that generates a constant liquid flow rate has shown to not to be valid for 3D crops [6], as the varying  
58 geometry of the different individuals make very difficult to set a general application volume that  
59 results in a satisfactory application quality. This fact led the researchers to set other systems that  
60 focus on different parameters relative to the canopy structure. Thus, the first two methodologies to  
61 appear were the Tree Row Volume (TRV) and the Leaf Wall Area (LWA). The TRV method consists  
62 of calculating the canopy volume by assuming its prismatic shape, so the canopy height and width,  
63 along with the row spacing, are the base parameters to determine the TRV, expressed in  $\text{m}^3$  canopy  
64 per ha ground [7–8]. The application volume will be proportional to this TRV parameter according  
65 to a specific coefficient that will have different values according to the crop [9–11]. The Leaf Wall  
66 Area, on the other hand, is based on the assumption that the canopy sides are completely flat, so they  
67 form a “wall”. The main parameter to calculate LWA is the canopy height [12], so this method  
68 ignores the canopy width. The LWA is expressed in  $\text{m}^2$  leaf wall area per ground ha. The sprayed  
69 dose is calculated for every 10.000  $\text{m}^2$  LWA. These two systems are well implanted and nowadays  
70 there is a general discussion between the countries of the European Union in which of these systems  
71 should be used as the standard label dosing system for all the crops [13–14]. Nevertheless, in the last  
72 years, different authors proposed alternative systems as the TRV and LWA do not take into account  
73 a canopy parameter of major importance, the leaf density [14], so this method needs to be completed  
74 with further information. Therefore, different dosing systems appeared for different crops, as  
75 vineyards, citrus or fruit trees, like apple [6, 15–18]. Even though they differ in their basis,  
76 assumptions and calculations, they all have something in common: they have to rely on an accurate  
77 canopy characterization system.

78 Canopy characterization is a complex task that has been solved in the last years in very different  
79 ways. The canopy characterization methods can be classified in two general categories: manual and  
80 electronic methods. The manual methods are those that are based on manual measurements  
81 performed with measuring tape, topographic milestone, etc. These methods vary according to the  
82 canopy structure, and are much simpler in hedgerow orchards than in isolated trees or plants. Even  
83 though they are reliable, fast and simple to use for the farmer, they become less useful for more  
84 advanced task such as generating prescription maps for proportional spray application, like the one  
85 proposed by the aforementioned dosing systems. In addition, the canopy density results extremely  
86 difficult to evaluate with those methods, being necessary the complete defoliation of a representative  
87 sample of plants to obtain reliable values. Therefore, the electronic methods seem to be a very  
88 appropriate option to accomplish the requirements of the dose adjustment. Among the electronic  
89 characterization methods, the more frequent are the ultrasonic sensors [18–20], the stereo vision [22],  
90 the light sensors [23] and the LiDAR scanners [24–29]. According to Rosell and Sanz [30], LiDAR is  
91 the most accurate technology to characterize the canopy, and in fact it showed to be very reliable at  
92 predicting canopy parameters in different studies [20, 24, 31]. The LiDAR scanner is based on the  
93 principle of Time-Of-Flight (TOF) to calculate distances, i.e., the sensor measures the elapsed time  
94 between a laser beam emission and reception and automatically calculates the distance to the target

95 point [32]. This process is repeated along a plane in 2D scanners or in three dimensions, by rotating  
 96 the scanning plane, in 3D LiDAR. The 2D sensor is cheaper and can have a third coordinate by  
 97 moving it along the axis perpendicular to the scanning plane [24, 28], so it was more frequent for  
 98 canopy characterization.

99 The particularities of the tomato plants, which are thin, tall and planted in pairs, make difficult  
 100 their characterization with electronic methods, as it is difficult to identify the parameters related to  
 101 each individual plant. Furthermore, the narrow row spacing limits the field of view of the sensors  
 102 used. The aims of the present study are: 1) To assess the accuracy of the LiDAR sensor for  
 103 determining major canopy parameters related to its volume and density, 2) To establish useful  
 104 correlations between manual and electronic parameters for the leaf area estimation, 3) To take  
 105 advantage of the LiDAR technology to assess the variation of the canopy density throughout the  
 106 row, for being the basis to generate canopy density maps for pesticide dose adjustment.  
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## 108 2. Materials and Methods

### 109 2.1. Experimental fields.

110 The experiments were carried out in three different tomato cultivar greenhouses (GH) located  
 111 in El Ejido (Almería, Spain) (36° 45' 22.90" N; 2° 48' 34.89" W) and in Viladecans (Barcelona, Spain)  
 112 (14° 18' 46.46" N; 2° 1' 48.44" W), both important fresh productive areas in the Spanish  
 113 Mediterranean coast. The greenhouses had tomato crops of the varieties *Velasco* and *Barbastro* that  
 114 were disposed in similar plantation patterns (Table 1). The plants were planted in a twin row system  
 115 (Figure 1a) where the crop was planted by pairs on the same row. The three greenhouses had a main  
 116 corridor with adjacent and perpendicular rows (Figure 1b). The row spacing, *rs*, the plant spacing in  
 117 the row, *ps*, and the twin plant spacing, *tps*, are specified in Table 1 and represented in Figure 1b.  
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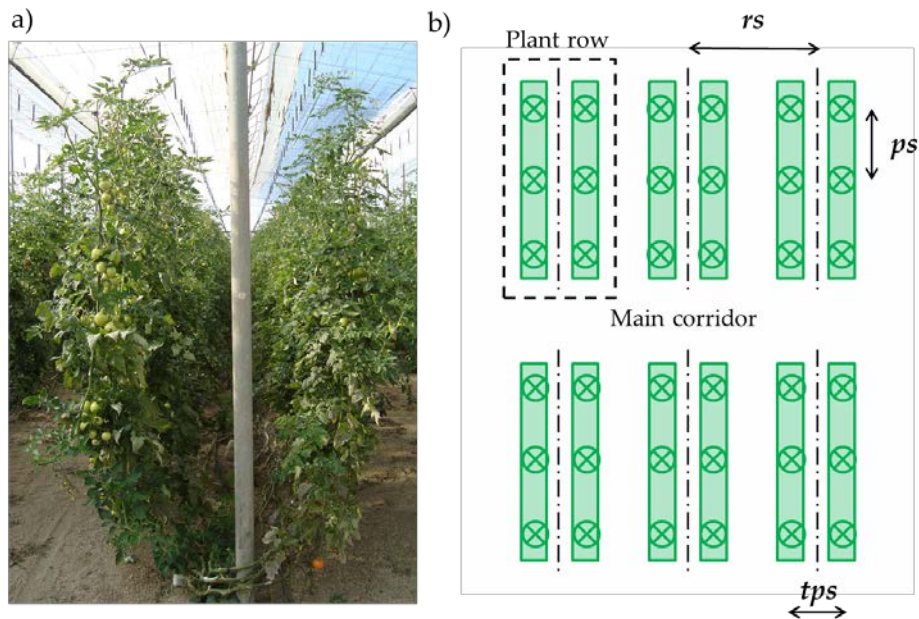
120 **Table 1.** Main characteristics of the experimental fields.

Greenhouse ID	Location	Plant layout (row spacing x plant spacing) (m x m)	Crop
1	El Ejido (Almería)	2.5 x 0.4	<i>Solanum lycopersicum</i> L. cv. Velasco
2	El Ejido (Almería)	2.8 x 0.4	<i>Solanum lycopersicum</i> L. cv. Velasco
3	Viladecans (Barcelona)	2.0 x 0.4	<i>Solanum lycopersicum</i> L. cv. Barbastro

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**Figure 1.** (a) Twin plantation system; (b) Plant disposition inside the greenhouse, with row spacing,  $rs$ , plant spacing in a row,  $ps$ , and twin plant spacing,  $tps$ .

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## 128 2.2. Manual canopy characterization

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For the manual canopy characterization, the total canopy height,  $H_M$ , and the canopy width,  $W_M$ , were measured along the row. The measurements were performed with a measuring tape by the same operators in the three fields of study, with 30 replications per field of study for each measurement. The  $H_M$  was measured from the lowest leaves in the plant stem to the top leaf of each plant (Fig. 2). In the case of the canopy width, measures were taken from the outer to the inner part of the canopy, measuring separately each plant of the twin plant system grow (Fig. 2).

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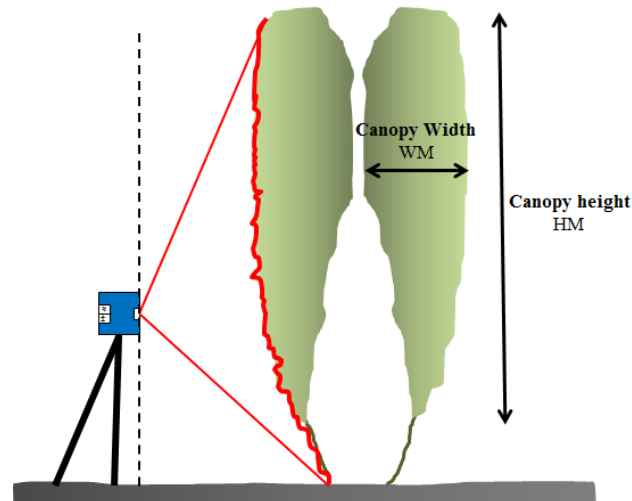
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The total leaf area per single plant was also determined. Thus, plants were collected by pairs, 2 pairs (4 plants) for greenhouses 1 and 2 and 3 pairs (6 plants) for greenhouse 3. They were appropriately stored in sealed plastic bags. Then, in laboratory conditions and before the leaves had dried, they were removed from the plants and subsamples of 80 g weight were planimeted with a leaf planimeter (LI-COR LI 3100C, Lincoln, NE), obtaining the total leaf area of the subsample ( $\text{cm}^2$ ) and allowing the researchers to obtain the leaf area-weight ratio [4, 33, 34], which enables to obtain the leaf surface by only weighing the leaves, saving time.

From these measured parameters, it was possible to calculate others, as the Tree Row Volume, TRV [7, 33, 35], the Leaf Wall Area, LWA [36, 37], the Leaf Area Index, LAI, and the Leaf Area Density, LAD [38, 39].



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**Figure 2.** Measured parameters for the manual canopy characterization and LiDAR scanner disposition.

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### 2.3. LiDAR canopy characterization.

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#### 2.3.1. Canopy scanning

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The scanner used for the study was a terrestrial 2D low-cost general-purpose LiDAR (LMS-200, Sick, Düsseldorf, Germany). This is a fully-automatic divergent laser scanner based on the measurement of time-of-flight (TOF) with an accuracy of  $\pm 15$  mm in a single shot measurement and 5 mm standard deviation in a range up to 8 m [20]. The sensor has a maximum scanning angle of 180 degrees and a selectable angular resolution of  $1^\circ$ ,  $0.5^\circ$  or  $0.25^\circ$ , though the first one showed to be accurate enough for canopy characterization studies [40], so it was chosen for the present work. The device was fed at 24 V voltage by an autonomous battery and it was connected to a laptop via RS-232 Serial port for the data transmission.

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The sensor was set in the center of the space between the crop rows and it was mounted opposed to the canopy in a way that it can properly scan the whole plants, from the base to the top (Fig. 2). The sensor was then moved along a constant track, scanning the pair of plants by both sides. Even though it was not possible to scan the same plant from both sides because of their paired disposition, the high resolution of the scanner made possible that a high percentage of the laser beams penetrate the first plant and scan the second. Furthermore, three replications per side and canopy section were undertaken.

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During the scanning process, two types of structures were used: in greenhouses 1 (GH1) and 2 (GH2), the LiDAR sensor was mounted on a mobile platform that was manually pulled at a constant average speed ( $0.06 \text{ m}\cdot\text{s}^{-1} \pm 0.009$ ) to make it slide along an aluminum rail of 2.4 m long mounted on trestles (Fig. 3b). At greenhouse 3 (GH3), the LiDAR sensor was mounted on an autonomous spraying platform described in Balsari *et al.* [41] (Fig. 3c). This platform was moved by an electric engine and commanded by remote radio control. In both cases data acquiring laptop was mounted on the platform to ease the wiring connections.

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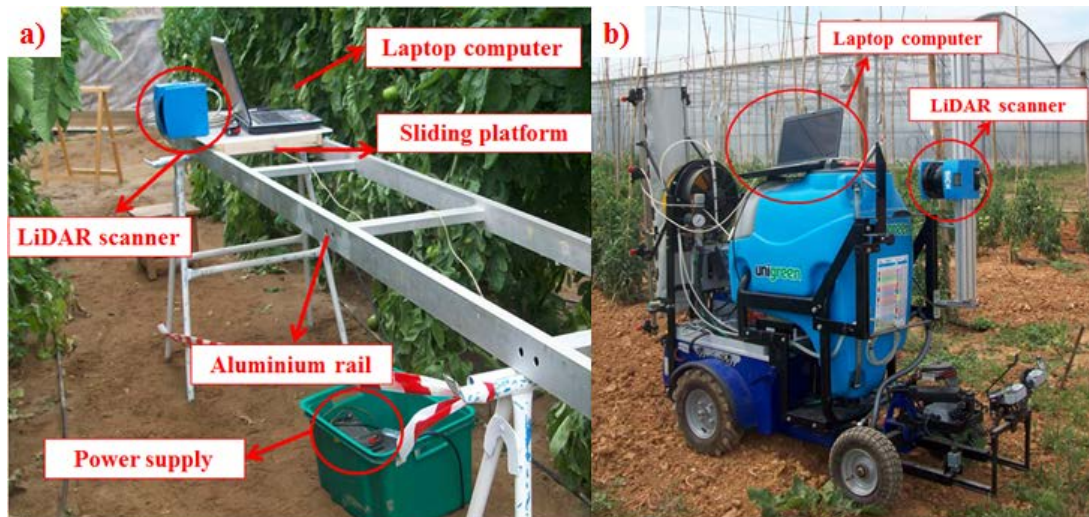
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Due to mobility of the autonomous platform in the GH3, it was possible to scan the whole tomato row (23.4m long) by both sides of the canopy with 3 replications. These measurements enables to obtain information of the canopy variation in the row. .





**Figure 3.** (a) Fixed structure of the LiDAR support system for measurements in greenhouses 1 and 2. (b) LiDAR scanner mounted on a radio control mobile platform for measurements in greenhouse 3.

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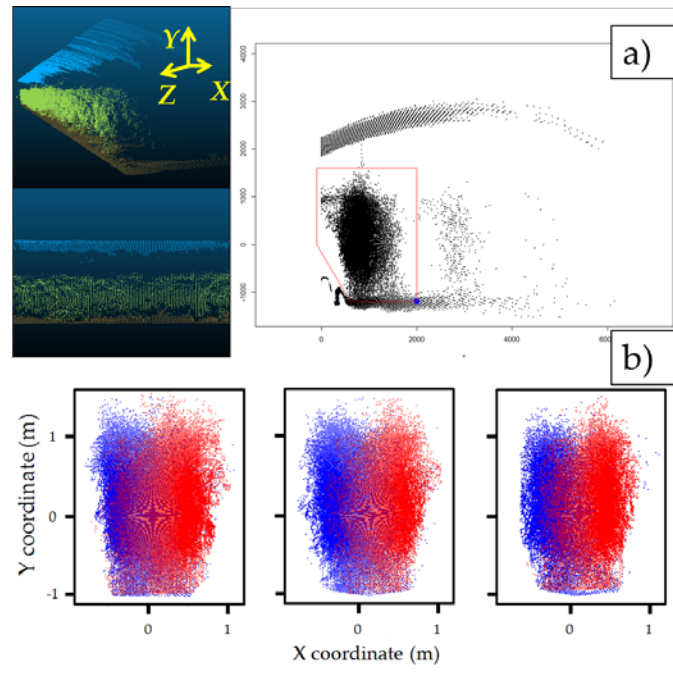
### 2.3.1. Data processing

Data from LiDAR sensor was obtained in polar coordinates (each point has an angle direction and distance response). To manage the information, it was needed to convert raw data to XYZ coordinates with R-software® (3.0.2) (R Development Core Team, 2013) where X axis corresponds to the width of the plant, Y axis is the height of the plant and Z axis is the length of the row (Fig. 4a).

Due to the fact that two different structures were used to carry the LiDAR sensor to take the measurements there could be differences in the analyzed values. Furthermore, the forward speed of the sensor was irregular among different replications (coefficient of variation 16.8%) in the case of the fixed structure as it was manually driven. Therefore, all the data were normalized by taking into account the forward speed of the mobile sensor and the scanning frequency (Hz). This speed was calculated in the analysis process as the data acquisition system registers the time elapsed since the beginning of the data recording, and taking into account that the LiDAR track's length was known.

Once the data were appropriately normalized, results were imported in the CloudCompare® software in order to see the LiDAR points cloud in 3D and to check that there were no problems or irregularities in the data acquisition process or in the data normalization. As the LiDAR sensor does not only scan the plants, but the greenhouse's top and ground and the sensor support system as well, it was necessary to define and select the points that belong to the canopy from the others. This process was arranged for each scanning file (by side) by observing the point cloud from a Z axis with orthographic projection and determining some border points by setting one of known coordinates and obtaining the rest from the first (Fig. 4a). After that process, both sides of scanned plants were manually aligned and positioned to define the whole canopy structure (Fig. 4b)

After this first approach, it was necessary to delimitate the points to each one of the two paired plants (Fig. 4b). This process was carried out manually by determining the center between them, which was assigned as (0,0) coordinate.



**Figure 4.** (a) LiDAR points cloud from one side in CloudCompare® software with coordinate system and canopy delimitation procedure. (b) Plant delimitation process from twin plants (three replications).

At this stage, it was possible to obtain or calculate different parameters from the LiDAR points cloud, as the canopy height,  $H_L$  and width,  $W_L$ , the number of points on the target, IMP, and the canopy volume,  $V_L$ .

To calculate  $H_L$ , the difference between the highest and lowest points in each LiDAR slice (Fig. 4a), i.e., the maximum length in Y axis for each LiDAR profile, was determined.  $H_L$  was then calculated as the 95% of the maximum value among all that were determined previously. This 95% value was chosen to filter possible unusual profiles or data errors that could affect the reliability of the measurement.  $W_L$  is calculated by determining the half of the total width, measured on X axis, of each two paired plants. Once this distance was known,  $W_L$  was obtained as the 95% of the value, for the aforementioned reasons.

IMP parameter was determined as the number of LiDAR beams that impacted on the canopy per row length unit (impacts  $\cdot m^{-1}$ ). This parameter was included in the analysis process due to its significant correlation with manually measured LAI values in a previous research performed in vineyard [20]

In order to obtain the canopy volume per single plant,  $V_L$ , the methodology described in Xu *et al.* [41] and in Miranda-Fuentes *et al.* [40] was applied. This methodology is based on dividing the point cloud corresponding to the whole canopy in horizontal slices of a certain height,  $\Delta h$ . Next, all the points belonging to the same slice are projected on the same horizontal plane. Then, their external perimeter is delimited by using the Convex hull algorithm [43], and its inner area,  $A_i$ , determined. The volume of each slice,  $V_L$ , can be calculated as its internal area,  $A_i$ , by its height,  $\Delta h$ . The total volume of the plant is, therefore, calculated as expressed in Eq. 1.

$$V_L = \sum_{i=1}^n A_i \times \Delta h, \quad (1)$$

where n is the number of horizontal slices,  $V_L$  is expressed in  $m^3$ ,  $A_i$  in  $m^2$  and  $\Delta h$  in m.

236 As it is evident, the lower the  $\Delta h$ , the higher the vertical resolution of the method. In some  
 237 works,  $\Delta h$  values of 0.001 m have been used [42]. Nevertheless, values of 1 cm showed to be accurate  
 238 enough in previous studies [40] and accelerate the calculation process. Therefore,  $\Delta h$  value for the  
 239 present study was 0.01 m.

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241 *2.3. Statistical analysis.*

242 The statistical analysis adopted was a linear correlation between all measured and calculated  
 243 parameters, using statistical R-Software® (3.0.2) (R Development Core Team, 2013) with the  
 244 *Agricolae* package. The data analysis related all the measured and calculated results to identify the  
 245 most significant and interesting correlations between them, always considering the manually  
 246 measured parameters as a reference.

247 A Shapiro-Wilk test ( $p > 0.05$ ) [44, 45] and a visual inspection of the data histograms, normal  
 248 Q-Q plots and box plots were performed to ensure that the data were normally distributed for all the  
 249 cases. The interest of the linear correlations between the parameters obtained from the manual  
 250 characterization,  $H_M$ ,  $W_M$ , LAI, TRV, LAD and LWA, and those obtained from the LiDAR scanning  
 251 of plants.  $H_L$ ,  $W_L$ ,  $V_L$  and IMP was evaluated with the correlation p-values and their determination  
 252 coefficients ( $R^2$ ).

253 **3. Results**254 *3.1. Parameters from the canopy characterization*

255 A resume of those parameters obtained from the characterization can be found in Table 2.

256 **Table 2.** Geometrical and density values for all the measured and calculated parameters.

Parameter		Greenhouse ID			
		1	2	3	
Manual characterization	Manual height	$H_M$ (m)	$2.19 \pm 0.02$	$2.50 \pm 0.02$	$1.96 \pm 0.04$
	Manual width	$W_M$ (m)	$0.62 \pm 0.02$	$0.43 \pm 0.04$	$0.53 \pm 0.01$
	Tree Row Volume	TRV ( $m^3 ha^{-1}$ )	$10882 \pm 397$	$7711 \pm 212$	$10397 \pm 252$
	Leaf Wall Area	LWA ( $m^2 ha^{-1}$ )	$35111 \pm 360$	$35683 \pm 290$	$39170 \pm 755$
	Leaf Area Density	LAD ( $m^2 m^{-3}$ )	$5.81 \pm 0.28$	$3.15 \pm 0.15$	$5.30 \pm 0.19$
Electronic characterization	LiDAR Height	$H_L$ (m)	$1.90 \pm 0.07$	$2.12 \pm 0.01$	$1.93 \pm 0.03$
	LiDAR Width	$W_L$ (m)	$0.71 \pm 0.02$	$0.64 \pm 0.02$	$0.59 \pm 0.03$
	LiDAR volume	$V_L$ ( $m^3$ )	$1.13 \pm 0.07$	$1.32 \pm 0.03$	$2.42 \pm 0.12$

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258 As it can be seen, the three fields of study had canopies of similar height characteristics. The  
 259 maximum height of the plants grown is determined by the structure of the greenhouse instead of the  
 260 growth of the plant, in which the stems are fixed to the greenhouse structure when they grow up to  
 261 that level, continuing the growing process downwards, towards the ground. The canopy width is  
 262 quite different overall in GH2, which presents also a low value of LAD. It is necessary to remember  
 263 that width values were measured from the center between the two paired plants to the edge of each  
 264 plant. These two parameters were very constant in all the studied fields, and specially the height.



265 The lowest value of TRV is found in GH2 (7771 m<sup>3</sup>·ha<sup>-1</sup>) that is strongly different from GH1 and  
 266 GH3 (10882 and 10397 m<sup>3</sup>·ha<sup>-1</sup> respectively). This differences can be explained by the difference in  
 267 canopy width measured. Therefore the LWA did not follow the same trend that the TRV, having its  
 268 maximum value in GH3, with 39170 m<sup>2</sup> LWA ha<sup>-1</sup> and very similar values in GH1 and GH2.

269 The LAD was the lowest for GH2 (3.15 m<sup>2</sup> m<sup>-3</sup>), and very similar for the other two fields (5.81  
 270 and 5.30 m<sup>2</sup> m<sup>-3</sup> for GH1 and GH3, respectively).

271 As to the electronically measured parameters, the LiDAR height, H<sub>L</sub> showed to be, in general,  
 272 lower than the manually measured, H<sub>M</sub>, with a mean value 12.12% lower. Nevertheless, the H<sub>L</sub>  
 273 parameter followed a similar trend than the H<sub>M</sub>, with the maximum height being measured in GH2.  
 274 The canopy width, on the other hand, was over-estimated by the scanner, but this occurred mainly  
 275 in the case of GH2, in which the electronic method resulted in a 48% increment on the canopy width  
 276 with respect to the manual measurements.

277 As to the Standard Errors (SE) in the measurements, they are in general low, being below 10%  
 278 in all the cases and in most of them below 1%. By comparing the SE obtained for all the parameters  
 279 in the three GHs, they result very similar in the geometrical measurements, and slightly higher for  
 280 the case of the LAD parameter, what is normal if taking into account the variability of this parameter  
 281 along the canopy.

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283 *3.2. Correlations among parameters obtained with manual and electronic methodologies.*

284 Table 3 shows the determination coefficients (R<sup>2</sup>) for all the paired linear correlations among all  
 285 the parameters related to the canopy volume and density.

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287 **Table 3.** All possible comparisons among all the measured and calculated parameters related to  
 288 the canopy volume and density.

		Manual measurements						LiDAR measurements			
		H <sub>M</sub> (m)	W <sub>M</sub> (m)	LAI (m <sup>2</sup> m <sup>-2</sup> )	TRV (m <sup>3</sup> ha <sup>-1</sup> )	LWA (m <sup>2</sup> ha <sup>-1</sup> )	LAD (m <sup>2</sup> m <sup>-3</sup> )	IMP (m <sup>-1</sup> )	H <sub>L</sub> (m)	W <sub>L</sub> (m)	V <sub>L</sub> (m <sup>3</sup> )
Manual	H <sub>M</sub> (m)	1	0.29**	0.60**	0.50**	0.21*	0.53**	0.20*	0.59**	0.003	0.69**
	W <sub>M</sub> (m)		1	0.70**	0.86**	0.01	0.65**	0.20*	0.52**	0.10	0.16
	LAI (m <sup>2</sup> m <sup>-2</sup> )			1	0.89**	0.02	0.97**	0.01	0.52**	0.01	0.36**
	TRV (m <sup>3</sup> ha <sup>-1</sup> )				1	0.08	0.79**	0.03	0.46**	0.01	0.37**
	LWA (m <sup>2</sup> ha <sup>-1</sup> )					1	0.01	0.51**	0.004	0.29**	0.33**
	LAD (m <sup>2</sup> m <sup>-3</sup> )						1	0.01	0.47**	0.01	0.32**
LiDAR	IMP (m <sup>-1</sup> )						1	0.0001	0.17	0.27*	
	H <sub>L</sub> (m)							1	0.10	0.31**	
	W <sub>L</sub> (m)								1	0.03	
	V <sub>L</sub> (m <sup>3</sup> )									1	

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290 Considering the height (H<sub>L</sub>) parameter obtained with the LiDAR it has been significantly  
 291 correlated with the manually measured height, H<sub>M</sub> (R<sup>2</sup> = 0.59), with the manual width, W<sub>M</sub> (R<sup>2</sup> = 0.52)  
 292 and with TRV measured value (R<sup>2</sup> = 0.46). Nevertheless, there is no correlation between H<sub>L</sub> and LWA  
 293 (R<sup>2</sup> = 0.004). This could be due to the fact that this parameter was not proportional to the canopy  
 294 height in the three GHs, being the maximum in GH3 even when the maximum height was found in  
 295 GH2 (Table 2).

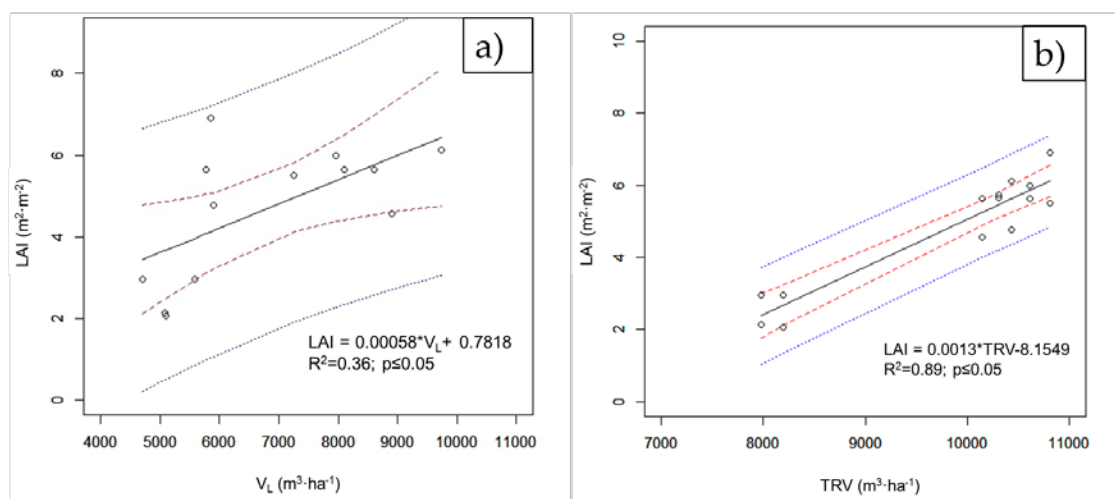
296 The LiDAR width,  $W_L$ , on the other hand, it was only significantly correlated with the LWA,  
 297 even LWA calculation is not affected by the canopy width this correlation presented shows the  
 298 importance of the width in this type of crops where the height is limited by the greenhouse structure.

299 The LiDAR volume,  $V_L$ , seems to be the most reliable parameter to estimate the canopy  
 300 geometrical characteristics, as it is significantly correlated with  $H_M$ , TRV and LWA, with  
 301 determination coefficients of 0.69, 0.37 and 0.33, respectively. As it can be seen, determination  
 302 coefficients for TRV and LWA are very similar, and for being statistically reliable, this parameter  
 303 could be the most complete for the estimation of those two parameters.

304 All the correlations between canopy density parameters, LAI and LAD, and the other  
 305 parameters are included in Table 3. As it can be seen, there are interesting correlations among some  
 306 manually measured geometrical parameters, like  $H_M$  and  $W_M$ , with the canopy density. In fact, both  
 307 parameters are significantly related to the LAI ( $R^2 = 0.60$  and  $R^2 = 0.70$  for  $H_M$  and  $W_M$ , respectively),  
 308 and to the LAD ( $R^2 = 0.53$  and  $R^2 = 0.65$  for  $H_M$  and  $W_M$ , respectively), what is not surprising as both  
 309 density parameters are closely related. TRV values are highly correlated to LAI and LAD values,  
 310 with determination coefficients of  $R^2 = 0.89$  and  $R^2 = 0.79$ , respectively. LWA values, on the other  
 311 hand, showed to not to be appropriate estimators of the leaf density, showing no significant  
 312 correlations. The IMP parameter, expressed as the number of LiDAR impacts per length unit and  
 313 that gave strong correlations with the leaf density parameter in previous works, showed to not to be  
 314 accurate for predicting LAI or LAD values in tomato plants. More tests needs to be arranged in order  
 315 to identify the reason of this difference.

316 Figure 5 shows the correlations between LAI and  $V_L$  (Fig. 5a) and between LAI and TRV (Fig.  
 317 5b). As it can be seen, the TRV values are well aligned with those of LAI.  $V_L$ , on the other hand, have  
 318 a lower determination coefficient,  $R^2 = 0.36$ .

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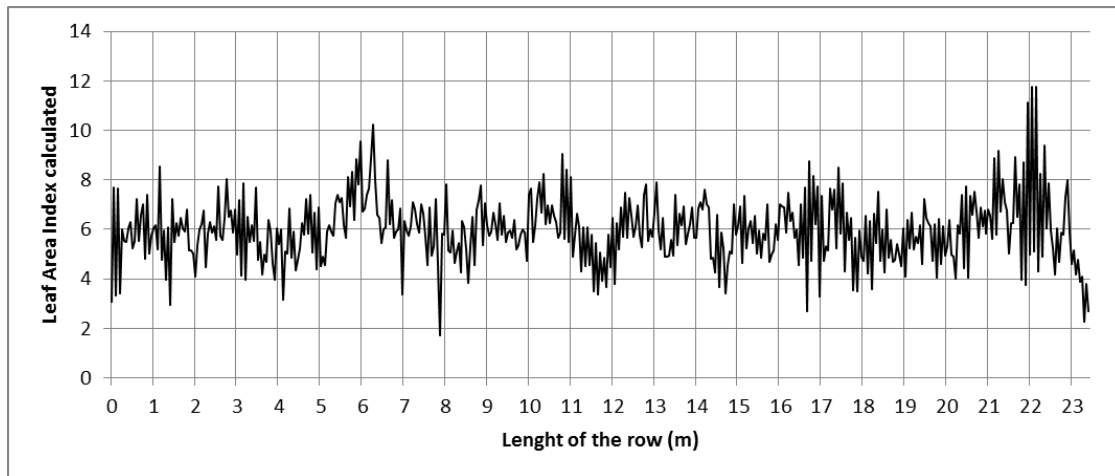
**Figure 5.** Linear correlations between (a) LAI and  $V_L$ , and (b) LAI and TRV

### 324 3.3. Canopy characterization along a row from the LiDAR scanner measurements.

325 By means of the mobile platform it was possible to scan the whole row from the two sides. As  
 326 an example of the variation of the vegetation along the row, LAI parameter was used. This  
 327 estimation was based on the  $V_L$ , as it showed to be the most accurate for having the maximum

328 determination coefficient among the studied parameters. The calculated variation of the LAI  
 329 parameter in GH3 is represented in Figure 6. In this graphic the variation of the LAI value is  
 330 calculated every 10 cm.

331



332

333

**Figure 6.** Calculated LAI variation along the scanned row in GH3.

334

335 Even though the variation range keeps relatively constant along the row, the continuous  
 336 changes in the canopy reflect the important variation in LAI. Values usually range from 3 to 9, with  
 337 exceptions like those found for the Z positions 6 m, 8 m and 22 m. The variation rate, calculated as  
 338 the times that LAI varies in a value above 10% per linear meter, has a mean value of  $10 \text{ m}^{-1}$ . As it can  
 339 be seen, values observed in the Figure 6 are in line with the LAI mean value shown in Table 2 (5.9). It  
 340 is not the case of the Standard Error, which was found to be very small in the manual measurements  
 341 (0.17).

#### 342 4. Discussion

343 A 2D LiDAR scanner was used to electronically obtain canopy parameters related to the canopy  
 344 volume and density in a very difficult crop because of its structure. By looking at the general results  
 345 in Table 2, LiDAR values for geometrical characteristics, such as height and width, differs on those  
 346 obtained with manual measurements that were overestimated. This circumstance was also  
 347 observed in previous works with this sensor [20, 40]. In the case of the plant height, it is related to the  
 348 way of taking manual measurements, in which one operator stands with a topographic milestone  
 349 and other, at a certain distance, must take the measurements by observing the top part of the plants.  
 350 As this height is important ( $>2\text{m}$ ) and the row spacing is narrow (2 - 2.8 m), the operator might have  
 351 good skills in read the height value, reading instead its conical projection. In the case of the width,  
 352 the most external points are taken and, therefore, the measured width for each section is not the  
 353 mean, but the maximum.

354 It is very noticeable the fact that mean LWA values for the three GHs are not coincident with the  
 355  $H_M$  values, having the maximum mean value in GH3 rather than in GH2, which is the one with the  
 356 highest mean  $H_M$  value. At this point the row spacing has more weight on LWA calculation rather  
 357 than the canopy height. The TRV values, on the other hand, presents similar behavior related to the  
 358 height and width values variation. In this particular case, data obtained shows that

359 Due to the height of the canopy is constant (because the structure of the greenhouse) and the  
360 row spacing is also determined by the farmer and conditioned by the greenhouse structure, the only  
361 parameter that change is the canopy width. For this reason, in this particular case presented on this  
362 research, the TRV method seem to be more suitable to determine the amount of canopy instead of  
363 LWA, that is mainly affected by the row spacing.

364 In tomato crop produced in greenhouses the evolution of the LAI is linked to the height of the  
365 plant until the plant reaches the top of the greenhouse structure, where the expression of the canopy  
366 increases through the width. In this sense, the TRV seems more suitable to describe the vegetation as  
367 a result of gives more information across the canopy width rather than LWA, where in this  
368 particular case, is most affected by row spacing than canopy height.

369 In the case of estimating the canopy volumes, given by its TRV, it could be said that the LiDAR  
370 methodology is very accurate, for having high determination coefficients, especially when speaking  
371 about  $H_L$  and  $V_L$ . This fact was observed in other crops like vineyards [20], hedgerow fruit trees [46]  
372 and big-sized isolated trees, like citrus [47] or olive [40]. Spray application based on the canopy  
373 volume has shown to be accurate enough to be considered a first step in the process of the dose  
374 adjustment even for very complex canopy structures [11, 48], and it is in this point where lies the  
375 importance of having accurate estimating parameters that allow the farmers or technicians to have a  
376 very simple criterion to adjust the sprayed volumes, what can be easily done by performing a  
377 canopy volume map or by having a sensor operating real time and automatically adjusting the  
378 spraying parameters [49].

379 As to the canopy density, its importance has been deeply suggested by different authors to  
380 modify the spray volume calculated with volume-based dosing methods [16, 18, 50]. This parameter  
381 can be automatically estimated with the LiDAR scanner, as shown by the significance of the  
382 correlations between LAI and  $H_L$  and between LAI and  $V_L$ . These results are in line with those found  
383 in other studies [51] and have a very important repercussion at the time of automatically adjusting  
384 the spray dose, as an estimation of the canopy density can be added to the volume estimator for a  
385 real-time adjustment of the spray dose, like other authors implemented in other crops [50, 52]. It was  
386 surprising for the research team that the LiDAR point number per row length unit was not  
387 correlated with canopy density. This fact can have an explanation in the paired planting system, that  
388 only allows the laser to scan one plant side and, therefore, did not allow the researchers to properly  
389 study the correlation between the LiDAR points and the individual plant's LAD. In further studies,  
390 this parameter should be studied from a top perspective in addition to the normal side perspective  
391 to check the validity of this parameter.

392 Speaking about the canopy variation along the row, the LiDAR scanner showed to be a strong  
393 methodology to properly characterize all the longitudinal variations in this parameter, and taking  
394 into account that it can vary 10 times per meter, as a mean value, manual methods could not afford  
395 to manage this high variability. In this sense, the research on mapping methodologies has been very  
396 important in last years [30] and further research is necessary to adapt these to the particular case of  
397 paired plantation systems in greenhouse tomato crops. The optimal spray volumes should be  
398 adjusted to the canopy volume and density as well to transform these volume or density maps in  
399 spray volume maps, in order to optimize the spray application process.

400 Terrestrial 2D-LiDAR sensors can be the most appropriate alternative to characterize the  
401 canopy structure for the high accuracy at estimating the canopy volume and density and for their

402 longitudinal resolution, that makes possible have it as a tool to support decisions to adjust the liquid  
403 flow rate at a very specific level, allowing the farmers to have their plants protected in an optimum  
404 way by preserving them from unnecessary pesticide wastes that affect the environment and their  
405 production costs.

406

## 407 5. Conclusions

408 The canopy characterization with a terrestrial 2D LiDAR scanner was performed in a  
409 paired-plantation system in three greenhouse tomato crops and its accuracy was compared with  
410 manual characterization methods. The following conclusions can be drawn:

- 411 • The LiDAR scanner measurements for the shape parameters of the canopy are highly  
412 correlated with the manual measurements. LiDAR generates a certain underestimation  
413 of manual values but it can be due to its higher accuracy and the manual methodology  
414 limitations.
  - 415 • Volume parameters, like TRV and LWA can be estimated with the laser scanner with a  
416 high statistical significance and high determination coefficients. This point is very  
417 important to fit to the new proposals towards dose harmonization according to these  
418 parameters in the European Union to ensure the best dose rate adjustments.
  - 419 • Leaf area index can be estimated by the sensor from the calculated height or volume,  
420 but not from the impact number per hedgerow length unit, as expected. Further  
421 improvements in the laser scanning process could improve this estimation.
  - 422 • Canopy variations along a single row are very important to determine the exact input  
423 needed in each part of the field and, therefore, manual methods could not fit for having  
424 a low longitudinal resolution. LiDAR scanners are able to adapt to this variability,  
425 being a very important alternative for the canopy density maps generation.
- 

426

427

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432

## 433 Author Contributions:

434 Jordi Llop and Emilio Gil conceived and designed the experiments; Jordi Llop, Jordi Llorens  
435 and Montserrat Gallart performed the experiments; Jordi Llop and Jordi Llorens analyzed the data;  
436 Jordi Llop, Emilio Gil and Antonio Miranda-Fuentes wrote the paper; Emilio Gil is the project  
437 manager.

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440 **Conflicts of Interest:** The authors declare no conflict of interest.

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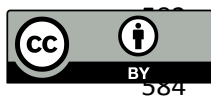


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**Table 1.** Main characteristics of the experimental fields.

Greenhouse ID	Location	Plant layout (row spacing x plant spacing) (m x m)	Crop
1	El Ejido (Almería)	2.5 x 0.4	<i>Solanum lycopersicum</i> L. cv. Velasco
2	El Ejido (Almería)	2.8 x 0.4	<i>Solanum lycopersicum</i> L. cv. Velasco
3	Viladecans (Barcelona)	2.0 x 0.4	<i>Solanum lycopersicum</i> L. cv. Barbastro

**Table 2.** Geometrical and density values for all the measured and calculated parameters.

Parameter	Greenhouse ID			
	1	2	3	
<b>Manual characterization</b>	<b>Manual height</b> $H_M$ (m)	2.19 ± 0.02	2.50 ± 0.02	1.96 ± 0.04
	<b>Manual width</b> $W_M$ (m)	0.62 ± 0.02	0.43 ± 0.04	0.53 ± 0.01
	<b>Tree Row Volume</b> TRV (m <sup>3</sup> ha <sup>-1</sup> )	10882 ± 397	7711 ± 212	10397 ± 252
	<b>Leaf Wall Area</b> LWA (m <sup>2</sup> ha <sup>-1</sup> )	35111 ± 360	35683 ± 290	39170 ± 755
	<b>Leaf Area Density</b> LAD (m <sup>2</sup> m <sup>-3</sup> )	5.81 ± 0.28	3.15 ± 0.15	5.30 ± 0.19
<b>Electronic characterization</b>	<b>LiDAR Height</b> $H_L$ (m)	1.90 ± 0.07	2.12 ± 0.01	1.93 ± 0.03
	<b>LiDAR Width</b> $W_L$ (m)	0.71 ± 0.02	0.64 ± 0.02	0.59 ± 0.03
	<b>LiDAR volume</b> $V_L$ (m <sup>3</sup> )	1.13 ± 0.07	1.32 ± 0.03	2.42 ± 0.12

586

587

**Table 3.** All possible comparisons among all the measured and calculated parameters related to the canopy volume and density.

		Manual measurements						LiDAR measurements			
		H <sub>M</sub>	W <sub>M</sub>	LAI	TRV	LWA	LAD	IMP	H <sub>L</sub>	W <sub>L</sub>	V <sub>L</sub>
		(m)	(m)	(m <sup>2</sup> m <sup>-2</sup> )	(m <sup>3</sup> ha <sup>-1</sup> )	(m <sup>2</sup> ha <sup>-1</sup> )	(m <sup>2</sup> m <sup>-3</sup> )	(m <sup>-1</sup> )	(m)	(m)	(m <sup>3</sup> )
Manual	H <sub>M</sub> (m)	1	0.29**	0.60**	0.50**	0.21*	0.53**	0.20*	0.59**	0.003	0.69**
	W <sub>M</sub> (m)		1	0.70**	0.86**	0.01	0.65**	0.20*	0.52**	0.10	0.16
	LAI (m <sup>2</sup> m <sup>-2</sup> )			1	0.89**	0.02	0.97**	0.01	0.52**	0.01	0.36**
	TRV (m <sup>3</sup> ha <sup>-1</sup> )				1	0.08	0.79**	0.03	0.46**	0.01	0.37**
	LWA (m <sup>2</sup> ha <sup>-1</sup> )					1	0.01	0.51**	0.004	0.29**	0.33**
	LAD (m <sup>2</sup> m <sup>-3</sup> )						1	0.01	0.47**	0.01	0.32**
LiDAR	IMP (m <sup>-1</sup> )							1	0.0001	0.17	0.27*
	H <sub>L</sub> (m)								1	0.10	0.31**
	W <sub>L</sub> (m)									1	0.03
	V <sub>L</sub> (m <sup>3</sup> )										1

Selection criteria: \* interesting relationship; \*\* good correlation is expected

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590 **Figure captions**

591 **Figure 1.** (a) Twin plantation system; (b) Plant disposition inside the greenhouse, with row spacing,  
592 *rs*, plant spacing in a row, *ps*, and twin plant spacing, *tps*.

593 **Figure 2.** Measured parameters for the manual canopy characterization and LiDAR scanner  
594 disposition.

595 **Figure 3.** (a) Fixed structure of the LiDAR support system for measurements in greenhouses 1 and 2. (b)  
596 LiDAR scanner mounted on a radio control mobile platform for measurements in greenhouse 3.

597  
598 **Figure 4.** (a) LiDAR points cloud in CloudCompare ® software with coordinate system and canopy  
599 delimitation procedure. (b) Plant delimitation process (three cases).

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601 **Figure 5.** Linear correlations between (a) LAI and VL, and (b) LAI and TRV

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603 **Figure 6.** Calculated LAI variation along the scanned row in GH3.

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