THE VELOX TRANSACTIONAL MEMORY STACK

The transactional memory programming paradigm could become the coordination methodology of choice for actual and future multicore and many-core architectures. The transactional memory support spans a complete software and hardware stack, including programming language and hardware support, runtime and libraries, compilers, and application environments. The VELOX project has developed such a comprehensive transactional memory stack.

The use of multicore processors, in which cores operate in parallel, each supporting multiple threads, makes the case for a broader use of parallel programming. The success of multicore architectures depends on software programmers’ ability to harness parallelism within their software.

Traditionally, locks have served as the common coordination mechanism for concurrent programming. Unfortunately, lock-based programming is not a perfect solution. Coarse-grained locking is easy to program but scales poorly with the number of cores because of limited parallelism. Programs that use fine-grained locks can perform exceptionally well, but designing them is a difficult task better left to experts. Finally, even when programmed optimally for a given architecture, lock-based code might not scale when moved to machines with a different memory layout or more cores. We therefore need a new approach to multicore programming that retains scalability while preserving the ease of programming with coarse-grained locks.

Transactional memory could become the paradigm of choice for replacing or complementing locks in multicore programming. Transactional memory simplifies the parallelization of existing single-threaded code by eliminating the need to explicitly write fine-grained lock-based code. Programmers simply write code in which methods or blocks of code accessing shared data are declared high-level transactions, typically using transaction block language constructs. The synchronization and coordination details are left to the underlying transactional memory mechanisms.

Transactional memory executes in hardware, software, or as a combination of the two. Because transaction block constructs are typically provided at the programming-language level, transactional memory support spans the complete computer stack, from applications to hardware, encompassing language extensions, compilers, libraries, transactional memory runtime, and operating system. The VELOX project (http://www.velox-project.eu) aims at building such an integrated stack for transactional memory.
A new paradigm for concurrent programming

Transactions let developers indicate that code sections must execute atomically—that is, as if they were run in isolation from the rest of the code. Transactional memory is a speculative execution mechanism in which accesses to shared objects can run simultaneously. In case of conflicting accesses, detected at runtime, one or several transactions might have to roll back and restart their execution. In other words, transactions execute optimistically in parallel and, as long as conflicts are rare, the performance gains resulting from the higher concurrency dominate the overheads introduced by transactional execution.

To illustrate why transactions are attractive from a software engineering perspective, consider the problem of constructing a scalable concurrent first-in, first-out (FIFO) queue that lets several threads enqueue items at the tail of the queue while other threads can dequeue items from the head of the queue, at least when the queue is not empty. Any problem so easy to state, and that arises so naturally in practice, should have an easily devised, understandable, and efficient solution. However, solving this problem with locks is quite difficult. In 1996, Michael and Scott published a clever but subtle solution.1 The fact that solutions to such simple problems are considered difficult enough to be publishable results speaks poorly for fine-grained locking as the mainstream programming paradigm for the multicore era.

By contrast, it is almost trivial to solve this problem using transactions (see Figure 1). The solution consists of no more than placing the methods of a sequential queue implementation in a transactional block (**transaction**). In practice, of course, a complete implementation would include more details, but even so, this concurrent queue implementation by itself is simple. Moreover, there is room for optimism: a FIFO queue implemented using a software transactional memory can deliver the same performance as Michael and Scott’s handcrafted fine-grained lock-based algorithm.

The Velox transactional memory stack at a glance

As Figure 2 illustrates, the Velox transactional memory stack consists of several basic components. Language extensions and APIs are the most visible aspects of transactional memory for programmers. Although programmers could add transactional memory support to applications using explicit library calls or

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### The Velox Project Team Members

The Velox consortium includes top research and system-integration organizations and transactional memory experts with nonoverlapping skills in the areas of computer architecture, operating systems, compiler and runtime systems, programming language design, programming models, and application and benchmark design. In addition to contact authors Pascal Felber and Etienne Rivière from the University of Neuchâtel, members of the Velox team are:

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```java
Class Queue {
    QNode head;
    QNode tail;
    public eng(Object x) {
        **transaction**
        QNode q = new QNode(x);
        q.next = head;
        head = q;
    }
}
```

Figure 1. Constructing a scalable concurrent first-in, first-out (FIFO) queue using transactions simply involves placing each method into its own atomic block.
declarative mechanisms (such as annotations), such an approach is not satisfactory for large systems. It relies on coding conventions, can lead to intricate code, and is often error prone. On the other end of the spectrum, automated source or binary code instrumentation work on simple examples, but are difficult to extend to realistic code. Adding new language constructs with well-defined semantics is the soundest approach for importing transactional memory support into existing languages.

Compiler support is necessary for implementing an efficient transactional memory stack in a way that is transparent to the programmer. For instance, it lets us identify transactional load and store operations on shared data and map them to the underlying transactional memory without requiring the programmer to explicitly mark these operations. Moreover, the compiler support lets us propose transactional memory-specific optimizations that improve the transactional code’s performance and reduce the overheads of transactional memory accesses.

To better exploit transactional memory, system libraries must be adapted to execute speculatively inside transactions despite performing potentially unsafe operations (for example, I/O). Where applicable, a developer can also replace locks with transactions within libraries for better performance.

The transactional memory runtime is the transactional memory integrated stack’s central component. It implements the transactional memory’s synchronization logic. The VeloX project proposes several such libraries. A first class uses software transactional memory (STM) only; a second uses a mix of software-based transaction support with specific hardware extensions for scalability and efficiency; and a third relies on a pure hardware approach in which the transactional memory mechanisms are completely implemented in hardware (HTM).

Operating system extensions can help improve transactional memory’s performance for some tasks relating to the system as a whole (scheduling, for example), in particular because transactional workloads coexist with traditional workloads. A typical example in the context of the VeloX stack is the support of transactional memory-aware scheduling in the Linux kernel to avoid preempting threads inside transactions and to serialize conflicting transactions on the same core.

Finally, the complete stack builds upon a hardware platform that provides the necessary support for designing efficient transactional memory implementations. We use synchronization facilities such as Advanced Micro Devices’ Advanced Synchronization Facility (ASF) to support much of the application’s transactional execution in hardware, and rely on software for unsupported transactions. Another approach is to design processors that fully support transactional memory in hardware.

Other issues related to the VeloX stack’s design and implementation include transactional models (for example, elastic transactions), optimization strategies, scheduling algorithms, contention management, and progress and safety guarantees.
Language-level transactional memory constructs

Programmers have used transactional memory in the form of STM libraries for some time. However, when using these libraries, they must explicitly inject calls to the transactional memory libraries in their code (for example, for accessing shared memory locations). This method has severe disadvantages:

- It is harder to program and understand and is error-prone (for example, it’s easy to forget to annotate a memory access).
- The compiler does not get insight into a transaction’s actions and access patterns. The library cannot perform important optimizations such as detecting which data are shared or thread-private. In contrast, compilers can perform many transaction optimizations at compilation time.
- Close interaction between transactional memory and compiler-generated code such as exception handling is difficult to impossible.

Therefore, we have developed programming language extensions. For the VELOX project, we concentrate primarily on C/C++ and Java.

For both C and C++, the main extension is a construct to declare a transaction. In C, this is achieved with the __tm__ atomic keyword, whereas C++ uses the _transaction keyword followed by a C++-x-style attribute, as documented in a draft specification (http://software.intel.com/file/21569). One can use this construct to introduce a transaction statement (that is, a block or expression). All memory accesses inside the lexical scope of the block or expression will be performed using the transactional memory library, unless they are proven to not conflict with any other thread’s operations.

Figure 3 shows some of the C++ extensions. At the top, two declarations with attributes indicate whether the functions are transactional memory safe (that is, safe to be used in transactions). The following lines declare a transaction. The two declared functions are called within the transaction and then, depending on a condition, an exception might be thrown that cancels the transaction at the same time. This short sequence also shows how legacy code can be used. The do_io function is unsafe for use within transactions. The only prerequisite for using unsafe functions is that the transaction is marked with the [[relaxed]] attribute (which lets the compiler use a weaker kind of isolation).

The second noteworthy aspect of the work on the transactional memory language constructs is the integration of exception handling and transaction cancellation. Thrown exceptions can be declared to cancel the transaction (as in our example) or they propagate normally as in nontransactional code.

The resulting binary files use functions in a transactional memory runtime library. The ABI was developed to be compatible with other compilers and runtime from other interested parties in the industry (http://software.intel.com/en-us/articles/intel-c-stm-compiler-prototype-edition/#ABI). The goal is to avoid compatibility problems between different compilers and transactional-memory runtime implementations. The functions provided by the transactional-memory runtime enable optimizations that the compiler can implement, such as advanced read-set and write-set manipulations. The compiler can generate several variants of a transaction’s code that will use different implementation variants in
the transactional memory library (for example, STM or HTM). At runtime, the transactional memory library chooses the implementation to be used to execute the transaction.

The C and C++ implementations share the transactional memory runtime ABI. We optimized the ABI for Linux and for x86/x86-64 architectures by reducing the overhead of the various calls and providing fast access to the thread-specific metadata required by most transactional memory runtime libraries. The VELOX stack implements transactional C/C++ extensions directly in the popular GNU Compiler Collection, as well as in the experimental Dresden transactional memory compiler (DTMC).3

On the Java side, we support transactions by adding an atomic block construct to declare transactions and a few extra keywords to handle operations such as explicit abort and retry. The VELOX project provides a transactional compiler, TMJava (http://www.tmware.org/tmjava), which processes Java source code with transactional constructs and generates pure Java classes that will subsequently be instrumented by the Deuce6 framework to produce a transactional application.

**Transactional memory runtime**

We developed transactional memory runtimes for the two families of languages supported by the VELOX stack. For C/C++, we implemented a software-only and a hybrid runtime. For Java, our runtime executes on top of the Java virtual machine and is thus purely software.

**TinySTM: An STM runtime**

TinySTM is a lightweight and efficient STM implementation developed as the reference C/C++ transactional memory runtime of the VELOX stack.7 It is word-based (that is, it achieves conflict detection at the memory address level) and uses revocable locks to protect shared data from concurrent accesses. It uses a single-version variant of the lazy snapshot algorithm (LSA)7 and provides several operation modes—write-through or write-back updates with eager or lazy acquisition—as well as various contention managers. TinySTM is purely implemented in software and supports the standard transactional memory library ABI (http://software.intel.com/en-us/articles/intel-c-stm-compiler-prototype-edition/#ABI). It performs and scales well on many types of workloads.7

**ASF-TM: A hybrid transactional memory runtime**

HTM achieves better performance than STM but has limitations (such as capacity) that prevent it from supporting all workload types. It is therefore typically coupled with an STM for executing transactions that cannot run entirely in hardware. In the VELOX project, we implemented a hybrid variant of TinySTM that uses ASF3 as an HTM. As the simple example in Figure 4 shows, the transactional compiler transforms the original source code (Figure 4a) into an intermediate representation in which the __transaction block is replaced with appropriate calls to the transactional memory library to start (_ITM_beginTransaction) and to commit (_ITM_commitTransaction) a transaction (Figure 4b). It replaces reads (_ITM_R*) and writes (_ITM_W*) of shared data as well. We can link the resulting files against ABI-compatible transactional memory libraries.

The ASF-TM library implements the ABI by inserting the appropriate ASF instructions, as we describe later. Figure 4c shows the resulting code. Most operations are directly mapped to single ASF instructions. However, several features must be implemented by the ASF-TM software library. For example, if hardware transactions are aborted because of ASF capacity limitations, the library switches to a serial mode that executes one transaction at a time with no instrumentation. This software-only fallback mode is also used in other cases, such as to ensure that the transaction will eventually commit despite a high rate of conflict with other transactions.

Another challenge associated with ASF-based transactions is that they can be aborted at any time because of hardware conditions. Imagine, for instance, a hardware transaction that aborts while executing the malloc() function. This would leave the memory-management data structures in an
inconsistent state. Therefore, we developed variants that are robust to asynchronous aborts triggered by ASF for some functions.

**Deuce: A Java transactional memory runtime**

Deuce is an efficient open source Java framework that delivers full-featured transactional support to an existing application without changing its compiler or libraries. This framework has two components:

- a transactification tool that processes annotated Java bytecode at load time to insert transactions and instrument loads and stores, and
- an STM runtime that executes transactions using one of the backend algorithms (currently, Transactional Locking II and LSA).

Deuce’s original locking scheme detects conflicts at the level of individual fields, which provides finer granularity and better parallelism than object-based lock designs.

Deuce relies on Java annotations to specify transactions and so supports transaction blocks at the level of complete methods only. However, the TMJava front end compiles any Java source code with transactional constructs to generate the annotated bytecode that Deuce can use.

**Hardware support for transactional memory**

In general, HTM implementations are faster but are more difficult to design and verify than STMs. In the VELOX project, our aim has been to design transactional-memory hardware that remains simple yet offers high performance and scalability for key transactional memory applications. Meanwhile, VELOX STMs provide the ideal platform to test transactional memory extension ideas and to support common CPU architectures. These STMs must also provide good performance. We therefore exploit hardware-acceleration mechanisms provided by the processor, such as ASF, in the context of a hybrid transactional memory (HyTM).

**EazyHTM**

EazyHTM is a scalable HTM implementation with eager conflict detection. EazyHTM lazily defers conflict resolution until commit time, and thereby avoids the difficulty of determining the most appropriate transaction to abort. In this approach, each transaction tracks conflicts with concurrently running transactions. Then, when a transaction reaches its commit point, it knows exactly which transactions need to be aborted to maintain system consistency. After all conflicting transactions have terminated, the transaction reaching its commit point publishes speculatively written values. Tracking all conflicts that a transaction encounters during execution can be useful. One direct consequence of this conflict map is that all nonconflicting transactions can commit fully in parallel.

We designed the EazyHTM protocol with existing chip multiprocessors in mind. It builds on commonly used directory protocols without requiring extensive changes. For example, EazyHTM does not require...
splitting directories for parallelism or using a specific on-chip interconnection topology.

Advanced Synchronization Facility
ASF is an experimental AMD64 architecture-extension proposal for transactional programming and lock-free data structures. It comprises seven new instructions:
- /C15 speculate and commit demarcate transaction boundaries;
- /C15 abort rolls back a transaction voluntarily;
- /C15 the lock prefix annotates transactional memory accesses (MOV);
- /C15 watchr and watchw add addresses to the transaction’s read and write set; and
- /C15 release drops read-set addresses.

ASF’s design focuses on implementation simplicity while maintaining a versatile, broad use of the mechanism. For simplicity, ASF reuses the existing, unchanged coherence and multiprocessor-interconnect protocols. Furthermore, it automatically aborts transactions that exceed ASF’s limited capacity, are context switched, or are transitioning into the kernel.

Although ASF’s actual capacity and execution behavior are closely tied to microarchitectural features, the specification ensures several baseline properties, which designers deemed as easily implementable:
- a minimal capacity that allows lock-free use cases without capacity-overflow logic in software;
- strong isolation, which protects transactions from other transactions and nontransactional code;
- general eventual-forward-progress properties that free the applications from worrying about repeated aborts due to difficult-to-control microarchitectural conditions (such as translation look-aside buffer misses or other resource conflicts);
- early abort, which prevents orphan transactions from continuing execution using stale data values.

Because ASF supports reporting of exceptions from within the transaction to the operating system, it can effectively handle transient conditions, such as page faults, ensuring eventual progress of the transactions.

ASF’s remaining design choices—eager conflict detection, requestor-wins conflict resolution, and limited register checkpointing—follow the simplicity requirement.

VeloX evaluation of ASF
In the VeloX stack, ASF provides transactional memory support in the lowest layer. For its evaluation, we added several faithful, detailed implementations of ASF to a realistic out-of-order AMD64 processor simulator (PTLsim). The first implementation variant uses a new CPU data structure, the locked-line buffer (LLB), to monitor transactional memory addresses and keep backup copies of speculatively modified cache lines, which are restored if an abort occurs. We can configure the LLB to be of varying size; we evaluated eight- and 256-entry LLBs (LLB8 and LLB256).

The LLB is fully associative and thus is not susceptible to aborts due to associativity conflicts. However, it therefore has a limited capacity. Our second ASF implementation also uses the layer-1 (L1) data cache for read-set tracking. This implementation (LLB8-L1, LLB256-L1) can use the full L1 size as capacity for transactions, but the L1’s limited associativity can reduce capacity for unfavorable address layouts.

These simulator-based implementations contain many of the microarchitectural subtleties and resulting performance and semantic implications found on current microprocessors and expected for future high-performance microprocessors, giving us high confidence that ASF is implementable in modern out-of-order cores.

To make ASF available for transactional-memory workloads, we developed a compiler tool chain that can generate code using the new ASF instructions. We also added software logic to our transactional memory runtime to alleviate ASF’s hardware-induced limitations, such as limited capacity and system calls. In these unrecoverable and unretirable abort scenarios, we use a compiler-generated software fallback path that aborts all transactions and runs the transaction in serial mode.
Applications and performance

In the context of the VELOX project, we developed several applications to assess the transactional memory stack’s benefits and benchmarks to evaluate its performance. We classify these programs into three categories:

- application use cases that demonstrate the usability of STMs;
- unit tests and microbenchmarks; and
- highly tunable realistic application benchmarks.

We compile these programs using the VELOX C/C++ and Java transactional-memory compilers.

Application use cases

We developed two multithreaded versions of the Quake game server that exploit STM. Atomic Quake9 is derived from the parallel lock-based version of the Quake game server, in which atomic blocks replace all critical sections. This benchmark exhibits irregular parallelism, system calls, and error handling. Complex transactions include function calls, memory management, and nested transactions. To compare the programming effort with Atomic Quake, we created QuakeTM10 from Quake’s sequential version, keeping transactional memory-specific considerations in mind. Large atomic regions that put significant pressure on the underlying STM system characterize this application.

Among other C/C++ application use cases, we parallelized the Globulation2 real-time strategy game and developed a speculative stream-processing system. As for Java use cases, we implemented transactional memory-based Java beans for application servers.

Unit testing and microbenchmarking

To understand the performance and semantics of transactional memory runtimes, we designed TMunit,11 which provides a simple and expressive domain-specific language for transactional memory workloads. TMunit allows expressing workloads as thread specifications consisting of a series of transactions that comprise, in turn, a series of read/write operations. TMunit is especially useful for unit testing because it runs replayable deterministic schedules of read/write operations, but can also test the performance of transactional memory runtimes in pathological scenarios or search for unnecessary aborts12 as well as possible safety and liveness violations.

We also developed a set of transactional memory-based, lock-free, and lock-based concurrent data structures (hash table, linked list, skip list, and so on) to stress test the VELOX stack and identify performance bottlenecks with controlled workloads. These various data structures implementations let us demonstrate that STM scales well even without hardware support.13

Realistic application benchmarks

To evaluate the VELOX stack with sizable nondeterministic workloads, we developed the STMbench7 benchmark, which extends the well-known OO7 database benchmark. STMbench7 operates on an object-graph data structure with millions of objects and many interconnections between them. It can be configured to execute read-dominated, balanced read-write, and write-dominated workloads. We developed both a Java and a C++ version of STMbench7.14

The RMS-TM benchmark suite15 includes lock-based and transactional memory-based implementations of seven real-world many-core applications from the emerging recognition, data mining, and synthesis domains that have a wide range of transactional memory characteristics in terms of transaction lengths, read/write set sizes, and contention. This benchmark suite is suitable for evaluating both STM and HTM systems. In addition, RMS-TM uniquely presents many desirable properties, such as nested transactions, I/O operations, and system calls inside transactions.

Evaluating the VELOX stack

Figure 5 presents some experimental results obtained with the VELOX stack. Figure 5a shows the STMbench7 running with the TinySTM runtime on a four quad-core AMD Opteron machine (16 cores). Although the read-dominated workload
scales well, as we introduce more writes, performance flattens out with many threads.

Figure 5b shows the throughput of the ASF-based HyTM implementation on a red-black tree containing approximately four thousand elements with 20 percent update operations (insertions and removals). The LLB-8 implementation performs poorly because its capacity is insufficient for holding the parts of the data structure that are accessed, leading to constant execution of the software fallback path. LLB-256 scales remarkably well, and the L1/LLB variants perform only slightly worse because they are susceptible to cache-associativity limitations.

Figure 5c presents the scalability results of the RMS-TM benchmark suite using the EazyHTM implementation. As the graph shows, performance increases almost linearly with the number of threads on several benchmarks.

Finally, Figure 5d shows the throughput of the skip list microbenchmark in Java using the Deuce transactional memory runtime on a Sun UltraSPARC T2 Plus...
A multicore machine (two 8-core CPUs with eight hardware threads each). The list contains approximately 16 thousand elements, and we conducted experiments with three update ratios (0, 20, and 50 percent) and two STM algorithms (TL2 and LSA). Results demonstrate the good overall scalability of transactional memory with shared data structures.

We need more research to enable transactional memory as the abstraction of choice for leveraging the power of multicore and many-core architectures. We have identified a set of particularly important challenges, which our current and future work will address.

A premier challenge is the need for a larger and more diverse body of applications to be used as benchmarking and functional testing tools. This requires even more synthetic and well-understood benchmarks and larger-scale applications—either built especially for transactional memory or converted from existing massively multithreaded applications.

Use of transactional memory runtimes and libraries must follow the simplicity objective underlying the declaration of atomic regions to be executed speculatively, while letting the underlying transactional memory system handle the complexity. In contrast with this objective, transactional memory-unaware compilers require the programmer to instrument each transactional load and store. We therefore need transactional-memory-aware compilers, such as DTMC, or transactional memory extensions of existing commercial compilers. In addition to the simplicity aspect, transactional memory awareness at the compiler level allows for more optimization and lower runtime overhead.

The operating system also must be aware of the workload’s transactional memory-specific aspects. For example, an important challenge lies in the interaction of the task scheduler and the transactional memory runtime to optimally schedule threads performing transactions (for example, by avoiding preempting threads inside transactions or by serializing conflicting threads on the same core to gain from locality).

Furthermore, the interaction between speculatively executed code and irrevocable actions such as I/Os requires being able to detect the occurrence of such actions and switch dynamically to an irrevocable mode.

Finally, a general challenge is associated with overall performance and spans the entire transactional memory stack. The overhead associated with transactional memory can currently degrade performance and therefore impact an application’s scalability.

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